

# REGIONAL ACADEMY OF NATURAL SCIENCES

2644 Foghorn Cove, Port Hueneme, CA-93041  
Tel: 805-895-0819; 805-985-4839; e-mail: bykovtsev1@yahoo.com

July 28, 2011

RANS-7-28-2011

CALIFORNIA ENERGY COMMISSION  
Dockets Office, MS-4  
Re: Docket No. 11-IEP-1J  
1516 Ninth Street Sacramento, California 95814  
[docket@energy.state.ca.us](mailto:docket@energy.state.ca.us)  
CC: [bbyron@energy.state.ca.us](mailto:bbyron@energy.state.ca.us)

**DOCKET**

**11-IEP-1J**

DATE JUL 28 2011

RECD. Aug 01 2011

SUBJECT: Written comments on the meeting topics for Docket No. 11-IEP-1J. COMMITTEE WORKSHOP  
RE: "California Nuclear Power Plant Issues."

PROJECT: Preliminary Estimate the Effect of Shoreline Fault Zone (SFZ) Segmentations on Simulation of  
Long-Period Seismic Motions (LPSM) and Seismic Load for Diablo Canyon Power Plant (DCPP).

Dear Commissioners:

Regional Academy of Natural Sciences is please to present our written comments on the meeting topics.

1. In Attachment A "Key Issues and Questions for the Workshop" several topics were determined for discussions at the workshop. Also it was stated that "Participants may respond to these questions in oral or written comments. Policies and issues discussed in the workshop will inform the development of the Energy Commission's 2011 IEPR and associated energy policy recommendations to the Governor and the Legislature."
2. We would like to address our written comments to the Section 1. "Seismic/Tsunami Scenarios and Uncertainties for Diablo Canyon, SONGS and Humboldt Bay".

a. What is the current understanding of the major onshore and offshore fault systems and the largest magnitude tsunamis, earthquakes, and ground shaking potential calculated at or near Diablo Canyon, SONGS and Humboldt Bay for these facilities in relation to their existing plant or Independent Spent Fuel Storage Installation design?

**Comment 1:** The participation were unable to provide clear answers regarding the "current understanding of the major onshore and offshore fault systems and the largest magnitude tsunamis, earthquakes, and ground shaking potential calculated at or near Diablo Canyon, SONGS and Humboldt Bay for these facilities in relation to their existing plant or Independent Spent Fuel Storage Installation design."

b. The Tohoku earthquake and tsunami in Japan on March 11 greatly exceeded Japan's predictions and design for the Fukushima Daiichi plant with catastrophic results. What are the significant areas of uncertainty associated with earthquake/tsunami predictions for Diablo Canyon, SONGS, and Humboldt Bay, and what studies or mitigating activities are underway to address these uncertainties?

**Comment 2:** It was clearly stated during the workshop that for Tohoku earthquake and tsunami in Japan on March 11 Japans's predictions and seismic design **were exceeded by four times** for the Fukushima Daiichi plant, and as a result of earthquake water pipes were broken. But unfortunately, the participants were unable to provide clear answers on situation related to significant areas of uncertainty associated with

earthquake/tsunami predictions for Diablo Canyon, SONGS, and Humboldt Bay, and what studies or mitigating activities are underway to address these uncertainties. What will happen with Diablo Canyon which is located above Shoreline Fault zone if the seismic loads with multiple oscillations result in two or three times existing seismic design assumptions? This is what happened in Japan and it possibly can happen in California too. Are we ready?

c. A recent USGS study in April 2011 concluded that, “There’s no objective evidence for any discontinuities or segmentation of the Shoreline Fault,” in contrast to PG&E’s conclusion in January 2011 the Shoreline Fault is segmented. An important “unanticipated” phenomenon in relation to the Mw 9.0 earthquake in Japan was that five segments along the subduction zone ruptured together, rather than independently as scientists had earlier predicted. What are the expected consequences of the assumptions regarding segmentation versus non-segmentation of the Shoreline Fault when estimating earthquake potential?

**Comment 3:** Presenters were unable to provide clear answers on situation related to the assumptions regarding segmentation versus non-segmentation of the Shoreline Fault when estimating earthquake potential. The emergency in Japan (March 2011) provides as an important wake-up call for contribution. Tools and knowledge for the proper seismic hazard analysis nuclear plants located in California exist, and we cannot afford to ignore new information. During the last 4 months we have spent our own money, time and effort we achieved preliminary results about assumptions regarding segmentation versus non-segmentation of the Shoreline Fault when estimating earthquake potential. **We request your review of our materials.**

Finally, the Regional Academy of Natural Sciences is pleased to present to the CALIFORNIA ENERGY COMMISSION (Docket No. 11-IEP-1J. COMMITTEE WORKSHOP RE: “California Nuclear Power Plant Issues”) the attached manuscript and our independent research with “Preliminary Estimate of the Effect of Shoreline Fault Zone (SFZ) Segmentations on Simulation of Long-Period Seismic Motions (LPSM) and Seismic Load for Diablo Canyon Power Plant (DCPP).”

As a result of the Academy’s analysis it is possible to conclude, that for the DCPP site LPSM may contain pulses with multiple oscillations (due to SFZ and other faults segmentations) which can cause severe nonlinear structural response. LPSM with multiple oscillations have become a crucial consideration in Seismic Hazard Analysis of DCPP due to cyclic load, which may cause a type of crack called a “fatigue crack” in structure. The nature of such cyclic loading induces progressive alteration in the bearing capacity and head displacement of the foundation. This may lead to disastrous consequences (for example Japan-March 2011 lessons).

The **segmentations of SFZ introduce additional extremes for LPSM and effects of vibratory ground motion should be properly estimated in Seismic Hazard Analysis and included in design of seismic load for DCPP.** These findings including the shape, amplitude and duration of LPSM on the DCPP site location in relation to the Shoreline Fault Zone, main rupture orientation, complexity of rupture on each segment, and mutual arrangement of sub-sources should be investigated more accurately for proper seismic hazard assessment DCPP and SONGS.

We have very strong concerns about seismic issues at DCPP and proper estimate of ground motions based on existing assumptions and approaches. DCPP has submitted its application to the NRC for license renewal without proper seismic study and simulation of ground motions for different scenarios of seismic sources. The 3-D seismic studies including deterministic simulated horizontal and vertical ground motion records for displacement, velocity and acceleration from different seismic sources need to be considered as part of the mandatory license renewal process at DCPP and should also be mandatory part of the NRC’s review of DCPP license renewal application. The state should not continue with a license renewal process absent the resolution of the above issues.

In addition, it should be noted that the report “Diablo Canyon, Units 1 and 2, Report on the Analysis of the Shoreline Fault Zone, Central Coastal California, Chapter 6.0 - Seismic Hazard Analysis - Appendix A-3” did not comply with NRC Regulatory Guidance.

According to NRC Regulations Title 10, Code of Federal Regulations in § 100.23 Geologic and seismic siting criteria it is clear stated:

- (1) Determination of the Safe Shutdown Earthquake Ground Motion. The Safe Shutdown Earthquake Ground Motion for the site is characterized by **both horizontal and vertical free-field ground motion** response spectra at the free ground surface. In PG&E’s (2011) report the estimation was done only for horizontal peak ground acceleration (PGA). This Safe Shutdown Earthquake Ground Motion has a horizontal PGA of 0.75 g based on the assumption of a magnitude 7.5 earthquake on the Hosgri Fault, which is located 5 km (3 mi) from the DCP. Unfortunately, the **vertical component of ground motion was not included in consideration** in PG&E’s Report (2011) and did not comply with NRC Regulatory Guidance. (See also requirements from Regulatory Guide 1.92 – Combining modal responses and spatial components in seismic response analysis.) Only horizontal ground motion was included in the PG&E (2011) report, with the vertical component being eliminated from consideration. However, it was clearly publicized that the vertical component, especially for dip movement in the fault, will be responsible for maximum velocity and peak ground acceleration. The publication “The Slapdown Phase in High-acceleration Records of Large Earthquakes” by Masumi Yamada, Jim Mori, and Thomas Heaton in *Seismological Research Letters* Volume 80, Number 4, July/August 2009, presented a list of the records in which the vertical acceleration exceeds 1,000 cm/s<sup>2</sup> (>1g). The vertical component of ground-motion acceleration, at least for dip-slip-oriented movement on the SFZ (reverse and normal faults), should be included in Site-Specific Ground Motion Procedures for proper seismic hazard assessment DCP and SONGS.
- (2) Also, in § 100.23 Geologic and seismic siting criteria it is clear stated: (4) Determination of siting factors for other design conditions. Siting factors for other design conditions that must be evaluated include soil and rock stability, liquefaction potential, **natural and artificial slope stability, cooling water supply**, and remote safety-related structure siting. Each **applicant shall evaluate all siting factors and potential causes of failure**, such as, the physical properties of the materials underlying the site, ground disruption, and the **effects of vibratory ground motion that may affect the design and operation of the proposed nuclear power plant**. In the case where a causative fault is near the site, the effect of proximity of an earthquake on the spectral characteristics of the Safe Shutdown Earthquake shall be taken into account. The effects of vibratory ground motion, due to faults segmentation (See A.S. Bykovtsev’s publications 1979-2011) also were not included in consideration in PG&E Report (2011).

**Recommendations.** As concerned California ratepayers and residents, and in consideration of the many issues raised by our state’s reliance on nuclear power in a post-Fukushima world, the following recommendations created by the Regional Academy of Natural Sciences should be adopted in the 2011 IEPR proceeding:

1) The CEC should recommend that SCE and PG&E undertake immediate seismic studies to determine how the DCP and SONGS buildings and main nuclear reactors components will operate under cyclic load with multiple oscillations. The 3-D seismic studies including deterministic simulated horizontal and vertical ground motion records for displacement, velocity and acceleration from different seismic sources and different scenarios of seismic events shall to be considered as part of the mandatory license renewal process at DCP and SONGS, and should also be mandatory part of the NRC’s review of DCP and SONGS license renewal application.

2) The CEC should recommend that the U.S. Department of Energy’s, USGS and NSF create a special research programs and guidance for the site specific seismic investigation with time history simulation procedures and analysis for nuclear plants (Diablo Canyon, SONGS and Humboldt Bay) located in seismic regions with new alternatives to existing unrealistic assumptions and approaches.

3) The CEC should recommend that the 1967 Certificate of Public Convenience and Necessity (CPCN) issued for Diablo Canyon be reviewed and updated in light of new discovered geological information about Shoreline Fault zone segmentations, and multiple seismic sources located around DCPD.

We hope that CPUC, USGS, SCE and PG&E will be able to find financial support for our future independent research in proper estimate of Seismic Hazard Analysis with more accurate investigation of seismic study and simulation of ground motions for different scenarios of seismic sources located near to Diablo Canyon, SONGS and Humboldt Bay nuclear plants.

It is our goal to offer quality services as *Scientists, Researchers and Engineers for the Future*.

We appreciate this opportunity to be of service to you on this project. Please call if you have any questions, or if we can be of further service.

Respectfully submitted,

REGIONAL ACADEMY OF NATURAL SCIENCES

Dr. Alexander Bykovtsev Ph.D., P.E., M.ASCE  
President and CEO



Attachments:

1. EFFECT OF SHORELINE FAULT ZONE SEGMENTATIONS ON SIMULATION OF LONG-PERIOD SEISMIC MOTIONS AND SEISMIC LOAD FOR DIABLO CANYON POWER PLANT.  
Manuscript prepared by Dr. Bykovtsev for presentation on *4th IASPEI / IAEE International Symposium: Effects of Surface Geology on Seismic Motion* August 23–26, 2011 • University of California Santa Barbara. (16 pages)
2. Short Curriculum Vitae Dr. ALEXANDER BYKOVITSEV Ph.D., P.E., M.ASCE. (4 pages)



4<sup>th</sup> IASPEI / IAEE International Symposium:

## Effects of Surface Geology on Seismic Motion

August 23–26, 2011 • University of California Santa Barbara

### EFFECT OF SHORELINE FAULT ZONE SEGMENTATIONS ON SIMULATION OF LONG-PERIOD SEISMIC MOTIONS AND SEISMIC LOAD FOR DIABLO CANYON POWER PLANT

**Dr. Alexander BYKOVITSEV**

Regional Academy of Natural Sciences,  
2644 Foghorn Cove, Port Hueneme, CA 93041,  
USA, e-mail: [bykovitsev1@yahoo.com](mailto:bykovitsev1@yahoo.com)

**Marat KASIMOV**

Regional Academy of Natural Sciences,  
Port Hueneme, CA 93041,  
USA, e-mail: [manmarkaz@mail.ru](mailto:manmarkaz@mail.ru)

#### ABSTRACT

Effect of Shoreline fault zone (SFZ) segmentations on simulation of long-period seismic motions (LPSM) and seismic load will be presented for Diablo Canyon Power Plant (DCPP). The SFZ located about 1km offshore of DCPP and divided into three segments (North, Central, and South) based on differences in the geologic expression of surface and near-surface faulting. The Central segment of the SFZ is located 300 meters southwest of the Intake structure and 600 meters southwest of the Power Block. Although the initial seismic sensitivity studies showed that DCPP has adequate margin to withstand ground motion from the potential SFZ, both US Nuclear Regulatory Commission (NRC) and Pacific Gas and Electric (PG&E) recognized the need to better constrain the four main parameters of the SFZ needed for a seismic hazard assessment (SHA): fault geometry (length, dip, down-dip width), segmentation, distance offshore from DCPP, and slip-rate. To address some of this need, we conducted numerical calculations to analyze and interpret the effect of SFZ segmentations on LPSM. The methodology for synthesizing LPSM based on 3D-analytical solutions by Bykovtsev-Kramarovskii (1987&1989) constructed for faults with multiple segmentations. This approach is based on a kinematics description of displacement function on the fault, included in consideration of all possible combinations of 3 components of vector displacement (two slip vectors and one tension component) and provided more accurate LPSM evaluations. From our preliminary calculation (Bykovtsev 2011) it was discovered that for DCPP site LPSM might contain pulses with multiple oscillations (due to SFZ segmentation), which can cause severe nonlinear structural response. LPSM with multiple oscillations have become a crucial consideration in SHA of DCPP. These findings shall be investigated more fully.

