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CALIFORNIA ENERGY COMMISSION

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Sacramento, California 95814

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IN THE MATTER OF:

*California Schools Healthy Air, Plumbing,
and Efficiency Program*

Docket No. 20-RENEW-01

NOTICE OF FUNDING AVAILABILITY

RE: California Schools Healthy Air,
Plumbing, and Efficiency Program

Notice of Funding Availability Funding Round Five California Schools Healthy Air, Plumbing, and Efficiency (CalSHAPE) Ventilation Program

The California Energy Commission (CEC) will begin accepting applications for Funding Round Five of the CalSHAPE Ventilation Program starting at 10:00 a.m. on July 18, 2023, for Assessment and Maintenance (A&M) Grants only. The application submittal deadline for Funding Round Five of the CalSHAPE Ventilation Program is 5:00 p.m. on May 31, 2024.

This notice provides information including relevant application dates, funding restrictions, total available funds, and a breakdown of funds by funding category and application tier.

Background

The CalSHAPE Ventilation Program is one of two grant programs under the CalSHAPE Program, established by Assembly Bill (AB) 841 (Ting, Chapter 372, Statutes of 2020). The CalSHAPE Ventilation Program provides A&M Grants to local educational agencies (LEAs) as defined in Section 1601 of the Public Utilities Code (PUC) to assess, maintain, and repair ventilation systems in schools. The CalSHAPE Ventilation Program also offers Upgrade and Repair (U&R) Grants to LEAs to repair, upgrade, and replace HVAC or ventilation systems at sites that have completed an A&M Grant project. Information on the first funding round for U&R Grants will be provided in a separate notice of funding availability.

As program administrator, the CEC has prepared the [CalSHAPE Ventilation Program Guidelines, Third Edition](https://www.energy.ca.gov/publications/2023/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program) (Guidelines), at <https://www.energy.ca.gov/publications/2023/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program>, which provide program structure information, application eligibility, and program requirements for grant applicants. Grant applicants are also required to follow all program requirements including those outlined in PUC Division 1, Part 1, Chapter 8.7.

Availability of Funds

The total funding available for Funding Round Five of the CalSHAPE Ventilation Program is \$253,500,000. If additional funds become available, subsequent Notices of Funding Availability will be posted and include available funding amounts.

In accordance with PUC Section 1615(c), funding from each utility must be used for projects within that utility’s service area. The CEC allocates funds from utilities to three application tiers within each utility’s service area funding category. The available funds for each funding category and application tier are provided in Table 1 below. The application tiers are comprised of a funding category as determined by the utility service territory of the eligible site, and an application tier (1, 2, or 3), which is based on LEA student enrollment. Chapter 1, Section B of the Guidelines provides further information on funding categories and application tiers.

**Table 1: CalSHAPE Ventilation Program Funds for Funding Round Five
Funding Category and Application Tiers (M = million, K = thousand)**

	PG&E Electric	PG&E Gas	SCE	SDG&E	SCG
Tier 1	PG&E-E1: \$1.35 M	PG&E-G1: \$1.7 M	SCE1: \$12.5 M	SDG&E1: \$9.8 M	SCG1: \$0
Tier 2	PG&E-E2: \$1.35 M	PG&E-G2: \$1.7 M	SCE2: \$12.5 M	SDG&E2: \$9.8 M	SCG2: \$0
Tier 3	PG&E-E3: \$10.8 M	PG&E-G3: \$13.6 M	SCE3: \$100 M	SDG&E3: \$78.4 M	SCG3: \$0
Total	<u>\$13.5 M</u>	<u>\$17 M</u>	<u>\$125 M</u>	<u>\$98 M</u>	<u>\$0</u>

Source: California Energy Commission

Funding Eligibility

LEAs and sites must meet the eligibility requirements as specified in Chapter 1, Section C of the Guidelines in order to apply for or be included in a grant. Funding Round Five will begin at 10:00 a.m. on July 18, 2023, and all sites in any of the above funding categories will be eligible to be included in an application.

A publicly accessible Webmap tool tailored to CalSHAPE is available to assist LEAs in identifying the schools that meet the eligibility requirements described above. The CalSHAPE Webmap Tool is available to LEAs solely as an informational resource because confirmation of eligibility for the CalSHAPE Ventilation Program is ultimately determined by the CEC. The CalSHAPE Webmap Tool is available under the expandable menu labeled *Webmap* on the [CalSHAPE program page](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program), at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

Application Submission Process

CalSHAPE Ventilation Program Assessment and Maintenance Grant applications must be submitted electronically using the [CalSHAPE Online System](https://calshape.energy.ca.gov) available at <https://calshape.energy.ca.gov>. An

LEA must have an account established in the system before they can apply. The CEC **will not** accept applications via email or fax.

