California Energy Commission
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California Energy Commission
Renewable Energy Office Compliance Report Form for Local Publicly Owned Electric Utilities (POUs)

Reporting on the Renewables Portfolio Standard Program

Utility Name	
Form Completion Date	
Calendar Year(s) Covered in Report	

Reporting for the Renewables Portfolio Standard Program:

Annual Report Accounting: Please complete annually. For reports submitted in 2013, complete for 2011 and 2012. For reports submitted in 2014 and after, complete for the previous calendar year.

Static - Contract Information: For reports submitted in 2013, complete with information from contracts and ownership agreements executed on or before December 31, 2012. For reports submitted in 2014 and after, complete with information from contracts and ownership agreements executed in the previous calendar year.

Summary 2011: Complete in 2013 with aggregated fuel type information from 2011.
Summary 2012: Complete in 2013 with aggregated fuel type information from 2012.
Summary 2013: Complete in 2014 with aggregated fuel type information from 2013.
Compliance Period Accounting: Please complete at the end of each compliance period.
Compliance Period Summary: Please complete at the end of each compliance period.

Name:
Title:
Email:
Phone:
Address:

DRAFT - FOOTNOTES

Static contract information tab instructions - Please list all executed renewable energy contracts or ownership agreements, and enter applicable corresponding facility information. For Historic Carryover and Count in Full contract information, please use the Static Contract Info PCC 0 tab. If the contract or ownership agreement is amended, update any information that has changed, and make a note of the changes and the amendment execution date in the Notes column. The information reported on this spreadsheet should be classified by expected portfolio content category. If you expect multiple categories of electricity products from a single contract or ownership agreement, please enter information for that contract/agreement under each expected category. Actual portfolio content category classification for electricity products will be verified by the Energy Commission at the end of each compliance period. If you need additional rows, highlight the bottom row for the appropriate category, right-click and select "Insert."

Static Contract Information Tabs	
1	Enter the calendar year in which contract information is first reported in this spreadsheet. Please update the Reporting Year when contracts are amended and resubmit the form.
2	If electricity products will be purchased from one facility, indicate the name of the facility. If electricity products will be purchased from a portfolio of assets, list all the facilities included in the asset. if the facilities included in the asset are unknown, indicate the name of the asset.
3	Select type of renewable technology from the drop-down options. If other, please specify.
4	Select the primary fuel used from the drop-down options. If other, please specify. For multi-fuel facilities, also select from the secondary fuel type column.
5	Indicate the wholesale seller of electricity products. If utility owned, state "utility owned." If purchased from a load-serving entity, state the name of the load-serving entity.
6	Indicate the county and state that the facility is located in. If the facility is located outside of the United States, please indicate the country in which the facility is located.
7	Select status of the project from drop-down options. As of 2013, "New, Planned" refers to any new planned project that is expected to come online on or after January 1, 2005. "New, Under Construction" refers to any new project that has begun construction and expected to come online on or after January 1, 2005. "New, Online" refers to any new project that came online on or after January 1, 2005. "Repowered, Planned" refers to the planned replacement of prime generating equipment equaling at least 80% of the total value of the project (see RPS Eligibility Guidebook for further information). "Repowered, Under Construction" refers to a facility currently replacing prime generating equipment equaling at least 80% of the total value of the project (see RPS Eligibility Guidebook for further information). "Existing" refers to facilities that have continuously been online since before 2005. "Restart, Planned" refers to a facility with an original online date before 2005, that had permanently shut down, but is planned to reopen.
8	For Historic Carryover and Count in Full contracts, indicate whether the facility met the RPS eligibility requirements in the RPS Eligibility Guidebook in place at the time of the contract execution. If the contract was executed prior to April 21, 2004, then indicate whether the facility met the RPS eligibility requirements in the RPS Eligibility Guidebook in place as of April 21, 2004. Provide supporting documentation.
9	Indicate the date the contract was originally executed. If the facility is utility owned, indicate the date the ownership agreement was originally executed. Provide supporting documentation such as a copy of the contract agreement demonstrating the contract execution date, please redact confidential information.
10	Indicate the first date that electricity products were or will be procured by the buyer.
11	Indicate the RPS-eligibility date for the facility, as indicated on the RPS eligibility certificate distributed by the Energy Commission. If this is yet to be determined, indicate TBD.
12	Enter the commercial online date for the facility.
13	Enter the date when the facility came online using a renewable fuel or technology, if different than the commercial online date.
14	State the final procurement date of the product(s) being purchased under the contract/agreement. If the date is unknown, enter "unknown."
15	Indicate the duration of the contract. If the facility is utility owned, state "utility owned."
16	"Short Term," refers to a contract less than 10 years in duration. "Long Term" refers to a contract 10 or more years in duration. If the facility is utility owned, select "Long Term."
17	Enter the number of MWh that will be procured annually from this facility.
18	Enter the share of total generation under the current contract/agreement. If procuring a fixed volume amount indicate the fixed contract amount. Explain in "Notes" section if volume or percent amount changes annually and provide specific amounts per calendar year.
19	If the contract is terminated before the contract end date, please enter the termination date. Provide supporting documentation with confidential information redacted.
20	Include any additional information about the contract, including information on any amendments to the contract.

