

## DOCKETED

<b>Docket Number:</b>	18-RPS-01
<b>Project Title:</b>	Complaint Against the Stockton Port District re: RPS Program Compliance
<b>TN #:</b>	222161-3
<b>Document Title:</b>	Exhibt B - Stockton Port District Complaint
<b>Description:</b>	Information Reported by Port of Stockton to the California Energy Commission for the 2011-2013 Compliance Period
<b>Filer:</b>	Muoi-Lynn Tran
<b>Organization:</b>	California Energy Commission
<b>Submitter Role:</b>	Commission Staff
<b>Submission Date:</b>	1/18/2018 2:42:08 PM
<b>Docketed Date:</b>	1/18/2018

## EXHIBIT B

INFORMATION REPORTED BY PORT OF STOCKTON TO THE  
CALIFORNIA ENERGY COMMISSION FOR THE 2011-2013 COMPLIANCE PERIOD



**(TO BE COMPLETED BY  
LOCAL PUBLICLY OWNED ELECTRIC UTILITIES)  
COMPLIANCE REPORT to the CALIFORNIA ENERGY COMMISSION  
ON THE RENEWABLES PORTFOLIO STANDARD PROGRAM**

Enter the name of the Local Publicly Owned Electric Utility (POU) submitting this report and the Reporting Year(s) covered by the report. POU's that meet the criteria in subsections 3204 (a)(8) or 3204 (a)(9) of the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities* should not complete this form.

POU	Port of Stockton
Reporting Year(s) Covered in Report	2012

**Reporting for the Renewables Portfolio Standard Program (RPS), by tab:**

***Static Contract Information:*** For reports submitted in 2013, complete with information from contracts and ownership agreements executed on or before December 31, 2012. For reports submitted in 2014 and thereafter, complete with information from contracts and ownership agreements executed in the previous calendar year.

***Annual Report Accounting:*** Complete and update annually for previous and forecasted years.

***Compliance Period Accounting:*** Complete at the end of each compliance period.

E-mail the electronic file of the completed report to the address shown below. Then print out the file, sign the attestation, and mail the report and any attachments to the address shown below:

California Energy Commission  
e-mail: [RPSTrack@energy.ca.gov](mailto:RPSTrack@energy.ca.gov)

Renewable Energy Office

Attn: RPS Verification

California Energy Commission

1516 9th Street, MS-45

Sacramento, CA 95814-5555

This report is due on July 1st of each year for retirements made for the previous reporting year. The report for 2011 and 2012 data is due within 30 calendar days of the effective date of the regulations for *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities*.

Energy Commission staff may request additional information from the POU to verify the generation and RPS procurement claims of electricity products reported in this report. If requested, an authorized officer or agent of the POU must submit additional information.



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**Static Contract Information Tab**

**Instructions** - List all executed renewable energy contracts or ownership agreements. If the contract or ownership agreement is amended to change any of the information reported on the static contract information tab or the contracted RPS facilities information tab, complete a new row for the amended contract. The information reported on this spreadsheet should be classified by expected portfolio content category. If you expect multiple categories of electricity products from a single contract or ownership agreement, please indicate "yes" under each expected category. Actual portfolio content category classification for electricity products will be verified by the Energy Commission after it has been reported using the Interim Tracking System and/or WREGIS Compliance Reports. If you need additional rows, highlight the bottom row for the appropriate category, right-click and select "Insert." Provide supporting documentation, such as a copy of the contract with confidential information redacted, documenting any data reported here.

