

**DOCKETED**

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**CALIFORNIA ENERGY COMMISSION**

715 P Street  
Sacramento, California 95814

[energy.ca.gov](http://energy.ca.gov)



*IN THE MATTER OF:*

*California Schools Healthy Air, Plumbing,  
and Efficiency Program (CalSHAPE)*

Docket No. 20-RENEW-01

NOTICE OF FUNDING AVAILABILITY

RE: California Schools Healthy Air,  
Plumbing, and Efficiency Program

## **Notice of Funding Availability Funding Round Five CalSHAPE Ventilation Program Upgrade and Repair Grant**

The California Energy Commission (CEC) will begin accepting applications for Upgrade and Repair (U&R) Grants during Funding Round Five of the California Schools Healthy Air, Plumbing, and Efficiency (CalSHAPE) Ventilation Program starting at 10:00 a.m. on September 19, 2023. The application submittal deadline for Funding Round Five of the CalSHAPE Ventilation Program is 5:00 p.m. on May 31, 2024, for both U&R Grants and Assessment and Maintenance (A&M) Grants.

This notice provides information including relevant application dates, funding eligibility, total available funds including a breakdown of funds by funding category and application tier, and the application submittal and review process.

### **Background**

The CalSHAPE Ventilation Program is one of two grant programs under the CalSHAPE Program, established by Assembly Bill (AB) 841 (Ting, Chapter 372, Statutes of 2020). The CalSHAPE Ventilation Program provides Assessment and Maintenance (A&M) Grants to local educational agencies (LEAs), as defined in Section 1601 of the Public Utilities Code (PUC), to assess, maintain, and repair ventilation systems in schools. The CalSHAPE Ventilation Program also offers U&R Grants to LEAs to repair, upgrade, and replace HVAC or ventilation systems at sites that have completed an A&M Grant project. Information on Funding Round Five for A&M Grants is provided in a separate [Notice of Funding Availability](https://efiling.energy.ca.gov/GetDocument.aspx?tn=250950&DocumentContentId=85894), at <https://efiling.energy.ca.gov/GetDocument.aspx?tn=250950&DocumentContentId=85894>.

As program administrator, the CEC has prepared the [CalSHAPE Ventilation Program Guidelines, Third Edition](https://www.energy.ca.gov/publications/2023/california-schools-third-edition) (Guidelines), at <https://www.energy.ca.gov/publications/2023/california-schools->

healthy-air-plumbing-and-efficiency-ventilation-program, which provide program structure information, application eligibility, and program requirements for grant applicants. Grant applicants are also required to follow all program requirements including those outlined in PUC Division 1, Part 1, Chapter 8.7.

### **Funding Eligibility**

LEAs must meet the eligibility requirements as specified in Chapter 1, Section C of the Guidelines in order to apply for a grant. Only sites located in an “Underserved Community” as defined in Chapter 1, Section C of the Guidelines, or near a Title V facility or busy roadway, as described in PUC Section 1612, are eligible to be included in a U&R Grant.

A CalSHAPE Public Geographic Information System (GIS) Webmap Tool is available to assist LEAs in identifying the schools that meet the underserved community requirements and qualify for funding for U&R Grants. The CalSHAPE GIS Webmap tool is available to LEAs as an informational resource only since confirmation of eligibility for the CalSHAPE Ventilation Program is ultimately determined by the CEC. The CalSHAPE Public GIS Webmap Tool is available on the [CalSHAPE Program webpage](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program), <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>, under the expandable menu labeled Webmap.

U&R Grants are open to sites that have completed an A&M Grant project in the HVAC Assessment and Maintenance Pathway or Limited or No Mechanical Ventilation Pathway. Sites with completed A&M Grant projects in the Scheduled for Replacement Pathway are not eligible for U&R Grant funding, as specified in Chapter 2, Section D of the Guidelines.

### **Availability of Funds**

The total utility funding available for the CalSHAPE Ventilation Program is \$253,500,000 for both U&R Grants and A&M Grants. If additional funds become available, subsequent Notices of Funding Availability will be posted and include available funding amounts.

In accordance with PUC Section 1615(c), funding from each utility must be used for projects within that utility’s service area. The CEC allocates funds from utilities to three application tiers within each utility’s service area funding category. The available funds for each funding category and application tier are provided in Table 1 below. The application tiers are comprised of a funding category as determined by the utility service territory of the eligible site, and an application tier (1, 2, or 3), which is based on LEA student enrollment numbers. Chapter 1, Section B of the Guidelines provides further information on funding categories and application tiers.