#### INTRODUCTION

The focus of work is on the prediction of long-period seismic motions (LPSM) and seismic load from seismic sources for Diablo Canyon Power Plant (DCPP) area located near the Shoreline Fault Zone (SFZ) in Central Coastal California. Here LPSM refers to all aspects of a seismogram including ground displacements, velocities, accelerations, and duration of motion. The effect of SFZ segmentations on simulation of LPSM and seismic load will be investigated for DCPP according to NRC Regulations and new requirements of American Society of Civil Engineers Standard (ASCE 7-10 Chapter 21 Site-Specific Ground Motion Procedures for Seismic Design). Practical application of the ASCE 7-10 (Chapter 21) contains new and significantly revised procedures for site-specific ground motions for building code applications. According to new requirements of the (ASCE 7-10) at least five recorded or simulated horizontal ground motion acceleration time histories shall be selected from events having magnitudes and fault distances that are consistent with those that control the Maximum Considered Earthquake (MCE). For some cases (e.g. from M6.0 to M8, less than 5 km from the fault zone), there may not be five sets of recorded ground motions that are appropriate and simulated ground motion would be needed. Based on analytical and numerical simulation for the earthquake rupture propagations ground-motion modeling methods are being increasingly used to supplement the recorded ground-motion database. Unfortunately, there is no official procedure to follow for near-field sites ( $D < 5$  km from the fault). This presents a paradox: the Building Codes and Standard ASCE/SEI 7-10 requires engineers to provide simulation of ground motion records (Chapter 21), but there is no official procedure for accomplishing this at near-field sites. This paradox should be resolved as soon as possible.

Investigation and prediction of the strong ground motion near an earthquake source are some of the most important tasks of engineering seismology. There is no doubt that the peculiarities of the peak amplitudes, duration and frequency content of the near-field motion, which are caused by the features of the earthquake source rupture process, may strongly influence the damage distribution in the epicenter zone. Traditionally, the studies in the field of near-source motion were focused on high-frequency (from 0.8-1.0 to 15-20 Hz) motion. The recent large earthquakes in Japan, Spain, Turkey, Taiwan, and California reveal the importance of low-frequency (less than 0.7-0.3 Hz) radiation that is now considered as one of the characteristic properties of the near-field strong ground motion. Also, one of the most important achievements in understanding the earthquake source nature is the evidence of the complex non-planar form of the main fault with several twisting segments. Consequently, when analyzing the seismic radiation from a large earthquake source within the broad frequency range, it is necessary to consider both the discrete character of the source properties (heterogeneous rupture processes and dislocation distribution along the fault) controlling the high-frequency radiation and the geometrical characteristics (configuration and dimensions of the main fault and sub-faults) of the source and properties of the rupture propagation medium. The numerical simulation of the dynamic heterogeneous rupture propagation along curvilinear (twisting) and branching trajectories (Bykovtsev A.S 1986a,b) may be considered as a powerful tool for studying the complex source zones and understanding the physical nature of an earthquake source. Development of 3D models and deterministic simulation of spontaneous rupture propagation (Bykovtsev and Kramarovskii, 1986, 1987, 1989), that take account of additional complexity in fault geometry including multiple fault segmentation and non-planar faults models, allow us to predict and analyze the maximum probable ground motion parameters in the near zone of MCE more accurate and in a precise manner.

The SFZ located about 1km offshore of DCCP and divided into three segments (North, Central, and South) based on differences in the geologic expression of surface and near-surface faulting. The Central segment of the SFZ is located 300 meters southwest of the Intake structure and 600 meters southwest of the Power Block. Although the initial seismic sensitivity studies showed that DCCP has adequate margin to withstand ground motion from the potential SFZ, both US Nuclear Regulatory Commission (NRC) and Pacific Gas and Electric (PG&E) recognized the need to better constrain the four main parameters of the SFZ needed for a seismic hazard assessment (SHA): fault geometry (length, dip, down-dip width), segmentation, distance offshore from DCCP, and slip-rate. To address some of this need, we conducted numerical calculations to analyze and interpret the effect of SFZ segmentations on LPSM. From our preliminary calculations (Bykovtsev 2011) it was discovered that for DCCP site LPSM may contain cyclic load and pulses with multiple oscillations (due to SFZ segmentation) which can cause severe nonlinear structural response. LPSM with multiple oscillations have become a crucial consideration in SHA of DCCP due to cyclic load, which may cause a type of crack called a “fatigue crack” in structure. The nature of such cyclic loading induces progressive alteration in the bearing capacity and head displacement of the foundation. This may sometimes lead to disastrous consequences (Japan-March 2011 lessons). These findings shall be investigated more accurate for seismic hazard assessment.

## SITE DESCRIPTION

The DCCP site with intensive previous geotechnical investigations was selected for development and demonstrations new methodologies and procedures obtaining LPSM records for planar and non-planar fault topology within 5 km of a SFZ. The site geographic coordinates are 35.211° north latitude and -120.8544° west longitude. Coordinate for SFZ segments and DCCP are presented in Figure 1 and Table 1. The site was previously investigated by PG&E in 1990-2011. The PG&E (2011) report presents the results of a two-year PG&E study of the SFZ, which is located offshore of the DCCP. In November 2008, PG&E informed the NRC that preliminary results from the DCCP Long Term Seismic Program seismic hazard update showed an alignment of seismicity that suggested the presence of a previously unidentified fault approximately 1 kilometer (km) offshore of DCCP. This previously unidentified fault was subsequently named the Shoreline Fault Zone (SFZ) by PG&E. The SFZ is located along the coastal margin of the San Luis Range in south-central California near San Luis Obispo. This region of California is characterized by transpressional deformation between the San Andreas Fault zone to the east and the San Gregorio–San Simeon–Hosgri system of near-coastal faults to the west (Fig. 1). The SFZ is conservatively assumed to be up to 23 km long and has an overall strike of N60° W to N70° W.

The DCCP is located on the southwestern slope of the Irish Hills (Fig. 1) in the northern part of the San Luis Range, a prominent west-northwest-trending topographic and structural high that forms the core of one of the more prominent uplifted structural blocks (the San Luis–Pismo block) in the Los Osos domain PG&E (2011). The topographic relief on the site ranges from about elevation 300 feet near the road above DCCP to 50 feet near the toe of the slope, producing a slope gradient of about 2.5:1 (horizontal: vertical), i.e., approximately 22 degrees. The range is uplifting as a relatively rigid crustal block bordered by the northwest-trending Los Osos and

Southwestern Boundary zone faults. Elevations and ages of the marine terraces on the southwest side of the San Luis Range show that the range is uplifting at rates of between 0.1 mm/yr to the southeast to 0.2 mm/yr to the northwest, with little or no observable internal deformation. According to PG&E (2011) report, major geologic structures within the range, including the Pismo syncline, which cores the Irish Hills, and the San Miguelito, Edna, and Pismo faults, do not deform Quaternary deposits or landforms and are not active structures in the contemporary tectonic setting. Previously characterized active fault zones bordering the San Luis–Pismo block to the northeast, southwest, and west are the Los Osos, Southwestern Boundary (including the San Luis Bay fault zone), and Hosgri fault zones, respectively (Fig. 1).

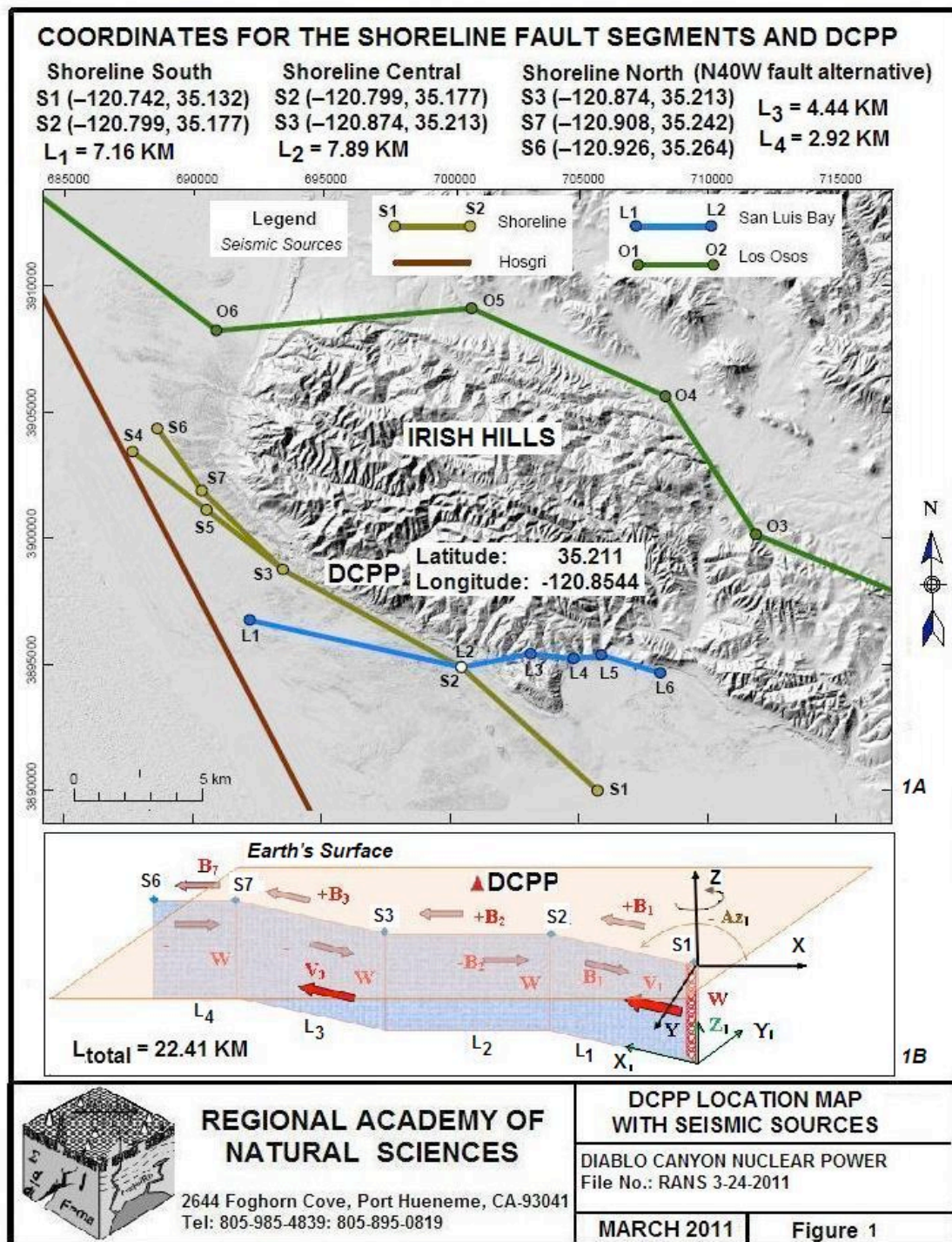


Fig. 1. DCP Location Map with seismic sources (1A) and coordinates systems locations (1B)

Table 1. Coordinates for the Shoreline Fault segments and DCP.

<b>COORDINATES FOR THE SHORELINE FAULT SEGMENTS AND DCP</b>			
<b>South Segment</b>	<b>Central Segment</b>	<b>North Segment</b> (S4 end point alternative)	<b>North Segment</b> (N40W fault alternative)
S1 (-120.742, 35.132)	S2 (-120.799, 35.177)	S3 (-120.874, 35.213)	S3 (-120.874, 35.213)
S2 (-120.799, 35.177)	S3 (-120.874, 35.213)	S5 (-120.906, 35.235) S4 (-120.937, 35.256)	S7 (-120.908, 35.242) S6 (-120.926, 35.264)
Length $LS_{1-2}$ =7.16 km	Length $LS_{2-3}$ =7.89 km	Length $LS_{3-5}$ =3.78 km Length $LS_{5-4}$ =3.64 km	Length $LS_{3-7}$ =4.44 km Length $LS_{7-6}$ =2.92 km
<b>DCP</b> – Latitude: 35.211; Longitude -120.8544; Length $LS_{1-DCP}$ =13.41 km			

The faulting style of SFZ is inferred to be primarily a right-lateral fault based on focal mechanisms that indicate **vertical strike-slip fault motion** and the linear geologic expression of the fault on the seafloor along the Central and South segments PG&E (2011). However, some focal mechanisms along the North and Central segments show **right-oblique or right-reverse motion**, and one focal mechanism along the South segment shows right-normal motion. These oblique mechanisms suggest that the fault may accommodate some **vertical displacement** as well as **lateral displacement**. However, as discussed in Section 4.4 PG&E (2011), the vertical component of displacement along the Shoreline fault zone is less than approximately 2 m on submerged wavecut platforms estimated to be 75,000 years old or older. These data support a characterization of the SFZ as a **strike-slip fault with a limited vertical component**.

