BEFORE THE

CALIFORNIA ENERGY COMMISSION

California Energy Commission
DOCKETED
14-AAER-01
TN 3037
JUL 10 2014

In the Matter of:)	Docket No. 14-AAER-1
)	
)	
Appliance Efficiency Pre-)	
Rulemaking - California Code)	STAFF WORKSHOP
of Regulations, Title 20,)	RE: 2014 Appliance Efficiency
Sections 1601 through 1608)	Pre-Rulemaking

STAFF WORKSHOP

TOILETS, URINALS, FAUCETS, AIR FILTERS, FLUORESCENT DIMMING BALLASTS, AND HEAT-PUMP WATER-CHILLING PACKAGES

CALIFORNIA ENERGY COMMISSION
HEARING ROOM A, 1516 NINTH STREET
SACRAMENTO, CALIFORNIA

TUESDAY, May 6, 2014 9:30 A.M.

Reported by: Kent Odell

APPEARANCES

Commissioner Present

Andrew McAllister

Staff Present

Harinder Singh, Rulemaking Project Manager
Consuelo Martinez, Office Manager, Appliances and
Existing Building Office
Tuan Ngo, Appliances & Existing Building Office
Ken Rider, Associate Electrical Engineer, Appliances & Existing
Building Office
Josh Butzbaugh, Senior Fellow, Appliances & Existing
Building Office
Jared Babula, Rulemaking Lead Attorney

Also Present (* Via telephone and/or WebEx) Gary Fernstrom, Pacific Gas & Electric Company Jerry Desmond, Plumbing Manufacturers International (PMI) Fernando Fernandez, TOTO, USA, also representing Plumbing Manufacturers International (PMI) Daniel Gleiberman, Manager of Product Compliance and Government Affairs, Sloan Valve Company John Koeller, Maximum Performance Testing (Map Testing) Tony Brunello, Green Technology Leadership Group Heidi Hauenstein, representing Statewide IOU Codes and Standards Team Tracy Quinn, National Resources Defense Council (NRDC) Jon McHugh, McHugh Energy Consultants Eddie Moreno, Sierra Club California *Marianne DiMaseio, Appliance Standards Awareness Project *George Nesbitt, HERS Rater, Green Rater *Jim Kemper, Los Angeles Department of Water and Power Randall Higa, Southern California Edison Company Mark Sadler, Daikin *Patrick Splitt, Aptech Annirudh Roy, Air-Conditioning, Heating and Refrigeration Institute

Institute
*Eddie Rodriguez, Daikin Applied
Jeffrey Steuben, on behalf of California IOUs
Stephen Irving, on behalf of Lutron Electronics
Daniel Young, representing California Investor-Owned
Utilities, Statewide Codes and Standards Team
Pierre Delforge, Natural Resources Defense Council (NRDC)
*Alex Boesenberg, NEMA
*Richard Haring, Philips Lighting
*Alberto Mendoza, Philips Lighting

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1 PROCEEDINGS

2 MAY 6, 2014 9:31 a.m.

- 3 MR. SINGH: Good morning. My name is
- 4 Harinder Singh. Welcome to the Energy
- 5 Commission, everybody. First we have just a few
- 6 housekeeping items before we begin. For those of
- 7 you who are not familiar with this building, the
- 8 closest restrooms are located as you go out the
- 9 door to the left. There is a snack bar on the
- 10 second floor under the white awning. Lastly, in
- 11 the event of an emergency and the building gets
- 12 evacuated, please follow our employees to the
- 13 appropriate exit. We will reconvene at Roosevelt
- 14 Park located diagonally across the street from
- 15 this building. Please proceed calmly and
- 16 quickly, again, following the employees with whom
- 17 you are meeting to safely exit the building. And
- 18 thank you. And now I would request my Office
- 19 Manager, Consuelo Martinez, to come and introduce
- 20 the staff.
- 21 MS. MARTINEZ: Good morning, everyone.
- 22 I'm Consuelo Martinez, Office Manager for the
- 23 Appliance and Existing Buildings Office. Thank
- 24 you all for attending today. Some of you have
- 25 come a long way and we appreciate the effort you

- 1 took to get here.
- 2 For those of you on the WebEx or on the
- 3 phone, thank you for your valuable time, as well.
- 4 We're looking forward to hearing from all
- 5 of you, not only today, but also in the future,
- 6 as we move forward to develop Appliance
- 7 Efficiency Regulations for water projects, heat
- 8 pump water chilling packages, HVAC air filters,
- 9 and fluorescent dimming ballasts.
- 10 Now I'd like to introduce our staff.
- 11 Tuan Ngo will be leading the Water Product
- 12 presentation. Tuan? That's Tuan. Ken Rider
- 13 will present Heat Pump Water Chilling Packages
- 14 and Fluorescent Ballasts. Josh Butzbaugh will
- 15 present HVAC Air Filters today. Jared Babula is
- 16 our Lead Attorney for the Rulemaking, and you've
- 17 already met Harinder Singh, who is the Project
- 18 Manager for this rulemaking. If you have any
- 19 questions related to this rulemaking process,
- 20 please contact him.
- It is my pleasure also to introduce the
- 22 Efficiency Lead Commissioner, Andrew McAllister.
- 23 Commissioner.
- 24 COMMISSIONER MCALLISTER: I wanted to
- 25 just -- so this is a staff workshop, not a Lead

- 1 Commissioner Workshop, so staff is running the
- 2 show here. I wanted to first and foremost sort
- 3 of manifest my solidarity with staff and call
- 4 them out for doing a great job on the Appliance
- 5 Pre-Rulemaking process as it's getting ramped up
- 6 in the various categories of devices, this being
- 7 the first group. So more groups to come down the
- 8 road a bit. But I'm really excited to get the
- 9 process moving forward in some earnest, and I
- 10 think this first group is really important for
- 11 just setting the rhythm of getting through the
- 12 pre-rulemaking processes and then moving on to
- 13 the rulemakings.
- 14 Now, this group is kind of a mix of
- 15 devices, as you can see, some water, electricity,
- 16 energy generally, and partly in conformance with
- 17 other parts of the Building Code and partly to
- 18 harvest some new energy savings potential based
- 19 on new technology. So I think there are really
- 20 some great opportunities here and really look
- 21 forward to all your input. And I want to really
- 22 thank everyone for coming. As Consuelo said,
- 23 I'll reiterate that we really appreciate your
- 24 effort to get here and participate and provide
- 25 substance to the process.

- 1 The final think I'll say is just by way
- 2 of setting the larger context. California's
- 3 Appliance Efficiency Standards are really our
- 4 bread and butter. They're something the
- 5 Commission has been dedicated to for decades and
- 6 something that has demonstrate over and over
- 7 again the social good that it generates for the
- 8 state, not only environmentally, but for our
- 9 consumers. It's really just a win across the
- 10 board, however many times you want to state it,
- 11 and at relatively low cost we have a relatively
- 12 high impact.
- 13 And certainly Appliance Efficiency
- 14 Standards that make it to the end stage of being
- 15 adopted and going into law have demonstrated that
- 16 they are cost-effective for the consumer and that
- 17 they generate all sorts of benefits to the state
- 18 at relatively low cost, they are cost-effective.
- 19 So my goal actually coming in a few years
- 20 ago now as Lead Commissioner on this topic has
- 21 been to really make absolutely certain that the
- 22 process supports participation and that at every
- 23 step of the way, we have open communication, open
- 24 dialogue, opportunities to participate and submit
- 25 comments on the record from all the stakeholders

- 1 who are involved in each of the topics, and so
- 2 it's the foundation really for getting to an end
- 3 result that has consensus, or at least has a very
- 4 sound basis in the record. And if we get there
- 5 and somebody doesn't agree, then it can't be that
- 6 they haven't had opportunities to participate.
- 7 We really want to base everything on technical
- 8 evaluation and viewpoints from the marketplace,
- 9 viewpoints from the various stakeholders who are
- 10 involved because we realize that what we do
- 11 affects all of the folks out there in the world.
- 12 So again, this is really just a
- 13 longwinded way of saying please participate,
- 14 please offer your best information, your most up
- 15 to date information, your viewpoint that is hard
- 16 won from the marketplace wherever you happen to
- 17 sit in it, because that's the basis for the
- 18 record and that's what we're trying to create
- 19 here is a process that collects all of those
- 20 viewpoints and uses them to make good decisions.
- 21 That's what we need to do here is come out with
- 22 good decisions that benefit the State of
- 23 California.
- 24 So that's kind of my filter that I put
- 25 things through and I will be very sympathetic to

- 1 folks who sort of put in a good faith effort to
- 2 participate and submit their hard won knowledge
- 3 and information into the record, so that we can
- 4 use it to make decisions. So with that, I want
- 5 to pass back to Harinder, Consuelo, and wish you
- 6 all a good workshop. Again, thanks for coming
- 7 out and your comments will be welcome whenever
- 8 you choose to submit them, and there will be some
- 9 timelines stated throughout this workshop and
- 10 future workshops on the Appliance Efficiency
- 11 Rulemakings. So thanks again and have a great
- 12 day.
- 13 MR. SINGH: Thank you, Commissioner.
- 14 Harinder Singh again. I have a few slides for
- 15 this presentation before we begin and I hand it
- 16 over to Tuan.
- I just wanted to mention that California
- 18 Energy Commission is the State's primary energy
- 19 policy and planning agency, created by the
- 20 Legislature in 1974. Responsibilities include
- 21 promoting energy efficiency and conservation by
- 22 setting minimum Appliance and Building Standards,
- 23 and other cost-effective measures.
- 24 The Commission Appliance and Building
- 25 Energy Efficiency Standards have saved California

- 1 more than \$74 billion in reduced electricity
- 2 bills since 1975. The State's statutory mandate
- 3 to the Energy Commission is the Warren-Alquist
- 4 Act, it is Public Resource Code 25402. It
- 5 requires the Commission to adopt to minimum
- 6 levels of operating efficiency and other cost-
- 7 effective measures, to promote the use of energy
- 8 and water efficient appliances whose use require
- 9 a significant amount of energy or water on a
- 10 statewide basis.
- 11 So the purpose of this staff workshop is
- 12 the Commission has posted two Staff Reports, they
- 13 are staff's analysis, a staff report for water
- 14 appliances that includes toilets, urinals, and
- 15 faucets. And the second staff report is HVAC air
- 16 filters, fluorescent dimming ballasts, and heat
- 17 pump water chilling packages.
- 18 The workshop is an opportunity for the
- 19 stakeholders to comment and seek clarifications
- 20 on the draft staff analysis, read and comment
- 21 period has begun on April 18th after we posted
- 22 the staff reports, and stakeholders can submit
- 23 their comments by June 6th any time between April
- 24 18 and June 6th. All comments received will be
- 25 evaluated and staff will update the proposed

- 1 Draft Regulations as needed.
- 2 So if you have to submit the data, this
- 3 is how you submit written comments. They should
- 4 be submitted to the docket by 4:00 p.m. on June
- 5 6th; that is Pacific Standard Savings Time. The
- 6 Commission encourages interested parties to send
- 7 information up to five megabytes by email at
- 8 Docket@Energy.Ca.Gov. Please include the docket
- 9 number 14AAER-1 in the subject line. And if the
- 10 file size is more than five megabytes and if the
- 11 information includes an application for a
- 12 confidentiality designation, or if you prefer
- 13 paper copies of responses with electronic
- 14 information, you can provide it on a CD or DVD
- 15 and sent it to California Energy Commission,
- 16 Docket Office, Mail Stop 4, Docket No. 14AAER-1,
- 17 1516 Ninth Street, Sacramento, CA 95814-5512.
- 18 For confidentiality in data, or comment, or
- 19 information, if interested parties need to
- 20 maintain the confidentiality of specific data
- 21 information, they should contact Jared Babula,
- 22 he's our consult in the Commission's Chief
- 23 Counsel's Office, before submitting a response to
- 24 the invitation or a response to the comments, or
- 25 response to the Staff Reports; otherwise, all

- 1 responses received will become publicly
- 2 available. And Jared's information is given on
- 3 the slide and his telephone number is 916-651-
- 4 1462, and his email is
- 5 Jared.Babula@Energy.Ca.Gov.
- 6 With that, I would hand it over to Tuan
- 7 Ngo for the Water Topics presentation. Tuan,
- 8 please.
- 9 MR. NGO: Good morning, everybody. Can
- 10 you guys see me? My name is Tuan Ngo, I'm with
- 11 the Appliance and Existing Buildings Office. And
- 12 what I have to say today is to make a
- 13 presentation on our Staff Report on Water
- 14 Appliance Efficiency.
- 15 Let me go real quick into the agenda,
- 16 what we want to cover today. First, I'd like to
- 17 quickly mention our progress, then we'll go into
- 18 the staff reason for going forward with the
- 19 Standards, then the Environmental, and then the
- 20 Regulatory settings and the stakeholder
- 21 proposals. Then I will present the staff
- 22 proposed Standards, some words on savings and
- 23 cost analysis, and technical feasibility
- 24 analysis. And of course, the staff Standards
- 25 impacts and benefits assessment.

- 1 Our progress so far, we have done three
- 2 major activities to today. We've heard and
- 3 published (ph) an Order Instituting Rulemaking
- 4 and two workshops, one is an invitation to
- 5 participate and the other was an invitation to
- 6 submit proposals for Standards development.
- 7 This slide is a flow chart depicting our
- 8 pre-rulemaking and rulemaking process. The
- 9 highlighted box indicates where we are today.
- 10 And if everything goes okay today, then staff
- 11 will get ready to finalize and publish the final
- 12 staff report to be ready for the rulemaking.
- But first, why new Standards? Well, we
- 14 are in a drought which significantly affects our
- 15 water supply. And on January 17, 2014, Governor
- 16 Brown proclaimed a State of Emergency and
- 17 directed State officials to take all necessary
- 18 measures to prepare for drought conditions.
- 19 While we acknowledge that this standard
- 20 would not provide immediate help to relieve the
- 21 state's dry conditions, they will help prepare
- 22 for a future hot and dry future for the state.
- 23 Various (indiscernible) 240 to 350
- 24 billion gallons of water per year for toilets,
- 25 urinals, and faucets. Reducing water consumption

- 1 is a key component of California's overall water
- 2 and energy conservation effort, thus providing a
- 3 need to establish Standards for these appliances.
- 4 But first, what criteria do we use to establish
- 5 them? Again, Harinder already mentioned earlier
- 6 CEC staff amended that any (indiscernible) must
- 7 be technical, feasible, and it must be cost-
- 8 effective, which means the Standards must not
- 9 result in additional costs to the consumers.
- 10 This table presents the CEC staff
- 11 estimate of water and energy consumption of
- 12 toilet, urinal and faucets in 2013. Using this
- 13 information provided by the IOU Case Report and
- 14 the serious assumptions listed in the Appendix B
- 15 of the Staff Report, staff estimates that
- 16 California uses roughly 443 billion gallons of
- 17 water a year.
- 18 To get this amount of water to the
- 19 consumer, approximately 4,500 gigawatts of
- 20 embedded energy is needed to transport and
- 21 treating the water. In addition, approximately
- 22 4,700 gigawatt hours of electric and about 1,100
- 23 million therms of natural gas are needed to heat
- 24 that water delivered through the consumer
- 25 faucets. So from this number, a slight reduction

- 1 would result in billions of water savings
- 2 annually. Therefore, staff believes that
- 3 establishing a standard to restrict the
- 4 consumption would be beneficial to the state.
- 5 The next question is, does the current
- 6 State Standards, Federal Standards, or other
- 7 Standards achieve the same reduction without the
- 8 energy staff going to the effort to establish new
- 9 standards? To do this, staff needs to look at
- 10 the current regulatory setting to understand this
- 11 picture.
- 12 Currently, Title 20 Standards set the
- 13 maximum flush volume at 1.6 gallons per flush for
- 14 toilets, one gallon per flush for urinal, and a
- 15 maximum allowable flow rate for lavatory and
- 16 kitchen faucets at 2.2 gallons per minute.
- 17 Before 1970, most toilets consumed six gallons
- 18 per flush or more, and some faucets you had as
- 19 much as seven gallons per minute.
- 20 In the 1980's and the early 1990's,
- 21 several states including California had
- 22 established Water Efficiency Standards for
- 23 toilets and urinals. Congress used the State
- 24 level standard as a basis to establish water
- 25 efficiency standard for this appliance. And they

- 1 passed the Energy Policy Act of 1992. These
- 2 Standards took effect in 1994 and set the water
- 3 consumption level at the same as California Title
- 4 20's standard.
- 5 In 2007, the California Legislature
- 6 enacted Assembly Bill 715 which set a schedule
- 7 for a manufacturer to meet water conservation
- 8 standards for toilets and urinals, solely
- 9 installed in the state, such that after January
- 10 2014, toilets can use no more than 1.28 gallons
- 11 per flush, and urinals can use no more than .5
- 12 gallons per flush.
- In 2009, the California Legislature also
- 14 enacted Senate Bill 407. This Bill revealed a
- 15 temporary stop gap to reduce water consumption in
- 16 appliances in older buildings and will be faded
- 17 out by the time the appliances will be replaced.
- 18 Calgreen in 2013 mandated efficiency
- 19 levels similar to AB 715 for toilets and urinals.
- 20 It also mandated efficiency requirements for
- 21 residential lavatory and kitchen faucets. The
- 22 2013 California Plumbing Code also set the same
- 23 Efficiency Standard by Calgreen; in addition, it
- 24 required faucets in common in public use areas in
- 25 homes for a 3.5 gallon per minute.

- 1 And lastly, WaterSense, this is like a
- 2 voluntary basis, WaterSense is a partnership
- 3 program by the U.S. EPA in collaboration with
- 4 stakeholders to establish voluntary
- 5 specifications for high efficiency water
- 6 consuming appliances, so toilets, urinals, and
- 7 lavatory faucets. Manufacturers certify and
- 8 label their products according to standards
- 9 developed by EPA licensed labs. Third,
- 10 WaterSense labels make it easy for consumers to
- 11 find and select water efficient products.
- 12 As I mentioned earlier, we have a
- 13 workshop for Request for Proposals and we did
- 14 receive some proposals for standard development
- 15 from California investor-owned utilities, IOU for
- 16 short, the National Resource Defense Council,
- 17 NRDC for short, the Plumbing Manufacturers
- 18 International, with a cooperating agency
- 19 representing various plumbing fixture
- 20 manufactures, as well as a proposal from
- 21 FluidMaster, Kohler, and Moen, Inc. We want to
- 22 thank you for sending the proposals.
- 23 Staff reviewed the proposals, analyzed
- 24 them, and finally came up with our
- 25 recommendations which are presented in this

- 1 slide. As we can see, the standard for toilet
- 2 and urinal gallon per flush as mandated by AB
- 3 715, with staff's proposal of an effective date
- 4 of July 2015. We also proposed a standard for
- 5 replacement valves, also in gallons per flush,
- 6 for toilets and urinals to match SB 47 mandates
- 7 starting in 2009, as you see for older buildings
- 8 that you see in the bottom of the slide.
- 9 And for faucets, staff recommends the
- 10 flow rate restriction in gallons per minute for
- 11 different types of faucets, including those that
- 12 in common public areas.
- 13 We also recommend a MaP score of 350
- 14 grams for toilets. For those of you who are not
- 15 familiar with MaP scores, MaP means Maximum
- 16 Performance, and what it does is the higher
- 17 number of MaP, the higher the MaP score, is an
- 18 indication of how good the toilet will be able to
- 19 remove the solid particles.
- In this table, it represents the first
- 21 year savings. As we can see, the total savings
- 22 is approximately 8,200 million gallons of water
- 23 savings alone in the first year, and the savings
- 24 including natural gas and energy resulting from
- 25 the staff proposal would be roughly in the \$111

- 1 million in the first year alone.
- 2 Stock Change Savings. By the way, when
- 3 we say "Stock Change," we just mean if all the
- 4 products available today comply with the proposed
- 5 standards, then that will result in the savings.
- 6 So this table represents what we expect to
- 7 receive in savings if all the appliances meet our
- 8 proposed standards. And that will result in
- 9 roughly about 86.6 billion gallons of water per
- 10 year, 220 million therms of natural gas per year,
- 11 about 1,700 gigawatt hours of energy, and about
- 12 \$1.12 billion of savings to the consumer.
- In the graphic here, it just presents a
- 14 backdrop to show the water consumption with the
- 15 regulations, and we can see that we are probably
- 16 -- my estimate was that we are roughly getting
- 17 about 20 percent in water consumption with the
- 18 staff proposed regulations.
- 19 And in this slide, well, we present the
- 20 individual appliance savings. By the way, the
- 21 lifecycle benefit here is the product of the
- 22 annual savings and the design life; we can see
- 23 from the table that the incremental cost is zero
- 24 for all of these appliances. What I meant is
- 25 that the cost of a compliant product, and the