21	Indicate one of the following and provide supporting documentation with confidential information. Energy Commission is working to obtain interconnection information for RPS-Certified facilities, but in the case this information is not available, POUs must provide it when making PCC 1 claims. "Interconnected to CBA" refers to a facility that has its first point of interconnection within the metered boundaries of a California Balancing Authority (CBA) Area. "Interconnected to Distribution System" refers to a facility that has its first point of interconnection to an electricity distribution system used to serve end users within the metered boundaries of a California Balancing Authority Area. "Scheduled into CBA" refers to electricity products from the facility that is not directly connected to a CBA and that has generation scheduled on an hourly or subhourly basis into a CBA without substituting electricity from another source. "Dynamic Transfer into CBA" refers to electricity products subject to an agreement between a CBA and the Balancing Authority in which the facility is located to dynamically transfer electricity from the facility into the CBA Area.
22	Enter the facility's source name, as registered with OATI's webRegistry. This applies mainly to facilities not directly connected to a CBA.
23	Indicate if contract is a resale agreement. Provide supporting documentation with confidential information redacted.
24	Indicate whether the contract for substitute energy was executed on or after the contract/agreement execution date for the associated renewable energy. Provide supporting documentation with confidential information redacted.

Reporting Year[1]	Facility Name[2]	Technology[3]

Primary Fuel Type[4]	Secondary Fuel Type[4]	Owner/Seller[5]

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Historic Carryover and Port

Location (County and State, or Country if outside US)[6]	Facility Status[7]	Facility Met Energy Commission's RPS Eligibility Requirements at the Time of Contract Execution - Including Delivery (Yes/No)[8]

tfolio Content Category 0

Contract Execution Date (Must be before June 1, 2010)[9]	Contract Start Date[10]	Facility Beginning on Date (Eligibility date on RPS Certificate)[11]

Facility Online Date[12]	Renewable Technology On-line Date[13]	Contract End Date[14]

Contract Term[15]	Long Term/Short Term[16]	Contracted Amount (MWh)[17]	Share (%) or Fixed Contract Amount of Total Generation[18]

WREGIS ID	RPS ID	Contract Termination Date[19]

Notes[20]

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Reporting Year[1]	Facility Name[2]	Technology[3]

ATEGORY 1

Primary Fuel Type[4]	Secondary Fuel Type[4]	Owner/Seller[5]

Resale? Yes/No[21]	Location (County and State, or Country if outside US)[6]	Interconnected to CBA, Interconnected to Distribution System, Scheduled into CBA, Dynamic Transfer into CBA[22]

Facility Status[7]	Contract Execution Date[9]	Contract Start Date[10]

Facility Beginning on Date (Eligibility date on RPS Certificate)[11]	Facility Online Date[12]	Renewable Technology On-line Date[13]

Contract End Date[14]	Contract Term[15]	Long Term/Short Term[16]	Contracted Amount (MWh)[17]