The northeastern margin of the San Luis Range is bordered by the **Los Osos fault zone**, which separates the uplifting range from the subsiding or southwest-tilting Cambria block to the northeast. The Los Osos fault zone has had a complex history of both **strike-slip and dip-slip** displacement (Lettis and Hall, 1994). The fault zone is a 50 km long, 2 km wide system of discontinuous, subparallel, and en echelon fault traces extending from Estero Bay on the north to an intersection with the West Huasna fault southeast of San Luis Obispo. Along the coast, the fault zone truncates a flight of marine terraces, indicating a **vertical rate of separation across the fault zone** of about 0.2 mm/yr. Preliminary results from new geomorphic mapping, interpretation of reprocessed seismic-reflection data, analysis of seismicity data, and structural analysis suggest that the fault zone dips steeply to the southeast (45 to 70 degrees or possibly steeper), and may be primarily an **oblique-slip fault**, with a **significant component of dip slip** to accommodate uplift of the range.

The **Hosgri and San Simeon fault zones** are characterized by 1–3 millimeters per year (mm/yr) of **right-lateral slip**, with the rate of slip increasing from south to north along the San Gregorio–San Simeon–Hosgri fault system, ultimately to 6–8 mm/yr on the San Gregorio fault zone to the north in the San Francisco Bay area PG&E (2011). Whereas the Hosgri fault zone is offshore for its total length, the San Simeon fault zone is onshore between Ragged Point and San Simeon Point for part of its length. Focal mechanisms and the distribution of seismicity along the Hosgri fault zone document nearly pure strike-slip on a near-vertical to steeply east-dipping fault to a depth of 12 km (McLaren and Savage, 2001; Hardebeck, 2010). The Hosgri fault zone is the southern portion of the larger 410 km long San Gregorio–San Simeon–Hosgri fault system. It is an active transpressional, convergent **right-slip fault zone** that extends southeastward approximately 110 km from a location 6 km offshore of Cambria to a point 5 km northwest of Point Pedernales (Hanson et al., 2004).

The **San Luis Bay Fault Zone** within the Southwestern Boundary fault zone, the San Luis Bay fault zone lies closest to the DCP. The general location of the fault zone is well constrained onshore but is less well constrained offshore both to the east in San Luis Obispo Bay and to the west toward the Hosgri fault zone. Onshore a strand of the fault zone is exposed along Avila Beach Road, where it juxtaposes Franciscan basement over the Squire Member of the Pismo Formation and displaces an overlying marine wave-cut platform and associated marine terrace deposits (see PG&E (2011) report). A fault strand also displaces fluvial deposits at the mouth of San Luis Obispo Creek before extending offshore to the east into San Luis Obispo Bay. Farther east, the fault zone is interpreted to extend to a location offshore of Mallagh Landing, where it either dies out or intersects the offshore projection of the San Miguelito fault. The fault zone does not extend onshore east of Mallagh Landing, confirming that this is the eastern end of the fault zone. To the northwest of Avila Beach, the San Luis Bay fault zone crosses a topographic saddle north of Point San Luis where the fault is blind, but beneath the onshore coastal terraces the fault diverges into two distinct traces or zones that deform the marine terraces. The southern trace is named the Rattlesnake fault and has a **vertical separation rate** of about 0.08 mm/yr. The northern trace is named the Olson Hill deformation zone in PG&E (2011). The Olson Hill deformation zone appears to form a monoclinical bend in the marine terraces with a **total vertical separation** of about 0.06 mm/yr. The cumulative **rate of vertical separation** across these two parts of the San Luis Bay fault zone along the external coast is about 0.14 mm/yr. Offshore to the west, the fault zone is interpreted to extend either to an intersection with the Shoreline fault zone (for a total fault length of 8 km) or across the Shoreline fault zone to an intersection with the Hosgri fault zone (for a total fault length of 16 km).

The detailed descriptions of the seismic characteristics of the Shoreline fault zone were developed from extensive geologic,

geophysical, and seismological databases and accurately presented in PG&E Reports (2010, 2011).

Based on the recommendations presented in the Report on the analysis of the Shoreline Fault Zone, Central Coastal California, prepared by PG&E Report to the NRC and dated January, 2011, additional investigation and analyses were determined to be needed for characterization of those seismic sources and ground motions most important to the DCP: the Hosgri, Shoeline, Los Osos and San Luis Bay faults zones and other faults within the Southwestern Boundary zone. Although the initial seismic sensitivity studies showed that DCP has adequate margin to withstand ground motion from the potential SFZ, both NRC and PG&E recognized the need to better constrain the four main parameters of the SFZ needed for a seismic hazard assessment (SHA): fault geometry (length, dip, down-dip width), segmentation, distance offshore from DCP, and slip-rate.

In addition, it should be noted that the report “Diablo Canyon, Units 1 and 2, Report on the Analysis of the Shoreline Fault Zone, Central Coastal California, Chapter 6.0 - Seismic Hazard Analysis - Appendix A-3” did not comply with NRC Regulatory Guidance. According to NRC Regulations Title 10, Code of Federal Regulations in § 100.23 Geologic and seismic siting criteria it is clear stated: (1) Determination of the Safe Shutdown Earthquake Ground Motion. The Safe Shutdown Earthquake Ground Motion for the site is characterized by **both horizontal and vertical free-field ground motion** response spectra at the free ground surface. In PG&E (2011) report the estimation was done only for horizontal peak ground acceleration (PGA). This Safe Shutdown Earthquake Ground Motion has a horizontal PGA of 0.75 g based on the assumption of a magnitude 7.5 earthquake on the Hosgri Fault, which is located 5 km (3 mi) from the DCP. Unfortunately, Vertical component of ground motion was not included in consideration in PG&E Report (2011) and did not comply with NRC Regulatory Guidance. (See also requirements from Regulatory Guide 1.92 – Combining modal responses and spatial components in seismic response analysis.) Only horizontal ground motion was included in the PG&E (2011) report, with the vertical component being eliminated from consideration. However, it was clear publicized that the vertical component, especially for dip movement in the fault, will be responsible for maximum velocity and peak ground acceleration. The publication “The Slapdown Phase in High-acceleration Records of Large Earthquakes” by Masumi Yamada, Jim Mori, and Thomas Heaton in *Seismological Research Letters* Volume 80, Number 4, July/August 2009, presented a list of the records in which the vertical acceleration exceeds 1,000 cm/s<sup>2</sup> (>1g). The vertical component of ground-motion acceleration, at least for dip-slip-oriented movement on the SFZ (reverse and normal faults), should be included in Site-Specific Ground Motion Procedures for proper seismic hazard assessment DCP.

Also, in § 100.23 Geologic and seismic siting criteria it is clear stated: (4) Determination of siting factors for other design conditions. Siting factors for other design conditions that must be evaluated include soil and rock stability, liquefaction potential, natural and artificial slope stability, cooling water supply, and remote safety-related structure siting. Each applicant shall evaluate all siting factors and potential causes of failure, such as, the physical properties of the materials underlying the site, ground disruption, and the effects of vibratory ground motion that may affect the design and operation of the proposed nuclear power plant. In the case where a causative fault is near the site, the effect of proximity of an earthquake on the spectral characteristics of the Safe Shutdown Earthquake shall be taken into account. The effects of vibratory ground motion, due to faults segmentation (See A.S. Bykovtsev’s publications 1979-2011) also were not included in consideration in PG&E Report (2011).

## TECHNICAL APPROACH FOR SEISMIC MOTION SIMULATION PROCEDURE.

According to the new requirements of the ASCE Standard (ASCE/SEI 7-10 Chapter 21 Site-Specific Ground Motion Procedures (SSGMP) for Seismic Design) at least five recorded or simulated ground motion (SGM) time histories shall be selected from events having magnitudes and fault distances that are consistent with those that control the Maximum Considered Earthquake (MCE). In mean time there are not enough recorded ground motions especially in the near-field of large earthquakes with magnitudes  $M_w > 6$  at the distances less than 5 km from the fault, and deterministic simulated ground motion (DSGM) would be needed for proper Seismic Hazard Analysis DCP.

According to ASCE 7-10 Chapter 21, probabilistic and deterministic MCE analyses are now mandatory included in SSGMP. The Probabilistic Seismic Hazard Analysis (PSHA) was conducted to evaluate the likelihood of future earthquakes and provide design ground motions. The PSHA based on IBC-2006 and ASCE/SEI 7-05 provides very limited results, and not valid for near zone due to absent of real seismic data for structure located close to the fault (inside 1km zone). Also, within the limit of PSHA, the effects of vibratory ground motion that may affect the design and operation of the proposed nuclear power plant due to cyclic load were not included in consideration.

For structural nonlinear analyses, it is desirable to have additional time histories because of the importance of information about cyclic load phasing, pulses sequencing and their amplitudes to nonlinear response. In the past, when using selected recorded motions, simple scaling of acceleration time histories was frequently performed to enhance spectral fit. The results of significant scaling of acceleration time-histories on velocity, displacement, and energy can be profound. Relation horizontal to vertical components are very sensitive to faulting style and it is not appropriate to use the procedure, when the same simple scaling factors are applied to the other

horizontal components and the vertical components for the records selected, the spectral fit is usually not as good for the other components. We not recommended using in the future scaling approach for DCPD due to differences in fault segmentations for different faults and sites locations.

Simulated seismic sources time histories and time-domain procedures are one of more effective method that may be used for proper estimate the effects of vibratory ground motion and several cyclic load on DCPD foundation and non-structural elements.

The Deterministic Seismic Hazard Analysis (DSHA), including fault segmentation, will be used for control and correction of results obtained by PSHA screening procedures. Our expectation is that DSHA will provide more accurate results in near-fault zones and more realistic results for slope stability analyses with time history analysis procedures for DSGM. The results of a quantitative Site-Specific Seismic Investigation (SSSI) based on DSGM under ASCE/SEI-7-10 will be presented for strike-slip fault movement and for different scenarios seismic sources inside SFZ. The lack of manuals for deterministic simulated ground motion (DSGM) analyses with clear description of existing approaches stimulated us (Bykovtsev 2009a,b,c) to provide analyses and review main priorities and limitations (including advantages and disadvantages) of existing methods for DSGM from the practical point of view of their application to the geotechnical industry.

The purpose of this SSSI study will be to satisfy the NRC and PG&E comments and provide preliminary conceptual geotechnical recommendations, methodologies and procedures for DSGM from planar and non-planar (twisted) faults topology within 5 km of a fault zone with multiple segmentations. Usually, LPSM computed based on kinematic rupture representations consistent with seismic, geodetic, and geologic observations and using analytical algorithm Bykovtsev-Kramarovskii-1987. LPSM were computed for planar and non-planar (twisted) fault topology and presented in Fig.2-5 for four different scenarios of seismic sources in SFZ as results of SSSI.

We will use Boundary Element Method (BEM) and Bykovtsev's models to produce LPSM for DCPD area. In Bykovtsev-Kramarovskii (1987 &1989) publications an **exact analytic solution** was constructed for the non-stationary 3D- problem of the propagation of a rectangular fracture area on which a **complex fracture process** was given (two shear components with tension or opening component). Both scenarios were considered for fracture area starting at subsonic and supersonic speed on which a complex fracture process (shear components with tension or opening component) was given. To describe the fracture process occurring at the complex rupture plane, a kinematic approach was used for which the magnitude and direction of the dislocation vector on the each flat segment of rupture plane were given on the whole fracture area as a Boundary Conditions. For details see publications (Bykovtsev A.S 1986a,b), (Bykovtsev and Kramarovskii, 1986, 1987, 1989). At the present time research efforts in the area of theoretical modeling of fracture processes occurring in focal zones of tectonic earthquakes are directed towards the production of those models (describing the ripping open processes at a focus), which would allow a description of the singularities of high-frequency radiations in the best manner. In (Bykovtsev and Sokolov, 1989&1990) publications peculiarity of high-frequency radiations in the near zone of the faults with multiple segmentations were considered.

BEM Model developed by Dr.Bykovtsev represents the LPSM time history simulation procedure, constructed for the single rectangular flat fault and for faults with multiple segments using computer codes developed by Bykovtsev and Kasimov (1989-2011), and based on 3D-analytical solutions published by Bykovtsev-Kramarovskii (1987&1989). Comprehensive computer codes were initially written in the FORTRAN and rewritten on algorithmic language Visual C # 2008, which most to be suitable for describing structural data also on the base to the platform of v.3.5.NET Framework not required tuning of operating system. The interface of input-output is English and Russian. Program is compact (it does not require the large volumes of working storage) and is high speed. Our computer codes provide possibility sufficiently easily and rapidly (in 15-20 seconds with the prepared templates) to build the models of the seismic sources of any complexity with 200-300 fault trajectories and up to 50 sub-segments on each fault. This procedure is based on a kinematic description of the displacement function on the fault and includes in consideration all possible combinations of 3 components of vector displacement (two slip vectors and one tension or opening component). The opportunity to take into consideration both shear and open vector components of displacement, radiation patterns and directivity effects will open new future possibilities for decreasing the number of epistemic uncertainty in seismic hazard studies. It will supply as more accurate and more physically realistic results for simulated ground motion, computing slope stability and dynamic soil pressures and structural response of deeply embedded structures. The model also provides the opportunity to evaluate wide frequency diapasons for jump-like rupture break-up and rupture movement along curvilinear and non-planar (twisted) trajectories due to real fault segmentations. This algorithm is perfect for near- middle- and far-field distances from the fault. This approach competes with both widely used Stochastic Point-Source and Finite-Fault Models and includes stable source mechanism parameters and fault segmentations in consideration through computing time histories of strong ground motion for large earthquakes, different Seismic Load Conditions (SLC) and different combinations of vertical-to-horizontal load ratios as functions of magnitude, distance, **source mechanism** and **fault segmentations**. The Bykovtsev' Model was widely used for accurate description of rupture processes in solid materials for different geotechnical project in Russia, Uzbekistan and California.