- 1 cost of the non-compliant product are not
- 2 different, therefore consumers can reap the
- 3 savings immediately after installation. And the
- 4 savings will continue for the rest of the
- 5 lifecycle of the appliance.
- 6 As for technical feasibility, staff
- 7 looked at different kinds of design, newly
- 8 designed from manufacturers, for toilets and
- 9 urinals, and we are looking at better gravity
- 10 flush-type tank toilets, we're looking at
- 11 redesigned flush valve, we're looking at pressure
- 12 assisted flushometer tanks, we are looking at
- 13 some flapperless gravity flush, vacuum assisted
- 14 toilets, and dual flush toilets.
- 15 And then I just want to have a word here
- 16 about the maximum performance testing, that
- 17 because of these tests, I believe the earlier
- 18 problem of toilets not performing correctly have
- 19 been solved because of the new maximum
- 20 performance testing. Again, the higher score
- 21 means the toilets will be able to flush more, to
- 22 better flush the solid particles. And for
- 23 faucets, because it is based on the existing
- 24 technology, so by doing just a smaller hole to
- 25 smaller hole in the gasket to reduce the flow,

- 1 and that's not much to say there. But anyway,
- 2 staff also looked at our database and the
- 3 WaterSense database, and we see that there are
- 4 numerous models of appliances currently in use,
- 5 already met the staff proposed standards.
- 6 Additionally, manufacturer support of staff
- 7 proposing standards means that the products are
- 8 technically feasible and readily available to the
- 9 consumer.
- 10 And here, the Impacts and Benefits.
- 11 Staff will review and analyze the proposal, the
- 12 staff proposal, and we see no significant
- 13 incremental impact to the environment. And in
- 14 addition, I just want to mention that we are
- 15 going with the proposed staff standard, we are
- 16 looking at about 1.9 million tons of equivalent
- 17 greenhouse gas a year savings by the time of
- 18 stock change.
- 19 And so what are the next steps? We
- 20 anticipate, and Harinder already mentioned, we
- 21 anticipate receiving input from stakeholders and
- 22 interested parties by June 6, 2014. If the
- 23 comments received require significant revision to
- 24 the Staff Report, we will have to re-workshop it;
- 25 if the comments require minimum non-significant

- 1 change to the report, then we will go forward
- 2 with the rulemaking process. In other words, we
- 3 will finalize the Staff Report and will be ready
- 4 for the rulemaking.
- 5 In the meantime, if you have questions or
- 6 concerns, please contact staff, even after
- 7 today's workshop. We will be available to
- 8 discuss possible solutions right away.
- 9 The next slide is the staff contact
- 10 information and the docket number for the
- 11 proceeding. I would like to thank everybody for
- 12 your patience to listen to my ramble.
- MR. SINGH: Thank you, Tuan. I just want
- 14 to make one announcement that if anybody wants to
- 15 make comments, please fill out the blue cards,
- 16 and I have a few of them already, and once we go
- 17 through the blue cards here then we'll open the
- 18 lines for the people who are on the Web to take
- 19 their comments. So with that, I have the first
- 20 card that was submitted to me from Gary Fernstrom
- 21 from PG&E.
- 22 MR. FERNSTROM: Thank you. Gary
- 23 Fernstrom representing PG&E. I have no comment
- 24 at this time.
- MR. SINGH: Thank you, Gary. The second

- 1 card I have is from Fernando. Oh, okay. All
- 2 right, Jerry Desmond.
- 3 MR. DESMOND: Thank you. My name is
- 4 Jerry Desmond, Jr. on behalf of Plumbing
- 5 Manufacturers International (PMI). You know, PMI
- 6 appreciates this opportunity to provide our
- 7 comments to the Energy Commission in this current
- 8 rulemaking proceeding on water closets, urinals
- 9 and faucets under Docket 14-AAER-1.
- 10 You know, PMI is the international U.S.-
- 11 based trade association representing 90 percent
- 12 of the U.S. plumbing products sold in the U.S.
- 13 You know, we have made the promotion of water
- 14 safety and efficiency a top priority and we have
- 15 included it in our mission statement. PMI's
- 16 members are industry leaders in producing safe,
- 17 reliable and innovative water efficient plumbing
- 18 technologies, and we have supported the water
- 19 efficiency legislation and codes in both
- 20 California and at the federal level, as well as
- 21 the voluntary U.S. EPA WaterSense Program.
- 22 PMI acknowledges and appreciates the
- 23 ultimate goal of this proceeding and rulemaking
- 24 as set forth in the Order Instituting Rulemaking
- 25 to reduce excessive energy and water consumption

- 1 by regulated appliances in the state. PMI and
- 2 our member companies have participated in each of
- 3 the preliminary phases of this docket and
- 4 proceeding that took place in 2013, including the
- 5 invitation to participate, the invitation to
- 6 submit proposals, as well as the workshops and
- 7 Webinars, and we appreciate that chance to have
- 8 done so. We also appreciate the approach that's
- 9 been taken by the Energy Commission staff to
- 10 analyze the approaches that have been proposed by
- 11 the IOUs and the plumbing industry and to
- 12 evaluate the comments from stakeholders,
- 13 approaches taken at the federal level and other
- 14 states, as well as the cost-effectiveness and
- 15 technical feasibility of each approach for
- 16 California consumers.
- In summary, we support the proceeding and
- 18 the elements of the proposal moving forward, and
- 19 we have some suggested revisions to several of
- 20 the provisions in the docket that we'd like to
- 21 discuss next, and I will introduce that we have
- 22 two of the representatives, Fernando Fernandez
- 23 and Danny Gleiberman, who will if possible
- 24 follow-up on me to talk about those suggested
- 25 revisions.

- 1 MR. SINGH: Yes. I have the next speaker
- 2 is Fernando, please.
- 3 MR. FERNANDEZ: Good morning. My name is
- 4 Fernando Fernandez. I'm with TOTO, USA. I'm
- 5 also representing Plumbing Manufacturers
- 6 International. TOTO USA is a member of PMI.
- 7 In general, TOTO agrees with the staff
- 8 analysis on the water consumption levels
- 9 reflected in the Draft Report, but we do have
- 10 some initial observations we'd also like to make
- 11 at this time.
- 12 First of all, on the subject of MaP
- 13 testing, the Federal OMB Circular Al19
- 14 establishes policies on federal use and
- 15 development of Voluntary Consensus Standards.
- 16 One of the goals is the utilization of Consensus
- 17 Standards that serve national needs and that are
- 18 formalized through an open and balanced approach
- 19 with due process and appeals mechanisms. In that
- 20 respect, we think it's only appropriate for CEC
- 21 to follow this model in their rulemaking for the
- 22 proposed changes in Title 20. Therefore, I am
- 23 requesting to consider that the multiple
- 24 references to MaP, MaP testing, and MaP score in
- 25 Sections 1602, 1604, 1605, and Table A3 be

- 1 replaced with references to the ASME National
- 2 Consensus Standard, 19.2, 2013 Edition; the
- 3 extraction test in that same standard; a standard
- 4 indication of pass or fail instead of a score;
- 5 and that the definition of MaP be stricken from
- 6 Section 1602.
- 7 These changes actually allow for the
- 8 draft report to retain the same intent it had
- 9 with the extraction measurement factor in the
- 10 Draft Report.
- 11 Second, on the subject of definitions,
- 12 I've observed several instances where it appears
- 13 that there are new definitions being created and
- 14 I'd like to encourage a more effective approach
- 15 by utilizing the definitions that are in Industry
- 16 Standards and Consensus Standards.
- 17 Some examples of new definitions which
- 18 should revert to those found in the Standards are
- 19 the definition for Accessories, Dual Flush,
- 20 Average Flush Volume, Dual Flush Water Closet,
- 21 Waterless Urinal, and Fixture.
- With respect to these comments thus far,
- 23 we will certainly be providing them in writing
- 24 subsequent to this meeting.
- On the subject of Section 1605.1(A)(1),

- 1 this is a request for clarification we will put
- 2 in writing, but the reference to Table (H)(1)
- 3 appears to be a typo and looks like it's more
- 4 appropriate to be labeled as Table (A)(1) instead
- 5 of (H)(1).
- 6 Next, the references for showerheads
- 7 meeting the requirement of the ASME Standard, the
- 1996 version, appears it needs to be replaced 8
- 9 with a more updated version of the standard, the
- 10 2012 edition. As a result of updating it to the
- 11 2012 edition, the clause also would need to be
- 12 changed to Section 4.11.1. This coincides with
- 13 the reference that CEC is incorporating to the
- 14 Federal 10 CFR requirements for fittings.
- 15 On the subject of Replacement Accessory
- 16 as it appears in the Definition 1602 and Table
- 17 (A)(1), we're seeking a clarification for the new
- 18 term introduced, "Replacement Accessory." And
- 19 the simple question we pose in the example of a
- 20 lavatory faucet, if the Replacement Accessory
- 21 available in the aftermarket would only be a 1.5
- 22 gallon per minute aerator. We will also put that
- 23 in writing subsequent to the meeting.
- 24 On the subject of Section 1605.1(I)(1),
- 25 there's new language that is being proposed and

- 1 we're also seeking some clarification so we can
- 2 provide comment accordingly. It appears that the
- 3 intent is to provide an exception in certain
- 4 applications such as prisons and mental care
- 5 facilities to allow the use of Blow-Out Toilets,
- 6 yet the reference to a Blow-Out Toilet in Table
- 7 (A)(2) is stricken, so we will formalize that in
- 8 writing to request clarification. Please note
- 9 that California AB 715 does exempt these Blow-Out
- 10 Toilets and allows their use; they refer to them
- 11 as "Institutional Toilets" in the law.
- Next, on the subject of Table (A)(2),
- 13 again, there's some definitions that we would
- 14 like to see updated, the definition of Effective
- 15 Dual Flush Volume, the retention of 3.5 gallons
- 16 per flush for Blow-Out, and also a request for
- 17 clarification and consideration, instead of using
- 18 the term "Replacement Valve," which is not only
- 19 in Table (A)(2), but also in the Definitions, to
- 20 use "Replacement Flushometer Valve" to add more
- 21 specificity to the product that we're talking
- 22 about.
- 23 Other than that, again, I just would like
- 24 to reiterate, we agree with the analysis on the
- 25 water consumption levels that we've seen thus

- 1 far, and we will follow-up in writing with these
- 2 requests for clarification and observations.
- 3 Thank you for your time.
- 4 MR. SINGH: Thank you. Tuan, do you want
- 5 to mention a few things, or are you fine? Okay,
- 6 then we have Daniel from Sloan.
- 7 MR. GLEIBERMAN: Thank you, Commissioner
- 8 McAllister, staff. Thank you very much. My name
- 9 is Daniel Gleiberman with Sloan Valve Company.
- 10 We're also members of PMI, and my role at Sloan
- 11 is Manager of Product Compliance and Government
- 12 Affairs.
- I just wanted to offer a couple of
- 14 additional technical comments to follow-up on
- 15 Fernando. We do support in general staff's
- 16 recommendations. We do encourage the fact that
- 17 Title 20 needs to be updated, and we really
- 18 support the idea that it be consistent with AB
- 19 715.
- 20 I did want to just -- because some of us
- 21 in this room actually worked on AB 715, it's hard
- 22 to believe that it was already seven years ago,
- 23 but Fernando mentioned there are definitions in
- 24 there, and so for the record, and we will be
- 25 submitting this in more detail, but Institutional

- 1 Water Closets are defined in AB 715 and our
- 2 suggestion or comment to staff for this Staff
- 3 Report and for the recommendation is that those
- 4 same types of exemptions and the definitions be
- 5 consistent so that Blow-Out Water Closets and
- 6 Blow-Out Urinals can still be allowed in those
- 7 instances where in fact they're needed.
- 8 So just very quickly, although I can't
- 9 find it right now, Institutional Water Closet
- 10 means any water closet fixture with a design not
- 11 typically found in residential or commercial
- 12 applications, or that is designed for a
- 13 specialized application, including but not
- 14 limited to wall-mounted floor outlet water
- 15 closets, water closets used in jails or prisons,
- 16 water closets used in bariatric applications, and
- 17 child water closets used in day care facilities.
- 18 And so the comment would be from a
- 19 technical standpoint, the Legislature has already
- 20 identified appropriately that there are certain
- 21 applications where additional water is necessary,
- 22 and we would hope to see that Title 20 reflects
- 23 and is kind of cooperative of that and doesn't
- 24 conflict with that.
- I will keep my comments very brief

- 1 because I know we have other speakers, but in
- 2 general we will follow up in writing per the
- 3 recommendations of staff before the deadline and,
- 4 again, we support staff's recommendations for
- 5 these water use efficiency levels on fixtures.
- 6 Thank you.
- 7 MR. SINGH: Thank you, Daniel. I have
- 8 John Koeller for the next speaker, please. John
- 9 is from MaP Testing.
- MR. KOELLER: Thank you. I, too, like
- 11 those speakers who came before me, although I'm
- 12 not a member of PMI, although it seems like we
- 13 have quite a few in the room, we support the
- 14 staff analysis and recommendations contained in
- 15 this document, but I'm here to talk about a
- 16 proposal made in Addendum 1 that was rejected by
- 17 staff and that needs some clarification.
- 18 And I want to thank you for allowing me
- 19 to speak today. My name is John Koeller, I'm a
- 20 professional engineer licensed in California.
- 21 I'm also Technical Advisor to the Alliance for
- 22 Water Efficiency, although I'm not representing
- 23 AWE here today. I'm the Co-Developer and Co-
- 24 Owner of Maximum Performance Testing known as MaP
- 25 Testing.

1 M	aP testi	ng repo	rts toi	let f	Elush

- 2 performance and other characteristics on nearly
- 3 3,000 different tank-type toilet models and 500
- 4 different flushometer valve and bowl combinations
- 5 offered for sale in North America and elsewhere.
- 6 We are not associated with any manufacturer, this
- 7 is a program that is trademarked, privately
- 8 owned, copyrighted, and patented. So the
- 9 procedure that MaP uses for testing in nine
- 10 different laboratories located in North America
- 11 and China, that we have contractual relationships
- 12 with, is a procedure that has been adopted by
- 13 WaterSense, it's been adopted from WaterSense
- 14 into the National Standard that the two gentlemen
- 15 referred to who preceded me, but MaP was
- 16 developed by 22 water utility-related
- 17 organizations back in 2003. And I think most
- 18 manufacturers will agree that MaP testing, again,
- 19 11 years old, has resulted in significant
- 20 improvements in flush performance of toilets
- 21 since that time, but it's just one measure. And
- 22 the reason I bring that up is because this
- 23 addendum in the case report, Addendum 1, proposes
- 24 some things that are basically based on a faulty
- 25 premise, two faulty premises, and I'm so pleased

- 1 that the CEC rejected what is proposed in this
- 2 document. The IOU proposal suggested that, by
- 3 raising the threshold of performance from 350 to
- 4 600 grams in terms of a MaP score, or 12 ounces
- 5 to 21 ounces, that double-flushing of toilets can
- 6 be eliminated, and nothing could be more wrong.
- 7 The primary focus of the IOUs' proposal
- 8 appears to be this topic of double-flushing;
- 9 unfortunately, the authors and proponents of this
- 10 proposal only considered that MaP testing was a
- 11 measure of every element of performance of a
- 12 toilet. In fact, there are other causes of
- 13 double-flushing, and I'm not going to get into
- 14 that now because I don't think some of the folks
- 15 in the room would want to hear about double-
- 16 flushing and the reasons for it. But let me say
- 17 that MaP only measures one element of flush
- 18 performance. There are other elements measured
- 19 in the ASME CSA Standard, and those were never
- 20 considered in this document.
- 21 So the premise that double-flushing can
- 22 be eliminated by raising this threshold and
- 23 somehow achieving better customer satisfaction is
- 24 seriously flawed. In fact, as I said, our
- 25 experience has shown us that, by increasing the

- 1 threshold it will do nothing to reduce water
- 2 consumption, and therefore will do nothing to
- 3 reduce energy consumption.
- 4 The document also bases all of its
- 5 findings on one medical study, yet we have shown
- 6 that there are three medical studies that are
- 7 posted on the website, our website, that
- 8 substantiate the thresholds that exist now,
- 9 either in our own work, in the WaterSense work,
- 10 in the WaterSense threshold, and the ASME CSA
- 11 Standard. So selectively analyzing just one
- 12 study to get to a recommendation by these four
- 13 utilities is to me somewhat intellectually
- 14 dishonest.
- 15 Other learned professionals, and those of
- 16 you who know John Swaffield, Professor Emeritus,
- 17 School of the Built Environment, Heriot-Watt
- 18 University in Edinburgh, provided his own data on
- 19 this topic and evaluated the MaP protocol, the
- 20 thresholds, and so forth. And he's one of the
- 21 most heralded professors and experts in the field
- 22 of water closet performance, drain line
- 23 performance, waste movement, etc., in the world
- 24 -- and I should say "the late John Swaffield."
- 25 But in 2010, he reviewed the MaP thresholds and

- 1 concurred that they were correct and perhaps even
- 2 a bit high. So what he's saying is, even 350 is
- 3 more than is what is required of the normal
- 4 toilet and normal operations.
- 5 And the second premise that I think is
- 6 flawed is that somehow there are thousands of
- 7 dissatisfied users out there of these new
- 8 toilets. And, in fact, the WaterSense Program,
- 9 and its customer satisfaction is well-documented,
- 10 customers are satisfied, customers of the water
- 11 utilities of using WaterSense toilet
- 12 installations, customers of all the
- 13 manufacturers, the members of Plumbing
- 14 Manufacturers International, they're producing
- 15 outstanding product and the customer feedback is
- 16 also outstanding, so to say that you're going to
- 17 increase user satisfaction by increasing a score
- 18 is incorrect. And I'm going to stop there. I'm
- 19 going to document all of this in written
- 20 comments, but I think the most egregious thing
- 21 about this is that the four energy utilities have
- 22 suggested that the California Energy Commission
- 23 take over a private enterprise, take over MaP
- 24 testing, its trademark, and all the elements of
- 25 it, and turn it over to the CEC so they can do it

- 1 instead. And I find that to be rather troubling.
- 2 As one of the owners of MaP testing, I
- 3 think that perhaps we should all be glad that the
- 4 staff has rejected such an approach. Thank you.
- 5 MR. SINGH: Thank you, John. Next is
- 6 Tony Brunello from Green Technology Leadership
- 7 Group.
- 8 MR. BRUNELLO: Thank you. Hi. Tony
- 9 Brunello with Green Tech Leadership Group.
- 10 Hello, Mr. McAllister. I actually just had a
- 11 quick question of whether energy used from
- 12 sensors and toilets and sinks, etc., were
- 13 incorporated into this analysis at all?
- MR. NGO: Yes, it does.
- MR. BRUNELLO: They were. And were there
- 16 full home systems, as well?
- 17 MR. NGO: Most of the time, I did not
- 18 calculate the ones for in homes, just commercial.
- 19 MR. BRUNELLO: Okay, great. Thank you.
- MR. NGO: You're welcome.
- 21 MR. SINGH: Thank you, Tony. Next is
- 22 Heidi Hauenstein from IOUs.
- MS. HAUENSTEIN: Good morning. I'm Heidi
- 24 Hauenstein representing the Statewide IOU Codes
- 25 and Standards Team. Thank you for the

- 1 opportunity to provide input into this
- 2 rulemaking. I think that there's a slide that
- 3 I'm going to try and pull up, and I think we're
- 4 working on that now. But in the meantime, I will
- 5 get started.
- 6 So California urgently needs to address
- 7 water efficiency and water conservation.
- 8 Addressing these water shortages is an urgent
- 9 matter. With dangerously low rainfall and
- 10 snowpack levels in recent years and projections
- 11 that drought will continue as climate change
- 12 takes hold, it's important that we increase our
- 13 water efficiency.
- 14 As Tuan mentioned, on January 17th,
- 15 Governor Brown proclaimed a state of emergency
- 16 and asked all state agencies to take all
- 17 necessary actions to repair and respond for
- 18 drought conditions. We commend the Energy
- 19 Commission for responding to the Governor's
- 20 directive by prioritizing the updates to the
- 21 Title 20 Standards for toilets, urinals, and
- 22 faucets.
- 23 After completing our own thorough review
- 24 and reviewing the Energy Commission's proposal,
- 25 however, we believe that the Energy Commission

- 1 has left significant savings on the table. We
- 2 encourage the Energy Commission to embrace this
- 3 opportunity to establish Water Efficiency
- 4 Standards that will result in the largest water
- 5 savings opportunity. Establishing more stringent
- 6 Water Efficiency Standards is a cost-effective
- 7 intervention to reduce California's water demand.
- 8 It may actually be the most cost-effective
- 9 intervention, particularly when compared to
- 10 solutions that aim to increase the potable water
- 11 supply.
- MR. RIDER: Heidi, would you mind, which
- 13 --
- MS. HAUENSTEIN: You had it open for a
- 15 second.
- 16 MR. RIDER: Which slide were you -
- MS. HAUENSTEIN: You can just go to slide
- 18 1, that's fine. Thank you. I'll ask you to flip
- 19 them when I'm ready. Thank you. So we believe
- 20 that California should continue to lead the way
- 21 on efficiency standards. California can set more
- 22 stringent standards because it has a more dire
- 23 water and energy resource constraint problem than
- 24 the nation as a whole. Water costs and energy
- 25 costs are higher in California than the national