Share (%) or Fixed Contract Amount of Total Generation[18]	WREGIS ID	RPS ID

Contract Termination Date[19]	Notes[20]	Contract Termination Date[21]

For Facilities NOT interconnected to a CBA, Facility Source Name - as registered with webRegistry[22]	Resale Agreement[23]

DRAFT **PORTFOLIO CONTENT CATEGORY 2 - RENEWABLE**

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Reporting Year*	Facility Name *	Technology*	Primary Fuel Type *	Secondary Fuel Type*	Owner/Seller *	Resale? Yes/No*	Location (County and State, or Country if outside US)*	Facility Status *	Contract Execution Date*	Contract Start Date **	Facility Beginning on Date-Eligibility date on RPS Certificate**	Facility Online Date**	Renewable Technology On-line Date**	Contract End Date **	Contract Term **	Long Term/Short Term**	Contracted Amount (MWh)**	Share (%) or Fixed Contract Amount of Total Generation **	WREGIS ID	RPS ID	Contract Termination Date**	Notes**	Facility Source Name - as registered with web-Registry **	Resale Agreement*

DRAFT **PORTFOLIO CONTENT CATEGORY 2 - SUBSTITUTE ENERGY**

Reporting Year[1]	Facility Name (If Applicable)[2]	RPS ID of Associated Renewable Energy Facility[24]	Incremental to POU (Yes/No)[25]	Owner/Seller[5]	Location (County and State, or Country if outside US)[6]	Contract Execution Date[9]	Contract Start Date[10]	Facility Beginning on Date (Eligibility date on RPS Certificate)[11]	Contract End Date[14]	Contract Term[15]	Contracted Amount (MWh)[17]	Share (%) or Fixed Contract Amount of Total Generation[18]	Contract Termination Date[19]	Notes[20]	Contract/agreement execution date on or after contract date for the associated renewable energy[24]

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Reporting Year[1]	Facility Name[2]	Technology[3]

ATEGORY 3

Primary Fuel Type[4]	Secondary Fuel Type[4]	Owner/Seller[5]

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PORTFOLIO CONTENT CAT

Resale? Yes/No[21]	Location (County and State, or Country if outside US)[6]	Facility Status[7]

TEGORY 3 -

Contract Execution Date[9]	Contract Start Date[10]	Facility Beginning on Date (Eligibility date on RPS Certificate)[11]

Facility Online Date[12]	Renewable Technology On-line Date[13]	Contract End Date[14]

Contract Term[15]	Long Term/Short Term[16]	Contracted Amount (MWh)[17]	Share (%) or Fixed Contract Amount of Total Generation[18]

WREGIS ID	RPS ID	Contract Termination Date[19]

Notes[20]

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Annual RPS Compliance Report:Accounting

	Input Required
	Actual Data
	Forecasted Data

Instructions – The Annual Report Accounting tab should be completed every year to show the actual retail sales and RPS procurement retired for the reporting year(s). Forecasted data should be replaced with actual data as necessary, and forecasts may be updated to reflect new information. When forecasted data is replaced with actual data, please ensure that the column heading colors are updated to reflect the changes. Cells in grey are static information and do not require POU input. Cells in white will automatically populate and also do not require POU input.

	Compliance Period 1			*Compliance Period 2*			*Compliance Period 3*			
	Actual		Forecast							
Annual RPS Procurement and Percentages (MWh)	**2011**	**2012**	**2013**	**2014**	**2015**	**2016**	**2017**	**2018**	**2019**	**2020**
Annual Retail Sales										
Annual RPS Procurement Retired										
Soft Targets	20.0%	20.0%	20.0%	20.0%	20.0%	25.0%	25.0%	25.0%	25.0%	33.0%
Annual RPS Procurement Percentage	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%

	Compliance Period 1			*Compliance Period 2*			*Compliance Period 3*			
Procurement Target (MWh)	**2011**	**2012**	**2013**	**2014**	**2015**	**2016**	**2017**	**2018**	**2019**	**2020**
Procurement Target		0			0			0		
Category 0 RECs Retired										
Category 1 RECs Retired										
Pre-June 1, 2010 Category 1 RECs Retired										
Category 2 RECs Retired										
Pre-June 1, 2010 Category 2 RECs Retired										
Category 3 RECs Retired										
Pre-June 1, 2010 Category 3 RECs Retired										
Soft Targets	20.0%	20.0%	20.0%	20.0%	20.0%	25.0%	25.0%	25.0%	25.0%	33.0%
RECs Retired as Percentage of Retail Sales	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Forecasted Total RECs Retired (Compliance Period)		**0**			**0**			**0**		

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Instructions - Enter MWh of all RPS-eligible procurement, including unbundled RECs, by fuel type.
Cells in white and grey will automatically populate and do not require POU input.

