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CALIFORNIA ENERGY COMMISSION

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IN THE MATTER OF:

*California Schools Healthy Air, Plumbing,
and Efficiency Program*

Docket No. 20-RENEW-01

NOTICE OF FUNDING AVAILABILITY

RE: California Schools Healthy Air,
Plumbing, and Efficiency Program

Notice of Funding Availability

Funding Round Two

California Schools Healthy Air, Plumbing, and Efficiency Ventilation Program

The California Energy Commission (CEC) is accepting applications for Funding Round Two of the California Schools Healthy Air, Plumbing, and Efficiency (CalSHAPE) Ventilation Program starting at 10:00 a.m. on March 28, 2022. The application deadline for CalSHAPE Ventilation Program Funding Round Two is 5:00 p.m. on May 31, 2022.

This Notice of Funding Availability provides Funding Round Two information including relevant application dates, funding restrictions, total available funds, and a breakdown of funds by funding category and application tier.

Background

The CalSHAPE Ventilation Program is one of two grant programs under the CalSHAPE Program, established by Assembly Bill (AB) 841 (Ting, Chapter 372, Statutes of 2020). The CalSHAPE Ventilation Program provides grants to local educational agencies (LEAs) as defined in Section 1601 of the Public Utilities Code (PUC) to assess, maintain, and repair ventilation systems in schools.

As program administrator, the CEC has prepared the [CalSHAPE Ventilation Program Revised Commission Guidelines](https://www.energy.ca.gov/publications/2021/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program), <https://www.energy.ca.gov/publications/2021/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program>, which provide program structure information, application eligibility, and program requirements. Grant applicants are also required to follow all program requirements including those outlined in PUC Division 1, Part 1, Chapter 8.7.

Funding Restrictions

LEAs must meet the eligibility requirements as specified in Chapter 1, Section D of the guidelines in order to apply for a grant. In Funding Round Two, grants are awarded only for projects at sites located in an "Underserved Community" as defined in Chapter 1, Section E of the guidelines. A CalSHAPE Public Geographic Information System (GIS) Webmap Tool is available to assist LEAs in

identifying the schools that meet the underserved community requirements and qualify for funding in Funding Round Two. The CalSHAPE GIS Webmap tool is available to LEAs as an informational resource only since confirmation of eligibility for the CalSHAPE Ventilation Program is ultimately determined by the CEC. The CalSHAPE Public GIS Webmap Tool is available on the [CalSHAPE Program webpage](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program), <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>, under the expandable menu labeled Webmap.

Availability of Funds

The total funding available for Funding Round Two of the CalSHAPE Ventilation Program is \$210 million. If additional funds become available, subsequent Notices of Funding Availability will be posted and include available funding amounts.

The available funds for each funding category and application tier are provided in Table 1 below. The application tiers are comprised of a funding category as determined by the utility service territory of the eligible site, and a tier (1, 2, or 3), which is based on the total number of students enrolled in the LEA. The utilities participating are Pacific Gas and Electric Company (PG&E), Southern California Edison Company (SCE), San Diego Gas & Electric Company (SDG&E), and Southern California Gas Company (SCG). Chapter 1, Section C of the guidelines provides the funding categories and information about the distribution of the funding for each category. Chapter 1, Section D of the guidelines provide detailed information about the application tiers.

Table 1: CalSHAPE Ventilation Program Funds for Funding Round Two
Funding Category (M = million, K = thousand)

| | PG&E Electric | PG&E Gas | SCE | SDG&E | SCG |
|---------------|--------------------------|----------------------|----------------------|----------------------|---------------------|
| Tier 1 | PG&E-E1: \$7 M | PG&E-G1: \$2 M | SCE1: \$9 M | SDG&E1: \$3 M | SCG1: \$100 K |
| Tier 2 | PG&E-E2: \$7 M | PG&E-G2: \$2 M | SCE2: \$9 M | SDG&E2: \$3 M | SCG2: \$100 K |
| Tier 3 | PG&E-E3: \$56 M | PG&E-G3: \$11 M | SCE3: \$72 M | SDG&E3: \$28 M | SCG3: \$800 K |
| Total | <u>\$70 M</u> | <u>\$15 M</u> | <u>\$90 M</u> | <u>\$34 M</u> | <u>\$1 M</u> |

Source: California Energy Commission

Application Process

Assessment and Maintenance Grant applications must be submitted electronically using the [CalSHAPE Online System](https://calshape.energy.ca.gov) available at <https://calshape.energy.ca.gov>. An LEA must have an account established in the system before they can apply. The CEC **will not** accept applications via email or fax.