- 1 average. We also want to point out that
- 2 California represents about 10 percent of the
- 3 national market, so if California sets a
- 4 standard, then a manufacturer that doesn't want
- 5 to comply with the California Standards still has
- 6 the opportunity to sell products in the rest of
- 7 the country which represents 90 percent of the
- 8 market.
- 9 So the Proposed Standards build upon the
- 10 WaterSense specification. We understand that a
- 11 lot of time and energy has been spent in
- 12 developing the WaterSense specification. The
- 13 WaterSense sets a bar for Water Efficiency
- 14 Standards for the entire nation, it established a
- 15 foundation for performance standards, and it
- 16 helps increase the market share of water
- 17 efficiency products. WaterSense has done such a
- 18 good job that the market share has reached at
- 19 least 50 percent for toilets.
- 20 Energy Star, which is the energy
- 21 consuming equivalent to WaterSense, updates their
- 22 specifications once the market share reaches
- 23 about 30 percent. WaterSense has not followed
- 24 suit and they have not updated their Water
- 25 Efficiency Standards, even as market penetration

- 1 has reached 50 percent.
- 2 Given California's dire water situation,
- 3 we believe that establishing the Title 20
- 4 Standards that are equivalent to WaterSense
- 5 levels is not appropriate for California.
- 6 California is best served to set
- 7 standards that will result in the largest water
- 8 savings, provided those standards are cost-
- 9 effective and justified given the products that
- 10 are currently available on the market.
- 11 The IOU Team has presented a number of
- 12 ways that the Energy Commission can adopt Water
- 13 Efficiency Standards that will result in higher
- 14 water savings. I'm going to go into detail on
- 15 four of the specific proposals today, but our
- 16 proposal that we submitted to the docket has
- 17 additional recommendations.
- 18 So the four ones I want to focus on are,
- 19 1) establishing a maximum flush volume of 1.28
- 20 gallons per flush for dual flush toilets, 2)
- 21 adopting a MaP standard of 600 grams, 3)
- 22 establishing a 0.125 gallon per flush standard
- 23 for urinals, and 4) establishing a lavatory
- 24 faucet standard of one gallon per minute.
- 25 The first item is encouraging the Energy

- 1 Commission to establish a maximum flush volume of
- 2 1.28 gallons per minute for dual flush toilets.
- 3 If CEC uses the effective flush volume, the full
- 4 flush volume can exceed 1.28 gallons per minute,
- 5 it can actually consume as much as 1.6 gallons
- 6 per minute. We know what dual flush toilets are
- 7 not achieving the maximum savings because they
- 8 can use more water in the full volume flush.
- 9 ASHRAE 189.1 is in the process of
- 10 eliminating the use of the effective flush volume
- 11 and we believe that the Energy Commission should
- 12 follow suit. As of July 2013, 34 percent of
- 13 WaterSense products are dual flush.
- 14 The second recommendation is that we
- 15 recommend that the Energy Commission adopt a 600
- 16 gram threshold for toilets -- MaP threshold for
- 17 toilets. Can you flip to the next slide? Thank
- 18 you.
- 19 So it's crucially important that we would
- 20 maintain user satisfaction as water efficiency
- 21 increases. So solid waste events often exceed
- 22 350 grams. So if you can look at the slide here,
- 23 the top slide shows the average flushes per year
- 24 that exceed 350 grams -- or, let's see, let me
- 25 explain this better -- so on the top, the bottom

- 1 shows the MaP score, the Y axis shows the number
- 2 of flushes that exceed that particular MaP score.
- 3 So at the CEC proposal, at 350 grams, we estimate
- 4 that two in 10 men or 20 percent of men are
- 5 flushing more than 350 grams twice a month. So
- 6 if you average that out, that's 98 million
- 7 flushes per year that exceed 350 grams. That is
- 8 a lot of water, it's not nothing. So if you
- 9 continue on to the right, if you increase the MaP
- 10 threshold to 600 grams, we anticipate that nobody
- 11 is going to be flushing more than 600 grams,
- 12 which means that the double flush will reduce
- 13 significantly.
- 14 On the bottom graph you actually see the
- 15 percent of products in the MaP database that
- 16 exceed certain MaP thresholds. So 99 percent of
- 17 the products in the MaP database exceed the 350
- 18 gram threshold. If you move to the right, you
- 19 see that 91 percent of the tank-type toilets
- 20 exceed 600 grams, so there's eight percent of the
- 21 products that do not meet the 600 gram threshold,
- 22 but by moving that MaP threshold up, you're
- 23 effectively claiming the savings of 98 million
- 24 double flushes a year.
- I also wanted to point out that the MaP

- 1 test procedure doesn't accurately account for
- 2 toilet paper in their test, they use single ply
- 3 paper, where we know that it's more common to see
- 4 double or triple ply paper in residential
- 5 applications.
- 6 We know that increasing the performance
- 7 threshold to 600 grams would not have a
- 8 detrimental effect on manufacturers. The most
- 9 toilet manufacturers already have 600 gram toilet
- 10 models. Can you flip to the next slide, please?
- 11 So we looked at the brands that have WaterSense
- 12 products listed in the MaP database, overall
- 13 there are 106 brands, 27 brands have chosen not
- 14 to test their products to failure, so to be
- 15 WaterSense certified, you can test your product
- 16 at 350 grams and if it passes that test, then you
- 17 can get your WaterSense certification. So the 27
- 18 brands have chosen to do that. Those 27 brands
- 19 represent 167 models, and so those 26 (sic)
- 20 brands are not the major players. Most of the
- 21 major players have chosen to test their products
- 22 all the way to failure, or at least to the
- 23 thousand gram test level. So of those 79 brands
- 24 that actually tested to failure, we found that 75
- 25 brands have products that meet the 600 gram

- 1 threshold, and only four brands do not have
- 2 products already on the market that meet the 600
- 3 gram threshold. And of those four brands, those
- 4 are not major manufacturers. Those four brands
- 5 only have six unique toilet models listed.
- 6 The other point is that the IOU proposal
- 7 would reduce the test burden on manufacturers by
- 8 eliminating up to three of the repetitions of the
- 9 MaP test because we would not be testing at 350,
- 10 400, or 500 grams.
- 11 We also found that increasing the MaP
- 12 threshold will have a positive impact on
- 13 consumers. Consumers will have access to toilets
- 14 that perform better at no increased cost. And
- 15 then, finally, toilets that use less than 1.28
- 16 gallons per flush can meet the 600 gram
- 17 performance test threshold.
- 18 MaP has assembled a list of MaP premium
- 19 toilets that use 20 percent less water, but still
- 20 meet the 600 gram threshold. There are already
- 21 104 models that meet this MaP premium level.
- 22 This illustrates that there is still room for
- 23 improvement in water efficiency while maintaining
- 24 the high performance.
- 25 All right, so the third point I wanted to

- 1 make was that we encourage the Energy Commission
- 2 to adopt the 0.125 gallon per flush urinal
- 3 standard. As discussed in detail in the case
- 4 report, 0.125 gallon per flush urinals are
- 5 readily available, 34 percent of the WaterSense
- 6 certified products already meet the 0.125 gallon
- 7 per flush standard, and we've done extensive
- 8 research and we haven't found any examples where
- 9 the pint urinals cause damage to drain lines.
- 10 The City of LA has had a 0.125 gallon per flush
- 11 standard in effect since 2010 and they have not
- 12 reported any problems with drain lines either.
- 13 I want to reiterate that we believe that
- 14 the Energy Commission would miss out on 134
- 15 million gallons of water savings and 1.3 gigawatt
- 16 hours of embedded electricity savings by not
- 17 adopting the more stringent standard. When stock
- 18 turns over, that savings will be up to 1.7
- 19 billion gallons of water and 16.8 gigawatt hours
- 20 of embedded energy.
- 21 Finally, the IOUs encourage the Energy
- 22 Commission to adopt the 1.0 gallon per minute
- 23 lavatory faucet standard.
- 24 In conclusion, the IOUs encourage the
- 25 Energy Commission to embrace this opportunity and

- 1 establish water efficiency standards that will
- 2 result in the largest water savings possible,
- 3 provided that those standards are cost-effective
- 4 and they're justified given the current market
- 5 situation.
- 6 There are many recommendations in the IOU
- 7 proposal. We wanted to reiterate the four most
- 8 important points. We encourage the Energy
- 9 Commission to adopt 1.28 gallon per flush
- 10 standard as the maximum flush volume for dual
- 11 flush toilets, that the Energy Commission should
- 12 adopt a 600 gram MaP threshold, a 0.125 gallon
- 13 per flush urinal standard, and 1.0 gallon per
- 14 minute lavatory faucet standards. Thank you for
- 15 the opportunity to provide comments and we'll be
- 16 submitting written comments.
- 17 MR. SINGH: Thank you, Heidi. The next
- 18 card I have is from Tracy Quinn, NRDC.
- MS. QUINN: Hi, good morning.
- 20 MR. SINGH: Good morning. Thank you.
- 21 MS. QUINN: I'd like to start by
- 22 acknowledging the incredible hard work that the
- 23 CEC staff put into their report, and so thank you
- 24 for that effort.
- 25 Many people this morning have brought up

- 1 the incredible drought that we're experiencing
- 2 here in California, and I wanted to mention that,
- 3 you know, this isn't the first drought we've
- 4 seen, it certainly won't be the last. And with
- 5 climate change, droughts are going to get more
- 6 intense and be more frequent. Meanwhile, the
- 7 population in the state is continuing to
- 8 increase, so it's important not just for this
- 9 drought, but for the sustainability of our state
- 10 to put forth product standards that will help us
- 11 to use water as efficiently as possible.
- 12 I'd like to start briefly just by
- 13 pointing out the parts of the CEC staff proposal
- 14 where we support it as written, in general. So
- 15 we agree with -- we support the CEC staff
- 16 proposal for toilets, for kitchen faucets, and
- 17 for public lavatory faucets. There are some
- 18 areas where we, like the IOU Team, believe that
- 19 CEC has the opportunity to push forward and go
- 20 beyond WaterSense, and where it's incredibly not
- 21 only important and appropriate, but necessary
- 22 given our current status of water availability in
- 23 the state.
- 24 So I'll start with dual flush toilets.
- 25 As Heidi mentioned, we would like to see the CEC

- 1 establish a maximum flush volume of 1.28 gallons
- 2 per flush for valve type dual flush toilets,
- 3 specifically. We also support the IOU proposal
- 4 for a urinal standard of 0.125 gallons per flush
- 5 for many of the reasons that Heidi stated. The
- 6 1.0 urinals are available now, they're
- 7 affordable, and they meet consumer expectations
- 8 in terms of performance and utility. As Heidi
- 9 mentioned, they've been used, there's been a
- 10 standard in Los Angeles since 2010, and there are
- 11 no reported issues with that.
- 12 I spoke with Los Angeles staff yesterday
- 13 and they confirmed that, as well. And we also
- 14 support the IOU proposal for a home lavatory
- 15 faucet of one gallon per minute flow rate at 60
- 16 PSI in the .5 gallon per minute at 20 PSI. Like
- 17 the urinals, these are widely available, they are
- 18 affordable, and both of the urinals and the home
- 19 lavatory faucets will result in the optimal water
- 20 and energy savings for the state. I believe in
- 21 the addendum that the IOU Team provided to their
- 22 case report, they showed that the savings between
- 23 the Staff Report proposal and the IOU Team
- 24 Proposal were credible for the urinals alone -- I
- 25 hope I have that number. The first year's

- 1 savings would be 134 million gallons of water and
- 2 by 2026, it would be 1.68 billion gallons of
- 3 water. Given the water situation here in
- 4 California, I think that we absolutely need to
- 5 set these standards to the most stringent levels
- 6 possible. Thank you.
- 7 MR. SINGH: Thank you, Tracy. And the
- 8 next card I have is for Jon McHugh from MEC.
- 9 MR. MCHUGH: Good afternoon, Commissioner
- 10 McAllister; good afternoon, guests. I thought
- 11 I'd start off today -- this is Jon McHugh from
- 12 McHugh Energy Consultants -- and I thought I'd
- 13 start off with, well, my wife used to be a
- 14 teacher and in teaching a lot of times to teach
- 15 about certain topics, they used what they call
- 16 "manipulables," basically physical objects to
- 17 help understand the issues at stake here. And I
- 18 was wondering if you could bring up my slides?
- 19 I've got a couple things here, one is the half
- 20 gallon per flush that we're looking at for a
- 21 urinal and comparing that to the pint, and just
- 22 to think about some common sense about what does
- 23 it actually take to actually clean a urinal after
- 24 flushing -- is that -- why don't you go back to
- 25 the very beginning? There are only two slides?

- 1 Oh, okay.
- 2 MR. RIDER: Sorry. There we go.
- 3 MR. MCHUGH: Next one, please. So as we
- 4 all know, there is a water emergency on and
- 5 potentially this is the beginning of more water
- 6 emergencies, and the question is, is can the
- 7 state actually provide policy leadership for the
- 8 rest of the country in terms of water efficiency
- 9 and also -- and when I talk about water
- 10 efficiency, I'm not thinking about what Ronald
- 11 Reagan called -- how he described conservation,
- 12 which was being too cold or too hot, and being in
- 13 the dark. So the idea of efficiency is to
- 14 actually have the same level of amenity while
- 15 reducing energy or water consumption. And this
- 16 is, I think, the point of what we're trying to
- 17 promote here. Next slide, please.
- 18 And just to bring it home, you know,
- 19 there is a water emergency, snow packs, about a
- 20 third of its historic average is State Water
- 21 Resources Control Board is looking at a
- 22 curtailment order, curtailment of water for all
- 23 the junior owners of these various watersheds and
- 24 there's a variety of different Water Districts
- 25 that are looking at curtailment. Next slide,

- 1 please.
- 2 And more to come. So this is just a
- 3 description of global warming and the impact on
- 4 what we expect to be future amounts of water.
- 5 And so I'm going to talk briefly about, again,
- 6 using some common sense.
- 7 I actually brought what is for the MaP
- 8 score, what they do is they actually use
- 9 essentially soybean paste and I've taken the same
- 10 350 grams of soybean paste and it's not a huge
- 11 amount of volume. And Mr. Koeller had mentioned
- 12 that we use a particular study to look at what is
- 13 the extrapolation from a study that was done, I
- 14 believe, over 40 years ago, and that particular
- 15 medical study is the same study that was used as
- 16 the basis for the WaterSense, their description
- 17 -- if you go to their website and you look at the
- 18 description of how they selected 350 grams,
- 19 you'll find that they use that exact same study,
- 20 so we're using that study and it has a study that
- 21 talks about averages and standard deviation. And
- 22 you know, my daughter thinks I'm kind of crazy,
- 23 you know, looking into these things, but it's a
- 24 very simple statistic looking at averages,
- 25 looking at standard deviations, and these values

- 1 that are listed here on this graph are related to
- 2 the averages and standard deviations from that
- 3 medical study.
- 4 And the issue is, is that this medical
- 5 study also looked at women and women in general
- 6 are smaller than men, and so they are not the
- 7 basis of designing waste extraction systems. But
- 8 the men in the household, typically larger, eat
- 9 more, all those kinds of things, they are the
- 10 ones that determine when a toilet might fail.
- 11 And also, counter to what John was just saying
- 12 earlier, because there might be multiple reasons
- 13 for a toilet to backup or fail doesn't mean that
- 14 the particular reason --
- MR. RIDER: Can you keep at the mic
- 16 because people online won't be able to hear what
- 17 you're saying.
- 18 MR. MCHUGH: Oh, sure. Okay, I was going
- 19 to stand by the graphic.
- 20 MR. RIDER: I can point to something.
- 21 MR. MCHUGH: Okay, yeah, why don't you
- 22 point to the Y axis there? So the Y axis
- 23 indicates how many times per year do we expect
- 24 that the flushing, the very procedure that John
- 25 uses to identify whether waste is fully extracted

- 1 from the toilet, how many times per year is the
- 2 waste not fully extracted. So this is not
- 3 describing all the other things that, indeed,
- 4 other things do cause toilets to backup, but what
- 5 this does indicate is that if the state actually
- 6 adopted a standard that was based on 350 grams,
- 7 and there was a significant fraction of the
- 8 market designed to that level, we could expect
- 9 that for the top 20 percent of men, that they
- 10 would experience a failure every two weeks. So
- 11 the issue is, is this acceptable?
- Now, John and I have had conversations
- 13 before about the MaP test and the example that he
- 14 gave is, you know, setting the score at 600 is
- 15 like having a car that goes 200 miles per hour,
- 16 you know, you can't drive 200 miles per hour on
- 17 the highway, but I actually look at it
- 18 differently, I look at it that the MaP test,
- 19 actually what it's doing is that setting the
- 20 standard at 350 grams is like having a car that
- 21 is acceptable for the car to stall every two
- 22 weeks.
- 23 And so this is really what the issue is,
- 24 it's about failure as opposed to being able to
- 25 flush more than you normally would, it's those

- 1 times when it fails. And what does that do to
- 2 the market? It basically provides a situation
- 3 where you have less amenity along with lower flow
- 4 rates. What we want to do is actually make sure
- 5 that we have equal or greater amenity for the
- 6 reduced amount of flow. And if you look at the
- 7 data in the database, this is very similar to
- 8 what Heidi has, I actually broke this out by both
- 9 tank type models and flushometer models, those
- 10 are the two top lines, what you see is that in
- 11 both cases, of the products that are listed that
- 12 list their extraction value, that over 90 percent
- 13 of the products meet this. And you really have
- 14 to look at what the market actually has available
- 15 and also what makes sense. And so if the market
- 16 only had 10 percent of products, we wouldn't be
- 17 recommending to set something that knocks out,
- 18 you know, 90 percent of the market. But the
- 19 reality is, for reasons that I don't understand
- 20 why manufacturers would be in opposition to
- 21 actually potentially removing some of the low
- 22 quality competitors in the market, because all
- 23 this really does is it says I should be
- 24 redesigning my toilet so that it works. And 90
- 25 percent of the products already work. So what is

- 1 the value to the industry to actually set a low
- 2 standard, and potentially having people upset
- 3 with the quality of low flush toilets? Now, the
- 4 argument is made that, you know, we're not
- 5 getting a bunch of complaints, but tell me
- 6 something --
- 7 MR. SINGH: Jon, please speak into the
- 8 mic and also we have a limited time, actually, we
- 9 have the people on the --
- MR. MCHUGH: Thank you. When people are
- 11 experiencing the toilets backed up, are they
- 12 immediately calling up the manufacturer and
- 13 saying, "I'm going to find out the model number
- 14 and I'm going to call up the manufacturer"? No,
- 15 they just suck it up, they're tired with it, but
- 16 also what happens is that plumbers and various
- 17 people start going, "Yeah, you know, you don't
- 18 want to get the flush and pump toilet, the flush
- 19 and brush toilet," all the various negative
- 20 things that can be ascribed to modern toilets.
- 21 We do not want that. That is not desirable for
- 22 the manufacturers, it's not desirable for the
- 23 market, and it's not desirable for the state.
- 24 And then finally, just going back to
- 25 urinals, it doesn't make common sense that we

- 1 really need half a gallon to flush a couple of
- 2 ounces of urine out of a toilet. Thank you very
- 3 much for your time.
- 4 MR. SINGH: Thank you, Jon. I'm sorry
- 5 that I had to cut you off.
- 6 MR. MCHUGH: That's all right.
- 7 MR. SINGH: And the next card is for
- 8 Eddie Moreno from Sierra Club.
- 9 MR. MORENO: Good morning, Commissioner
- 10 McAllister and staff. I'd like to thank you for
- 11 hosting this very informative workshop and giving
- 12 Sierra Club the opportunity to participate.
- 13 Sierra Club would also like to thank you for
- 14 drawing greater attention to the water and energy
- 15 nexus with the transportation and treatment of
- 16 water and disposal of water, and the energy used
- 17 to heat and consume water account for 20 percent
- 18 of the total electricity and 30 percent of the
- 19 demand side natural gas burned in California.
- 20 Holding household appliances such as toilets,
- 21 urinals, and faucets to higher standards and
- 22 water use efficiency saves water in a state
- 23 plagued by drought, and it yields energy savings
- 24 while cutting greenhouse gas emissions.
- 25 The Sierra Club will submit written

- 1 comments and supports the staff recommendation,
- 2 but would like to support some of the statements
- 3 made today, as well. For the toilets, we support
- 4 the 1.28 gallons per flush maximum for the dual
- 5 flush toilets, but we'd like to see a MaP score a
- 6 little higher than 350. We got some excellent
- 7 information over the last week and today we'd
- 8 like to sort of review that, but 600 grams might
- 9 be more appropriate and able to ensure that the
- 10 products maintain utility while continuing to
- 11 conserve energy and water. There are products
- 12 that will not meet these proposed standards, but
- 13 the majority of the products that are available
- 14 today already do so. Though there are many
- 15 factors that contribute to double flushing,
- 16 increasing the MaP threshold to 600 grams helps
- 17 cut away the approximate, I guess, 125 million
- 18 gallons of water wasted due to double flushing.
- 19 And we believe that it will help prepare the
- 20 industry for future standards and it will
- 21 continue to encourage consumers to buy water
- 22 efficient products. Thank you.
- MR. SINGH: Thank you, Eddie. I think
- 24 this was the last card I had, so we'll open the
- 25 lines for the people who are on the web, so can

- 1 you please?
- MR. RIDER: Yeah, folks on the line,
- 3 we're going to mute. I don't see anyone who has
- 4 raised their hand. If you'd like to speak, raise
- 5 your hand, but I have not seen anyone. I'm going
- 6 to mute these lines, so if you could mute your
- 7 phone before I do that, so you don't get caught
- 8 in the middle of a conversation -- oh, I do have
- 9 one person raising their hand. I'll take the
- 10 people who raise their hand first, and then move
- 11 to generally. So again, if you're not planning
- 12 on saying anything, please mute your line now,
- 13 otherwise you may say something to the entire
- 14 Commission. So I have Marianne Di Maseio. I'm
- 15 going to go ahead and unmute her. You should be
- 16 unmuted, Marianne.
- MS. DI MASEIO: Okay, hi. Thank you.
- 18 Hi, my name is Marianne Di Maseio. I work for
- 19 the Appliance Standards Awareness Project. We're
- 20 a nonprofit advocacy organization working to
- 21 advance appliance lighting and equipment
- 22 efficiency standards at state and federal level.
- 23 And we've been doing this work since 1999.
- 24 Because of our exclusive focus on efficiency
- 25 standards nationwide, it feels like we're in a

- 1 unique position to comment on the California
- 2 Standards, their importance, and their impact on
- 3 the whole country. We appreciate the work the
- 4 CEC has done over the many years, and in
- 5 particular on this docket for water using
- 6 standards. So thanks for allowing me to speak
- 7 today. And I don't know if you know, but the
- 8 hearing falls on the same day that President
- 9 Obama unveiled an assessment of how climate
- 10 change has already affected and will continue to
- 11 affect the U.S.
- 12 In the report today, you noted that
- 13 increased incidents of drought in California, the
- 14 Southwest, and the Midwest, and I know California
- 15 already knows the danger and the urgency of these
- 16 drought conditions, and it's great that you have
- 17 the opportunity to really do something about it
- 18 with this docket. So I'd like to make three
- 19 points in my short comments today, 1) that the
- 20 more stringent standards for the water using
- 21 products are warranted, 2) that California has
- 22 led the country in this area and hope they
- 23 continue to do so, and 3) the timing is right for
- 24 the strong standards, given the context, the
- 25 drought and market conditions.

