

DOCKETED

Docket Number:	17-SIT-02
Project Title:	Compliance Docketing Policy
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Document Title:	Letter to Interested Parties - Draft Compliance Docketing Policy - 20171114
Description:	N/A
Filer:	Mary Dyas
Organization:	California Energy Commission
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CALIFORNIA ENERGY COMMISSION

1516 NINTH STREET
SACRAMENTO, CA 95814-5512
www.energy.ca.gov



November 14, 2017

TO: Interested Parties

FROM: Christine Root, Compliance Office Manager

SUBJECT: COMPLIANCE DOCKETING POLICY

In an effort to create a more transparent compliance process after a project receives a license from the California Energy Commission, staff has developed the following draft policy.

DRAFT POLICY

In order to make certain compliance documents available to the public from the Energy Commission's website, the Compliance Project Manager will docket specific final staff-approved compliance submittals to the project's compliance proceeding docket. As a reminder, all documents that are not docketed by staff can be requested through a Public Records Act request unless they are filed under an Application for Confidentiality and approved by the Executive Director.

A list of documents that staff will docket under this policy is provided below:

Periodic Reports: The following reports are provided throughout the life of the project and contain information on a variety of technical areas:

- Monthly Compliance Reports (during construction)
- Annual Compliance Reports (during project operation)
- Periodic Compliance Reports (during project operation)
- Quarterly Operating Report (during project operation)

Other Major Filings and Plans: Major reports typically provided at the beginning of a project (during the pre-construction, construction, or pre-operation phases) and may be updated when a change in circumstances warrants revising the plan:

- Biological Resources Mitigation Implementation and Monitoring Plan (BRMIMP)
- Avian and Bat Monitoring Plan
- Avian and Bat Monitoring Reports (Seasonal and Annual)

- Technical Advisory Committee/Group (TAC/TAG) Meeting Agendas and Notes
- Evaporation Pond Monitoring Plan
- Periodic (Annual) Compensatory Mitigation Compliance Reports
- Storm Water Pollution Prevention Plan (SWPPP)
- Soil Management Plan
- Noise Control Program
- Paleontological Resources Report
- Paleontological Resources Mitigation and Monitoring Program
- Construction Water Use Plan
- Surface Treatment Plan
- Operational Lighting Plan
- Landscaping Plan
- Demolition Waste Management Plan
- Air Quality Construction/Demolition Mitigation Plan (AQCMP)
- NOISE-1, Public Notification Process
- Traffic Control Plan
- Power Tower Luminance Monitoring Plan
- Heliostat Positioning and Monitoring Plan (or comparable plan for solar trough)

Staff Generated Compliance Documents: Reports and letters created by staff to help ensure the on-going compliance of a power plant facility. These documents will be docketed after they have been provided to the project owner.

- Compliance Advice Letters
- Settlement Agreements/Announcements that are published to the Case Settlement Page
(<http://www.energy.ca.gov/commission/enforcement/litigation/index.php>)
- Periodic Operational Inspection Reports
- Notice to Proceed (with construction)

Staff intends to implement its Compliance Docketing Policy beginning January 15, 2018.

The Energy Commission will be holding a workshop to discuss this proposed Compliance Docketing Policy as well as the proposed Compliance Incident Reporting guidelines to obtain further input (see attached **Notice of Staff Workshop**).

We invite you to provide written comments on the above Compliance Docketing Policy language prior to the workshop date. To use the Energy Commission's electronic commenting feature, go to the Energy Commission's Siting Transmission and Environmental Protection webpage at <http://www.energy.ca.gov/siting/>. Under the Special Siting Division Meetings and Workshops, Compliance Docketing Policy and Compliance Incident Reporting Workshop, click on the "Submit e-Comment" link, and follow the instructions in the on-line form. Please include the Docket number **17-SIT-2**, and "**Compliance Docketing Policy**" in the title of your comments. When receipt of your document is confirmed by the Energy Commission's Docket Unit, you will receive an e-mail notification with a link to your document.

Written comments may also be mailed or hand-delivered to:

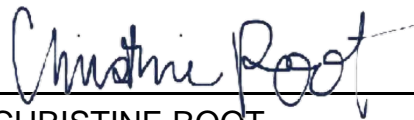
California Energy Commission
Dockets Unit, MS-4
Docket No. **17-SIT-2**
1516 Ninth Street
Sacramento, CA 95814-5512

All comments and materials filed with and approved by the Dockets Unit will be added to the Docket Log and become publicly accessible on the Energy Commission's webpage.

We look forward to discussing the Draft Compliance Docketing Policy and your comments at the workshop.

If you have any questions or concerns, please contact Mary Dyas, Compliance Project Manager, at (916) 651-8891, or by fax to (916) 654-3882, or via e-mail at mary.dyas@energy.ca.gov

Sincerely,

A handwritten signature in dark ink, appearing to read "Christine Root", is written over a horizontal line.

CHRISTINE ROOT
Compliance Office Manager
Siting, Transmission, & Environmental Protection
Division