**Table 1: CalSHAPE Ventilation Program Funds  
Funding Category and Application Tiers (M = million)**

	<b>PG&amp;E Electric</b>	<b>PG&amp;E Gas</b>	<b>SCE</b>	<b>SDG&amp;E</b>	<b>SCG</b>
<b>Tier 1</b>	PG&E-E1: \$1.35 M	PG&E-G1: \$1.7 M	SCE1: \$12.5 M	SDG&E1: \$9.8 M	SCG1: \$0
<b>Tier 2</b>	PG&E-E2: \$1.35 M	PG&E-G2: \$1.7 M	SCE2: \$12.5 M	SDG&E2: \$9.8 M	SCG2: \$0
<b>Tier 3</b>	PG&E-E3: \$10.8 M	PG&E-G3: \$13.6 M	SCE3: \$100 M	SDG&E3: \$78.4 M	SCG3: \$0
<b>Total</b>	<b><u>\$13.5 M</u></b>	<b><u>\$17 M</u></b>	<b><u>\$125 M</u></b>	<b><u>\$98 M</u></b>	<b><u>\$0</u></b>

Source: California Energy Commission

In addition to the total utility funding, there is also \$20 million in funding available for U&R Grants from the Greenhouse Gas Reduction Fund (GGRF). The GGRF funding is not allocated to the funding categories and will instead be awarded to U&R Grant projects for HVAC system replacements on a first come, first served basis.

**Application Submission Process**

CalSHAPE Ventilation Program U&R Grant applications must be submitted electronically using the [CalSHAPE Online System](https://calshape.energy.ca.gov) available at <https://calshape.energy.ca.gov>. An LEA must have an account established in the system before they can apply. The CEC **will not** accept applications via email or fax.

As described in Chapter 6, Section B of the Guidelines, an LEA may submit up to three applications in each funding round. A site may be included more than once in separate applications in a funding round provided each application is for the repair, upgrade, or replacements of different HVAC or ventilation systems at the site.

The information requested in the application is described in Chapter 6 and Appendix A of the Guidelines, and includes:

- Applicant information
- Site-specific information, including:
  - Project estimated start and end date.
  - Information identifying the number and type of HVAC system units to be addressed, the type of repair, upgrade, or replacement to be performed on each unit, and the number and description of parts or HVAC system units to be replaced at each site.
  - Site-specific cost estimates for materials and labor of the HVAC system repair, upgrade, or replacement.

The supporting documentation required by the LEA must be uploaded into the system with the application, and includes:

- Certificate of good standing for charter schools.
- Letter of authorization for third-party applicants.
- Site-specific contractor estimate.
- Required documentation for the use of a hybrid heating system, if applicable.

Informational materials to assist with completing and submitting the application in the CalSHAPE Online System are available on the [CalSHAPE Program webpage](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program) at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

### **Application Review Process**

The application deadline for Funding Round Five of the CalSHAPE Ventilation Program U&R Grant is 5:00 p.m. on May 31, 2024. All applications submitted by the deadline will be reviewed in the order received.

CEC staff will notify an LEA if there are any errors or inconsistencies in the application and the LEA will have 15 business days from date of notification, excluding state and federal holidays, to resolve the errors or inconsistencies and resubmit the application. The application will be rejected if it is not resubmitted with corrections within the 15 business days. If the application is rejected, the CEC will notify the LEA of this determination and the LEA may reapply as described in Chapter 1, Section G of the Guidelines.

The CEC will send a Notice of Proposed Award (NOPA) to those LEAs with approved applications instructing the LEA of next steps necessary to complete the grant agreement. The grant award funds will be reserved for the LEA after the required documents are received and approved by the CEC and the grant agreement is fully executed.

**Grant funds will only be reserved for an LEA if funds are available in the applicable funding category and application tier when the required documents are received, approved, and the grant agreement is executed by the CEC.** If the amount of funds in the LEA's funding category or application tier is insufficient to fulfill the total grant award, the LEA will be placed on a priority list for funding in order of the date and time the submitted executed grant agreement and required documents are approved by the CEC. The CEC will execute the grant agreement and reserve the grant award funds for the LEA when sufficient funding is available again in the relevant funding category and application tier.

### **Public Advisor and Other CEC Contacts**

**Public Advisor.** The CEC's Public Advisor assists the public with participation in CEC proceedings. To request assistance, interpreting services, or reasonable modifications and accommodations, call (916) 957-7910 or email [publicadvisor@energy.ca.gov](mailto:publicadvisor@energy.ca.gov). The CEC will work diligently to meet all requests based on availability.

**Direct media inquiries** to [mediaoffice@energy.ca.gov](mailto:mediaoffice@energy.ca.gov) or (916) 654-4989.

**Direct general inquiries** regarding the CalSHAPE Program to [CalSHAPE@energy.ca.gov](mailto:CalSHAPE@energy.ca.gov).

### **Availability of Documents**

The [CalSHAPE Ventilation Program Guidelines, Third Edition](#) are available at <https://www.energy.ca.gov/publications/2023/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program>.

Documents and public comments submitted for the CalSHAPE Programs are available on the [CalSHAPE Program page](#), at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>, or at [20-RENEW-01 docket](#), <https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=20-RENEW-01>.

When new information is posted, an email will be sent to those subscribed to the CalSHAPE subscription topic. To receive these notices, or sign up for other email subscription topics, visit [Subscriptions](#), at <https://www.energy.ca.gov/subscriptions>.

Dated: August 18, 2023, at Sacramento, California

*Deana Carrillo*

Deana Carrillo  
Director

Subscription List:  
California Schools Healthy Air, Plumbing, and Efficiency Program (CalSHAPE); Disadvantaged Communities Advisory Group; Tribal Program