1	So	first	Ι	would	like	to	thank	the	CEC
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- 2 for their extensive research and they've really
- 3 done a lot of outreach to stakeholders in this
- 4 rulemaking. And while the standard levels that
- 5 CEC proposed will save water and energy, I
- 6 believe they fall short of capturing the cost-
- 7 effective savings, particularly in the context of
- 8 the drought and the availability of these
- 9 products in the California market. So I'd like
- 10 to express our strong support for the more
- 11 stringent standards levels proposed by the
- 12 California IOUs, NRDC in the case report. I
- 13 won't go into detail on the report because Heidi
- 14 and Tracy have already done that, but I would
- 15 like to make a couple of points. One is about
- 16 the performance standards for toilets, I just
- 17 want to have it be really loud and clear that we
- 18 do not want any kind of repeats of the earlier
- 19 toilet standards when poor toilet performance
- 20 made the headlines.
- Just a couple years ago, I was listening
- 22 into a hearing on lighting standards when a
- 23 prominent U.S. Senator vehemently complained
- 24 about a poorly performing toilet that he had
- 25 purchased after the standards went into effect

- 1 more than 20 years ago, and he was still mad
- 2 about it 20 years later, talking about it at a
- 3 hearing. So I think the implications of having
- 4 something that doesn't work well are really
- 5 large. So we need to really set the performance
- 6 standards to prevent this kind of backlash. And
- 7 I know Heidi was already saying that 91 percent
- 8 of the toilets in the database already made the
- 9 MaP threshold that they were looking at, so it's
- 10 clearly an attainable goal.
- 11 For urinals and faucets, I won't go into
- 12 details, but I urge the CEC to go with the more
- 13 stringent standards proposed in the case report,
- 14 that are available to the California consumer,
- 15 they're affordable, they're technically feasible,
- 16 and they would increase the potential water and
- 17 energy savings.
- 18 The second point I wanted to make
- 19 pertains to California's role in influencing the
- 20 standards in other states and in the country as a
- 21 whole; it's really a vital role that CEC plays
- 22 and I hope you all understand the impact. I talk
- 23 to state leaders and utilities and people around
- 24 the country who literally wait for California to
- 25 act on standards so that they may follow suit.

- 1 And I know your primary job is to think of what
- 2 is best for the state and the residents of
- 3 California, but I really think it's important to
- 4 think about the impact on the rest of the nation,
- 5 it's really too big to ignore. You've been a
- 6 leader in setting efficiency standards,
- 7 tremendous implications for standards throughout
- 8 the country from refrigerators back in the '70s
- 9 and '80s to the TVs and battery chargers more
- 10 recently, and there's a long list of products in
- 11 between, and California has taken the lead and
- 12 other states have followed, and in many cases
- 13 National Standards. So as you make your
- 14 decisions, I urge you to also consider the
- 15 implications for energy and water savings for the
- 16 entire country and with particular thoughts to
- 17 also the changing climate and that there are now
- 18 many other drought stricken regions.
- 19 And as a non-Californian, we hear about
- 20 the intense drought in California and think what
- 21 an opportunity you really have to do this right,
- 22 to set standards that will really be able to save
- 23 huge amounts of water, save energy, save
- 24 residents money. So I know there is pressure to
- 25 set uniform standards to keep with the

- 1 WaterSense, but from the specifics presented
- 2 today and with the industry already moving in the
- 3 right direction for the more efficient products,
- 4 it really seems like you're in such a unique
- 5 moment in time, it's the right time, the right
- 6 place, the right market to set the more stringent
- 7 standards, and it's also the right thing to do
- 8 with this environment. So I urge you to take
- 9 bold action and go for the greatest impact for
- 10 both California and for the rest of the country.
- 11 So thank you for allowing me to speak and we will
- 12 submit comments.
- MR. RIDER: Thank you. We don't have a
- 14 whole lot of time left, so there are a few other
- 15 people who would like to comment on the phone if
- 16 you could keep it fairly brief. I've got next
- 17 George Nesbitt. Just one second, George.
- 18 COMMISSIONER MCALLISTER: Ken, can I just
- 19 make a quick comment?
- MR. RIDER: Yeah, go ahead.
- 21 COMMISSIONER MCALLSISTER: I just want to
- 22 jump in. So I want to acknowledge all the folks
- 23 who are talking -- I was remiss in not mentioning
- 24 the drought in my opening comments, but I think I
- 25 just want to let everybody know that definitely

- 1 is on the radar screen, and the Governor has made
- 2 it a priority, we are clearly in a state of
- 3 emergency that now covers the whole state. And
- 4 Chair Weisenmiller here at the Commission has
- 5 highlighted this on a number of occasions in our
- 6 release about this rulemaking and in other fora
- 7 about, you know, we have to keep water and
- 8 climate at the forefront of our minds when we're
- 9 making policy in this front. So I want to just
- 10 reassure in some sense those who are rightly
- 11 highlighting the drought as a driver of some of
- 12 the urgency here. And just wanted to note that
- 13 so that all of you know that that is actually
- 14 something that we're focusing on. Again, we have
- 15 to interact with the marketplace, we have to make
- 16 sure that what we do takes into account all the
- 17 constraints in a way that makes sense, so the
- 18 process can hopefully move forward to do that.
- 19 So thanks for all of your on point comments.
- 20 MR. RIDER: Great. So George, I'm going
- 21 to go ahead and unmute you and you should be able
- 22 to speak.
- 23 MR. SINGH: One of the requests I want to
- 24 make is that please keep the comments short
- 25 because we plan to finish by 11:00 and we're over

- 1 the time limit, so we still have a few more
- 2 speakers here. So if you could keep it up to two
- 3 minutes, would appreciate. Thank you.
- 4 MR. RIDER: Go ahead, George.
- 5 MR. NESBITT: Yes, George Nesbitt. I'm a
- 6 HERS Rater for Green Rater. First a question,
- 7 was the 1.28 gallons for toilet a maximum or an
- 8 average that would allow dual flush 1.6.8
- 9 toilets?
- 10 MR. NGO: For dual flush?
- MR. NESBITT: Yeah.
- 12 MR. NGO: Dual flush could be a
- 13 combination of one large flush and two small
- 14 flush, then when you average it out, it should be
- 15 1.28 gallons per flush.
- MR. NESBITT: Okay. So I noted on your
- 17 chart on energy use, there was no electric use
- 18 for commercial lavatories. It's not uncommon
- 19 that you either have an electric instantaneous
- 20 and/or electric pump recirculating in commercial
- 21 buildings, and so there is electrical use and
- 22 savings to be had there. My understanding of
- 23 Cal Green is that it now includes existing
- 24 buildings, so when you replace your toilet and
- 25 your other fixtures, you have to meet the current

- 1 Cal Green and that there is a deadline for
- 2 upgrading all those fixtures if they haven't been
- 3 updated to the 1992 Federal Standards.
- 4 I have also seen on some new multi-family
- 5 projects that should have been Cal Green and
- 6 1.28, and they were 1.6 toilets. So if it's
- 7 available on the market, it has a way of getting
- 8 put in. I definitely think we need to ratchet
- 9 down from the fixture use as much as we can, but
- 10 I do think we have to be careful with the
- 11 performance issue and make sure that the products
- 12 perform and meet people's needs because, as most
- 13 people pointed out, bad equipment doesn't help
- 14 us.
- 15 The other thing is, we have to work on
- 16 the plumbing code. As we've gone to lower flow
- 17 fixtures, we're still sizing water distribution
- 18 systems, as well as flow rates, as well as drain
- 19 waste and vent, and some of my plumbers tell me
- 20 that smaller drains actually work better with the
- 21 lower flow fixtures, rather than large drains.
- 22 So we need to work on that, too. Thanks.
- 23 MR. RIDER: Thanks, George. I have Jim
- 24 Kemper here. I'm going to unmute you, George -
- 25 or I mean Jim, sorry.

- 1 MR. KEMPER: Hi, hello. I'm Jim Kemper
- 2 with the Los Angeles Department of Water and
- 3 Power. Our organization authored the local
- 4 ordinance to mandate the one pint urinals for new
- 5 construction and when fixtures are replaced in
- 6 existing constructions. And I can confirm that
- 7 our group has not received any reports of any
- 8 problems with these since the ordinance went into
- 9 effect in 2010. Thank you.
- 10 MR. RIDER: Thank you. So I'm going to
- 11 just do one of these ugly end mute all's and see
- 12 if anyone who only called in has anything to say,
- 13 so bear with me on this. Everyone is currently
- 14 unmuted, so if you had something to say, speak
- 15 now. Okay.
- 16 MR. SINGH: Thank you, Ken. I have PG&E,
- 17 Gary Fernstrom.
- 18 MR. FERNSTROM: Thank you. I have a
- 19 brief closing comment on behalf of PG&E, so
- 20 Commissioner McAllister, staff, interested
- 21 parties, I'd just like to say that we're acutely
- 22 aware that California has a dire water problem.
- 23 We and several others have shown objectively on
- 24 the record that we can have appliances offering
- 25 better performance and less water usage, those

- 1 are available in the market today, and they are
- 2 cost-effective. And we encourage the Commission
- 3 to look at the objective facts presented in the
- 4 record and act accordingly on behalf of the
- 5 public and California. The issue seems to be
- 6 whether to remain consistent with existing
- 7 standards or again to step forward and exercise
- 8 state and national leadership, we believe that
- 9 it's time for the Commission to again step
- 10 forward and do what's right for the state. Thank
- 11 you.
- 12 MR. SINGH: Thank you, Gary. Fernando,
- 13 do you have some comments?
- 14 MR. RIDER: I'll take one second here.
- MR. FERNANDEZ: I'd like to add a few
- 16 more comments here on this wonderful subject, and
- 17 sorry to ruin lunch. The IOU proposals are
- 18 predicated upon a one-dimensional approach to
- 19 energy savings. You save water, you save energy.
- 20 In a perfect vacuum, I would agree with that. I
- 21 think the one thing that's being missed clearly,
- 22 grossly neglected is this is about a balanced
- 23 approach and there's something called the
- 24 plumbing infrastructure, there's waste and
- 25 drainage that also needs to be taken into

- 1 account. In front of you, you see the effects of
- 2 water consumption on a urinal, water consumption
- 3 using something that we call fuzzy logic, what we
- 4 were experimenting with as low as one point to
- 5 one-quarter of a gallon per flush, with about 200
- 6 uses per day. And if you would actually zoom in
- 7 on that, the second row, you will see that
- 8 plumbing fixtures don't work in a vacuum, they
- 9 work in a system, and therefore you need to
- 10 realize what the cause and effect will be with
- 11 this approach. And those pictures speak for
- 12 themselves.
- Next, with respect to 1.28 gallons per
- 14 flush on toilets and 600 grams, the notion that
- 15 600 grams is going to eradicate double flushing
- 16 is a misnomer and, again, a gross underestimation
- 17 of how a product is designed. It's very
- 18 interesting to see how people here can use
- 19 statistics for their own suitable purposes, and
- 20 no one here had actually worked for a plumbing
- 21 manufacturer. I've worked for a plumbing
- 22 manufacturer who makes great toilets for 19
- 23 years, and the fact of the matter is at 350
- 24 grams, that is an ideal threshold to design a
- 25 toilet not only to industry standards, not only

- 1 to EPA WaterSense specifications, but it allows
- 2 manufacturers the design parameter room to
- 3 address other flushable wastes. You have
- 4 floating media, various types of floating media,
- 5 you have rim wash, and you also have various type
- 6 of sinking media, including what we have come to
- 7 know as MaP. TOTO was the creator of this
- 8 soybean paste media, and so we know very well how
- 9 it is best utilized, and by promoting a 600 gram
- 10 approach, you're actually promoting this
- 11 horserace to go higher and higher and higher, and
- 12 create an unbalanced approach for toilet design.
- 13 So that is something that I would like the
- 14 Commission to take into account. Okay, very
- 15 good, thank you.
- MR. SINGH: Thank you.
- 17 COMMISSIONER MCALLISTER: I had a quick
- 18 question here actually on that front. Fernando,
- 19 do you have any observations about the difference
- 20 between a retrofit applications and new
- 21 construction in terms of --
- MR. FERNANDEZ: For example, with
- 23 urinals, one pint has certain applications where
- 24 it can be proven very effective. I believe
- 25 plumbing codes are changing to address those

- 1 concerns. Where you want to have ideally
- 2 fixtures that introduce water into the plumbing
- 3 system just upstream of those one pint urinals,
- 4 so that you help get the added flows in the drain
- 5 line. When it is improperly applied, and
- 6 unfortunately no one can see through walls, no
- 7 one knows what the plumbing waste line
- 8 configuration is, or how many fixtures are indeed
- 9 upstream of one of these very low one pint urinal
- 10 fixtures. But again, when it is in its proper
- 11 application, it works. When you don't have water
- 12 coming upstream to help with the flow, you do
- 13 have issues like this where you have the struvite
- 14 formation buildup, it's basically the urine scale
- 15 that builds up.
- 16 COMMISSIONER MCALLISTER: I guess the
- 17 reason I ask is I'm kind of just interested in,
- 18 you know, there is this system, and a couple of
- 19 people have pointed out that it is a system issue
- 20 and not just a fixture issue, and so I think
- 21 that's something we wrestle with. But those
- 22 issues are going to play out differently in
- 23 retrofit applications by and large where you're
- 24 coming in to a system you don't maybe understand
- 25 as well versus a new construction where you have

- 1 control over the system, at least in theory. So
- 2 I guess it would be interesting if you have any
- 3 observations on that, you know, it's broader than
- 4 just Title 20, probably, it also goes to Title
- 5 24, but in any case it would be good to sort of
- 6 help us understand that issue.
- 7 MR. FERNANDEZ: Understood, Commissioner.
- 8 And TOTO Company is more than willing to help out
- 9 with additional comments.
- 10 COMMISSIONER MCALLISTER: I really
- 11 appreciate your presence. Thanks very much.
- MR. FERNANDEZ: Thank you.
- MR. SINGH: Thank you. With that, I
- 14 think we move to the next topic, Ken. You're
- 15 next.
- MR. RIDER: Great. And I want to thank
- 17 that last gentleman for that presentation because
- 18 I was really hungry and now I think I've got the
- 19 fortitude to make it through for the next until
- 20 we get to lunch. So we're a little bit behind,
- 21 so I'll try to get through this fairly quickly.
- 22 I'm Ken Rider. I'm an Electrical
- 23 Engineer with the California Energy Commission
- 24 and I'm working on some standards on heat pump
- 25 water chilling packages. I'm going to talk a

- 1 little bit about the pre-rulemaking process, the
- 2 purpose and intention that we have in proposing
- 3 these regulations, what the regulations include,
- 4 and what pieces of data we are looking to
- 5 collect, and also next steps in the process.
- 6 So we are in the workshop stage of the
- 7 pre-rulemaking process. We've released a Draft
- 8 Staff Report which is what this workshop is
- 9 about, and hopefully the folks here have had the
- 10 opportunity to review that. That Staff Report
- 11 contains the Proposed Regulations and the
- 12 rationale behind the proposals.
- 13 And so these Water Chilling Packages are
- 14 an interesting group of equipment where the
- 15 refrigerants actually directly cool water and
- 16 some really neat pieces of equipment that combine
- 17 with water heaters and space heating are being
- 18 designed today, and some of them are some of the
- 19 most advanced pieces of equipment that are being
- 20 looked at for ZNE buildings in the future.
- 21 COMMISSIONER MCALLISTER: Hey, Ken, can I
- 22 interrupt just quickly?
- MR. RIDER: Okay.
- 24 COMMISSIONER MCALLISTER: I want to
- 25 manifest that I'm really excited about this group

- 1 of technologies, that's my own little techy
- 2 chiming in, so heat pump technology has really
- 3 come a long way and I think there's a lot of
- 4 great applications going forward. I have to step
- 5 out and this is a staff workshop, so I don't
- 6 actually need to be here, I'm really just here
- 7 for my own info. But I wanted to point out that
- 8 my advisor, Pat Saxton, is in the back of the
- 9 room and he is my eyes and ears on this, as well,
- 10 so if you have any needs to communicate with my
- 11 office, you can communicate with me or with him,
- 12 probably even more effective to communicate
- 13 directly with him. And I wanted to just say
- 14 thanks to staff again and the rest of the staff
- 15 in turn for doing such a great job putting
- 16 together the workshop and teeing up the issues
- 17 and on the staff reports that are the source of
- 18 the conversation. Anyway, have a good rest of
- 19 the workshop. Thanks.
- 20 MR. RIDER: Thank you, Commissioner. So
- 21 one thing that any piece of equipment that is
- 22 used in California, especially the larger pieces
- 23 of equipment, is the need to have consistent ways
- 24 of testing and verifying performance and modeling
- 25 performance, especially as software becomes more

- 1 prominent in building designs.
- 2 Right now in the marketplace, these
- 3 pieces of equipment don't really have a reliable
- 4 central place to find performance data. Folks
- 5 come to the Energy Commission and describe
- 6 situations where they could not find some of the
- 7 key measurements of energy efficiency for this
- 8 equipment despite them being very energy
- 9 efficient. The Title 24 Building Code includes
- 10 requirements and aspects for this equipment in
- 11 Table 110.2-D, and they currently have created a
- 12 certification process temporarily for these
- 13 products so that there is a central repository.
- 14 However, Commission staff proposes to move these
- 15 requirements and testing standards into Title 20
- 16 Appliance Standards so that they will be
- 17 incorporated in our larger appliance efficiency
- 18 database where other equipment already resides,
- 19 such as split system air-conditioners, water
- 20 heaters, all of these common products already are
- 21 in that database. And we've proposed to make
- 22 this change in this move on January 1st of 2016.
- 23 We propose that these products be tested using
- 24 ANSI AHRI 550 590, the 2011 version, and we
- 25 propose that all equipment that is covered in

