

DOCKETED

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CALIFORNIA ENERGY COMMISSION

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IN THE MATTER OF:

*California Schools Healthy Air, Plumbing,
and Efficiency Program*

Docket No. 20-RENEW-01

NOTICE OF FUNDING AVAILABILITY

RE: California Schools Healthy Air,
Plumbing, and Efficiency Program

Notice of Funding Availability Funding Round Five California Schools Healthy Air, Plumbing, and Efficiency (CalSHAPE) Plumbing Program

The California Energy Commission (CEC) will begin accepting applications for Funding Round Five of the CalSHAPE Plumbing Program starting at 10:00 a.m. on July 18, 2023. The application submittal deadline for Funding Round Five of the CalSHAPE Plumbing Program is 5:00 p.m. on May 31, 2024. Both local educational agencies (LEAs) and state agencies, as defined in the program guidelines, are eligible to apply for the CalSHAPE Plumbing Program in Funding Round Five.

This notice provides information including relevant application dates, funding restrictions, total available funds, and a breakdown of funds by funding category and application tier.

Background

The CalSHAPE Plumbing Program is one of two grant programs under the CalSHAPE Program, established by Assembly Bill (AB) 841 (Ting, Chapter 372, Statutes of 2020). The CalSHAPE Plumbing Program provides grants to LEAs and state agencies to replace aging and water inefficient plumbing fixtures and appliances with water-conserving plumbing fixtures and appliances.

As program administrator, the CEC has prepared the [CalSHAPE Plumbing Program Guidelines, Third Edition](https://www.energy.ca.gov/publications/2022/california-schools-healthy-air-plumbing-and-efficiency-plumbing-program-0) (Guidelines), at <https://www.energy.ca.gov/publications/2022/california-schools-healthy-air-plumbing-and-efficiency-plumbing-program-0>, which provide program structure information, application eligibility, and program requirements for grant applicants. Grant applicants are required to follow all program requirements including those outlined in Public Utilities Code (PUC) Division 1, Part 1, Chapter 8.7.

Availability of Funds

The total funding available for Funding Round Five of the CalSHAPE Plumbing Program is \$170,398,000. If additional funds become available, subsequent Notices of Funding Availability will be posted and include available funding amounts.

In accordance with PUC Section 1615(c), funding from each utility must be used for projects within that utility's service area. The CEC allocates funds from utilities to three application tiers within each utility's service area funding category. The available funds for each funding category and application tier are provided in Table 1 below. For LEAs, the application tiers are comprised of a funding category as determined by the utility service territory location of the eligible site, and an application tier (1, 2, or 3), which is based on LEA student enrollment. Funding for state agencies falls under application tier 3. Chapter 1, Section C of the Guidelines provides further information on funding categories and Chapter 1, Section D of the Guidelines provides further information on application tiers.

**Table 1: CalSHAPE Plumbing Program Funds for Funding Round Five
Funding Categories and Application Tiers (M = million, K = thousand)**

	PG&E Electric	PG&E Gas	SCE	SDG&E	SCG
Tier 1	PG&E-E1: \$4.3 M	PG&E-G1: \$1 M	SCE1: \$7.7 M	SDG&E1: \$4 M	SCG1: \$39.5 K
Tier 2	PG&E-E2: \$4.3 M	PG&E-G2: \$1 M	SCE2: \$7.7 M	SDG&E2: \$4 M	SCG2: \$39.5 K
Tier 3	PG&E-E3: \$34.4 M	PG&E-G3: \$8 M	SCE3: \$61.6 M	SDG&E3: \$32 M	SCG3: \$319 K
Total	<u>\$43 M</u>	<u>\$10 M</u>	<u>\$77 M</u>	<u>\$40 M</u>	<u>\$398 K</u>

Source: California Energy Commission

Funding Eligibility

Applicants and sites must meet the eligibility requirements as specified in Chapter 1, Section D of the Guidelines in order to apply for or be included in a grant. At the start of Funding Round Five beginning at 10:00 a.m. on July 18, 2023, all sites in any of the above funding categories will be eligible to be included in an application.