RPS Compliance Report: Summary

Input Required

	2011
Report Date	

RPS Summary Report	MWh	%
Current Year Total Retail Sales	0	
Total RECs Retired	0	#DIV/0!
Total RPS Eligible Procurement	0	#DIV/0!

RECs Retired by Portfolio Content Category	MWh	%
RECs Retired from Contracts Executed Prior to June 1, 2010	0	#DIV/0!
RECs Retired from Category 1 Eligible Resources	0	#DIV/0!
RECs Retired from Category 2 Eligible Resources	0	#DIV/0!
RECs Retired from Category 3 Eligible Resources	0	#DIV/0!
Total RECs Retired to meet Target in 2011	**0**	

RPS Eligible Procurement	MWh	%
Biomass		
Digester Gas		
Biodiesel		
Landfill Gas		
Muni Solid Waste		
Biopower Subtotal		
Geothermal		
Small Hydro		
Conduit Hydro		
Solar PV		
Solar Thermal		
Wind		
Ocean/Tidal		
Fuel Cells		
Total RPS Eligible Procurement	**0**	

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Instructions - Enter MWh of all RPS-eligible procurement, including unbundled RECs, by fuel type.
Cells in white and grey will automatically populate and do not require POU input.

RPS Compliance Report: Summary

Input Required

	2012
Report Date	

RPS Summary Report	MWh	%
Current Year Total Retail Sales	0	
Total RECs Retired	0	#DIV/0!
Total RPS Eligible Procurement	0	#DIV/0!

RECs Retired by Portfolio Content Category	MWh	%
RECs Retired from Contracts Executed Prior to June 1, 2010	0	#DIV/0!
RECs Retired from Category 1 Eligible Resources	0	#DIV/0!
RECs Retired from Category 2 Eligible Resources	0	#DIV/0!
RECs Retired from Category 3 Eligible Resources	0	#DIV/0!
Total RECs Retired to meet Target in 2011	**0**	

RPS Eligible Procurement	MWh	%
Biomass		
Digester Gas		
Biodiesel		
Landfill Gas		
Muni Solid Waste		
Biopower Subtotal		
Geothermal		
Small Hydro		
Conduit Hydro		
Solar PV		
Solar Thermal		
Wind		
Ocean/Tidal		
Fuel Cells		
Total RPS Eligible Procurement	**0**	

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Instructions - Enter MWh of all RPS-eligible procurement, including unbundled RECs, by fuel type.
Cells in white and grey will automatically populate and do not require POU input.

RPS Compliance Report: Summary

Input Required

	2013
Report Date	

RPS Summary Report	MWh	%
Current Year Total Retail Sales	0	
Total RECs Retired	0	#DIV/0!
Total RPS Eligible Procurement	0	#DIV/0!

RECs Retired by Portfolio Content Category	MWh	%
RECs Retired from Contracts Executed Prior to June 1, 2010	0	#DIV/0!
RECs Retired from Category 1 Eligible Resources	0	#DIV/0!
RECs Retired from Category 2 Eligible Resources	0	#DIV/0!
RECs Retired from Category 3 Eligible Resources	0	#DIV/0!
Total RECs Retired to meet Target in 2011	**0**	

RPS Eligible Procurement	MWh	%
Biomass		
Digester Gas		
Biodiesel		
Landfill Gas		
Muni Solid Waste		
Biopower Subtotal		
Geothermal		
Small Hydro		
Conduit Hydro		
Solar PV		
Solar Thermal		
Wind		
Ocean/Tidal		
Fuel Cells		
Total RPS Eligible Procurement	**0**	

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RPS Compliance Period Report

	Input Required
	Actual Data
	Forecasted Data

Instructions – The Compliance Period Accounting tab should be completed at the end of each compliance period to show historic carryover and excess procurement applied toward the RPS procurement requirements for the compliance period, as well as excess procurement accumulated during the compliance period. POUs must also indicate how many MWh in each category will be applied toward the RPS procurement target for the compliance period. Cells in grey are static information and do not require POU input. Cells in white will automatically populate and also do not require POU input.