- 1 110.2(D), well, that also incorporates a heat
- 2 pump, would be covered and be certified. And
- 3 I've put the definition here, it's also in the
- 4 Staff Report, but this is the definition that
- 5 will determine whether a product meets the scope
- 6 and whether it would be something that could be
- 7 certified at the Energy Commission. So I
- 8 recommend you review and make comment whether
- 9 this is an adequate definition. It is mostly
- 10 taken from definitions in AHRI 550 590 to try to
- 11 align with the industry standards. I just wanted
- 12 to show you Table 110.2-D in the Building
- 13 Standards. You can find this, the 2013 Building
- 14 Standards are available online, and you can find
- 15 this table within that.
- I think the majority of equipment that
- 17 would probably be certified would be some of this
- 18 top category here, but some others could be
- 19 certified as well. As you can see in Title 24,
- 20 they are using the same test procedure, AHRI 550
- 21 590.
- 22 So there's several pieces of data that we
- 23 are looking to have reported to us from
- 24 manufacturers of this equipment. Some things
- 25 that aren't listed here that we require for all

- 1 manufacturers are things like brand name,
- 2 manufacturer name, model number, but I put here
- 3 on this slide some of the key pieces of
- 4 efficiency that we're looking to be reported to
- 5 us such as the heating and cooling capacity, some
- 6 of these systems are reversible, can do both
- 7 heating and cooling. The EER for cooling,
- 8 integrated part load value of available
- 9 coefficient of performance for heating, and COPR
- 10 of heat reclaiming if that's part of the system
- 11 and the test results. And so the idea would be
- 12 that manufacturers would provide this information
- 13 to the Energy Commission through the Appliance
- 14 Efficiency Certification process and we would put
- 15 all of these pieces of information online such
- 16 that building designers and inspectors can use
- 17 this for verification modeling, etc., and create
- 18 a kind of even playing field for performance
- 19 reporting, as well.
- 20 So next steps, so we're going to take
- 21 written and oral comment -- oral comment today in
- 22 the workshop. Written comments will be due by
- 23 June 6, 2014. And staff will take all these
- 24 comments on the proposal and change the proposal
- 25 if it makes sense and, if not, we will move

- 1 forward into the formal rulemaking process and
- 2 get these into law.
- I encourage anyone who has any questions
- 4 about this proposal, feel free to contact me, my
- 5 email and my phone number are provided on the
- 6 slide. In case you can't see it, my email is
- 7 Ken.Rider@Energy.Ca.Gov, and my phone number is
- 8 (916) 654-5006. And again, I'm always available
- 9 to answer questions or to discuss the proposal.
- 10 MR. SINGH: And I want to mention here,
- 11 the docket number is 14-AAER-1.
- MR. RIDER: Yeah, actually, this is the
- 13 incorrect docket number. Okay, well, I'm just
- 14 not going to leave this slide up is what I'm
- 15 going to do because I don't want anyone to get
- 16 confused about that. So please send it -- use
- 17 the notice, the announcement of the workshop to
- 18 get that docket number, it's 14 -- and it's at
- 19 the end of every other single presentation we
- 20 have today -- it's 14-AAER-1, not the other
- 21 number that appeared there. So that concludes my
- 22 presentation. We'll take comments in the room
- 23 first and then move to comments on the phone, so,
- 24 Harinder, do you have any blue cards on this?
- 25 MR. SINGH: I do. If anybody wants to

- 1 make comments related to this issue, please bring
- 2 your blue cards. The first one is from Randall
- 3 Higa from Southern California Edison.
- 4 MR. HIGA: Hi. I'm Randall Higa,
- 5 Southern California Edison. I had a question just
- 6 on the title, purpose and scope of this measure.
- 7 It's titled Heat Pump Water Chilling Packages,
- 8 but in the proposed language, or in the
- 9 definition, it says it could remove heat from air
- 10 or water, so if it's removing heat from air, I
- 11 mean, I don't know, are you considering that a
- 12 chiller? Because in my mind that's not a
- 13 chiller, you're not chilling water, you're
- 14 pulling the heat out of air, so you're cooling
- 15 air, not water. So --
- MR. RIDER: I guess the idea is that some
- 17 of these are reversible and so in some cases they
- 18 have to be able to chill, but in some cases since
- 19 it is reversible, you could have instances where
- 20 you'd have the flipped case because it's a heat
- 21 pump so you could run it either way in some
- 22 cases, I think.
- 23 MR. HIGA: Right, but if you're pulling
- 24 heat out of air, it's not a chiller, that's all
- 25 I'm saying.

- 1 MR. RIDER: But so that heat goes from the
- 2 air into the water and now it's hot water, right?
- 3 And so you can use it for -- right, it's not a
- 4 chiller in that case, that's the definition of
- 5 the equipment in -- so I'm being consistent with
- 6 110.2-D, they've labeled this entire table, this
- 7 is current Title 24, water chilling packages. So
- 8 it wasn't really a term that I had chosen for it,
- 9 it's just one that I borrowed from Title 24 to be
- 10 consistent.
- 11 MR. HIGA: Because I think the way it's
- 12 written, it could include heat pump water heaters
- 13 because -- and is that the intent?
- 14 MR. RIDER: The sole intent of this is to
- 15 take pieces of -- because I think heat pump water
- 16 heaters are already federally regulated and I
- 17 believe that they are already are certified.
- 18 MR. HIGA: Right.
- 19 MR. RIDER: So I don't think we want to
- 20 duplicate that, and we definitely don't want to
- 21 cause confusion that they be double-regulated or
- 22 something like that, so if you think this
- 23 definition is confusing, and if you think that a
- 24 heat pump water heater could fall under this
- 25 where it's already regulated, then if that's not

- 1 the intent, please provide some comments on how
- 2 to fix the definitions so that it doesn't do that
- 3 because that's definitely not the intent.
- 4 MR. HIGA: Okay, thank you. I think you
- 5 answered my question and we'll submit comments to
- 6 that effect. Thank you.
- 7 MR. RIDER: Thank you.
- 8 MR. SINGH: Please come to the podium and
- 9 announce your name.
- MR. SADLER: Hi, my name is Mark Sadler
- 11 and I'm with Daikin and we make the Daikin
- 12 Altherma which is near to a water heat pump
- 13 system, and maybe I can kind of clear up what
- 14 that is referencing. It's extracting energy from
- 15 the outside air in a heating mode, ensuring that
- 16 with the water circuit. In the cooling cycle,
- 17 it's taking the energy out of the water in the
- 18 water circuit and shedding it to the outside air
- 19 through the heat pump circuit. So the definition
- 20 seems to be correct in that regard, but the one
- 21 area that I would like to call a little bit of
- 22 attention to, and there might be other systems
- 23 out there that are using the rejected heat off of
- 24 the condenser to heat water, but that's not the
- 25 way these systems are designed, they're either

- 1 producing heated water or chilled water. The
- 2 heated water is going through an indirect loop in
- 3 the domestic hot water tank to heat the water in
- 4 the vessel. So that's how that's happening, but
- 5 it's just in one mode or the other, so it's
- 6 chilling water or heating water.
- 7 MR. RIDER: Great. Thank you.
- 8 MR. SINGH: Anybody else in the room who
- 9 wants to comment on this topic?
- 10 MR. RIDER: There are a few folks on the
- 11 phone, if not.
- MR. SINGH: All right, let's open the
- 13 phone lines, then.
- 14 MR. RIDER: Okay, let's see. We've got
- 15 several. I don't know if folks from the last --
- 16 if you raised your hand in the past and don't
- 17 intend to continue, because I see a few people
- 18 from the last call, go ahead and lower your hand.
- 19 So I'm going to unmute Pat Splitt. He's written
- 20 that he would like to speak, and then there are a
- 21 few others after that. Pat, you should be
- 22 unmuted.
- 23 MR. SPLITT: Okay, can you hear me?
- MR. RIDER: Yes.
- MR. SPLITT: Okay. One, to respond to

- 1 the first comment, this initially, this whole
- 2 thing got started as basically just to get air to
- 3 water heat pumps added into the Appliance
- 4 Directory and added into the Table 110.2. In the
- 5 past, AHRI 555 90 has been a CEC reference
- 6 standard for years, but in Table 110.2-D, only
- 7 the cooling side of the equipment was tested
- 8 because 555 90 didn't initially have a test for
- 9 heating. Then AHRI developed a test for heating
- 10 basically because companies were coming up with
- 11 this equipment and, you know, they needed a test.
- 12 So now there's an AHRI 555 90, the title of which
- 13 is now Performance Rating of Water Chilling and
- 14 Heat Pump Water Heating Packages Using Vapor
- 15 Compression Cycle. So initially I was just
- 16 trying to get a place in 110.2-D where we could
- 17 list the requirements for Air to Water Heat
- 18 Pumps, and it seems to me in the new standards it
- 19 should actually, if we're going to put a place in
- 20 there, it should be in Table 110.2-B, Unitary and
- 21 Applied Heat Pumps Minimum Efficiency
- 22 Requirements, and not in Water Chilling Packages.
- 23 And in 110.2-B, there's actually broken down,
- 24 they separate the water chilling versus the water
- 25 heating mode, so it would be entered twice, both

- 1 referencing 550 90. That would put it into
- 2 110.2-B as far as the minimums.
- 3 And right now, the Energy Commission in
- 4 this section takes their minimum efficiencies
- 5 from AHRI 90.1, and 90.1 hasn't been updated
- 6 recently to include a minimum heating efficiency
- 7 for air to water heat pumps. They just have a
- 8 minimum cooling EER and IPOB. So initially I'm
- 9 assuming that if we did put these entries into
- 10 110.2-B, the minimum heating efficiencies would
- 11 be listed as a 9.562 EER and 12.5 IPOB, and the
- 12 minimum cooling efficiency would be listed as
- 13 zero until such time as the AHRI came up with a
- 14 number. If the Commission felt that they had to
- 15 have some other number, you know, I don't know
- 16 how you would go about this, I would just suggest
- 17 as a starting point, say a COPO of 2.5, a fairly
- 18 conservative number that most people should be
- 19 able to meet.
- 20 So now once this gets into the Table
- 21 110.2-D, then there already is a requirement in
- 22 the Appliance Database that says the database is
- 23 the directory published by the Commission, will
- 24 then the meaning of Title 24 CCR Part 6,
- 25 Subchapter 1, Section 100(h), and 100(h) then

- 1 refers back to these tables. So there isn't any
- 2 -- once we get it into the table, there is no
- 3 other reason to have a rulemaking to put it into
- 4 the Appliance Director because, by definition,
- 5 anything in these tables already is in the
- 6 Appliance Directory. And if you haven't actually
- 7 physically listed it there, that's just a
- 8 mechanical problem and the Executive Director has
- 9 authority in the Appliance Standards to amend
- 10 those tables, and to amend those databases. So
- 11 other than getting an entry into Table 110.2-B,
- 12 that's all that's needed, other than for the
- 13 Executive Director to go ahead.
- 14 And then, as far as if you wanted to
- 15 include everything in this table 110.2-D and move
- 16 it in the Appliance Database, I'm saying, well,
- 17 the law already requires it and says this is
- 18 sufficient. Now, if you don't actually have a
- 19 space in the database, that's just a tactical
- 20 problem that somebody hasn't followed your rules.
- 21 So you don't need a rulemaking to do that,
- 22 they're already there.
- 23 And then finally, as far as the listing
- 24 of information to be put into that database Excel
- 25 file, all those were taken from these 555 90, but

- 1 just in regard to air to water heat pumps. The
- 2 555 90 specifically states in the scope that one
- 3 of the pieces of equipment that is regulated is
- 4 air to water heat pumps. They call their
- 5 equipment air to water heat pumps, everybody that
- 6 makes this equipment, they call them air to water
- 7 heat pumps, not this crazy definition that you
- 8 just grabbed, which is just a definition of a
- 9 term that's used somewhere in one of the
- 10 Appendixes to the test. It's not describing any
- 11 equipment that can be sold, it's describing a
- 12 particular test in the Standard. And the
- 13 database information was taken from a section in
- 14 the Standard 555 90 that specifically in Section
- 15 6.28 deals with air to water heat pumps. And the
- 16 data that is in that spreadsheet is the data
- 17 required in Section 6.28 for air to water heat
- 18 pumps only, not any of this other equipment. I
- 19 didn't do any work on that other equipment,
- 20 there's all kind of other equipment, there's
- 21 water cooled, air cooled, or back burn cooled,
- 22 condensers, water cooled heat reclaimed
- 23 condensers, water to water heat pumps, it goes
- 24 on. So I don't know that that database
- 25 information is correct for that equipment. It

- 1 was only put in there for air to water heat pumps
- 2 because that's what was required in 550 590 for
- 3 air to water heat pumps. So as far as I'm
- 4 concerned, the only thing we're adding is an
- 5 entry into Table 110.2-B for air to water heat
- 6 pumps, and a Section in the Appliance Database
- 7 someplace that people can find when they go
- 8 looking for it to get the efficiencies they need
- 9 to plug into the modeling equipment, which now
- 10 after July is going to include not only the
- 11 cooling efficiencies, but also heating
- 12 efficiencies at both 47 and 17 degrees, that's
- 13 data that's going to be required to do compliance
- 14 modeling and that's data that is listed to be
- 15 culled out in this database so people can look at
- 16 the database and they'll find all the information
- 17 there they need in one place to model the
- 18 equipment, which is what the goal is.
- 19 MR. RIDER: Thanks, Pat. I can't really
- 20 speak to the changes in Title 24, but we're
- 21 definitely trying here to set up that spot in the
- 22 Appliance Database that you were referencing.
- 23 Title 24 has already set up a certification spot
- 24 for this equipment, but we want to kind of get it
- 25 in the Code of Title 20 here so that way we can

- 1 -- everything else that's in the Appliance
- 2 Efficiency Database is in the Title 20 Code and
- 3 Section 1601 through 1608. So we just want to be
- 4 consistent and include that there.
- I have a few other people who have -- oh,
- 6 someone asked online if there will be transcripts
- 7 and copies of the presentations made available
- 8 online. Transcripts will be made available and
- 9 put online, it will probably be a few weeks after
- 10 this before those will be available. The
- 11 presentations are already posted online and you
- 12 can get there through the Commission's website.
- So I had here also that Mr. Roy would
- 14 like to speak. Let me see if I can find him.
- 15 Oh, you unmuted yourself. Well, great. Well you
- 16 go ahead and speak.
- 17 MR. ROY: Hi, thank you. I am Annirudh
- 18 Roy with the Air-Conditioning, Heating and
- 19 Refrigeration Institute. One issue with respect
- 20 to requiring this data to be reported is that
- 21 currently the existing labs that are out there
- 22 cannot test some of the larger air to water heat
- 23 pumps and that's going to be a significant issue
- 24 for the manufacturers to be able to report that
- 25 data if they're relying on these labs for that

- 1 information. So what are the CEC's thoughts on
- 2 that if it just cannot be tested by these third-
- 3 party labs which the manufacturers are relying
- 4 on?
- 5 MR. RIDER: Yeah, so, I mean, if that's
- 6 true I would ask, if you could, identify some of
- 7 those labs and we could have a conversation.
- 8 Also, if you want to submit in comment what the
- 9 ramp-up time would be. So the Regulations
- 10 wouldn't become effective, I think, until -- we
- 11 propose January 1st of 2016. So the question
- 12 would be, by that time could these labs be ready?
- 13 If not, why? And once we've got that settled, we
- 14 can figure out whether it makes sense to set a
- 15 cap size on the scope of this, or not. So I
- 16 think my response to that is we would need more
- 17 information and maybe some discussion to figure
- 18 what to do about this issue you've raised.
- 19 MR. ROY: Okay. Would the CEC also be
- 20 open -- we do intend to submit written comment,
- 21 but would the CEC also at that point be open to
- 22 having a discussion with the industry on the
- 23 several issues that may exist with this
- 24 reporting?
- MR. RIDER: We are all available at any

- 1 point during this comment period into this
- 2 process to have a discussion like that, so today,
- 3 tomorrow, you know, until this finally becomes
- 4 live, we'll be open to that kind of discussion.
- 5 MR. ROY: Okay. And Ken, for this
- 6 particular proposal, you are the point of
- 7 contact, right?
- 8 MR. RIDER: Correct.
- 9 MR. ROY: Okay, thanks.
- 10 MR. RIDER: Thank you. I also have Adam
- 11 Meddaugh. Let me see if I can find him. Okay,
- 12 Adam, I am unmuting you. You're unmuted.
- MR. MEDDAUGH: Yes.
- MR. RIDER: Okay, go ahead. Adam, are
- 15 you there?
- MR. MEDDAUGH: Oh, I'm sorry, I didn't
- 17 really -- I wasn't really prepared to ask a
- 18 question, sorry, I stepped away for a second.
- 19 MR. RIDER: Did you have a question? You
- 20 wrote that you were wondering if we were going to
- 21 answer any --
- 22 MR. MEDDAUGH: Well, initially I wasn't
- 23 sure if you were going to be taking questions on
- 24 this subject, and so the presenter was just going
- 25 to present and then after we were going to submit

- 1 questions.
- MR. RIDER: Okay. But you didn't have
- 3 any questions?
- 4 MR. MEDDAUGH: No.
- 5 MR. RIDER: Okay. I'm going to mute you.
- 6 Oh, Pat would like to speak again. I'm going to
- 7 unmute Pat. Pat, you are unmuted.
- 8 MR. SPLITT: Okay, just to clarify that
- 9 previous comment, the proposal doesn't require
- 10 that this testing be done at an independent
- 11 testing lab. The proposal only requires that the
- 12 equipment can be self-certified by the
- 13 manufacturer, so they can do that at their own
- 14 manufacturing and test facilities, they don't
- 15 have to go, at least at this time, to a certified
- 16 testing laboratory to get this done. And in the
- 17 sense that these companies are all claiming they
- 18 have these efficiencies, I would assume they must
- 19 have someone testing the equipment.
- MR. RIDER: Yeah, that's a great point,
- 21 Pat, and it's absolutely correct; you may do in-
- 22 house testing, we do require you to certify your
- 23 own lab if you do that, and that's as simple as
- 24 basically telling us who you are, where you're
- 25 located, and attesting that you have the correct

- 1 equipment to conduct the test. Thanks, Pat. I'm
- 2 going to re-mute you.
- 3 So I don't see any other questions or
- 4 comments. George has got his hand raised,
- 5 actually, and also Eddie, so let me take George
- 6 first. George, did you mean to -- I'm going to
- 7 mute him again. Eddie, I don't see where you --
- 8 are you one of these call-in users? I don't see
- 9 audio for you. If you can unmute yourself, go
- 10 ahead, otherwise I'm going to have to guess which
- 11 call-in user you might be. I'll just unmute all
- 12 of the call-in users. Eddie, are you there?
- MR. RODRIGUEZ: Yes. Can you hear me?
- MR. RIDER: Yes, you are call-in user 6,
- 15 yes. Go ahead, continue.
- 16 MR. RODRIGUEZ: Okay, yes. Hi, this is
- 17 Eddie Rodriguez with Daikin Applied. As was
- 18 mentioned earlier, that AHRI 555 90 currently has
- 19 a testing procedure for reversible cycle heat
- 20 pumps, so my question is, if a manufacturer likes
- 21 to certify this product for a first Standard AHRI
- 22 555 90, will that meet the proposed testing
- 23 requirements as being asked, or being requested
- 24 through this program?
- MR. RIDER: Let me make sure I understand

- 1 your question correctly. You're saying if a
- 2 manufacturer tests per the 555 90, per basically
- 3 the directions, instructions, and just the way
- 4 it's written, if someone tests per that test
- 5 procedure, will that satisfy the testing proposed
- 6 here? Was that the question?
- 7 MR. RODRIGUEZ: That is correct, the test
- 8 and certifies the product per AHRI 555 90, will
- 9 that meet the requirements as being proposed
- 10 here? Because from our perspective it seems like
- 11 it would be a lot of unnecessary effort, a waste
- 12 of resources if we have to test to multiple
- 13 standards if the ultimate goal is to certify
- 14 performance as requested through this program.
- MR. RIDER: Yeah, so the only thing that
- 16 we're proposing in these Regulations here is to
- 17 test the 550 590. You would then, after you
- 18 perform the test, you would need to take that
- 19 data, the results, and submit it to the Energy
- 20 Commission through the certification process.
- 21 There's no cost to that. Basically you enter it
- 22 into an Excel Spreadsheet and we take that
- 23 information and we upload it into the publicly
- 24 available database. So there's an additional
- 25 step that you have to get the results to us, but

- 1 that's essentially it.
- MR. ROY: Okay, thank you.
- 3 MR. RIDER: Okay. Re-muted. I can try
- 4 Eddie one more time. Eddie, are you there? Did
- 5 you want to say something on this? Mr. Roy, did
- 6 you want to speak again? No? Okay.
- 7 MR. ROY: No, thank you for the
- 8 opportunity to submit written comments by June
- 9 6th, thank you.
- 10 MR. RIDER: Great. Well, I guess that
- 11 means we all get to go eat lunch. So thank you
- 12 to all the stakeholders for taking the time to
- 13 come here and discuss this proposal. I look
- 14 forward to talking to you up through the process
- 15 as we continue to try to get these products into
- 16 the Appliance Efficiency Database. So thank you
- 17 very much.
- 18 MR. SINGH: Thank you, Ken. And we'll be
- 19 back at 1:00. Thank you.
- 20 (Recess at 11:44 a.m.)
- 21 (Reconvene at 1:01 p.m.)
- 22 MR. SINGH: Good afternoon. We are back
- 23 again and our next topic is air filter labeling
- 24 and Josh Butzbaugh is going to present that.
- 25 Josh, please make your presentation. Thank you.