**FOOTNOTES**

1	Contract ID	The Energy Commission will assign an automatically generated ID number to track each contract and ownership agreement. The contract ID number is generated using the POU name entered on the title page tab and the reporting year entered on the static contract info tab.
2	Reporting Year	Enter the calendar year in which contract information is first reported in this spreadsheet. For example, a contract that was executed in 2005 would first be reported for the 2011 reporting year, so "2011" would be entered in this field. A contract that was executed in 2012 would first be reported for the 2012 reporting year, so "2012" would be entered in this field.
3	Amendment to Previously Reported Contract?	Select "Yes" or "No" from the drop down list to indicate whether this contract was amended from a contract previously reported to the Energy Commission for the RPS. If the contract is being reported to the Energy Commission's RPS program for the first time, enter "No."
4	Contract ID of Previously Reported Contract	If the contract has been amended from a contract previously reported to the Energy Commission's RPS program, enter the Contract ID (see footnote 1) of the previously reported contract. If the contract is new and/or being reported for the first time, leave blank.
5	Portfolio Content Category	Select "Yes" or "No" from the drop down list in each column to indicate whether you plan to classify electricity products from the contract in each category. A contract may have associated electricity products classified in more than one category.
6	Seller or Utility Owned	Indicate the wholesale seller of electricity products under the contract. If electricity products are from a facility owned by the POU, state "Utility Owned." If purchased from a load-serving entity or third party, state the name of the load-serving entity or third party.

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7	Contract Execution Date	Indicate the date the contract was originally executed as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011. If the facility is owned by the POU, indicate the date the ownership agreement was originally executed or when ownership began.
8	For pre-January 1, 2005, Does the Contract Specify the Ownership of the RECs?	Public Utilities Code Section 399.21, Subdivision (a)(4) states that RECs may not be created for electricity generated pursuant to any electricity purchase contract with a retail seller or POU executed before January 1, 2005, unless the contract contains explicit terms and conditions specifying the ownership or disposition of those RECs. The law requires procurement under those contracts to be tracked through WREGIS and counted toward the RPS procurement requirement of the retail seller or POU purchasing the electricity. Energy Commission staff must track facilities subject to this requirement to ensure that the RECs are not traded and/or claimed by other reporting entities. If there is a contract execution date before January 1, 2005, select "Yes" or "No" from the drop down list to indicate whether the contract contains explicit terms and conditions specifying the ownership or disposition of the RECs. For a contract that does not contain explicit terms and conditions specifying the ownership or disposition of the RECs, these RECs must be used for the RPS by the contracting POU.
9	Contract Start Date	Indicate the first date that electricity product(s) were or will be procured by the POU as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011. If the facility is owned by the POU, enter the date that electricity product(s) were or will be procured by the POU.
10	Contract End Date	State the final procurement date of the electricity product(s) being procured under the contract/agreement as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011.
11	Type of Ownership or Contract Arrangement	<p>Select one of the following from the drop down list:</p> <ul style="list-style-type: none"> <li>- Full electrical output</li> <li>- Full electrical output during contract</li> <li>- Percent share of electrical output during contract</li> <li>- Fixed amount of facility's electrical output during contract</li> <li>- Incremental generation - full amount of facility's electrical output</li> <li>- Incremental generation - partial amount of facility's electrical output</li> <li>- Other</li> </ul> <p>Provide comments in "Notes" column, as needed, to explain contracting, ownership or other facility arrangements.</p>
12	Contract Amount of Annual Total Generation Procured/ Contract Percentage of Annual Total Generation Procured	Complete only one of these columns per contract. Enter the annual amount or percentage of total generation procured under the current contract/agreement (if amount, enter in MWh, rounded to the nearest ten-thousandth - for example, 8.1234 MWh). If fixed amount, enter total contractual fixed amount (annually if applicable) and provide additional explanations in the notes section as necessary. Explain in "Notes" section if volume or percent amount changes annually and provide annual specific amounts per calendar year or total amount contracted for over multiple years – list the years. If the amount is a percent share of the output, indicate the percent share and explain in detail in the "Notes" field.