Because all models are mathematical approximations to complicated real physical fracture processes occurring as a result of seismic events, rigorous verification and validation exercises are necessary to evaluate model accuracy and set up the boundaries for parameter

values and their uncertainties. It is clear that due to the absence of records for DCP conditions, methodical verification and validation exercises to judge model accuracy and parameter distributions will be very difficult. Several verification and validation tests for computer codes based on Bykovtsev (1986 a,b) and Bykovtsev-Kramarovskii (1987&1989) algorithms were done by Dr. Vladimir Graizer (now a seismologist in U.S. Nuclear Regulatory Commission) at the Institute of Earth Physics (Moscow, Russia). It was documented that comparing Bykovtsev's procedure with alternative numerical procedures for Finite-Fault Model based on Green's functions solution provided identical results, but the time of calculation was reduced by 3,000 times in comparison with numerical procedures based on Green's functions solution. Additionally, M. Kasimov modified the algorithm and achieved a 3.5 times speed improvement of the Bykovtsev-Kramarovskii algorithm (1987). Ultimately, it was documented that Bykovtsev-Kramarovskii (1987&1989) algorithm with Kasimov's modifications (Bykovtsev and Kasimov 2009) is 10,000 times faster than numerical algorithms based on Green's functions. After official validation tests (protocol of comparison DSGM with laboratory modeling and natural records available upon request), the computer codes were recommended by the USSR government for use in earthquake engineering and mining industries. A number of natural experiments in Norilsk Mining Group (Russia) during 1988-1992 and Navoi Gold Mining Group (Uzbekistan) during 1994-1999 with comparison DSGM and recorded ground motion were documented and published in book (Bykovtsev *et al.* [2000]). Additionally, the presented approach and methods have been quite successful in generating realistic DSGM compared with observations for earthquakes and explosions, and has been applied to many DSGM geotechnical engineering and mining projects (Bykovtsev *et al.* [2000]). In 1995 the Bykovtsev's procedure and approach with DSGM for a maximum considerable earthquake with  $M=6.5$  in Tashkent (Capital of Uzbekistan) was successfully used for site specific seismic investigation by the Uzbek Government for design and construction of the multi-level (22-story) National Bank and National Gold Repository of Uzbekistan. The site is located inside the intersection of three active faults and approximately within 2km zone from the epicenter of the catastrophic Tashkent-1966 earthquake  $M=5.3$ . Natural seismo-records from the Tashkent-1966 and Nazarbek-1980 earthquakes were used to navigate a mathematical model and generated DSGM for structural design (Bykovtsev and Kasimov 2009).

In Bykovtsev's (2009a,b,c) SSA-2009 presentations a comparison of empirical PGA attenuation relations by Graizer-Kalkan-2007 and simulated horizontal PGA for strike-slip were provided. For the Parkfield Earthquake of 9/28/04 with  $M=6.0$  it was clearly demonstrated that compared results of simulated PGA and empirical PGA versus Distance are similar and in good correlation for different distances. It was shown that amplitude of ground motion definitely amplifies and exceeds  $1.0g$  for  $R<300m$  from the fault. Additionally, it was discovered that DSGM provides local minimum (dip) with PGA approximately equal  $0.16g$  for distance  $R=2\pm 1km$  and local maximum (bump) with PGA approximately equal  $0.67g$  for distance  $R=4\pm 1km$ . For distance more than 5 km simulated PGA attenuates with  $1/R$ . This fact will affect seismically-induced lateral earth pressures on walls and floors of deeply embedded structures and should be taken in consideration during recommendations on the appropriate use of numerical tools in assessing seismic soil-structure interaction under different seismic load conditions (SLC).

The technical approach based on BEM model will provide an opportunity to include different types of fracture mechanisms in main seismic source characterizations and the real fault segmentation in their considerations. The Bykovtsev's methodology will be more practical for proper seismic design of essential facilities (located in the zone of less than 5 km from the fault) **where deterministic simulated ground motion records for displacement, velocity and acceleration are mandatory**. This approach will benefit the geotechnical industry with new tools and new results which will be very helpful for optimal solving the main open questions in the development of recommendations for calculating soil pressures and structural response of partially to fully embedded structure under different SLC. However, the approach based on application BEM Model and Bykovtsev's methodology was previously published in top level rated peer-reviewed Russian journals with English translation and widely used by the government of Russia and Uzbekistan for design essential facilities. Several geotechnical projects were done in California.

To develop distance dependence approach to modeling seismic soil structure interaction for deeply embedded structures and to provide estimates for different material constitutive models for soil and/or structure under different SLC and different combinations of vertical-to-horizontal load ratios as functions of magnitude, distance, **source mechanism** and **fault segmentations** we will recommend using BEM Model and Bykovtsev's methodology and his procedure for DSGM. This procedure can be easily implemented on the SCEC/USGS Platform in the separate modules with specified input and output parameter types and formats, to provide for interchangeability between different procedures, and then be used to simulate ground motions that will contribute to the database for predicting and calculating seismically-induced lateral soil pressures and structural response of partially to fully embedded structures and other essential facilities under different seismic loading conditions.

## SOURCES AND PROPAGATION MODELS

In this work we used the four main segments Shoreline Fault geometry that simulated four different seismic scenarios from different rupture models of SFZ. We calculated the synthetic LPSM at the DCPD and 100 meters below free surface. The simulations results for LPSM are presented on Fig. 2-5 for four different models. Here, for example, we show the results for the uniform and non-uniform slip distributions on Fig.2 and Fig.3 respectively. Fig. 2 illustrates input data, fault configuration and three-component results of LPSM for Shoreline Fault presented as one segment S1-S4 with strike angle  $-142.6^\circ$  to axes OX, and uniform slip movement  $B_x=95\text{cm}$ . The Fig. 3 illustrates input data, fault configuration and three-component results of LPSM for Shoreline Fault presented as one segment S1-S4 with strike angle  $-142.6^\circ$  to axes OX, and non-uniform (variable) slip movement  $B_x$ . The first segment S1-S2 split for 5 sub-segments and we assumed that for a rise time of 0.8 second average displacement on first segment reached 50 cm and then remain constant on first segment (Fig. 3), on the second segment S2-S3 average displacement jump up to 150 cm, and on third S3-S5 an fourth segment S5-S4 average displacement drop up to 100cm and 87 cm, respectively.

On Fig. 4 and 5 presented results for two different scenarios of bending SFZ and branching SFZ. The Fig. 4 illustrates input data, fault configuration and three-component results of LPSM for Shoreline Fault presented as four segments S1-S2, S2-S3, S3-S5, S5-S4 with non-uniform (variable) slip movement  $B_x$  as presented on Fig.3. Finally, the Fig. 5 illustrates input data, fault configuration and three-component results of LPSM for Shoreline Fault presented as six segments S1-S2, S2-S3, S3-S5, S5-S4, and branching segments S3-S7 and S7-S6 with non-uniform (variable) slip movement  $B_x$ . We assumed that for a rise time of 0.8 s average displacement on first segment reached 50 cm and then remain constant on first segment, on the second segment S2-S3 average displacement jump up to 150 cm, and on first branching third S3-S5 an fourth segment S5-S4 average displacement drop up to 50cm and 41 cm, respectively, and on second branching fifth segment S3-S7 and six segment S7-S6 average displacement drop up to 50cm and 46 cm, respectively. Real coordinates for segments S1-S2, S2-S3, S3-S5, S5-S4, S3-S7 and S7-S6 are presented in Table 1. Recalculated coordinates in global OXY coordinate system are presented in input data on Fig.4 and Fig.5. The strike angles for segments S1-S2, S2-S3, S3-S5, S5-S4, S3-S7 and S7-S6 are  $-136.6^\circ$ ,  $-150^\circ$ ,  $-140.43^\circ$ ,  $-140.84^\circ$ ,  $-134.3^\circ$ ,  $-124.25^\circ$ , respectively.

We assumed a hard-rock, elastic velocity model with  $V_P$  and  $V_S$  equal to 6.0 km/s and 4 km/s, respectively. For all four models, in order to simulate motions for  $M_w$  6.5 events, we used the relations of Leonard (2010) to determine the approximate length (23km) and width (15 km) of the Shoreline Fault. Given these dimensions an  $M_w$  6.5 event has approximately 0.95 m of uniform slip over the SFZ. For all four models we assumed that rupture processes start in point S1 and moving in direction point S2, then to point S3, then to points S5 and S4a and in case branching scenario presented on Fig. 5 rupture movement occurs in two directions S5-S4 and S7-S6. We assumed that on all segments have constant ruptures velocities of 75% of the shear-wave velocity ( $V_S$ ). A uniform-slip model presented on Fig.2 was used to examine simulated LPSM for SFZ and average estimation main parameters of LPSM for single segment strike-slip model and Fig. 3-5 show results for multiple fault segmentation effects. Location of basic coordinate systems and local coordinate systems are presented on Fig. 1B. It was estimated that maximum distance between DCPD and point S1 approximately equal  $LS_{1-DCPD}=13.41$  km. Also, for proper comparison all four different scenarios, we assumed that for all four models scalar seismic moment  $M_0=\mu*B*L*W=\text{Const}=\mu \cdot 0.32$  (km), where  $\mu$ - is shear modulus ( $\text{Nkm}^{-2}$ ),  $B$ - is average fault displacement (cm),  $L$ - is fault rupture length (km), and  $W$ - is fault width (km). Only strike-slip ( $B_x \neq 0$ ,  $B_y=0$ ,  $B_z=0$ ) cases were presented on Fig.2-5, but results may be easily recalculated with including more realistic dip-slip component ( $B_x \neq 0$ ,  $B_y=0$ ,  $B_z \neq 0$ ) and tension component ( $B_x \neq 0$ ,  $B_y \neq 0$ ,  $B_z \neq 0$ ) in consideration and analysis.

## DISCUSSION AND RECOMMENDATIONS

### The Uniform-slip Simulations for SFZ presented as a Single Segment S1-S4 with Strike Angle $-142.6^\circ$ .

Two large amplitude pulse-like velocity ground motions on components X and Y, and one pulse-like velocity motion observed on vertical Z component Fig. 2 presents. Component X and component Y have a two strong maximum in acceleration and component Z has only one maximum in acceleration. As we can see from Fig.2 for the uniform-slip simulations the maximum differences between maximum and minimum peak ground velocity (PGV) and peak ground acceleration (PGA) values for Y components (81 cm/s and 1.13g, respectively), and for vertical Z component the maximum differences between maximum and minimum PGV and PGA (24.7 cm/s and 0.23g, respectively). The first PGA arrived at 3.38 sec and second PGA arriver at 4.46 sec and approximately 76% and 80% from first PGA for component X and component Y, respectively. Vertical motions in this case are approximately equal 30.5% to the horizontal component Y for velocities, and approximately 20.4% to the horizontal component Y for acceleration.

**Recommendation # 1 for strike-slip with uniform movement on the SFZ.** Based on obtained results for uniform-slip with average movement equal approximately 95 cm, for structural design DCPD foundation and nonstructural elements two seismic load with interval of action approximately 1.1 sec should be taken in consideration for proper Seismic Hazard Analysis. The total vector horizontal PGA for component X and Y for the first and second forces may be estimated around 1.5 g and 1.15 g, respectively, and vertical component Z around 0.23 g.