- 1 MR. BUTZBAUGH: Thank you, Harinder. My
- 2 name is Josh Butzbaugh. I'm working with the
- 3 California Energy Commission on air filter
- 4 labeling, and today I will go through some of the
- 5 contents of my staff report, analysis, and the
- 6 proposed requirements.
- 7 So first we will start off with a little
- 8 background on Pre-rulemaking, then background on
- 9 air filter labeling, the objectives of air filter
- 10 labeling, regulatory approaches, proposed
- 11 requirements, the supporting analysis for these
- 12 requirements, and then finally next steps.
- 13 So the Commission kicked off the pre-
- 14 rulemaking with an Order Instituting Rulemaking
- 15 in March 2012, and then in March 2013 the
- 16 Commission released an invitation to participate,
- 17 offering interested parties an opportunity to
- 18 provide information to the Commission on product,
- 19 market and industry characteristics. And then
- 20 the Commission released an invitation to submit
- 21 proposals, for interested parties to submit
- 22 proposals on standards, test procedures, labeling
- 23 requirements, and other measures to improve
- 24 efficiency for those products that were
- 25 identified in the OIR.

- 1 This is a diagram of our rulemaking and
- 2 pre-rulemaking process. As you can see in the
- 3 blue box, that's the stage we're in today, we're
- 4 having a workshop on the Staff Report and the
- 5 Proposed Regulations.
- 6 So why air filter labeling? Well, air
- 7 filters prevent the build-up of particulates and
- 8 HVAC equipment by capturing these particulates
- 9 from the airstream before they reach the
- 10 components and the HVAC equipment. And by doing
- 11 this, air filters allow the equipment to run
- 12 efficiently and they prevent damage to the
- 13 components. However, in doing so, air filters
- 14 decrease air flow in the HVAC system, and if this
- 15 decrease, or this resistance is excessive, it can
- 16 damage HVAC equipment and increase energy use.
- 17 For example, with brushless permanent magnet
- 18 motor, if the resistance is excessive, the motor
- 19 will increase power and that will increase
- 20 energy.
- 21 So people need the information on
- 22 particulate capture and air filter resistance to
- 23 make rational decisions on air filter selection.
- 24 So a little background on current
- 25 labeling. It is focused predominantly on

- 1 particle efficiency, not pressure drop. Some
- 2 common ratings you'll see in the market are
- 3 Minimum Efficiency Reporting Value, or MERV,
- 4 which indicates a filter's ability to remove
- 5 particles .3 to 10 microns in size from the
- 6 airstream. There's also Micro Particle
- 7 Performance Rating, which is exclusive to 3M air
- 8 filters, it focuses on small particulates. And
- 9 then there's filter performance reading, which is
- 10 exclusive to the home depot, which is our
- 11 weighted performance rating between small
- 12 particulates, large particulates, and air filter
- 13 lifetime.
- 14 So the California IOUs did a market
- 15 survey in 2012 and the results indicated that 28
- 16 percent of air filters on the market had no label
- 17 and, then, of the rest they had a combination of
- 18 only MPR, only MERV, and only FPR, or sometimes
- 19 they had two labels on them.
- Now I'd like to go through the Title 24
- 21 air filter requirements. So Title 24 requires
- 22 air filters to have a MERV 6 or greater, or a
- 23 particle size efficiency rating equal to or
- 24 greater than 50 percent in the three to 10 micron
- 25 range. In addition, Title 24 requires pressure

- 1 drop to conform to the maximum allowable clean
- 2 filter pressure drop as determined in some other
- 3 sections which state the initial pressure drop
- 4 needs to be .05 inches water column at the design
- 5 airflow rate, or the air handler unit needs to
- 6 have efficacy equal to or less than .58 watts per
- 7 cfm, or cubic feet per meter.
- 8 The problem is that each vac system
- 9 designers do not have the information on air
- 10 filter models to determine pressure drop. So
- 11 they aren't sure how to comply and design their
- 12 systems to meet Title 24.
- 13 Title 24 also requires a label on the
- 14 return location where the air filter is
- 15 installed, and this label would include airflow
- 16 rate and then the initial resistance in inches
- 17 water column (in. w.c.) at that design airflow
- 18 rate. And this is an example here on the slide.
- 19 And then last, but not least, Title 24
- 20 requires the system to be provided with an air
- 21 filter that's labeled to disclose the efficiency
- 22 and pressure drop readings that demonstrate
- 23 conformance with the other requirements. And the
- 24 issue with this is that this requirement only
- 25 applies to new HVAC installations, it does not

- 1 address air filter replacements, and the vast
- 2 majority of air filter purchases are
- 3 replacements.
- 4 So for objectives of labeling in general,
- 5 this is a mission statement, but in summary we
- 6 want the right air filters to go in the right
- 7 equipment. And we'll get a little bit more
- 8 specific with our different actors. First we
- 9 have consumers: we want consumers to be able to
- 10 identify the appropriate air filter for their
- 11 HVAC system, this means having a label at the
- 12 point of purchase on the air filter itself, as
- 13 well as a repository of air filter performance
- 14 information. We want a level playing field for
- 15 comparing air filter products, and we want the
- 16 label easy to use and not overwhelming for
- 17 consumers, so that way they feel comfortable
- 18 using this label.
- 19 For HVAC designers, we want them to have
- 20 a repository of air filter performance
- 21 information so that way they can identify the
- 22 filter for HVAC equipment and system design when
- 23 they are in the process of designing these
- 24 systems. So this means we want them to have the
- 25 ability to balance filter airflow resistance with

- 1 HVAC equipment size, duct work, and other device
- 2 losses. We want to make it easy for HVAC system
- 3 designers to comply with Title 24 regulations and
- 4 we want them to have a level playing field for
- 5 comparing air filter models.
- 6 And then last but not least, Building
- 7 Inspectors, we wanted to make it easy for them to
- 8 facilitate the enforcement of Title 24
- 9 Regulations and this means aligning the label
- 10 with measurements included in the Title 24
- 11 requirements.
- 12 So the Commission looked into different
- 13 regulatory approaches for this labeling
- 14 initiative. The manufacturer of 3M submitted a
- 15 proposal recommending the Commission to use PM
- 16 2.5 as the efficiency metric and to use average
- 17 lifetime resistance as the pressure drop metric.
- 18 The California IOUs and NRDC recommended using
- 19 MERV for particle efficiency, and initial
- 20 pressure drop in inches water column measured at
- 21 phase velocities of 300 and 500 feet per minute
- 22 for pressure drop.
- 23 The Commission also looked at the AHRI
- 24 680 Standard Rating. This is the label that's
- 25 included in the Standard. It includes initial

- 1 resistance across airflow rates and 400 cfm
- 2 increments. It also includes final resistance at
- 3 the maximum rated airflow rate, dust holding
- 4 capacity and particle size efficiency across
- 5 three particle size ranges.
- 6 So after looking at these regulatory
- 7 approaches, the Proposal's AHRI's Standard 680
- 8 label, and considering our objectives, we came up
- 9 with our proposed requirements that I'm going to
- 10 go through right now. The first part is Data
- 11 Certification and then the second part is the
- 12 label itself.
- So for Data Certification, we are
- 14 proposing requiring MERV Particle Size Efficiency
- 15 for 4.3 to 1 micron particle sizes, 1 to 3 micron
- 16 particle size, and 3 to 10 micron particle size,
- 17 so the three particle size bins that you see in
- 18 the test procedures. And then also dust holding
- 19 capacity. And to align with Title 24, we're
- 20 providing manufacturers with the ability to
- 21 choose which standard they would like to use for
- 22 these metrics, so either AHRI 680, or ASHRAE
- 23 52.2, whichever one they decide to use, they just
- 24 need to make sure they declare it.
- 25 These are other data certification

- 1 requirements that the Commission has proposed
- 2 requiring: maximum rated airflow rate and cubic
- 3 feet per minute, initial resistance at 400 cubic
- 4 feet per minute, 800 cubic feet per minute, and
- 5 1,200, 1,600, 2,000, or the maximum rated airflow
- 6 rate, and these are in inches water column. And
- 7 then also final resistance at 2,000 cubic feet
- 8 per minute, or a maximum rated airflow rate in
- 9 inches water column. And the test procedure
- 10 we're requiring would be AHRI 680, which also
- 11 aligns with Title 24.
- I do want to raise that size was not
- 13 included in the proposed requirements and I'd
- 14 like to receive your feedback as to whether or
- 15 not size should be included. It was something
- 16 that we happened to miss and something that some
- 17 folks had voiced that should be included, so I'd
- 18 like to get your feedback on that.
- 19 So moving from data certification to the
- 20 label format, this is an example of the label in
- 21 our proposed requirements. We would like it
- 22 printed or labeled on the air filter itself and
- 23 if the packaging obscures the label, then also
- 24 print it on the packaging. And the idea is that
- 25 consumers and retailers can use this label to

- 1 match a spent air filter with a new replacement
- 2 air filter, and we'll get to that in our next
- 3 slide.
- 4 So this is a hypothetical example. You
- 5 have a 16" X 24" X 1" air filter, it has a MERV
- 6 of 10, and then it has these initial resistance
- 7 metrics across these airflow rates. And in this
- 8 particular example, I cut off the 1,600 and 2,000
- 9 bins because the maximum rated airflow rate for
- 10 this particular hypothetical example is 1,400.
- 11 And if this seems like the right approach, I'd
- 12 like to hear feedback as to whether or not the
- 13 additional bins that are not applicable should be
- 14 included, or we should cut those out of the
- 15 label.
- 16 So a consumer deciding how to replace his
- 17 or her air filter would first look at an exact
- 18 match for size, then look at an exact match or
- 19 less for the pressure drop across the airflow
- 20 rate or rates, then, last but not least, look for
- 21 an exact match or greater for MERV. A building
- 22 inspector would look to see if the MERV is equal
- 23 to or greater than six and then the initial
- 24 pressure drop for the design air flow rate is an
- 25 exact match or less compared to what's on the

- 1 filter label.
- 2 So I'm not going to go through my entire
- 3 analysis since I don't want to bore you to death,
- 4 but I did include it in this in case anyone has
- 5 any questions. First, I determined the energy
- 6 consumption of residential HVAC in California
- 7 that uses air filters, and then I determined the
- 8 savings using 50 percent non-compliance and one
- 9 percent energy savings, these were relatively
- 10 conservative metrics that I found in my research.
- 11 Then we determined the cost based on the
- 12 information submitted into our docket. And then
- 13 we reached our cost-effectiveness analysis
- 14 indicating that the net benefit is \$1.20 per year
- 15 per household, or nearly \$10 million to the
- 16 state.
- 17 So for our next steps, we will consider
- 18 input from today's workshop and written comments.
- 19 The written comments are due June 6th. We will
- 20 revise the staff report analysis and proposed
- 21 requirements as necessary based on feedback. And
- 22 Commission staff are available at any time to
- 23 discussion questions or concerns. Please feel
- 24 free to get a hold of me or Harinder or Ken or
- 25 Tuan, anyone on the team, and we will address

- 1 your comments. So with that, this is my contact
- 2 information and we'd like to open up the mics for
- 3 verbal comments.
- 4 MR. SINGH: Thank you, Josh. Anybody in
- 5 the room wants to make comments, please submit
- 6 your blue cards.
- 7 MR. RIDER: Yeah, and also anyone on the
- 8 phone, if you want to raise your hand to speak as
- 9 earlier, I will unmute you and I'll call your
- 10 name, and we're going to do people in the room
- 11 first.
- 12 MR. SINGH: Yeah. Jeffrey from
- 13 California IOUs, please go ahead.
- 14 MR. STEUBEN: Thank you. Good afternoon,
- 15 everyone. My name is Jeff and I'm representing
- 16 the California IOUs. Thank you, Josh, for that
- 17 great summary of your Staff Report. As you
- 18 mentioned in the background, that the IOUs had
- 19 proposed a slightly different label proposition,
- 20 and in further review of the Title 24 language,
- 21 and sort of looking at the AHRI 680 test method
- 22 that was specific in Title 24, we do find that
- 23 the CEC Staff Report does provide the best
- 24 possible way to get to Title 24 compliance, so we
- 25 do support the CEC proposal.

1	I	wanted	to	make	sort	οf	two	quick	other

- 2 comments. So you raised a question around filter
- 3 size, so as you mention, a consumer going to buy
- 4 a filter, that that information is clearly
- 5 specified on the product because that's sort of
- 6 the most important information from the consumer
- 7 perspective, it may be something that you would
- 8 want to include in the label itself, but I would
- 9 definitely agree that it should be included in
- 10 the online data submission so that that
- 11 information is available in that database, and an
- 12 HVAC designer can convert cubic feet per minute
- 13 into phased velocity using the area of the
- 14 filter.
- I also just wanted to mention that, you
- 16 know, there was some discussion around the use of
- 17 MERV for filter efficiency, as well as the
- 18 particle efficiency bins. We do think that the
- 19 MERV is the best possible option for consumers to
- 20 talk about filter efficiency rather than a series
- 21 of percentages with the particle efficiency bins,
- 22 so where possible, we think that MERV should be
- 23 used instead of particle efficiency.
- 24 And lastly, just there are some
- 25 clarifications that we'd like to make in the

- 1 Title 24 language, which we will provide in our
- 2 written comment, but since we're talking about
- 3 Title 20 today, I'm not going to go into great
- 4 detail there, but we do think that there is some
- 5 language that we can update to provide sort of
- 6 better clarification around the way that these
- 7 two standards would interact. Thank you.
- 8 MR. SINGH: Thank you. Anybody else in
- 9 the room? Okay, Ken.
- 10 MR. RIDER: Yeah, George would like to
- 11 make a comment, so I'm going to go ahead and
- 12 unmute him. I think he just wanted to know some
- 13 information about the size, but I'll let him ask
- 14 the question. George, are you there?
- 15 MR. NESBITT: Yeah. George Nesbitt, HERS
- 16 Rater. Can you hear me?
- 17 MR. RIDER: Yes.
- 18 MR. NESBITT: Okay. Yeah, I didn't quite
- 19 understand what you were saying in reference to
- 20 size, whether, I mean, I would think you need
- 21 data that is dependent on the size of the filter,
- 22 and so that wasn't quite clear.
- 23 MR. BUTZBAUGH: I agree with what you've
- 24 just said and it was an omission on our part. I
- 25 just wanted to hear comments as to whether or not

- 1 others supported the idea of including size in
- 2 the data certification and the label. So it
- 3 sounds like you believe size should be included.
- 4 MR. NESBITT: Yeah, I mean, I think that
- 5 if -- I mean, obviously size is relevant. From a
- 6 consumer standpoint, they're going and looking
- 7 for a filter of a certain size. I also think
- 8 probably MERV is the easiest, you know, simple
- 9 metric for them to figure out one number is
- 10 easier and in that sense I think because of the
- 11 new labeling, they do also need the pressure
- 12 drop, those are really the only things they need.
- 13 From a designer standpoint, you know, I need to
- 14 know pressure drop at velocities, that's
- 15 information that is often hard to find. I may or
- 16 may not care more about detailed particle sizes.
- 17 The MERV rating is required, you know, Calgreen,
- 18 ASHRAE 6222, various other green, you know, so
- 19 many things are pointing to MERV that that is
- 20 probably most important. I'm just thinking, and
- 21 obviously size, and I think giving data for a
- 22 specific filter or a specific size, so say giving
- 23 airflow information that wouldn't match that
- 24 filter, so too many cfm for the size of the
- 25 filter, that would go beyond, say, a phased

- 1 velocity of 500, we shouldn't do because I think
- 2 we partly need to reinforce that proper design
- 3 requires proper sizing and you can't put too
- 4 small of a filter on your system and get proper
- 5 airflow, and that's the whole point. So those
- 6 are my thoughts.
- 7 MR. BUTZBAUGH: Thank you, George. Do
- 8 the airflow rates work for you in 400 increments?
- 9 Are you saying that those do? Or that those
- 10 don't work for you?
- 11 MR. NESBITT: As someone who designs and
- 12 installs HVAC, yes, that information is just
- 13 often not available; so, yes, I need to know.
- 14 And I think perhaps even the consumer -- I'm not
- 15 sure if the labeling -- the thing is, I think for
- 16 consumers the labeling requirement is actually
- 17 not just for new installs, it also applies to
- 18 Alterations and Changeouts, that section of the
- 19 Code is part of Alterations and Changeouts. I
- 20 guess it's specifying a pressure drop, but what
- 21 they don't necessarily know is the airflow. And
- 22 so I'm not sure if airflow is part of that label.
- 23 So a consumer would have to be looking for a
- 24 MERV, a pressure drop at the airflow their system
- 25 is designed at. And as a designer, I'm designing

- 1 for an airflow, I'm looking for a pressure drop.
- 2 And 400 increments is probably okay because you
- 3 can always interpret, you know, that or a chart
- 4 that shows it through the range of airflows. But
- 5 obviously it's not useful or good to have cfm's
- 6 that are really not valid for the filter. So,
- 7 yeah.
- MR. BUTZBAUGH: Thank you, George.
- 9 MR. RIDER: Thanks, George. Let's see if
- 10 we have I don't know how to say his first name,
- 11 but last name is Roy. Annirudh, maybe. I think
- 12 that might have been from earlier.
- 13 MR. BUTZBAUGH: I think he's delved into
- 14 this, as well.
- MR. RIDER: Okay. Well, you can go ahead
- 16 and speak, then, you're unmuted.
- MR. ROY: Okay, thank you. So I would
- 18 just like to state that AHRI, the Air-
- 19 Conditioning, the Heating and the Refrigeration
- 20 Institute does represent a significant amount of
- 21 air filter manufacturers and, although the
- 22 proposed requirements, you know, they seem to
- 23 have significant energy savings, we feel that
- 24 requiring manufacturers to provide this
- 25 information on the packaging would make it a very

- 1 California specific and be onerous for
- 2 manufacturers because they typically tend to make
- 3 a label that is shipped to several states, and is
- 4 not region-specific. Also, you know, requiring
- 5 this information on the air filter label could in
- 6 some instances because of the amount of
- 7 information they are requiring, make it illegible
- 8 and serve no value to the customer over a period
- 9 of time. So how we feel this could be addressed
- 10 instead is by requiring this information to be
- 11 presented in a website and manufacturers,
- 12 particularly on the packaging, that they provide
- 13 their website information, as well, on those
- 14 packages. And that way, you know, that
- 15 information would be available readily to the
- 16 consumer. These days consumers can access the
- 17 Internet from anywhere, and so if they wanted to
- 18 see that information, they could just scan the QR
- 19 code or just go to the manufacturer's website and
- 20 get that information. Also, you know, I've heard
- 21 that some of the stakeholders over here would
- 22 prefer to deviate from the requirements in Title
- 23 24 and we would recommend that CEC consider
- 24 keeping those requirements consistent, the Title
- 25 20 requirements consistent with the Title 24

- 1 requirements, as they are just about to go into
- 2 effect on July 1st and so it would be very
- 3 onerous for our manufacturers to try and comply
- 4 with two separate requirements when it's the same
- 5 state. It just doesn't make sense for them to
- 6 make air filters that comply on one hand with
- 7 Title 24, and then there's a separate set of
- 8 requirements that they have to comply with for
- 9 Title 20 just a year and a half later. So I
- 10 would recommend that you keep that in mind and
- 11 we'll make sure to submit that in our written
- 12 comments, as well.
- MR. RIDER: Yeah, that would be great if
- 14 you could submit that in written comment because,
- 15 when we design these requirements, we wanted them
- 16 to work with the Title 24 requirements. So if
- 17 you can detail that in your written comment, we'd
- 18 appreciate it, and so we could take a closer look
- 19 at what you're getting at.
- MR. ROY: Okay. Thank you.
- 21 MR. RIDER: Great. I don't see anyone
- 22 else with a hand raised, so maybe what I'll do is
- 23 I'll just go ahead and unmute everyone. If
- 24 you're not intending to say anything at this time
- 25 on air filters, just make sure your phone is

- 1 muted so you don't accidentally say something you
- 2 regret.
- 3 MR. BUTZBAUGH: And if you're looking for
- 4 other ideas for your comment letters, we'd like
- 5 to hear whether or not the label should be
- 6 standardized on one edge of the filter or another
- 7 edge. We'd like to hear about the cfm increments
- $8\,$ of 400, whether or not those make sense. So if
- 9 you're looking for ideas, those are two that you
- 10 can address.
- 11 MR. RIDER: Okay, I'm going to unmute the
- 12 lines. If you would like to respond to what Josh
- 13 just said, or something from earlier on air
- 14 filters, now would be a great time.
- MR. NESBITT: This is George Nesbitt.
- 16 MR. RIDER: Hi George. Go ahead.
- MR. NESBITT: I think what edge or what
- 18 surface it's labeled on the filter itself doesn't
- 19 matter because there is no standard -- I mean, it
- 20 depends on the filter, location of the filter
- 21 grill. I mean, I suppose the phase the air
- 22 enters makes more sense, but if it's a filter
- 23 that goes into a slot, there's no convention for
- 24 which edge faces out. So, you know, it may be
- 25 just on the inlet face is the one that makes the