A publicly accessible Webmap tool tailored to CalSHAPE is available to assist applicants in identifying the schools that meet the eligibility requirements described above. The CalSHAPE Webmap tool is available to applicants solely as an informational resource because confirmation of eligibility for the CalSHAPE Plumbing Program is ultimately determined by the CEC. The CalSHAPE Webmap Tool is available under the expandable menu labeled *Webmap* on the [CalSHAPE program page](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program), <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

Application Submission Process

CalSHAPE Plumbing Program grant applications must be submitted electronically using the [CalSHAPE Online System](https://calshape.energy.ca.gov) available at <https://calshape.energy.ca.gov>. An applicant must have an

account established in the system before they can apply. The CEC **will not** accept applications via email or fax.

As described in Chapter 1, Section D of the Guidelines, an applicant may submit up to three applications and each site can be included in only one application in each funding round. If a site was included in a prior funding round, the site may be added to an application in Funding Round Five if the application is for the replacement of different noncompliant plumbing fixtures or appliances at the site.

The information requested in the application is described in Chapter 3 and Appendix A of the Guidelines, and includes:

- Applicant information.
- Site-specific information, including:
 - Project estimated start and end date.
 - Information to identify and confirm existence of noncompliant plumbing fixtures and appliances, as described in Chapter 2, Section C of the Guidelines.
 - Cost of replacement, based on the details provided in the contractor estimate.
- Attestation to self-certifications, which are provided in Chapter 3, Section B of the Guidelines.

The supporting documentation required by the applicant must be uploaded into the system with the application, and includes:

- Proof of ownership or complying lease for sites included in the application.
- Certificate of good standing for charter schools.
- Letter of authorization for third-party applicants.
- Site-specific contractor estimate.
- Documentation identifying noncompliant plumbing fixtures and appliances.

Written instructions and a training video to assist with completing and submitting the application in the CalSHAPE Online System are available on the [CalSHAPE Program webpage](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program) at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

Application Review Process

The application deadline for CalSHAPE Plumbing Program Funding Round Five is 5:00 p.m. on May 31, 2024. All applications submitted by the application deadline will be reviewed in the order received.

CEC staff will notify an applicant if there are any errors or inconsistencies in the application and the applicant will have 15 business days, excluding state and federal holidays, from date of notification to resolve the errors or inconsistencies and resubmit the application. The application will be rejected if it is not resubmitted with corrections within the 15 business days. If the application is rejected, the CEC will notify the applicant of this determination and the applicant may reapply as described in Chapter 3 of the Guidelines.

The CEC will send a Notice of Proposed Award (NOPA) to those applicants with approved applications instructing the applicant of next steps necessary to complete the grant agreement. The grant award funds will be reserved for the applicant after the required documents are received and approved by the CEC and the grant agreement is fully executed.

Grant funds will only be reserved for an applicant if funds are available in the applicable funding category and application tier when the required documents are received, approved, and the grant agreement is executed by the CEC. If the amount of funds in the applicant’s funding category or application tier is insufficient to fulfill the total grant award, the applicant will be placed on a priority list for funding in order of the date and time the submitted executed grant agreement and required documents are approved by the CEC. The CEC will execute the grant agreement and the grant award funds will be reserved for the applicant when sufficient funding is available again in the funding category or application tier.

Public Advisor and Other CEC Contacts

Public Advisor. The CEC’s Public Advisor assists the public with participation in CEC proceedings. To request assistance, interpreting services, or reasonable modifications and accommodations, call (916) 957-7910 or email publicadvisor@energy.ca.gov. The CEC will work diligently to meet all requests based on availability.

Direct media inquiries to mediaoffice@energy.ca.gov or (916) 654-4989.

Direct general inquiries regarding the CalSHAPE Program to CalSHAPE@energy.ca.gov.

Availability of Documents

The [*CalSHAPE Plumbing Program Guidelines, Third Edition*](#) are available at <https://www.energy.ca.gov/publications/2022/california-schools-healthy-air-plumbing-and-efficiency-plumbing-program-0>.

Documents and public comments submitted for the CalSHAPE Programs are available on the [CalSHAPE Program page](#), at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>, or at [20-RENEW-01 docket](#), <https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=20-RENEW-01>.

When new information is posted, an email will be sent to those subscribed to the CalSHAPE subscription topic. To receive these notices, or sign up for other email subscription topics, visit [Subscriptions](#), at <https://www.energy.ca.gov/subscriptions>.

Dated: June 30, 2023, at Sacramento, California

Deana Carrillo

Deana Carrillo
Director

Subscription List: California Schools Healthy Air, Plumbing, and Efficiency Program (CalSHAPE);
Disadvantaged Communities Advisory Group; Tribal Program