As described in Chapter 1, Section D of the guidelines, an LEA may submit up to three applications in each funding round. However, each site can be included in only one CalSHAPE Ventilation Program application. If a site was included in an application in Funding Round One, the site will

not be able to be added to an application in Funding Round Two or any other future funding round in the initial phase of program awards.

The information requested in the application is described in Chapter 3, Section B and Appendix A of the guidelines, and includes:

- Applicant information
- Site-specific information, including:
 - Project estimated start and end date
 - Information to identify the grant pathway of the project, as described in Chapter 2, Section A of the guidelines
 - Site-specific cost estimates for materials and labor for HVAC assessment work and filter and CO2 monitor installation

The supporting documentation required by the LEA that must be uploaded into the system with the application includes:

- Proof of ownership or complying lease for sites included in the application
- Certificate of Good Standing for Charter Schools
- Letter of authorization for third-party applicants
- Site-specific contractor estimate
- Documentation confirming site meets qualification criteria for the Scheduled for Replacement or Limited or No Mechanical Ventilation grant pathways

Written instructions and a training video to assist with completing and submitting the application in the CalSHAPE Online System are available on the [CalSHAPE Program webpage](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program) at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

Application Deadline

The application deadline for CalSHAPE Ventilation Program Funding Round Two is 5:00 p.m. on May 31, 2022. All applications submitted by the deadline will be reviewed in the order received.

CEC staff will notify an LEA if there are any errors or inconsistencies in the application and the LEA will have 10 calendar days from date of notification to resolve the errors or inconsistencies and resubmit the application. The application will be rejected if it is not resubmitted with corrections within the 10 calendar days. If the application is rejected, the CEC will notify the LEA of this determination and the LEA may reapply as described in Chapter 3 of the guidelines.

The CEC will send a Notice of Proposed Award to those LEAs with approved applications instructing the LEA of next steps necessary to complete the grant agreement. The grant award funds will be

reserved for the LEA after the required documents are received and approved by the CEC and the grant agreement is fully executed.

Grant funds will only be reserved for an LEA if funds are available in the applicable funding category and application tier when the required documents are received, approved, and the grant agreement is executed by the CEC. If the amount of funds in the LEA's funding category or application tier is insufficient to fulfill the total grant award, the LEA will be placed on a priority list for funding in order of the date and time the submitted executed grant agreement and required documents are approved by the CEC. The CEC will execute the grant agreement and reserve the grant award funds for the LEA when sufficient funding is available again in the relevant funding category or application tier.

Public Advisor and Other CEC Contacts

The CEC's Public Advisor provides the public with assistance in participating in CEC proceedings. For information about how to participate in this workshop or to request interpreting services or other reasonable modification and accommodations, reach out via email [at publicadvisor@energy.ca.gov](mailto:publicadvisor@energy.ca.gov), or by phone at (916) 957-7910. Requests should be made for interpreting services, reasonable modifications and accommodations as soon as possible but at least five days in advance of the workshop. However, the CEC will work diligently to meet all requests based on the availability of the service or resource requested.

Direct media inquiries to mediaoffice@energy.ca.gov or (916) 654-4989.

Direct general inquiries regarding the CalSHAPE Program to CalSHAPE@energy.ca.gov.

Availability of Documents

The [CalSHAPE Ventilation Program Revised Commission Guidelines](https://www.energy.ca.gov/publications/2021/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program) are available at <https://www.energy.ca.gov/publications/2021/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program>.

Notices and documents for this proceeding are available in the [20-RENEW-01 docket](https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=20-RENEW-01), <https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=20-RENEW-01>.

When new information is posted, an email will be sent to those on the calshape list server. To receive these notices, subscribe at the [CalSHAPE Program page](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program), <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

Manage existing list servers or sign up for others at [CEC List Servers](https://ww2.energy.ca.gov/listservers/index cms.html), <https://ww2.energy.ca.gov/listservers/index cms.html>.

Dated March 14, 2022, at Sacramento, California

Approved via Email

Armand C. Angulo

Assistant Division Deputy Director

List Servers: calshape