- 1 most sense certainly for like a drop-down filter
- 2 grill.
- MR. BUTZBAUGH: So in those cases,
- 4 someone would actually have to take the spent air
- 5 filter out to see the size dimensions and the
- 6 label information. Is that what you're saying?
- 7 MR. NESBITT: Yeah, unless it's on the
- 8 face that the air enters the filter, but that
- 9 only works in, say, a drop down filter grill
- 10 where you can open up the grill and then you're
- 11 actually looking at that surface; whereas, in a
- 12 filter slot, which is also common, you're looking
- 13 at the edge, or you're looking at the short edge
- 14 or you're looking at the long edge.
- MR. BUTZBAUGH: Right.
- 16 MR. NESBITT: Yeah, unless you label it
- 17 on all edges. But for a consumer, it may be more
- 18 of a package label. I mean, there could be a
- 19 package label as well as what's on the filter,
- 20 and obviously how they package it would affect
- 21 whether or not you could see the label with it
- 22 packaged.
- 23 MR. BUTZBAUGH: All right. Thank you,
- 24 George.
- MR. RIDER: Also anyone in the room, I

- 1 mean, I think if we don't get any further
- 2 comment, we'll wrap this up.
- 3 MR. SINGH: Okay, thank you. I don't see
- 4 anybody with further comments. We have scheduled
- 5 dimming ballasts at 2:30, so we'll take a short
- 6 break for an hour -
- 7 MR. RIDER: Short long break.
- 8 MR. SINGH: Yes. And then we'll be back
- 9 at 2:30. So, thank you.
- 10 (Recess at 1:31 p.m.)
- 11 (Reconvene at 2:31 p.m.)
- MR. SINGH: Good afternoon, we are back.
- 13 And now the next topic is dimming ballasts and
- 14 Ken Rider will present that. Ken?
- MR. RIDER: Yeah, hi. I'm Ken Rider, an
- 16 Electrical Engineer with the Appliance Efficiency
- 17 Program, and I'm going to be making a
- 18 presentation on the Dimming Ballasts Staff Report
- 19 that was released a few weeks ago. I'm going to
- 20 go into the Pre-rulemaking first, and then some
- 21 background on dimming ballasts, and then talk a
- 22 little bit about the efficiency opportunities out
- 23 there to improve dimming ballasts, discuss some
- 24 of the regulatory approaches I analyzed in trying
- 25 to figure out how to write the regulation, then

- 1 I'll get into what staff did propose in the Staff
- 2 Report, the cost and savings associated with that
- 3 proposal, and then next steps.
- 4 So the Pre-rulemaking process, we started
- 5 with an Order Instituting Rulemaking back in 2012
- 6 that identified dimming ballasts as a measure, we
- 7 then asked for data and an invitation to
- 8 participate and received data for dimming
- 9 ballasts, and then we also did an invitation to
- 10 submit proposals in June of last year and we
- 11 received proposals to adopt minimum efficiency
- 12 standards for dimming ballasts.
- 13 Those steps are shown here and you can
- 14 see that the box we're in right now is to host
- 15 the workshop, and this is that workshop. The
- 16 goal is to discuss the content of the Staff
- 17 Report that hopefully everyone has had the chance
- 18 to read and digest a little bit. And then the
- 19 next step will be to receive comments.
- 20 So what is a dimming ballast? A dimming
- 21 ballast, and specifically a fluorescent dimming
- 22 ballast, is a ballast designed to operate a
- 23 fluorescent lamp at less than 100 percent output.
- 24 And today we're specifically talking about
- 25 dimming ballasts that can dim to 50 percent or

- 1 less of the lamp's full output. And these are
- 2 the types of fluorescent ballasts that are
- 3 currently not regulated by the U.S. DOE. Other
- 4 ones are fixed-output and dimming ballasts that
- 5 dim, but not below 50 percent or currently
- 6 regulated by the U.S. Department of Energy.
- 7 There's a few different kinds of dimmable
- 8 ballasts. There are dimmable ballasts that can
- 9 dim in continuous ranges, there are ones that can
- 10 do discrete steps like full, and then 30 percent,
- 11 and that's it. And there are also kinds that
- 12 switch a certain number of connected lamps on or
- 13 off, so you might imagine a four lamp ballast
- 14 that can just turn off two of those lamps that
- 15 would be like a 50 percent dimming ballast.
- 16 There are also a number of different
- 17 control mechanisms for setting the dimming
- 18 levels, some examples of those include low
- 19 voltage DC, usually zero to 10 volts, phase
- 20 chopping and digital communications.
- 21 Historically, dimming ballasts have
- 22 represented a fairly small fraction of all
- 23 ballast shipments. DOE's analysis back in 2005
- 24 showed about one percent of all fluorescent
- 25 ballasts or dimming ballasts by shipments.

- 1 However, California's Title 24 Building
- 2 Efficiency Regulations that will come into effect
- 3 in July of this year will cause a market shift
- 4 toward a greater number of those dimming
- 5 ballasts.
- 6 Dimming ballasts themselves are an energy
- 7 saving technology, an opportunity. They allow
- 8 light tuning, they allow daylight adjustments,
- 9 and just all sorts of different dimming
- 10 opportunities where full light output is not
- 11 necessary. However, dimming ballasts in certain
- 12 situations can cause energy consumption increase
- 13 where they're put into fixtures that do not need
- 14 dimming, or cannot be dimmed because full light
- 15 output is necessary.
- 16 This is just a section of Title 24 that
- 17 requires the use of dimming ballasts and I just
- 18 thought I would include that. You can see that
- 19 it requires that those dimming ballasts have the
- 20 ability to dim below 50 percent, so, again, not
- 21 only does it encourage dimming ballasts, but it
- 22 specifically encourages the type of dimming
- 23 ballasts that do not have standards for them.
- 24 The California IOUs, I learned this
- 25 number is incorrect, it's 34, but the IOUs show

- 1 test data for 34 continuous dimming ballasts, and
- 2 those ballasts were tested across the entire
- 3 dimming range at five percent increments of input
- 4 power. And the data revealed significant
- 5 efficiency variation and opportunities. For
- 6 example, dimming ballasts can be as much as 10
- 7 percent less efficient, one versus the other, and
- 8 also when compared to fixed-output lamps.
- 9 So what I've got here are two -- these
- 10 are two three lamp products that were tested,
- 11 they have similar functionality. You can see
- 12 that the Y axis here is input power that is power
- 13 coming into the ballasts, the X axis is arc
- 14 power, so that would be power leaving the
- 15 ballasts going into the lamp. You can see that
- 16 this top line here is far less efficient than the
- 17 bottom line across the board, so one that shows
- 18 an opportunity to be more efficient at any
- 19 dimming range, but also this particular product
- 20 has Cathode Cut-out which you can see this odd
- 21 bend right here is actually Cathode Cut-out, and
- 22 I think I'll discuss that a little bit later in
- 23 this presentation.
- 24 There is also some opportunity in standby
- 25 power, you can see there are a few of the

- 1 different lighting technologies here, and LVDC is
- 2 just shorthand for Low Voltage DC. You can see
- 3 that the technology type used to control the
- 4 ballasts correlates with the amount of standby
- 5 power, so the Y axis is the Standby Power, the X
- 6 axis is Max Arc Power, and so these are more
- 7 powerful lamps and less powerful lamps. But you
- 8 can see even within kind of the technology with
- 9 the highest standby power quite a bit of
- 10 variation and, you know, the ability to use as
- 11 little as it looks like about 0.4 watts, whereas
- 12 some are almost near 2.0 watts, so you can see
- 13 there's quite a number of different power levels
- 14 there and opportunity.
- 15 This is a plot of energy use versus max
- 16 arc power. This is in kilowatt hours here on the
- 17 Y axis and this is in watts on the X axis. And
- 18 so the dots represent the test date that I
- 19 mentioned, the 34 test points, and the red line
- 20 represents what staff is proposing in the staff
- 21 proposal. And then you'll notice that the blue
- 22 dots have different sizes, the larger the dot,
- 23 the more expensive the ballast is, the smaller
- 24 the dot, the least expensive. So this would be
- 25 around \$25.00, \$30.00, whereas like this dot, or

- 1 this dot, or this dot might be like more than
- 2 \$110.00 or \$100.00. So what this graph kind of
- 3 shows is that there's not -- it's not the more
- 4 expensive products that use less energy, in fact,
- 5 it looks like it's a mish mash, there's expensive
- 6 cheap products on both sides of this line, so it
- 7 didn't really seem like there was a lot of
- 8 correlation between price and energy use.
- 9 So the opportunities again, just to
- 10 summarize, Improved BLE -- BLE is short for
- 11 basically ballast efficiency and you can get
- 12 better efficiency using better components, better
- 13 designs of the ballast. Cathode Cut-out is an
- 14 opportunity and what that is is essentially these
- 15 lamps have a heater that allow the lamps to
- 16 operate at dim states without getting a lot of
- 17 flicker or failure. And that's necessary at
- 18 certain levels of dimness, but in other parts of
- 19 the dimming curve, it's not necessary and so some
- 20 ballasts go ahead and turn that heating off when
- 21 it's not necessary, others don't. And so there's
- 22 an opportunity to improve efficiency by turning
- 23 off this heat when it's not necessary. And
- 24 lastly, there's an opportunity to increase the
- 25 standby power, and because a lot of the high

- 1 standby power ballast were digitally controlled,
- 2 the opportunities are to introduce sleep modes
- 3 and enhance software protocols and communication
- 4 protocols.
- 5 So with that data, the Energy Commission
- 6 investigated several different methods of
- 7 regulating or trying to compel increased energy
- 8 efficiency in these products. We looked at just
- 9 expanding what's currently required for fixed
- 10 output ballasts, just expanding that requirement
- 11 to dimming ballasts with only full output. The
- 12 IOUs submitted a proposal to regulate BLE at 180
- 13 and 50 percent. It says "output," I think that's
- 14 actually a mistake, I think it was on "input
- 15 power." They recommended a separate standby mode
- 16 power limit and also requirements to minimize
- 17 flicker.
- 18 I also investigated and staff
- 19 investigated a design standard that would just
- 20 require Cathode Cut-out, and we also looked at an
- 21 annual energy use performance standard, which was
- 22 on a few slides back, and that would aggregate
- 23 the BLE efficiency at 180 and 50 percent dimming
- 24 points, along with the standby power.
- 25 And in considering these alternatives, we

- 1 looked at how much energy each of these
- 2 approaches would save and also how many of the --
- 3 and again, this should be 34 -- products
- 4 complied, and found that the annual energy use
- 5 performance standard saved the most amount of
- 6 energy and that's why we decided to select it.
- 7 It also happened to have the largest number of
- 8 products in that test data that complied.
- 9 So the scope of the standard would
- 10 include, as I said in the beginning, all dimming
- 11 ballasts that dim to 50 percent or below, and
- 12 they would have to meet -- their annual energy
- 13 use would have to be less than or equal to this
- 14 formula here.
- 15 And so how is annual energy use
- 16 calculated? So the annual energy use is
- 17 calculated by taking the input power measurements
- 18 from the test procedure and multiplying them by
- 19 time constants. And so this equation here shows
- 20 how that could be done. P_{100} stands for the power
- 21 at 100 percent, like full light output, P80 would
- 22 be like the power consumption at 80 percent
- 23 dimming, and so forth, and then P_0 is the standby
- 24 power. And then all these ts are the amount of
- 25 time expected to be spent at each one of these

- 1 power levels. And in the Proposed Standards, you
- 2 don't measure the t values, they're provided in a
- 3 table in the Proposed Regulations. And this is
- 4 that table. This table also -- not all dimming
- 5 ballasts that dim below 50 percent will be able
- 6 to dim 80 percent and 50 percent, and so there's
- 7 some adjustments here if for some reason that
- 8 ballast doesn't have that functionality.
- 9 The test procedure proposed is the
- 10 current DOE test procedure for fixed output
- 11 ballasts, it's found in 10 C.F.R. 430.23(q), but
- 12 staff proposes some modifications to that and
- 13 I'll actually get into it in a lot more detail
- 14 about those in the next slides.
- So one is the selection of appropriate
- 16 control for the dimming ballasts. The proposed
- 17 standards set an order of preference on which
- 18 lighting control to select first, and the highest
- 19 priority is a lighting control made by the same
- 20 manufacturer as the ballast. If the manufacturer
- 21 does not make a lighting control, then a lighting
- 22 control recommended by the manufacturer should be
- 23 selected. And if the manufacturer of the ballast
- 24 neither makes nor recommends a lighting control,
- 25 then a lab technician should select an

- 1 appropriate control. And that control should be
- 2 compatible with all of the features of the
- 3 ballast, which should have the minimum amount of
- 4 additional features outside of what the ballast
- 5 can do.
- 6 The DOE test procedure only tests at full
- 7 output, so staff is proposing to amend that and
- $8\,$ also take measurements at 80 percent and 50
- 9 percent of maximum arc power, and that is to be
- 10 achieved using the controls, the selected
- 11 controls. For dimming ballasts that cannot be
- 12 tuned to those levels, the idea here is that you
- 13 would use the next closest level, so at 80
- 14 percent, if your dimming ballast could dim to 82
- 15 percent, but not 80, then you would test at 82
- 16 percent. And the Proposed Regulations define a
- 17 tolerance range, and so if your dimming ballast
- 18 can dim to 80 percent, then the next closest
- 19 value between 65 and 90 percent should be used
- 20 for that test point. And for the 50 percent
- 21 testing point, the range is 35 percent to 65
- 22 percent.
- 23 The staff also expanded on standby mode
- 24 testing, which is I believe in the DOE test
- 25 method. It describes how to set the controls to

- 1 achieve the standby mode, it also requires a 90-
- 2 minute waiting period before measuring the
- 3 standby mode and really the idea behind that is
- 4 to allow digital communication and smart
- 5 controllers to go to sleep. It also sets a
- 6 minimum sampling rate and test period to
- 7 determine the average standby mode power.
- 8 The proposal would also require
- 9 manufacturers to submit data to the Energy
- 10 Commission, report it, to be included in the
- 11 Appliance Efficiency Database. There's some
- 12 basic information not shown in this slide that
- 13 would be required, like manufacturer name and
- 14 model number, and things like voltage, and just
- 15 some background information on the ballasts. And
- 16 we would also require that the power be reported
- 17 and information necessary to show that the
- 18 ballast complies with the Regulations. And we
- 19 also propose to require power factor be reported
- 20 at full output.
- 21 And was shown in that graph with the red
- 22 line and the different sized blue dots, the
- 23 market information and analysis did not show any
- 24 correlation between cost and efficiency. And
- 25 staff also looked into potential costs by looking

- 1 to other sources. We looked at the DOE analysis
- 2 on Cathode Cut-out and improved efficiency for
- 3 Program Start ballasts, and the DOE did
- 4 characterize some costs for those. Specifically,
- 5 they characterized a \$.89 incremental cost for
- 6 program start ballasts to incorporate Cathode
- 7 Cut-out and to improve their BLE. And so that
- $8\,$ DOE data was for a two-amp ballast and was \$.89,
- 9 and to adjust for three, four and one lamp
- 10 ballasts, we assumed a \$.10 differential by the
- 11 number of lamps just because more powerful
- 12 components usually are more expensive components.
- 13 So the lifecycle analysis shows that the
- 14 Proposed Regulations are very cost-effective.
- 15 You can see that for four lamp ballasts, the
- 16 improvements from a non-compliant product to a
- 17 compliant product saves on average over the
- 18 lifetime -- and note, these are not discounted
- 19 numbers, so with a grain of salt, but it's \$34.58
- 20 of savings for four lamp ballasts that moves from
- 21 non-compliance to just complying, with annual
- 22 savings of \$2.66. So you can see the payback is
- 23 quick and many-fold, the incremental costs.
- When scaled up to the entire state, you
- 25 can see that -- and this was in a previous slide

- 1 -- that the energy savings would be 388 gigawatt
- 2 hours a year. This is assuming some pretty high
- 3 number of shipments and, again, this isn't
- 4 consistent with historical shipments, this is
- 5 assuming quite a number of new ballasts being
- 6 dimming ballasts because of the Title 24
- 7 Regulations, Building Standards.
- 8 So with that, the next steps in this
- 9 process are to take input from today's workshop
- 10 and from written comments received by or before
- 11 June 6, 2014, and we will take all that input on
- 12 our proposals and our analysis and update them
- 13 accordingly. And then we'll go to the next step
- 14 in the process, which was shown in that earlier
- 15 slide.
- 16 And I also want to emphasize that staff
- 17 is always available to discuss the proposal, any
- 18 questions, any concerns, anything you want to
- 19 discuss about it, please contact me, I'm the Lead
- 20 on this product, I've put my email and my phone
- 21 number on this slide. Just below that is the
- 22 emails address to the Docket to submit written
- 23 comment, and also the Docket number, be sure to
- 24 include that Docket number in the subject line.
- 25 And we look forward to receiving your comments

- 1 both today and in writing. With that, that
- 2 concludes my presentation, so Harinder, did you
- 3 get any blue cards?
- 4 MR. SINGH: Thank you, Ken. Yes, I have
- 5 Stephen Irving from Lutron Electronics.
- 6 MR. IRVING: Thank you. My name is Steve
- 7 Irving. I'm representing Lutron Electronics.
- 8 And on behalf of Lutron, I thank you for the
- 9 opportunity to provide comments on the draft
- 10 proposed regulations for fluorescent dimming
- 11 ballasts.
- 12 In these brief comments, I will identify
- 13 key areas where the proposal may actually work
- 14 against California's goal of lowering overall
- 15 energy consumption. In addition to these
- 16 comments, we intend to provide specific written
- 17 comments before the June 6th deadline.
- 18 First, proposed annual energy usage
- 19 limits do not properly account for different
- 20 applications of fluorescent lighting systems,
- 21 namely ballasts that can operate more than one
- 22 lamp, often referred to as multi-lamped ballasts.
- 23 A common three lamp fixture using one three lamp
- 24 ballast will always use less power than the same
- 25 fixture using three single lamp ballasts of the

- 1 same efficiency. However, the proposed standards
- 2 strongly favors single lamped ballasts which will
- 3 result in a higher overall energy usage. This
- 4 can be seen in the CEC data as the total wattage
- 5 increases beyond one lamp configurations, the
- 6 percentage of compliant ballasts decrease
- 7 significantly. Although there were fewer samples
- 8 tested, it still appears that the common
- 9 configuration of three lamp 32 watt ballasts only
- 10 has a single sample which complies. We need to
- 11 ensure that there are multiple models at every
- 12 application which meet the proposed standard,
- 13 otherwise multiple ballasts may be installed,
- 14 increasing overall energy usage.
- 15 Second, the proposed annual energy usage
- 16 formula discourages the use of digital dimming
- 17 ballasts. These ballasts have a number of energy
- 18 saving advantages, including the ability to
- 19 program occupancy sensed and daylight zones, and
- 20 to implement demand response functions. These
- 21 ballasts do have an on-state power consumption,
- 22 and therefore would need to have an even higher
- 23 operating efficiency to comply, compared with
- 24 their analog counterparts. These ballasts should
- 25 not be punished for their expanded utility, as

- 1 the effect will be to lose these advantages and
- 2 all associated energy savings.
- Working through NEMA, Lutron is
- 4 collaborating with Energy Solutions to continue
- 5 to make improvements in the proposal. We are
- 6 also happy to work directly with the CEC to
- 7 discuss this topic. Thank you for the
- 8 opportunity to make comments today.
- 9 MR. RIDER: Of course, thank you.
- 10 MR. SINGH: Thank you, Stephen. Next is
- 11 Daniel Young from IOUs.
- MR. YOUNG: Hi. I'm Daniel Young
- 13 representing the California Investor-Owned
- 14 Utilities, Statewide Codes and Standards Team.
- 15 So first I wanted to just commend the CEC on
- 16 their efforts in developing the analysis that was
- 17 presented today and for proposing highly cost-
- 18 effective stringent standards that we believe
- 19 will make a big difference on energy use in
- 20 California.
- 21 And so, before I start with some of my
- 22 more detailed comments, I wanted to kind of
- 23 reaffirm some of the assumptions that Ken has
- 24 laid out here and, you know, namely that with new
- 25 revisions to Title 24 California Building Codes,