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13	Contract Termination Date, if Applicable	If the contract is terminated before the contract end date, enter the termination date as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011. If contract has not been terminated before the end date, leave blank.
14	Bundled purchase by POU?	Select "Yes" or "No" from the drop down list.
15	Scheduling Coordinator	If applicable, indicate the scheduling coordinator as detailed within the scheduling agreement or procurement contract. If more than one party is designated as a schedule coordinator, please list the primary scheduling coordinator first and add additional scheduling coordinators separated by a comma. If there is no scheduling coordinator, enter N/A.
16	Resale Agreement	Select "Yes" or "No" from the drop down list to indicate if contract is a resale agreement.
17	PCC 1 Initial Qualification	Select one of the following from the drop down list and provide supporting documentation with confidential information redacted. Energy Commission is working to obtain interconnection information for RPS-Certified facilities, but until this information is available, POUs must provide it when making PCC 1 claims. "Interconnected to CBA" refers to a facility that has its first point of interconnection within the metered boundaries of a California Balancing Authority (CBA) Area. "Interconnected to Distribution System" refers to a facility that has its first point of interconnection to an electricity distribution system used to serve end users within the metered boundaries of a California Balancing Authority Area. "Scheduled into CBA" refers to electricity products from a facility that is not directly connected to a CBA and that has generation scheduled on an hourly or subhourly basis into a CBA without substituting electricity from another source. "Dynamic Transfer into CBA" refers to electricity products subject to an agreement between a CBA and the Balancing Authority in which the facility is located to dynamically transfer electricity from the facility into the CBA Area.
18	Incremental to POU	If contract includes PCC 2 Incremental, contract/agreement execution date must be on or after contract date for the associated renewable energy. Select "Yes" or "No" from the drop down list to indicate whether the contract for substitute energy was executed on or after the contract/agreement execution date for the associated renewable energy. If the contract does not include PCC 2 incremental, select "N/A."
19	Notes	Include any additional information about the contract, including information on any amendments to the contract. If a contract has been submitted to RPS Energy Commission staff, indicate that the contract has already been submitted. You are not required to submit the contract again. Provide other helpful notes as appropriate.



### Contracted RPS Facilities Information Tab

**Instructions** - List all applicable facility information for the corresponding contracts listed in the Static Contract Info tab. Note - a new row must be completed for each RPS ID number and WREGIS ID number under a contract. Only one RPS ID number and WREGIS ID number may be entered per row.

### FOOTNOTES

1	Contract ID	Select the appropriate contract ID number from the drop down list of numbers generated in the Static Contract Information tab.
2	RPS ID	If more than one RPS ID number is associated with the RPS-certified facility under contract, complete one row per RPS ID number.
3	Facility Name	If electricity products will be purchased from one facility, indicate the name of the facility. If electricity products will be purchased from a portfolio of assets, list all the facilities included in the asset. If the facilities included in the asset are unknown, indicate unknown.
4	WREGIS ID	If more than one WREGIS ID number is associated with the RPS-certified facility under contract, complete one row per WREGIS ID number.
5	County	Indicate the county where the facility is located. If the facility is located outside of the United States, or if incremental electricity is provided from system power purchases, enter N/A.
6	State (or Country if outside US)	Indicate the state where the facility is located. If the facility is located outside of the United States, please enter the country where the facility is located. If incremental electricity is provided from system power purchases indicate "system power purchases."
7	Facility Eligibility Date	Indicate the RPS-eligibility date for the facility, as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011, as indicated on the RPS eligibility certificate issued by the Energy Commission. If this is yet to be determined, indicate TBD. The eligibility date, or beginning on date, is the date upon which the Energy Commission deems the first application for a facility received. Generation is typically eligible beginning with the month containing this beginning on date. See Section IV B 3: Eligibility Date, of the <i>RPS Eligibility Guidebook, Seventh Edition</i> , for additional information.
8	Facility Online Date	Enter the commercial online date for the facility as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011.
9	Renewable Technology On-line Date	Enter the date when the facility came online using a renewable fuel or technology, if different from the commercial online date, as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011.
10	Facility Source Name - as registered with webRegistry	For facilities not directly connected to a CBA that will be scheduling into a CBA to classify electricity products as PCC 1, enter the facility's source name, as registered with OATI's webRegistry. For all other facilities, enter N/A.
11	Notes	Include any additional information about the facility, as needed.