As described in Chapter 3, Section B of the Guidelines, an LEA may submit up to three applications in each funding round. However, each site can only be included in one application. If a site was included in an application in prior funding round, the site will not be able to be added to an application in Funding Round Five or any other future funding round in the Assessment and Maintenance phase of program awards.

The information requested in the application is described in Chapter 3 and Appendix A of the Guidelines, and includes:

- Applicant information
- Site-specific information, including:
 - Project estimated start and end date.
 - Information to identify the grant pathway of the project, as described in Chapter 2, Section A of the Guidelines.
 - Site-specific cost estimates for materials and labor for HVAC assessment work and filter and CO2 monitor installation.

The supporting documentation required by the LEA must be uploaded into the system with the application, and includes:

- Proof of ownership or complying lease for sites included in the application.
- Certificate of good standing for charter schools.
- Letter of authorization for third-party applicants.
- Site-specific contractor estimate.
- Documentation confirming site meets qualification criteria for the Scheduled for Replacement or Limited or No Mechanical Ventilation grant pathways.

Written instructions and a training video to assist with completing and submitting the application in the CalSHAPE Online System are available on the [CalSHAPE Program webpage](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program) at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

Application Review Process

The application deadline for CalSHAPE Ventilation Program Funding Round Five is 5:00 p.m. on May 31, 2024. All applications submitted by the deadline will be reviewed in the order received.

CEC staff will notify an LEA if there are any errors or inconsistencies in the application and the LEA will have 15 business days, excluding state and federal holidays, from date of notification to resolve the errors or inconsistencies and resubmit the application. The application will be rejected if it is not resubmitted with corrections within the 15 business days. If the application is rejected, the CEC will notify the LEA of this determination and the LEA may reapply as described in Chapter 3 of the Guidelines.

The CEC will send a Notice of Proposed Award (NOPA) to those LEAs with approved applications instructing the LEA of next steps necessary to complete the grant agreement. The grant award

funds will be reserved for the LEA after the required documents are received and approved by the CEC and the grant agreement is fully executed.

Grant funds will only be reserved for an LEA if funds are available in the applicable funding category and application tier when the required documents are received, approved, and the grant agreement is executed by the CEC. If the amount of funds in the LEA's funding category or application tier is insufficient to fulfill the total grant award, the LEA will be placed on a priority list for funding in order of the date and time the submitted executed grant agreement and required documents are approved by the CEC. The CEC will execute the grant agreement and reserve the grant award funds for the LEA when sufficient funding is available again in the relevant funding category and application tier.

Public Advisor and Other CEC Contacts

Public Advisor. The CEC's Public Advisor assists the public with participation in CEC proceedings. To request assistance, interpreting services, or reasonable modifications and accommodations, call (916) 957-7910 or email publicadvisor@energy.ca.gov. The CEC will work diligently to meet all requests based on availability.

Direct media inquiries to mediaoffice@energy.ca.gov or (916) 654-4989.

Direct general inquiries regarding the CalSHAPE Program to CalSHAPE@energy.ca.gov.

Availability of Documents

The [CalSHAPE Ventilation Program Guidelines, Third Edition](https://www.energy.ca.gov/publications/2023/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program) are available at <https://www.energy.ca.gov/publications/2023/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program>.

Documents and public comments submitted for the CalSHAPE Programs are available on the [CalSHAPE Program page](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program), at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>, or at [20-RENEW-01 docket](https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=20-RENEW-01), <https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=20-RENEW-01>.

When new information is posted, an email will be sent to those subscribed to the CalSHAPE subscription topic. To receive these notices, or sign up for other email subscription topics, visit [Subscriptions](https://www.energy.ca.gov/subscriptions), at <https://www.energy.ca.gov/subscriptions>.

Dated: June 30, 2023, at Sacramento, California

Deana Carrillo

Deana Carrillo
Director

Subscription List:

California Schools Healthy Air, Plumbing, and Efficiency Program (CalSHAPE); Disadvantaged Communities Advisory Group; Tribal Program