	Compliance Period 1			*Compliance Period 2*			*Compliance Period 3*			
	Actual			Forecast						
Annual RPS Procurement and Percentages (MWh)	**2011**	**2012**	**2013**	**2014**	**2015**	**2016**	**2017**	**2018**	**2019**	**2020**
Annual Retail Sales	0	0	0	0	0	0	0	0	0	0
Annual RPS Procurement Retired	0	0	0	0	0	0	0	0	0	0
Soft Targets	20.0%	20.0%	20.0%	20.0%	20.0%	25.0%	25.0%	25.0%	25.0%	33.0%
Annual RPS **Procurement** Percentage	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Total RECs Procured (Compliance Period		**0**			**0**			**0**		

	Compliance Period 1			*Compliance Period 2*			*Compliance Period 3*			
Procurement Target (MWh)	**2011**	**2012**	**2013**	**2014**	**2015**	**2016**	**2017**	**2018**	**2019**	**2020**
Procurement Target		0			0			0		
Category 0 RECs Retired	0	0	0	0	0	0	0	0	0	0
Category 1 RECs Retired	0	0	0	0	0	0	0	0	0	0
Pre-June 1, 2010 Category 1 RECs Retired	0	0	0	0	0	0	0	0	0	0
Category 2 RECs Retired	0	0	0	0	0	0	0	0	0	0
Pre-June 1, 2010 Category 2 RECs Retired	0	0	0	0	0	0	0	0	0	0
Category 3 RECs Retired	0	0	0	0	0	0	0	0	0	0
Pre-June 1, 2010 Category 3 RECs Retired	0	0	0	0	0	0	0	0	0	0
Sum		**0**			**0**			**0**		
Historic Carry-over Applied[1]										
Excess Procurement from Previous Compliance Periods Applied[2]		0								
Total RECs Retired (Compliance Period		**0**			**0**			**0**		
Soft Targets	20.0%	20.0%	20.0%	20.0%	20.0%	25.0%	25.0%	25.0%	25.0%	33.0%
RECs **Retired** as Percentage of Retail Sales	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%

	Compliance Period 1		
Portfolio Balance Requirements (MWh)	**Total Retired**	**Target[3]**	**Potential Excess**
Category 0 RECs Retired	0		0
Historic Carry-over applied	0		0
Category 1 RECs Retired	0		0
Pre-June 1, 2010 Category 1 RECs Retired	0		0
Category 2 RECs Retired	0		0
Pre-June 1, 2010 Category 2 RECs Retired	0		0
Category 3 RECs Retired	0		n/a
Pre-June 1, 2010 Category 3 RECs Retired	0		n/a
Total RECs applied to the Target*		**0**	
Total RECs applied to the PBR		0	
Category 1 Balance Requirement		0	
Category 3 Balance Limitation		0	
Disallowed Category 3 RECs		0	

*The sum of the total RECs applied to the Target will be flagged if they exceed the Procurement Target (line 23)

	Compliance Period 1
Excess Procurement Calculation(MWh)	
Total RECS retired	0
Procurement Target	0
Potential Excess	0
Short-Term RECs[4]	
Excess RECs Eligible	**0**
Excess Category 0 RECs[5]	
Excess Category 1 RECs[6]	
Excess Pre-June 1, 2010 Category 1 RECs[7]	
Excess Category 2 RECs[8]	
Excess Pre-June 1, 2010 Category 2 RECs[9]	
Total Excess Procurement Accumulated in Current Compliance Period	