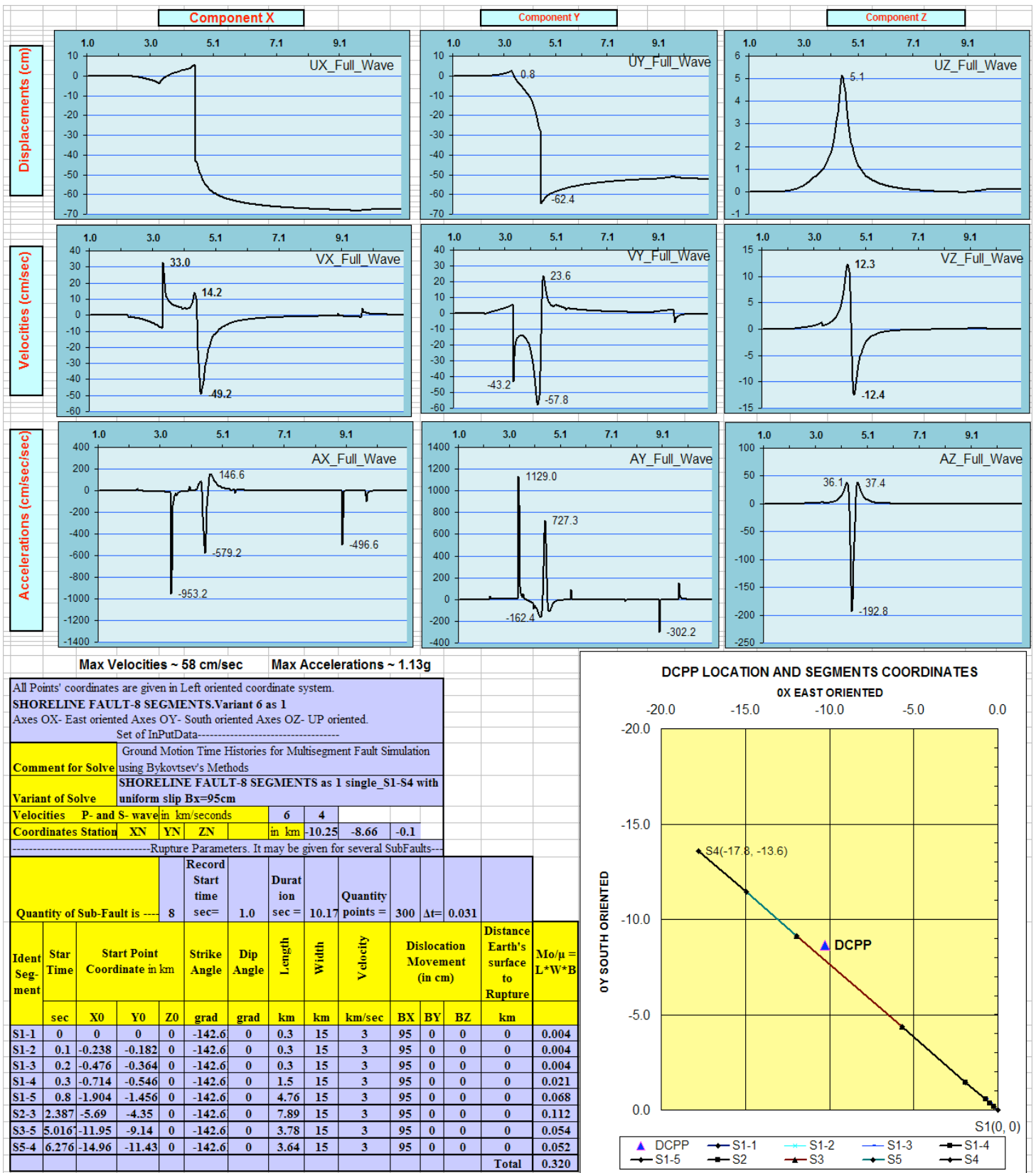


Fig. 2. Three-component LPSM for Shoreline Fault presented as 1 segment with uniform slip movement Bx=95cm.

### **The Non-uniform-slip or Variable Slip Simulations for SFZ presented as a Single Segment S1-S4 with Strike Angle -142.6°.**

The uniform-slip simulations for SFZ presented as a single segment S1-S4 are far away from reality. In order to simulate a more realistic kinematic source process we split first segment S1-S2 for 5 sub-segments and we assumed that for a rise time of 0.8 s average displacement on first segment reached 50 cm and then remain constant on first segment (Fig. 3), on the second segment S2-S3 average displacement jump up to 150 cm, and on third S3-S5 and fourth segment S5-S4 average displacement jump down up to 100cm and 87 cm, respectively. Results of simulated LPSM with effects of vibratory ground motion are presented on Fig. 3, and it is clear show that several large amplitude pulse-like velocity ground motions on components X and Y, and one pulse-like velocity motion observed on vertical Z component. Component X and component Y have a several strong maximum ( $>0.4g$ ) in acceleration and component Z has only one maximum in acceleration. As we can see from Fig.3 for the non-uniform-slip simulations the maximum differences between maximum and minimum peak ground velocity (PGV) and peak ground acceleration (PGA) values for Y components (130 cm/s and 1.4g, respectively), and for vertical Z component the maximum differences between maximum and minimum PGV and PGA (39 cm/s and 0.36g, respectively). The first  $PGA \sim 0.58g$  arrived at 3.4 sec, second  $PGA \sim 0.5$  arrived at 3.58 sec, third  $PGA \sim 0.82g$  arrived at 3.98, and fourth  $PGA \sim 1.16g$  arrived at 4.46 sec, and fifth  $PGA \sim 0.42g$  arrived at 5.48 sec. Vertical motions in this case are approximately equal  $PGA \sim 0.36g$  and arrived at 4.46 sec. Vertical PGV is approximately 30% to the maximum PGV for horizontal component Y for velocities, and approximately 31% to the maximum PGA horizontal component Y for acceleration.

Comparison Fig.2 and Fig.3 show that for uniform-slip we have only two  $PGA > 0.4g$  acting during 2.5 second in time interval between 3sec and 5.5 sec, and for non-uniform-slip we have at least five  $PGA > 0.4g$ . In case of non-uniform-slip (variable slip) movement on SFZ presented as a one segment we will have multiple seismic forces with  $PGA > 0.4g$  acting during 2.5 second in time interval between 3sec and 5.5 sec. LPSM with multiple oscillations have become a crucial consideration in SHA of DCPD due to cyclic load. The nature of such cyclic loading induces progressive alteration in the bearing capacity and may cause a “fatigue crack” in foundation and structure.

***Recommendation # 2 for strike-slip with non-uniform movement on the SFZ.*** Based on obtained results for non-uniform-slip with variable movement, for structural design DCPD foundation and nonstructural elements at least 5-6 cyclic seismic loads with  $PGA > 0.4g$  and acting during 2.5-3 sec should be taken in consideration for proper SHA. The total vector horizontal PGA for component X and Y for the maximum PGA arrived at 4.46 sec and may be estimated around 1.8g, and for vertical component Z maximum PGA arrived at 4.46 sec and may be estimated around 0.36 g. Vertical motions in this case are approximately equal 30.5% to the horizontal component Y for velocities, and approximately 20.4% to the horizontal component Y for acceleration.

### **The Non-uniform-slip or Variable Slip Simulations for SFZ presented as a Four Segments S1-S2, S2-S3, S3-S5 and S5-S4.**

Figure 4 shows input data, SFZ configuration and results of simulation LPSM for North Segment S4 end point alternative with non-uniform (variable) slip movement Bx as presented on Fig.3. Real coordinates for segments S1-S2, S2-S3, S3-S5 and S5-S4 are presented in Table 1. Recalculated coordinates in global OXY coordinate system are presented in input data on Fig.4. The strike angles for segments S1-S2, S2-S3, S3-S5, and S5-S4, are  $-136.6^\circ$ ,  $-150^\circ$ ,  $-140.43^\circ$ ,  $-140.84^\circ$ , respectively. The first segment S1-S2 split for 5 sub-segments and we assumed that for a rise time of 0.8 s average displacement on first segment reached 50 cm and then remain constant on first segment (Fig. 4), on the second segment S2-S3 average displacement jump up to 150 cm and we have a rupture twist approximately  $-13.0^\circ$ . On third segment S3-S5 – rupture twist approximately equal  $+10.0^\circ$  and on fourth segment S5-S4 rupture twist approximately equal  $+0.5^\circ$ . The average displacements for third and fourth segments drop up to 100cm and 87 cm, respectively.

Results of simulated LPSM with effects of vibratory ground motion for twisting fault are presented on Fig. 4, and it is show that several large amplitude pulse-like velocity ground motions on components X and Y, and two pulse-like velocity motion observed on vertical Z component. Component X and component Y have a two strong maximum ( $PGA > 0.4g$ ) and a three strong maximum ( $PGA > 1.1g$ ) in acceleration and component Z has several maximum in acceleration also. As we can see from Fig.4 for twisting fault with non-uniform-slip simulations the maximum differences between maximum and minimum peak ground velocity (PGV) and peak ground acceleration (PGA) values for Y components (149 cm/s and 1.98g, respectively), and for vertical Z component the maximum differences between maximum and minimum PGV and PGA (36 cm/s and 0.31g, respectively). The first  $PGA \sim 0.52g$  arrived at 3.44 sec, second  $PGA \sim 0.7$  arrived at 3.65 sec, third  $PGA \sim 1.48g$  arrived at 3.98 sec, and fourth  $PGA \sim 1.1g$  arrived at 4.46 sec, and fifth  $PGA \sim 1.14g$  arrived at 5.48 sec. Vertical motions in this case are approximately equal  $PGA \sim 0.31g$  and arrived at 4.46 sec. Vertical PGV is approximately 24% to the maximum PGV for horizontal component Y for velocities, and approximately 20% to the maximum PGA horizontal component Y for acceleration.

Comparison Fig.3 and Fig.4 show that in case of variable slip movement on SFZ presented as a one segment we will have multiple seismic forces with  $PGA > 0.4g$  acting during 2.5 second in time interval between 3sec and 5.5 sec with maximum PGA approximately equal 1.8g and average value of other four PGA approximately equal 0.6g. In case of twisted trajectory of segments

and the same variable slip movement on SFZ presented as a four segment we have increase in value of PGA. The maximum horizontal PGA arrived at 3.98sec and approximately equal 2.44g (increase in value 36%) and average value of other four PGA approximately equal 0.86g (increase in value 43%). Vertical motions in this case are approximately equal 30.5% to the maximum horizontal component Y for velocities, and approximately 20.4% to the maximum horizontal component Y for acceleration and have decrease in value 14%.

**Recommendation # 3 for strike-slip with non-uniform movement and twisting segments on the SFZ.** Based on obtained results for twisting fault and non-uniform-slip movement, for structural design DCPP foundation and nonstructural elements at least 5-6 cyclic seismic loads with PGA >0.4g and acting during 2.5-3 sec should be taken in consideration for proper SHA. The total vector for component X and Y for the maximum horizontal PGA forces may be estimated around 2.45g and arrived at 3.98 sec, and for vertical component Z maximum PGA around 0.31 g and arrived at 4.46 sec. Also, average value of other four horizontal PGA approximately equal 0.86g should be taken in consideration as cyclic load acting in interval approximately 3 sec.

### **The Non-uniform-slip Simulations for SFZ presented as a Six Segments S1-S2, S2-S3, S3-S5, S5-S4, and S3-S7, S7-S6.**

Figure 5 give you an idea about input data, SFZ configuration and results of simulation LPSM for twisting at point S2 and branching in point S3 for North Segment S4 end point alternative and North Segment N40W fault alternative with non-uniform (variable) slip movement Bx as presented on Fig.3. Real coordinates for segments S1-S2, S2-S3, S3-S5, S5-S4, S3-S7, and S7-S6 are presented in Table 1. Recalculated coordinates in global OXY coordinate system are presented in input data on Fig.5. The strike angles for segments S1-S2, S2-S3, S3-S5, S5-S4, S3-S7, and S7-S6 are  $-136.6^{\circ}$ ,  $-150^{\circ}$ ,  $-140.43^{\circ}$ ,  $-140.84^{\circ}$ ,  $-134.3^{\circ}$ ,  $-124.05^{\circ}$ , respectively. The first segment S1-S2 split for 5 sub-segments and we assumed that for a rise time of 0.8 s average displacement on first segment reached 50 cm and then remain constant on first segment (Fig. 5), on the second segment S2-S3 average displacement jump up to 150 cm and we have a rupture twist approximately  $-13.0^{\circ}$ . At point S3 fault trajectories have branching for North Segment S4 end point alternative and North Segment N40W fault alternative. For North Segment S4 end point alternative third segment S3-S5 – rupture twist approximately equal  $+10.0^{\circ}$  and on fourth segment S5-S4 rupture twist approximately equal  $+0.5^{\circ}$ . The average displacements for third and fourth segments drop up to 50cm and 41 cm, respectively. For North Segment N40W fault alternative fifth segment S3-S7 rupture twist approximately  $+15.7^{\circ}$  and on fourth segment S5-S4 rupture twist approximately equal  $+26.75^{\circ}$ . The average displacements for S3-S7 and S7-S6 segments drop up to 50cm and 46 cm, respectively.

Results of simulated LPSM for twisted at point S2 and branching in point S3 fault are presented on Fig. 5, and it is show that several large amplitude pulse-like velocity ground motions on components X and Y, and two pulse-like velocity motion observed on vertical Z component. Component X and component Y have a several strong maximum with PGA>0.4g and a three very strong maximum with PGA>1.1g in acceleration and component Z has several maximum in acceleration also. As we can see from Fig.5 for twisting and branching fault with non-uniform-slip simulations the maximum differences between maximum and minimum peak ground velocity (PGV) and peak ground acceleration (PGA) values for Y components (146 cm/s and 1.87g, respectively), and for vertical Z component the maximum differences between maximum and minimum PGV and PGA (36.3 cm/s and 0.32g, respectively). The first PGA~0.48g arrived at 3.44 sec, second PGA~0.67 arrived at 3.65 sec, third PGA~1.41g arrived at 3.98 sec, and fourth PGA~1.1g arrived at 4.46 sec, and fifth PGA~1.05g arrived at 5.48 sec. Vertical motions in this case are approximately equal PGA~0.31g and arrived at 4.46 sec. Vertical PGV is approximately 24.9% to the maximum PGV for horizontal component Y for velocities, and approximately 17% to the maximum PGA horizontal component Y for acceleration.

Comparison Fig.3 and Fig.5 show that in case of variable slip movement on SFZ presented as a one segment we will have multiple seismic forces with PGA > 0.4g acting during 2.5 second in time interval between 3sec and 5.5 sec with maximum PGA approximately equal 1.8g and average value of other four PGA approximately equal 0.6g. In case of twisted and branching trajectory of segments and the same variable slip movement on SFZ presented as a four segment we have increase in value of PGA. The maximum horizontal PGA arrived at 3.98sec and approximately equal 2.31g (increase in value 28%) and average value of other four PGA approximately equal 0.81g (increase in value 35%). Vertical motions in this case are approximately equal 25% to the maximum horizontal component Y for velocities, and approximately 17.2% to the maximum horizontal component Y for acceleration and have decrease in value approximately 3%.