- 1 starting July 1st of this year we expect to see a
- 2 very significant increase in dimming ballast
- 3 shipments in the State of California, and without
- 4 a standard in place in the State of California,
- 5 these are otherwise unregulated products and
- 6 savings from the Title 24 Standard may actually
- 7 fall well short of expectations because, when
- 8 operating at full output, these products are not
- 9 more efficient than fixed output ballasts,
- 10 particularly with no standard to enforce.
- 11 The other thing I wanted to mention is
- 12 the significant opportunity for energy savings
- 13 through better control of Cathode heating which
- 14 Ken also has already mentioned, but, actually, do
- 15 you mind flipping to slide 9? So this is the
- 16 graph of two example ballasts that came from the
- 17 SCE test data that shows, again, the red ballast
- 18 less efficient than the green ballast here, and
- 19 that wedge that Ken described as Cathode heating
- 20 up at around 60 watts of arc power and above. It
- 21 shows a pretty significant opportunity there for
- 22 improvement. And so this opportunity is actually
- 23 fairly well documented in a NEMA document, LL9,
- 24 which we'll reference in comments that we'll
- 25 submit for this rulemaking. But basically that

- 1 document shows about a 5.6 watt per lamp gap in
- 2 what is required versus what could be used to
- 3 operate a lamp when it's above 155 milliamps, so
- 4 basically anywhere from 100 percent down to it
- 5 could be from, you know, 60 percent to 70 percent
- 6 of full output. In that whole zone, there is a
- 7 5.6 watt per lamp buffer that you either could
- 8 use, or you don't have to use, and so that in and
- 9 of itself is a significant energy savings
- 10 opportunity. When you're looking at one lamp
- 11 valves, that's 5.6 watts, but when you're looking
- 12 at four lamp ballasts, that's over 20 watts just
- 13 right there. And that's just through better
- 14 control of cathode heating and that speaks
- 15 nothing to just the general efficiency gains that
- 16 you're seeing in this example, below 60 watts of
- 17 arc power where the green line is just clearly
- 18 below the red line everywhere. So I wanted to
- 19 just emphasize that point.
- 20 The other comment we wanted to make was
- 21 about a proposal for a weighted or integrated BLE
- 22 metric for consideration, and that takes us away
- 23 from the annual energy use metric, which we
- 24 believe is less useful for kind of integrating
- 25 with the Title 24 requirement and the modeling

- 1 that's required of system designers for meeting
- 2 those requirements, and so we believe that a
- 3 weighted BLE with a separate standby mode
- 4 component is a better metric for evaluating the
- 5 efficiency of these products.
- 6 A couple other things here, so power
- 7 factor, CCS proposed that power factor should be
- 8 tested and listed, we would actually like to see
- 9 that as a requirement of 0.9 power factor at
- 10 basically all three operating mode measurement
- 11 points, so 100 percent, 80 percent and 50
- 12 percent, and we would like the requirement to be
- 13 0.9 power factor which, according to our test
- 14 data, none of the products that were tested
- 15 should have any issues with meeting that
- 16 requirement; all of them were well above that.
- 17 But the goal here is, again, just to ensure that
- 18 new products also maintain that level of
- 19 performance and so there's no drop-off.
- We also would like to add a flicker
- 21 requirement to the standard, so during testing we
- 22 did observe flicker in the very low dimming
- 23 ranges, so basically for every single ballast we
- 24 measured the power consumption, we measured the
- 25 efficiency until we dimmed it to where the lamp

- 1 started flickering and, so, it was assumed to not
- 2 be able to dim any further. And so that suggests
- 3 that not only is the lamp flickering when it's at
- 4 its very lowest operating point, but also
- 5 somewhere above that there might also be some
- 6 "non-perceptible flicker" that may still have
- 7 negative impacts and is something that we would
- 8 certainly want to address because, if flicker is
- 9 a problem with these systems, then people will
- 10 not be dimming them when they're installed. And
- 11 then they're not generating the energy savings
- 12 that they're designed to generate.
- 13 And so the IOUs are going to continue to
- 14 work on this very important issue and will be
- 15 starting flicker testing on fluorescent ballasts
- 16 later this month. And so for the time being, we
- 17 would recommend that the flicker specification
- 18 proposed in the case report, which was matching
- 19 the previous Title 24 requirement and the current
- 20 Title 20 controls requirement of 30 percent
- 21 maximum amplitude modulation for frequencies
- 22 under 200 Hz, be adopted as part of the standard
- 23 and, as I said, once that testing is completed
- 24 we'll submit that to the CEC for consideration
- 25 and we can work on how that will be implemented.

- 1 Finally, I think the last thing here we
- 2 wanted to just be careful a little bit that we
- 3 make sure that the actual standard levels being
- 4 proposed by CEC are achieving the desired
- 5 efficiency and stringency. And as an example, I
- 6 think it's Slide 20 here, if you don't mind, the
- 7 very bottom bullet here, there's a window of 65
- 8 percent to 90 percent for a ballast that can only
- 9 dim to 80 percent, and likewise for ballasts that
- 10 dimmed to 50 percent, there's a window from 35
- 11 percent to 65 percent, and I think there might be
- 12 a slight issue here where that range actually
- 13 advantages or disadvantages certain ballasts that
- 14 can only hit either on the high or the low end of
- 15 that range, it makes it either easier or harder
- 16 for them to meet the standard. And so there's
- 17 small things like that that, again, they're
- 18 certainly solvable, but need to be carefully
- 19 vetted before the Standard is finalized.
- With that, again, I'd just like to thank
- 21 CEC for the opportunity to provide comment today
- 22 and for the hard work that went into the proposal
- 23 and the great energy savings that are sure to be
- 24 achieved through this Standard. Thanks.
- MR. RIDER: Thank you, Dan. Anyone else

- 1 in the room? Okay, Pierre.
- 2 MR. SINGH: Pierre.
- 3 MR. DELFORGE: Pierre Delforge, NRDC. I
- 4 would like to thank the Energy Commission for
- 5 developing this proposal and for the opportunity
- 6 for stakeholders and for NRDC to participate in
- 7 the process.
- 8 As previous speakers have commented, this
- 9 is a fast growing market with a Title 24
- 10 requirement that's coming into force very soon,
- 11 and given that there is a clear difference
- 12 between products, in terms of efficiency, these
- 13 products are a great candidate for standards and
- 14 this is the right time to set the standards.
- 15 We are in general support of the CEC
- 16 proposal and we encourage CEC to move forward
- 17 rapidly on this proposal. I would just like to
- 18 make a few specific points.
- 19 On power factor and flicker requirements,
- 20 we support your comments on setting requirements
- 21 for these two points. You know, power factor is
- 22 an important way to save energy and there is no
- 23 reason, especially given the test data that was
- 24 shown, that we wouldn't put a .9 requirement on
- 25 those. Light quality requirement is also very

- 1 important for customer satisfaction and for the
- 2 success of standards, and we also very much
- 3 support this requirement.
- 4 My last point is talking about standby
- 5 power and, while we appreciate the additional
- 6 functionality of digital dimming ballasts, this
- 7 should not be at the expense of low power
- 8 standby. There are technologies today available
- 9 to have very low power standby and we think it's
- 10 important, given the growing standby power in
- 11 homes and businesses today that everything is
- 12 done to minimize this, especially in new
- 13 standards. Thank you.
- MR. RIDER: Thank you.
- 15 MR. SINGH: Thank you, Pierre. Jon
- 16 McHugh.
- 17 MR. MCHUGH: Thank you. First off, I'd
- 18 like to voice my support of the Standards. I was
- 19 involved in the 2013 Title 24 development and the
- 20 requirements for essentially dimming ballasts in
- 21 all locations is one of the largest measures that
- 22 was in the 2013 standards. And this Title 20
- 23 Standard actually helps us secure those energy
- 24 savings, so I want to acknowledge all the effort
- 25 and all the potential savings associated with

- 1 this standard.
- 2 I've also been involved over the years in
- 3 the requirements for daylighting controls in both
- 4 Title 24 and the ASHRAE Standards, so this is
- 5 something I've worked on for years, actually
- 6 going back to -- I was in graduate school working
- 7 on my thesis on daylighting, so a long history
- 8 associated with this. And as part of this, I've
- 9 done a number of research on the issues that make
- 10 for successful and unsuccessful daylighting
- 11 systems. And the two primary issues associated
- 12 with successful daylighting systems, at least in
- 13 terms of the controls, has to do with the
- 14 placement of the control and the adjustment of
- 15 the control. The third one is the issue of
- 16 flicker. A number of very well engineered,
- 17 nicely designed systems that basically took a lot
- 18 of thought, a lot of additional expense, I don't
- 19 know if you know this story about, you know, for
- 20 a lack of a nail, the horse's shoe was lost, the
- 21 issue associated with flickering fluorescent
- 22 systems has been the downfall of a number of
- 23 daylighting systems where essentially the
- 24 controls were disabled due to flickering of lamps
- 25 when the dimming was below a certain level. So

- 1 my recommendation is that flicker be part of the
- 2 standard, that it be at the very least a test and
- 3 list standard at different dimming levels, and
- 4 similar to what Energy Star has, which they're
- 5 collecting this information, but I would say the
- 6 difference is that I'd like to see that we not
- 7 only collect information in terms of amplitude
- 8 modulation, but amplitude modulation filtered at
- 9 different frequencies because, as was mentioned
- 10 earlier by Dan, the current definition of low
- 11 flicker operation is amplitude modulation, which
- 12 some people would call percent flicker, at
- 13 frequencies less than 200 Hz. So if we could be
- 14 collecting the information, potentially
- 15 unfiltered data, and the California Lighting
- 16 Technology Center has worked with us in terms of
- 17 developing a public domain, filtering software
- 18 that would take the time varying lighting
- 19 information and then filtering that by frequency,
- 20 then would be available to all users and would be
- 21 filtered identically for all people submitting
- 22 data. So I'm recommending that, in addition, I
- 23 think this idea of an integrated ballast luminous
- 24 efficiency is desirable so that we have a metric
- 25 that is roughly equivalent, regardless of the

- 1 number of lamps being used. And this actually
- 2 has a history that is actually fairly similar to
- 3 what was in the Title 24 Standards, which they
- 4 called relative system efficacy, that wasn't at
- 5 different dimming levels, but it's still the same
- 6 idea that you're normalizing the result so that
- 7 you can use this across ballasts that are serving
- 8 a different number of lamps. Thank you very
- 9 much.
- 10 MR. SINGH: Thank you, Jon. Gary
- 11 Fernstrom from PG&E.
- MR. FERNSTROM: Thank you. Gary
- 13 Fernstrom representing PG&E. I'd like to
- 14 emphasize some of the points that Daniel, Pierre
- 15 and Jon raised with respect to the Utilities'
- 16 interest in fully understanding the performance
- 17 of these products and accurately being able to
- 18 estimate for rebate program purposes the savings
- 19 associated with them.
- 20 So the use of BLE facilitates comparing
- 21 one ballast to another and that metric would be
- 22 useful to us. Secondly, having the power factor
- 23 reported at different levels of lighting output
- 24 is also an important metric for determining
- 25 energy savings from our point of view. Thank

- 1 you.
- 2 MR. SINGH: Thank you, Gary. Anybody
- 3 else in the audience who wants to make a comment?
- 4 MR. RIDER: I would just like to point
- 5 out on that BLE, so the current proposal for data
- 6 collection does not include directly that BLE be
- 7 submitted, however, because it does require input
- 8 power and arc power be reported, we can calculate
- 9 BLE from those numbers. So we're not having it
- 10 directly reported currently, and you may submit
- 11 comments that you think it ought to be, I just
- 12 want to bring up the point that you can get there
- 13 from what we are proposing to collect.
- MR. SINGH: Ken --
- 15 MR. RIDER: There are people on the
- 16 phone.
- 17 MR. SINGH: Okay.
- 18 MR. RIDER: Let's see, earlier Alex
- 19 Boesenberg with NEMA typed in a comment and asked
- 20 me to read it when we got to this point, and I
- 21 will read it exactly as he has written it: "We
- 22 have identified two key concerns regarding the
- 23 proposal and have been working with the IOU
- 24 consultants and SCE employees who developed it:
- 25 1) we are concerned that the very high frequency

- 1 and very low power levels being measured have
- 2 inherent potential for substantial inaccuracies,
- 3 potentially as much as 100 percent. This
- 4 represents a concern for reporting,
- 5 repeatability, and enforcement; 2) Cathode Cut-
- 6 out is central to the proposal and is a matter
- 7 intertwined with intellectual property claims.
- 8 Were the proposals to be adopted as is, there is
- 9 potential that CEC would end up favoring a single
- 10 manufacturer/patent. We are working within NEMA
- 11 and with the SCE Proposal Team to see if we can
- 12 reach a compromise." And he also said that both
- 13 of these issues will be expanded on in greater
- 14 detail in their written comment. And let's see,
- 15 I've also got some other folks who want to speak.
- 16 Richard Haring, I believe. Let me see if I can
- 17 find you and unmute you. It looks like you're a
- 18 call-in user, hold on a second. All right,
- 19 Richard, if you're there?
- MR. HARING: Hello.
- 21 MR. RIDER: Hello, we can hear you.
- MR. HARING: Hi, I'd like to thank the
- 23 CEC for the opportunity to participate in the
- 24 rulemaking process.
- MR. RIDER: Richard, could you introduce

- 1 yourself and your affiliation real quick?
- MR. HARING: My name is Richard Haring
- 3 and I'm working with Philips Lighting.
- 4 MR. RIDER: Great, go ahead.
- 5 MR. HARING: I would just like to echo
- 6 the comments made by Alex. We have been working
- 7 with the consultants and we have some concerns
- 8 about the accuracy of some of the measurements
- 9 given the high frequency and low currents
- 10 involved. As Alex indicated, we will be
- 11 providing written comments to address those
- 12 concerns and we would appreciate some feedback on
- 13 that once we submit them.
- 14 MR. RIDER: Great, and thanks for taking
- 15 the call, thanks for your comments and for your
- 16 time. So anyone else on the phone, if you could
- 17 raise your hand if you'd like to make a comment,
- 18 I will unmute you. If I don't see anyone in the
- 19 next minute or two, I will unmute all the lines
- 20 and see if we miss anyone. And while I wait for
- 21 folks to raise their hands, I just want to say
- 22 that I look forward to seeing your written
- 23 comments and please include a complete rationale
- 24 behind the proposals, for example, flicker, or
- 25 moving the annual energy use to a different

- 1 metric, you know, the next step is literally for
- 2 us to take all that and figure out what the best
- 3 thing to do is for California. And the more data
- 4 and the more information, the better rationalized
- 5 it is, the better that I think staff will be able
- 6 to realize that that's true and we will make
- 7 those changes. The goal here is to save energy
- 8 and so, as long as we're doing that, I think then
- 9 we are open to alternative approaches.
- 10 So I don't see anyone else with raised
- 11 hands, I want to unmute all the lines. So
- 12 everyone is unmuted. If you couldn't raise your
- 13 hand and wanted to say something, now would be
- 14 your chance. Okay, not hearing anything, it
- 15 looks like we have one more comment in the room.
- 16 And so, Jon, if you would care to?
- 17 MR. MCHUGH: Hi. This is Jon McHugh. We
- 18 heard two commenters describing the concerns
- 19 about measurement accuracy with the issues
- 20 associated with high frequency and low currents.
- 21 I'd like to encourage folks to share their
- 22 measurements, you know, essentially do a Round-
- 23 robin and identify if indeed there is that
- 24 discrepancy, and if they share basically their
- 25 measurement method, the equipment they're using,

- 1 etc., then we could actually hopefully narrow in
- 2 on a solution. So I thought I'd throw that out
- 3 there.
- 4 MR. RIDER: Yeah, that's a good idea.
- 5 And again, that is just exactly what I was trying
- 6 to get at, which is if you have a comment, or you
- 7 want to contest the analysis or the data, or the
- 8 savings, or the costs, or any other aspect of the
- 9 proposal, it always is better to include either
- 10 an alternative proposal or data to show why that
- 11 is correct, or a study, or something like that,
- 12 because at the end of the day, when we
- 13 rationalize and we put potentially this into law,
- 14 we need to show exactly what the basis of all the
- 15 decision points were. So the better
- 16 substantiated it is, the more likely that we will
- 17 make the change that is requested in the comment.
- 18 Yes, Dan.
- 19 MR. YOUNG: Daniel Young representing the
- 20 California Investor-Owned Utilities. And just to
- 21 tag onto Jon's comment there, we would also like
- 22 to know kind of the exact threshold of where the
- 23 last two commenters suspect that measurement and
- 24 accuracy may be an issue, so our understanding is
- 25 that we're measuring incrementally higher

- 1 frequencies than what we're measuring at full
- 2 output; likewise, marginally lower lamp currents
- 3 than we would be measuring at full output. So
- 4 it's not we're not jumping orders of magnitude in
- 5 either direction for those two measurements. So
- 6 it would be nice to know exactly where those
- 7 concerns are and what our opportunities are to
- 8 mitigate those.
- 9 MR. RIDER: And I would like to also
- 10 point out, I've graphically represented here the
- 11 input versus arc power in the test data, and you
- 12 can see there's not a lot of weird wiggles or
- 13 anything odd that you would expect to see if
- 14 frequency, you know, when you increase arc power,
- 15 with that increased frequency, you're not seeing
- 16 any bending here in the data at all, so it
- 17 doesn't look like that would be -- the test
- 18 results certainly do not seem to support that
- 19 there is this large variation. And also, you
- 20 know, products didn't vary -- while there is a
- 21 gap here, there wasn't a large amount of
- 22 variance, you know, between similar products from
- 23 similar manufacturers. So I would encourage
- 24 everyone to take a look at the dataset online, as
- 25 well as generate more data. But I think the

- 1 dataset that we have right now doesn't seem to
- 2 suggest wide variability, especially not at the
- 3 50 and 80 percent points and 100 percent point.
- 4 Okay, again, thank you everyone for your time and
- 5 for those of you who took the time to travel, I
- 6 especially thank you for being here in person.
- 7 Oh, there's one more comment, I'm sorry. Richard
- 8 Haring. Go ahead. Oh, I have to unmute you. I
- 9 think you were 27, I'm going to guess. Okay, go
- 10 ahead.
- 11 MR. MENDOZA: This is Alberto Mendoza
- 12 with Philips.
- MR. RIDER: Oh --
- 14 MR. MENDOZA: This certainly is a very
- 15 interesting opportunity to look very close to
- 16 this fascinating topic, very interesting for
- 17 engineers and scientists. I'm just curious, when
- 18 I look through the --
- 19 MR. RIDER: Would you mind speaking a
- 20 little bit more directly into the speaker? I'm
- 21 having difficulty hearing you.
- 22 MR. MENDOZA: I'm sorry. It is a very
- 23 interesting topic to look closer. It's a very
- 24 interesting topic for scientists and engineers to
- 25 understand the fundamentals for dimming even

- 1 deeper. I really appreciate the opportunity.
- 2 When I'm looking at the chart on the screen
- 3 between the red and the green, I wondered if one
- 4 of the differences we can find when looking at
- 5 the data will be the running at different
- 6 frequencies. We will be doing, as you suggested,
- 7 some research in terms of that, and it will be an
- 8 interesting opportunity to look at how
- 9 frequencies are affecting these measurements.
- 10 MR. RIDER: You mean sequences like
- 11 testing from 100 percent down versus starting at
- 12 zero and going up?
- MR. MENDOZA: When I look, for instance,
- 14 you are saying data at 20 percent of 20 watts, if
- 15 the two ballasts are running 20 watts, but one
- 16 may be running to, say, a number of 80 Hz and the
- 17 other one is running at 70 Hz, so I wondered if
- 18 that's what you see this difference is, what --
- 19 it would be an interesting thing when we have
- 20 data to compare different testing from different
- 21 ballasts.
- MR. RIDER: Yeah, it sounds like
- 23 something that could be proven scientifically, so
- 24 as an issue, so I don't know if you have access
- 25 to a lab or anything, but a point could be made,

- 1 I think, without a great deal of cost or time in
- 2 testing this. So I look forward to hopefully
- 3 getting some more information on this in the
- 4 written comments that are due on June 6th.
- 5 MR. MENDOZA: Absolutely. Thank you very
- 6 much.
- 7 MR. RIDER: Thank you.
- 8 MR. SINGH: Thank you very much. Ken, if
- 9 you could put the slide where the Docket address
- 10 is?
- 11 MR. RIDER: Yep. I did, the docket
- 12 number is there. Are you thinking about some
- 13 different slide?
- 14 MR. SINGH: Yes. Well, that's fine, I
- 15 guess. Thank you. We recommend that you submit
- 16 comments to us by June 6th and, you know, our
- 17 transcripts for today's workshop will be
- 18 available in a week or two, we'll try to get it
- 19 as soon as possible so that if somebody wants to
- 20 look at what was said in the workshop, so it's
- 21 going to be available soon, and so that can be
- 22 used to make comments if necessary.
- 23 So we want to thank you and we are always
- 24 going to be available to answer any questions or
- 25 clarifications, so we want to thank you for

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I do hereby certify that the testimony in the foregoing hearing was taken at the time and

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And I further certify that I am not of counsel or attorney for either or any of the parties to said hearing nor in any way interested in the outcome of the cause named in said caption.

IN WITNESS WHEREOF, I have hereunto set my hand this 24th day of June 2014.

Kent Odell
CER**00548

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IN WITNESS WHEREOF, I have hereunto set my hand this 24th day of June, 2014.

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Karen Cutler Certified Transcriber AAERT No. CET**D-723