Additional Procurement	**Starting Balance**	**Applied to Current Compliance Period**	**Accumulated in Current Compliance Period**	**Remaining Balance**
Total Excess Procurement from Previous Compliance Periods	**0**	**0**	**0**	**0**
Excess Category 0 RECs	0	0	0	0
Excess Category 1 RECs	0	0	0	0
Excess Pre-June 1, 2010 Category 1 RECs	0	0	0	0
Excess Category 2 RECs	0	0	0	0
Excess Pre-June 1, 2010 Category 2 RECs	0	0	0	0
Total Historic Carry-Over[10]		0	n/a	0

	Compliance Period 1		
RPS Procurement Enforcement (MWh)	**2011**	**2012**	**2013**
Deficit of RECs Necessary to Meet Targe		0	
Deficit of RECs Necessary to Meet Portfolio Balance Requirement		0	

Optional Compliance Measures Applied	**Yes/No**
Cost Limitations	
Delay of Timely Compliance	
Reduction of PCC 1	

[1] Enter the number of MWh of verified historic carryover to be applied toward RPS procurement requirements for the compliance period.
[2] Enter the number of MWh of verified excess procurement from previous compliance periods to be applied toward RPS procurement requirements for the compliance period.
[3] Enter the number of MWh from each listed category to be applied to the RPS procurement target, for the purposes of calculating the portfolio balance requirements and excess procurement.
[4] Enter the number of retired MWh associated with contracts less than 10 years in duration.
[5] Enter the number of retired MWh from Category 0 electricity products to be counted as excess procurement toward a future compliance period, up to the total amount of potential excess in cell E43.
[6] Enter the number of retired MWh from Category 1 electricity products to be counted as excess procurement toward a future compliance period, up to the total amount of potential excess in cell E45. Procurement should be from contracts or ownership agreements executed **on or after** June 1, 2010.
[7] Enter the number of retired MWh from Category 1 electricity products to be counted as excess procurement toward a future compliance period, up to the total amount of potential excess in cell E45. Procurement should be from contracts or ownership agreements executed **before** June 1, 2010.
[8] Enter the number of retired MWh from Category 2 electricity products to be counted as excess procurement toward a future compliance period, up to the total amount of potential excess in cell E45. Procurement should be from contracts or ownership agreements executed **on or after** June 1, 2010.
[9] Enter the number of retired MWh from Category 2 electricity products to be counted as excess procurement toward a future compliance period, up to the total amount of potential excess in cell E45. Procurement should be from contracts or ownership agreements executed **before** June 1, 2010.
[10] Enter the total number of MWh of historic carryover verified by the Energy Commission.

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RPS Compliance Report: Summary

Input Required

2011-13 Compliance Period	
Report Date	

RPS Summary Report	MWh	%
Total Retail Sales	0	
Procurement Target	0	#DIV/0!
Total RECs Retired to meet Compliance Period Target	**0**	**#DIV/0!**
Total RPS Eligible Procurement	**0**	**#DIV/0!**

RECs Retired by Portfolio Content Category	MWh	%
RECs Retired from Contracts Executed Prior to June 1, 2010	0	#DIV/0!
RECs Retired from Category 1 Eligible Resources	0	#DIV/0!
RECs Retired from Category 2 Eligible Resources	0	#DIV/0!
RECs Retired from Category 3 Eligible Resources	0	#DIV/0!
Total RECs Retired to meet Compliance Period Target	**0**	
Did POU Meet the Target?		

RPS Eligible Procurement	MWh	%
Biomass	0	#DIV/0!
Digester Gas	0	#DIV/0!
Biodiesel	0	#DIV/0!
Landfill Gas	0	#DIV/0!
Muni Solid Waste	0	#DIV/0!
Biopower Subtotal	0	#DIV/0!
Geothermal	0	#DIV/0!
Small Hydro	0	#DIV/0!
Conduit Hydro	0	#DIV/0!
Solar PV	0	#DIV/0!
Solar Thermal	0	#DIV/0!
Wind	0	#DIV/0!
Ocean/Tidal	0	#DIV/0!
Fuel Cells	0	#DIV/0!
Total RPS Eligible Procurement	**0**	