**Recommendation # 4 for strike-slip with non-uniform movement and twisting and branching segments on the SFZ.** Based on obtained results for twisting and branching fault and non-uniform-slip movement, for structural design DCPP foundation and nonstructural elements at least 5-6 cyclic seismic loads with PGA >0.4g and acting during 2.5-3 sec should be taken in consideration for proper SHA. The total vector for component X and Y for the maximum horizontal PGA forces may be estimated around 2.31g and arrived at 3.98 sec, and for vertical component Z maximum PGA around 0.31 g and arrived at 4.46 sec. Also, average value of other four horizontal PGA approximately equal 0.81g should be taken in consideration as cyclic load acting in interval approximately 3 sec.

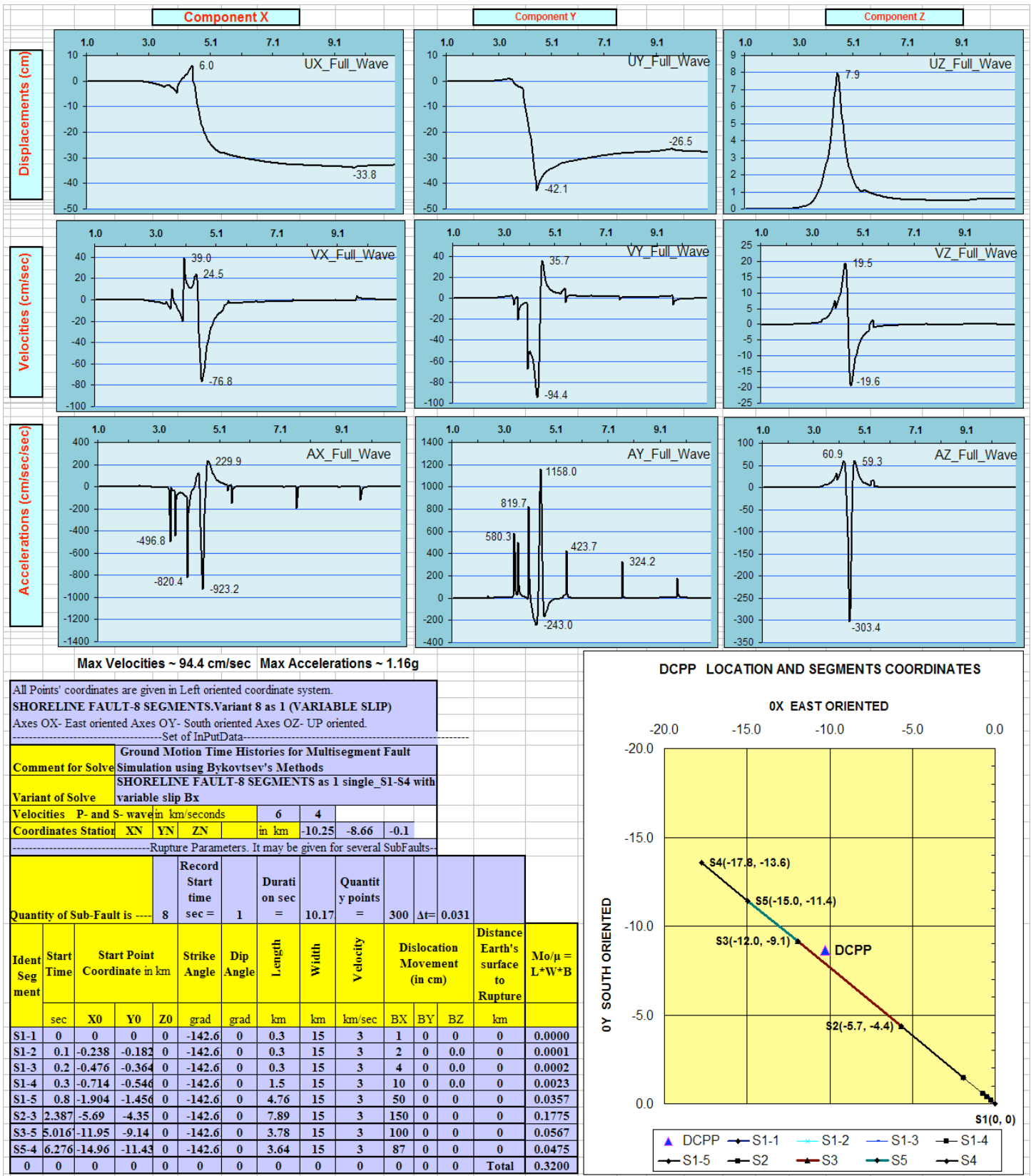


Fig. 3. Three-component LPSM for Shoreline Fault presented as 1 segment with variable slip movement Bx.

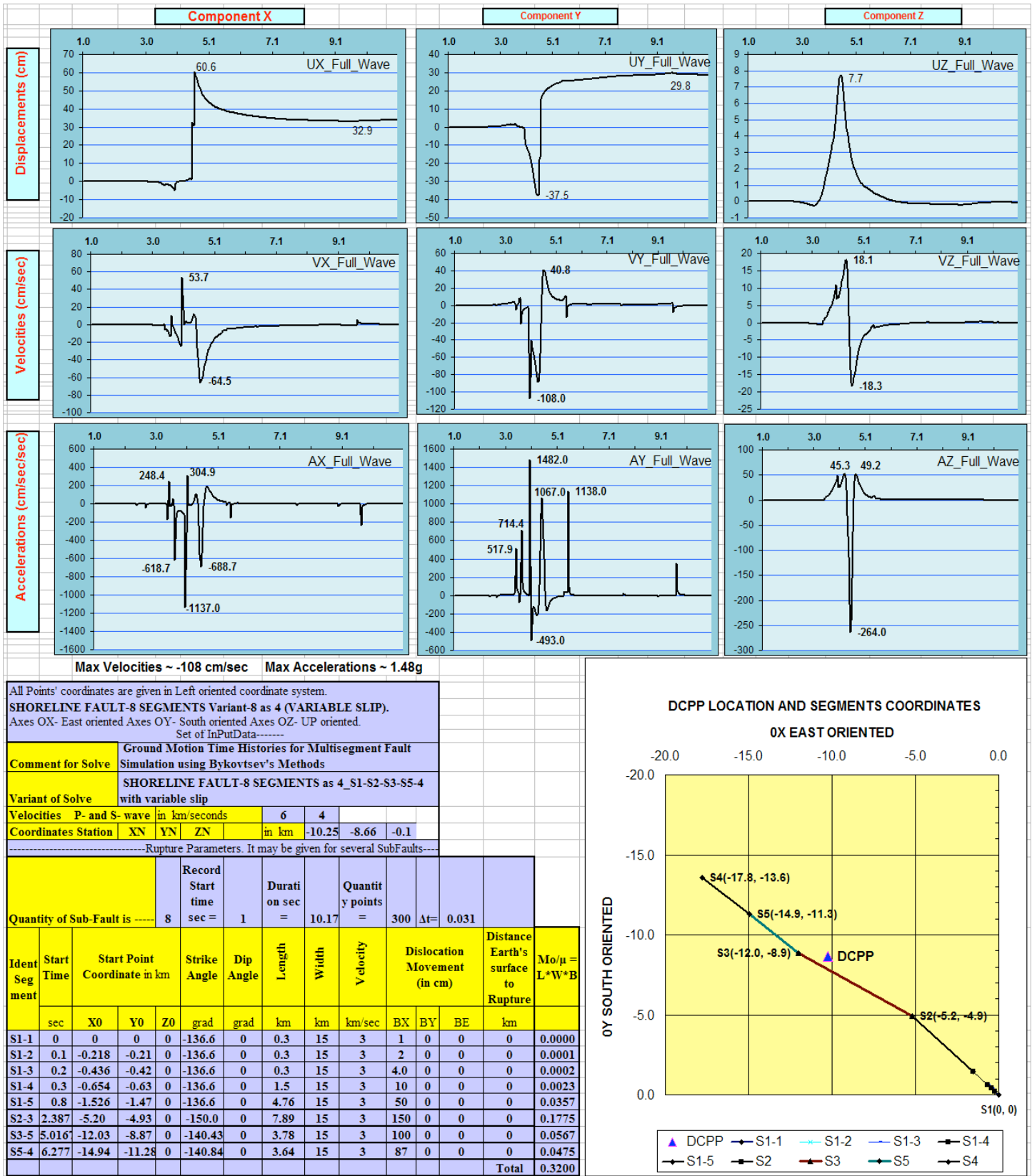


Fig. 4. Three-component LPSM for Shoreline Fault presented as 4 twisting segments with variable slip movement Bx.

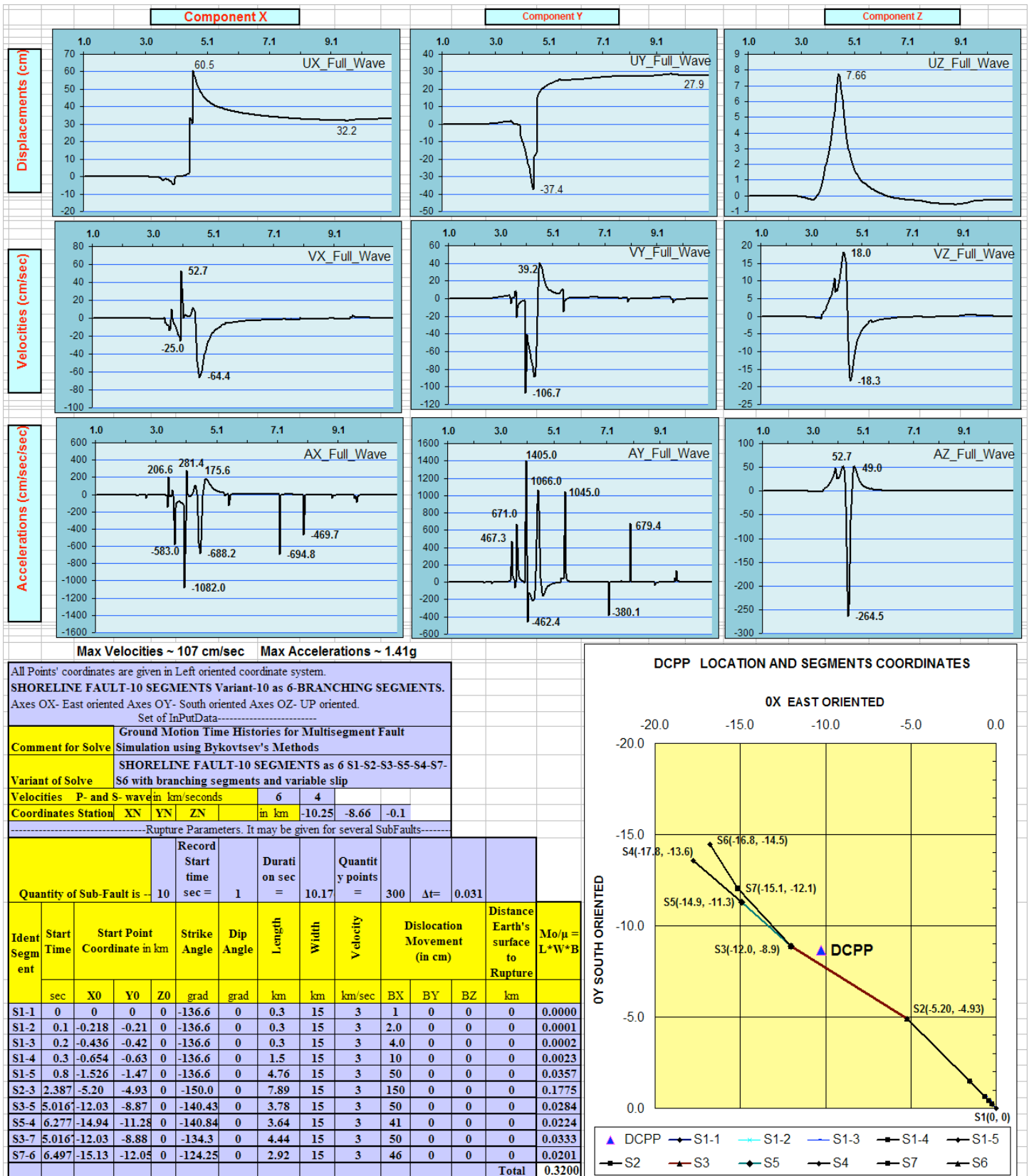


Fig. 5. Three-component LPSM for Shoreline Fault presented as 6 twisting and branching segments with variable slip movement Bx.

## CONCLUSIONS

In this paper we performed a simulation study for the DCPD site with estimating effect of Shoreline Fault Zone (SFZ) segmentations on simulation of long-period seismic motions (LPSM) and seismic load. Four different scenarios of possible seismic sources acting in SFZ are presented in Fig. 2 – Fig. 5 with effects of vibratory ground motion. As a result of presented analysis it is possible to conclude, that for DCPD site LPSM may contain pulses with multiple oscillations (due to SFZ segmentation) which can cause severe nonlinear structural response. LPSM with multiple oscillations have become a crucial consideration in SHA of DCPD. The **segmentations of SFZ introduce additional extremes for LPSM and effects of vibratory ground motion should be properly estimated in SHA and included in design of seismic load for DCPD**. These findings including the shape, amplitude and duration of LPSM on the DCPD site location in relation to the SFZ, main rupture orientation, complexity of rupture on each segment, and mutual arrangement of subsources shall be investigated more accurate for proper seismic hazard assessment DCPD. We not recommended using in the future scaling approach for DCPD due to differences in fault segmentations for different faults and sites locations. For proper and more accurate analysis we recommend to use deterministically simulated seismic sources time histories and time-domain procedures that are one of more effective method for proper estimate the effects of vibratory ground motion and several cyclic load on DCPD foundation and non-structural elements.

The strike-slip fault simulations also show that seismic hazard to DCPD structures from both horizontal and vertical component in the near-fault distance range, significant differential motions can be expected over the length of building foundations, particularly for SFZ segments that come close to the DCPD. As Figures 2-5 show, the acceleration and velocity records are significantly more complex than displacement records. Maximum amplitudes of acceleration as well as the least duration are observed for SFZ with twisting (Fig.4) and twisting and branching scenarios presented on Fig.5.

In addition, SFZ variations in fault segmentation geometry can introduce additional complexity that can affect the level of seismic loads and time period of different PGA. Based on the results of this study, DCPD located very close to twisted segment and LPSM with both total horizontal (Component X plus Component Y) and vertical Component Z would be subjected to contributions from the respective SFZ segments. While this study points out general behaviors of LPSM for only strike slip movement on each segment in the very near-fault distance range, future work must investigate the effects of different sources of rupture complexity on each segment of SFZ and the effects of this on both total horizontal and vertical motions for DCPD.

Finally, the simulations presented in this paper only account strike-slip motion on four segments of SFZ and not included in consideration possible dip-slip motions from seismic sources located inside the San Luis Bay fault zone which also lies closest to the DCPD and has the cumulative **rate of vertical separation** about 0.14 mm/yr. Considering the effect of segmentations located inside the San Luis Bay fault zone together with segmentation of Shoreline fault zone with different faulting style should be investigated in the future using the 3-D method summarized here.

The emergency in Japan (March 2011) provides as an important wake-up call for contribution our tools and knowledge for the proper seismic hazard analysis nuclear plants located in California, and we cannot afford to ignore it. We have concerns about seismic issues at DCPD and proper estimate of ground motions. DCPD has submitted its application to the NRC for license renewal. The 3-D seismic studies including deterministic simulated ground motion records for displacement, velocity and acceleration from different seismic sources need to be considered as part of the license renewal process at DCPD and should also be part of the NRC's review of DCPD license renewal application.

## REFERENCES

- Bykovtsev, A. S. [1986a], "Propagation of Complex Discontinuities with Piecewise Constant and Variable Velocities along Curvilinear and Branching Trajectories", *Appl. Math. Mech. (PMM)* Vol. 50, No. 5, pp. 620-628.
- Bykovtsev, A. S. [1986b], "Modeling of Fracture Processes Occurring in the Focal Zone of a Tectonic Earthquake", *Proc. Intern. Conf. on Computational Mechanics*, 25-29 May, Tokyo, Springer-Verlag. Vol.1. Ed.: G. Yagawa, S. N. Atluri, III-221-226.
- Bykovtsev, A. S. and D.B. Kramarovskii [1986], "Displacement Field Produced by a Propagating Rectangular Rupture Plane: Exact Three-dimensional Solution", *Proc. Intern. Conf. on Computational Mechanics*, 25-29 May, Tokyo, Springer-Verlag. 25-29 May, Tokyo, Springer-Verlag. Vol..2. Ed.: G. Yagawa, S. N. Atluri, VI-315-320.
- Bykovtsev, A. S. and D.B. Kramarovskii [1987], "On the Propagations of a Complex Fracture Area, Exact Three-dimensional Solution", *Appl. Math. Mech. (PMM)* Vol. 51, No. 1, pp. 89-98.

Bykovtsev, A. S. and D.B. Kramarovskii [1989], “Non-Stationary Supersonic Motion of a Complex Discontinuity”, *Appl. Math. Mech. (PMM)* Vol. 53, No. 6, pp. 779-786.

Bykovtsev, A.S., and V.Yu. Sokolov, [1989], “Numerical Simulation of the Wave Field in the Near Zone of a Step-Like Propagating Curvilinear Fault and Analysis of High-Frequency Radiation”, *Izv. An. SSSR Fiz. Zem.* No. 3, pp. 3-16.

Bykovtsev, A.S., and V.Yu. Sokolov, [1990], “Numerical simulation of wave fields and seismic intensity patterns in earthquake near-field zones”, *Acta Geophys. Pol.* No. 38, pp. 111-133.

Bykovtsev, A.S., Prokhorenko G.A., and V. N. Sytenkov, [2000], “Analyses of Geodynamic and Seismic Processes at Development of Deposits”. *Navoi Mining and Metallurgical Works, Zerafshan, Uzbekistan*, 266 pp.

Bykovtsev, A. S. [2009a], “Deterministic Simulated Ground Motion Records under ASCE/SEI 7-05: Guidance For The Geotechnical Industry”, *Report of the Regional Academy of Natural Sciences*, 2009 for Invited presentation on Seismological Society of America Annual Meeting, 8-10 April, Monterey, California, 14 pages.

Bykovtsev, A. S. [2009b], “Analytical review of presentations at Special Session “Deterministic Simulated Ground-Motion Records under ASCE/SEI 7-05: Guidance for the Geotechnical Industry” with Summary, Conclusions and Recommendations”. *Report of the Regional Academy of Natural Sciences*, 3 May, 2009. Project #BAS-013-03, 23pp.

Bykovtsev, A. S. [2009c], “Meeting of the ASCE -7-05 Seismic Subcommittee “Minimum Design Loads for Buildings and Other Structures” San Francisco - August 21-22, 2009. PROPOSAL FOR CHANGE: ASCE 7-05 Section 21.1.1 Base Ground Motions Submitted by Dr. Alexander Bykovtsev, Ph.D., P.E., M.ASCE. *Report of the Regional Academy of Natural Sciences, for Oral Presentation on the Meeting of the ASCE -7-05 Seismic Subcommittee*, 21 August, 2009, Project No BAS-013-08, 10 pages.

Bykovtsev, A.S., and M. Kasimov [2009], “Site Specific Investigation for National Bank of Uzbekistan with Time History Analyses of Simulated Ground Motion”, *Report of the Regional Academy of Natural Sciences*, 2009 for Invited presentation on Seismological Society of America Annual Meeting, 8-10 April, Monterey, California, 32 pages.

Bykovtsev A.S. [2011], “Preliminary simulation of long-period seismic motions and seismic load for Shoreline fault zone segmentations in area of Diablo Canyon Power Plant”, *Report of the Regional Academy of Natural Sciences prepared for presentation on 4<sup>th</sup> IASPEI / IAE International Symposium Effect of Surface Geology on Seismic Motion* April 25, 2011, (24 pages).

Graizer, V., and E. Kalkan [2007], “Ground motion attenuation model for peak horizontal acceleration from shallow crustal earthquakes”, *Earthquake Spectra*, Vol. 23, No 3, pp. 585-613.

Hanson, K.L., W.R. Lettis, M.K. McLaren, W.U. Savage, and N.T. Hall [2004], “Style and rate of Quaternary deformation of the Hosgri fault zone, offshore south-central California, in Evolution of Sedimentary Basins/Offshore Oil and Gas Investigations—Santa Maria Province, Keller, M.A. (Editor)”, *U.S. Geol. Surv. Bull.* 1995-BB, 33 pages.

Hardebeck, J.L. [2010], “Seismotectonics and Fault Structure of the California Central Coast” *Bull. Seismol. Soc. Am.* Vol. 100, No.3, pp. 1031-1050.

Leonard, M. [2010], “Earthquake Fault Scaling: Self-Consistent Relating of Rupture Length, Width, Average Displacement, and Moment Release” *Bull. Seismol. Soc. Am.* Vol. 100, No. 5A, pp.1971-1988.

Lettis, W.R. and N.T. Hall [1994], “Los Osos fault zone, San Luis Obispo County, California, in Seismotectonics of the Central California Coast Ranges”, Alterman, I.B., R.B. McMullen, L.S. Cluff, and D.B. Slemmons (Editors), *Geol. Soc.Am. Special Paper* 292, pp. 73-102.

McLaren, M.K. and W.U. Savage [2001], “Seismicity of South-central Coastal California: October 1987 through January 1997”, *Bull. Seismol. Soc. Am.* Vol. 91, pp. 1629-1658.

Pacific Gas and Electric Company (PG&E) [2011], “Report on the Analysis of the Shoreline Fault Zone, Central Coastal California”, *Report to the U.S. Nuclear Regulatory Commission*, January 2011.

Pacific Gas and Electric Company (PG&E) [2010]. Progress Report on the Analysis of the Shoreline fault zone, central coast California, Enclosure 1, *PG&E Letter DCL-10-003*, January 2010.

**Curriculum Vitae Dr. ALEXANDER BYKOVTSSEV Ph.D., P.E., M.ASCE**

*Team Principal Investigator, Regional Academy of Natural Sciences*

**ADDRESS: Regional Academy of Natural Sciences, 2644 Foghorn Cove, Port Hueneme, CA-93041**

Tel: 805-985-4839 (office); 805-895-0819 (cell); e-mail: [bykovtsev1@yahoo.com](mailto:bykovtsev1@yahoo.com)

**TITLE:** Professional Engineer

**POSITION:** Principal Engineer in Regional Academy of Natural Sciences, Port Hueneme, California.

**EDUCATION:**

M.S. (Apply Mathematics and Mechanics & Structural Engineering), with Honors, Tashkent State University-since 1975  
Ph.D. (Geotechnical and Geophysics), Moscow Mining State University - since 1979

*Ph. Dissertation Thesis - The Dynamic Theory of Dislocation Discontinuities & Their Seismological Applications.*

*Moscow Mining State University, Moskva, 1979 (124pp. in Russian)*

**Patents and Inventions State Courses for the Leadership of Engineers and Scientists:** USSR Committee for Inventions, with Honors, Moscow, USSR, 1986.

**Dr. Science in Dynamic Fracture Mechanics** - since 1988.

*Doctoral Dissertation Thesis - Mathematical Modeling of Fracture Processes in the Earth's Crust and on Its Surface. Novosibirsk State University. 1987. (441pp. in Russian)*

**Licensed Professional Engineer in State of California – Civil (geotechnical) No70972.**

**INTERNATIONAL CERTIFICATIONS:**

**Certified in Seismic Monitoring & Data Analysis** by UNESCO (1998). Hyderabad, India, 1998.

**Certified in Earthquakes and Seismic Hazards**, International Union of Geodesy and Geophysics, China Seismological Bureau. Beijing, China, 1998.

**Certificate in Anchored Earth Retention System** by International Association of Foundation Drilling, 2007.

UNION PACIFIC Certificate of Safety Training -2010

**PROFESSIONAL EXPERIENCE:**

2010 **Principal Engineer** Construction Testing & Engineering, Inc., Oxnard, California

2006 to 2010 **Project Manager**, Earth Systems Southern California, Ventura, California

2005 to 2006 **Senior Project Engineer**, Converse Consultants, Redlands, California

2002 to 2005 **Project Engineer**, Pacific Materials Laboratory, Inc., Goleta, California

2001 to 2002 **Consultant**, EarthShell Corporation, Santa Barbara, California

1999 to 2000 **Visiting Professor**, UCSB, Institute for Crustal Studies, Santa Barbara, California

1992 to 1999 **Chief Scientist and CEO, Regional Academy of Natural Sciences, Uzbekistan**

**1979 to 1992 Senior Research Scientist at various universities and government research institutes, USSR.**

**PROFESSIONAL AFFILIATIONS:**

Resource expert for SSHAC Level 3 Study. Workshop 1 - Significant Issues and Data Needs for Next Generation Attenuation for CEUS (NGA-East) since 2010

Geo-Engineering Earthquake Reconnaissance (GEER), since 2009

Member of ASCE-7 Standards Committees, since 2009

ADSC - The International Association of Foundation Drilling, since 2007

National Society of Professional Engineers, since 2007

American Society of Civil Engineers, since 2005

Seismological Society of America, since 2000

Editorial Board, The Mining Bulletin of Uzbekistan, since 1997

Chief Scientific Secretary and Academician Academy of Natural Sciences of Uzbekistan, 1992-1994

**BACKGROUND:** Dr. Alexander Bykovtsev Ph.D., PE has over 30 years of geotechnical industry experience including expertise in area of professional geotechnical, structural and forensic engineering with seismic design, slope stability and retaining walls evaluation, material property, stress analysis and fracture mechanics. Dr. Bykovtsev has extensive experience working on variety of construction projects in Russia, Uzbekistan and California with specialization on Site-Specific Seismic Investigation with time history simulations for High-rise buildings, Essential facilities, Mining, Quarry, and Dams. In 1999 Dr. Alexander Bykovtsev relocated from Uzbekistan to USA and got his title of Licensed Professional Engineer. Now he is working as Registered Civil Engineer #70972 in California. Dr. Bykovtsev has experience in a wide range of geotechnical projects including retaining walls, tall buildings, schools, hospitals, transportation and pipeline related projects. This experience translates into strong field knowledge related to structural aspects of construction in conjunction with mathematical modeling. Dr. Bykovtsev provides the leadership and expertise required to address complex projects and develop cost effective solutions.

Dr. Bykovtsev has widespread experience working on diversity of projects including modeling special seismic effects and stress analysis from industrial blasting operations at the Muruntau gold mine for Navoi Mining and Metallurgical Combinat (Zerafshan, Uzbekistan) and Norilsk Nickel Mining and Metallurgical CO (Norilsk, Russia). His leadership in the Port Hueneme office includes geotechnical investigations and seismic design, reviews civil design and provides clients a variety of required reports needed to keep the project moving forward. In addition, Dr. Bykovtsev provides extensive geotechnical expertise for investigations, field recommendations and geotechnical design where technical expertise is critical. He also develop unique procedure for simulated ground motion from explosions and earthquakes with time history analysis for bridges, high-rise buildings and essential facilities located within 5 km of a fault Zone. In 2009 Dr. Bykovtsev has been included as Committee Members in ASCE activity to develop Minimum Design Loads for Buildings and Other Structures Standards with the goal to define magnitudes of loads suitable for the design of buildings and other structures, including loads from earthquakes and develop loading criteria for assuring safety, serviceability, and integrity which are applicable to a wide class of construction technologies. In 2010 Dr. Bykovtsev has been included as Resource expert for SSHAC Level 3 Study, Workshop 1 - Significant Issues and Data Needs for Next Generation Attenuation for CEUS (NGA-East)

Dr. Bykovtsev published 2 Patents, 3 books and more than 200 publications and reports related to site specific seismic investigation with time history simulations. Bykovtsev's Model was previously (during 1978-1990) published in top level rated peer-reviewed Russian journals with English translation and is widely used in Russia and Uzbekistan. Several verification and validation tests for computer codes based on Bykovtsev (1979&1986) and Bykovtsev-Kramarovskii (1987 &1989) algorithms were done by Dr. Vladimir Graizer (now seismologist in NRC) at the Institute of Earth Physics (Moscow). After official verification and validation tests (protocol of comparison DSGM with laboratory modeling and natural records available upon request) the computer codes were recommended by the USSR government for use in earthquake engineering and mining industries. In 1995 the Dr. Bykovtsev's approach with SGM for a maximum considerable earthquake with  $M=6.5$  in Tashkent (Capital of Uzbekistan) was successfully used for site specific seismic investigation by the Uzbek Government for design and construction of the multi-level (22-story) National Bank and National Gold Repository of Uzbekistan. The site is located inside the intersection of three active faults and approximately within 2km zone from the epicenter of the catastrophic Tashkent-1966 earthquake  $M=5.3$ . Natural seismo-records from the Tashkent-1966 and Nazarbek-1980 earthquakes were used to navigate a mathematical model and generated DSGM.

## **BIBLIOGRAPHY OF DIRECTLY RELATED WORK**

### **SELECTED PUBLICATIONS prepared by Dr. Alexander Bykovtsev and related to the project:**

1. Bykovtsev A.S. (2011) **Preliminary simulation of long-period seismic motions and seismic load for Shoreline fault zone segmentations in area of Diablo Canyon Power Plant.** *Report of the Regional Academy of Natural Sciences prepared for presentation on 4<sup>th</sup> IASPEI / IAEE International Symposium Effect of Surface Geology on Seismic Motion April 25, 2011*, (24 pages)
2. Bykovtsev A.S. (2010) **Effect of Fault Segmentation on Simulation of Long-Period Earthquake Ground Motions and Seismic Load.** *Report of the Regional Academy of Natural Sciences, 2010 for presentation on AGU Fall Meeting, 13-17 December 2010, San Francisco.* (32 pages).
3. Bykovtsev A.S., R. Abdikarimov, D. Khodzhaev (2010) **Effect of Long-Period Earthquake Ground Motions on Nonlinear Vibration of Shells With Variable Thickness.** *Report of the Regional Academy of Natural Sciences, 2010 for presentation on AGU Fall Meeting, 13-17 December 2010, San Francisco.* Research Team of Geotechnical and Structural Engineers A.S. Bykovtsev, (24 pages).
4. Bykovtsev A.S. (2010) **Resource expert recommendations for SSHAC Level 3 Study.** Workshop 1 – Significant Issues and Data Needs for Next Generation Attenuation for CEUS (NGA-East). Dr. Bykovtsev's recommendations for additional data. *Report of the Regional Academy of Natural Sciences, 2 December, 2010* (6 pages)
5. Bykovtsev A.S. (2010) **Effect of Fault Segmentation on Displacement Time History.** *Report of the Regional Academy of Natural Sciences, 2010 for presentation on Southern California Earthquake Meeting, 10–15 September, 2010, Palm Spring, California.* (36 pages)
6. Bykovtsev A.S., R.A.Abdikarimov, Sh.P.Bobanazarov, and D.A.Khodzhaev (2010) **Nonlinear Vibration and Dynamic Stability of High-Rise Special Structure** *Report of the Regional Academy of Natural Sciences, 2010*

for presentation on Southern California Earthquake Meeting, 10–15 September, 2010, Palm Spring, California. (18 pages)

7. Bykovtsev A.S. (2010) **Simulated Ground-Motion (SGM) Procedure with Time History Analysis for Bridges, High-Rise Buildings and Essential Facilities Located within 5 km of a Fault Zone.** *Report of the Regional Academy of Natural Sciences, 2010 for Invited presentation on SSA Annual Meeting, 21–23 April, Portland, Oregon.* (35 pages).
8. **Meeting of the ASCE -7-05 Seismic Subcommittee “Minimum Design Loads for Buildings and Other Structures” San Francisco - August 21-22, 2009. PROPOSAL FOR CHANGE: ASCE 7-05 Section 21.1.1 Base Ground Motions Submitted by: Dr. Alexander Bykovtsev, Ph.D., P.E., M. ASCE.** *Report of the Regional Academy of Natural Sciences, 21 August, 2009.* Project No BAS-013-08 (10 pages) A.S. Bykovtsev
9. Bykovtsev A.S.(2009) **Analytical review of presentations at Special Session “Deterministic Simulated Ground-Motion Records under ASCE/SEI 7-05: Guidance for the Geotechnical Industry” with Summary, Conclusions and Recommendations.** *Report of the Regional Academy of Natural Sciences, 2009.* Project No BAS-013-03 (23 pages).
10. Bykovtsev A.S., and Kasimov, M.M.(2009) **Site Specific Investigation for National Bank of Uzbekistan with Time History Analyses of Simulated Ground Motion.** *Report of the Regional Academy of Natural Sciences, 2009 for Invited presentation on SSA Annual Meeting, 8-10 April, Monterey, CA* (32 pages).
11. Bykovtsev A.S., R.A. Abdikarimov, D.A. Hodjaev and A.A. Katz (2003) **Free Oscillations of Viscoelastic Rectangular Plate with Rectangular Cutout** *Proceedings of the WSEAS Transactions On Mathematics, Issue 3, Volume 2, July 2003, 225-229.* ISSN 1109-2769, <http://www.wseas.org> .
12. Bykovtsev A. S., G.A. Prokhorenko, and V. N. Sytenkov(2000), **Analyses of Geodynamic and Seismic Processes at Development of Deposits.** *Navoi Mining and Metallurgical Works, Zerafshan, Uzbekistan,* book on 266 pp.
13. Bykovtsev A.S., Kasymov M.M., Makogon M.A.(1999) **Model simulation of strong ground motion records for Tashkent earthquakes (Uzbekistan, 1966).** Department of Geology and Minerals of Vietnam. Center for Information & Archives of Geology. Seismic Hazard, Part 3. *Report of the Regional Academy of Natural Sciences-* 224pp.
14. Bykovtsev A.S., Kramarovsky D.B. (1997). **The model of arbitrary oriented ruptures interaction and use of it for the prediction of earthquake sequence in zones of active tectonic faults.** *Proc. 29<sup>th</sup> General Assembly IASPEI, Greece, August 18-28, 1997.*
15. Bykovtsev A.S., Kramarovsky D.B., Rashidov I.T., Charitudi G.A. (1997). **The prediction of seismic effect on underground constructions and analysis of the construction motions taking into account diffraction of joints.** *Proc. 29<sup>th</sup> General Assembly IASPEI, Greece, August 18-28, 1997.*
16. Khamidov L.A., Bykovtsev A.S., Kramarovsky D.B. (1996). **Development of a mechanical-mathematical model for the process of earthquake preparation and dynamic development.** Report No:0191.0043382, Institute of seismology, Tashkent, 106 pp.
17. Bykovtsev A. S., et al. (1995) **Experts Conclusions for Uzbek Government** Including Evaluation of the Seismic Hazard for Multi-Level Bank and National Gold Repository with Simulated Ground Motions. *Report of the Regional Academy of Natural Sciences, 1995* Prepared for the National Bank of Uzbekistan. 286pp..
18. Bykovtsev A S., Kramarovskii D B. (1994) **Evaluation of the seismic effect of an underground explosion.** *Journal of Applied Mechanics and Technical Physics.* 1994. Volume 35, Number 6, 809-816.
19. Bykovtsev, A. S., V.G. Haidukov, and V.A. Cheverda **Optimization Approach to the Earthquake Source Inverse Problem.** *Proceedings of 8th International Mathematical Geophysical Seminar on Model Optimization in Exploration Geophysics, 309-323 (1990)*
20. Bykovtsev, A.S., and Sokolov, V.Yu., 1990, **Numerical simulation of wave fields and seismic intensity patterns in earthquake near-field zones,** *Acta Geophys. Pol.* 38, 111-133.
21. Bykovtsev, A.S., and Sokolov, V.Yu., (1989), **Numerical Simulation of the Wave Field in the Near Zone of a Step-Like Propagating Curvilinear Fault and Analysis of High-Frequency Radiation.** *Izv. An. SSSR Fiz. Zem.* (3), 3-16.
22. Bykovtsev, A. S. and Kramarovskii, D. B. (1989) **Non-stationary supersonic motion of a complex discontinuity.** *Appl. Math. Mech.(PMM)* 53(6), 779-786.
23. Bykovtsev A.S., Kramarovsky D.B. (1988) **The wave field produced by supersonic propagation of a complex rupture.** «Proc. Int. Conf. on Computational Engineering Science». Spriger-Intern., 1988, v.1, p.13.VI.

24. Bykovtsev A. S., V. Yu. Sokolov, O. V. Karnauhova, and M. Chudakova (1988) **Mathematical Modeling and Treatment of the Wave Fields of Seismic Radiation and Acoustic Emission: A Computational Program.** *Academy of Sciences of Uzbekistan. Institute of Seismology. Tashkent. 1988.* 31pp. (In English, French, and Russian).
25. Bykovtsev A.S., Kramarovsky D.B. (1987) **The propagation of a complex fracture area, Exact three-dimensional solution, *Appl. Math. Mech.(PMM)* 51, 1, 1987. pp 89-98**
26. Bykovtsev A.S. (1986) **Modeling of fracture processes occurring in the focal zone of a tectonic earthquake.** «Proc. Int. Conf. on Computational Mechanics ». Springer - Verlag, Berlin, 1986, v. 1, p. III 221-226.
27. Bykovtsev A.S., Kramarovsky D.B.(1986) **The displacement field produced by the propagating rectangular rupture plane. The exact three-dimensional solution.** «Proc.Int.Conf. on Computational Mechanics» Springer-Verlag, 1986, v. 2, p. VI 315-320.
28. Bykovtsev A.S. (1986a) **Propagation of complex faults with piecewise-constant and variable velocities along curvilinear and branched trajectories, *Appl. Math. Mech. (PMM)*, 50, 5, pp. 620-628.**
29. Bykovtsev, A.S.(1986b) **Modeling of Fracture Processes Occurring in the Focal Zone of a Tectonic Earthquake. *Proc. Intern. Conf. on Computational Mechanics*, 25-29 May, Tokyo, Springer-Verlag. Vol.1. Ed.: G. Yagawa, S. N. Atluri, III-221-226.**
30. Bykovtsev A. S., Ya. U. Saatov and L. A. Khamidov(1985) **Mechanical Problems in Seismology. *Mekhnat. Tashkent. Book on* 275pp**
31. Bykovtsev A.S. (1983) **On wave fields produced by dislocation faults being propagated.** Experimental Seismology in Uzbekistan, FAN, Tashkent, 1983.
32. Bykovtsev A.S. and Cherepanov G.P. (1980) **On a model of a tectonic earthquake focus, *Dokl.Akad. Nauk SSSR*, 251, 6, 1980.**
33. Bykovtsev A.S. and Cherepanov G.P. (1980) **On modeling on earthquake focus, *Appl. Math. Mech. (PMM)*, 44, 3, 1980.**
34. Bykovtsev A.S. (1979) **The Dynamic Theory of Dislocation Discontinuities & Their Seismological Applications *Ph. D. Thesis, Moscow Mining State University., Moskva, 1979 (124pp. in Russian).***
35. Bykovtsev A.S. (1978) **Displacement field produced by multiply propagating and arresting slip areas. *Mechanics of Deformable Media*, 3, Kuibyshev University Press, Kuibyshev, 1978.**

## INSTITUTIONAL QUALIFICATIONS

Regional Academy of Natural Sciences is offered a full spectrum of Geotechnical Engineering, including Slope Stability Analysis, Seismic Risk Analysis, Site Specific Seismic Investigation and Seismic Design with Time-History Analysis. The main goal of the company to provide expertise in area of Professional R&D Geotechnical Engineering, Seismic Design for Essential Facilities, Site Specific Seismic Investigation with time history simulations for Essential facilities, Dams, Quarry, Landfills and Hill-side including Slope Stability Evaluation, Material Property, Stress Analysis, Fracture Mechanics, Geotechnical and Forensic engineering in the company of mathematical modeling. The Regional Academy of Natural Sciences personnel resources included one Professor, two Seniors Researchers with Ph.D., two Professional Engineers, three computerized working space, and laboratory and library facility. Regional Academy of Natural Sciences developed friendly relations and ties to both sources of data and potential users of the results in geotechnical industry. In addition Regional Academy of Natural Sciences provides construction materials observation and testing, laboratory testing, and environmental assessment services to our clients. It is our goal to offer quality services as we Scientist, Researcher and Engineer for the Future.