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## Annual RPS Report Accounting Tab

**Instructions** - POU's that meet the criteria of Public Utilities Code section 399.30 (j) **DO NOT** complete this tab. The Annual Report Accounting tab should be completed every year to show the actual retail sales and RPS procurement retired for the reporting year(s). Forecasted data should be replaced with actual data as necessary, and forecasts may be updated to reflect new information. When forecasted data is replaced with actual data, please ensure that the column heading colors are updated to reflect the changes. Cells in white will automatically populate and do not require POU input. Cells under dark blue column headers contain forecasted data.

## FOOTNOTES

1	Pre-June 1, 2010 Category 1 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (a) of the regulations.
2	Pre-June 1, 2010 Category 2 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (b) of the regulations.
3	Pre-June 1, 2010 Category 3 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations.





### RPS Compliance Period Accounting Tab

**Instructions** - POU that meet the criteria of Public Utilities Code section 399.30 (j) **DO NOT** complete this tab. The Compliance Period Accounting tab should be completed at the end of each compliance period to show optional compliance measures, historic carryover and excess procurement applied toward the RPS procurement requirements for the compliance period, as well as excess procurement accumulated during the compliance period. POU must also indicate how many MWh in each category will be applied toward the RPS procurement target for the compliance period. Cells in white will automatically populate and do not require POU input.

### FOOTNOTES

1	Historic Carryover Applied	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of verified historic carryover to be applied toward RPS procurement requirements for the compliance period. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover for the compliance period.
2	Applied to Target	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), from each listed category to be applied to the RPS procurement target, for the purposes of calculating the portfolio balance requirements and excess procurement. Portfolio Content Category 3 electricity products cannot be counted as excess procurement, so all Category 3 RECs up to the Category 3 balance limitation must be entered in this column.
3	Pre-June 1, 2010 Category 1 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (a) of the regulations.
4	Pre-June 1, 2010 Category 2 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (b) of the regulations.
5	Pre-June 1, 2010 Category 3 RECs Retired	This row shows the application of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations. Category 3 cannot be counted as excess procurement, so all pre-June 1, 2010, Category 3 RECs must be applied to the target.
6	Total Historic Carryover	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of historic carryover verified by the Energy Commission. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover.
7	Excess Procurement Calculation	Allocate the Excess RECs Eligible among the applicable categories. The number of MWhs allocated to each category may not exceed the "potential excess" for that category shown in cells E34-E39.

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8	Short-Term RECs	Enter the total number of MWh , rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired during the compliance period that meet the criteria of subsection 3202 (a)(1) or subsection 3202 (a)(3) of the regulations and that were procured from contracts of less than 10 years.
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**List of Narratives to be Attached**

**Instructions** - Select "Yes" or "No" from the drop down list if the listed narrative will be included with the CEC-RPS-POU Compliance Report.

**399.30 (j) POU Eligibility Tab**

**Instructions** - Only POUs that meet the criteria of Public Utilities Code section 399.30 (j) should complete this tab. This tab is used to help demonstrate that the POU meets those criteria.

**FOOTNOTES**

1	Qualifying Hydroelectric Generation (MWh)	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of generation from a facility that meets the following criteria: 1. The facility is located within California; 2. The facility is owned and operated by the POU; 3. The facility is a hydroelectric facility but does not meet the definition of a renewable electrical generation facility and is not RPS certified based on the definition of a renewable electrical generation facility.
2	Electricity Demand (MWh)	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of electricity demand. Electricity demand is the consumption of electricity by all end-use customers and their tenants, including but not limited to the POU itself.

**399.30 (j) POU Compliance Tab**

**Instructions** - Only POUs that meet the criteria of Public Utilities Code section 399.30 (j) should complete this tab. The 399.30 (j) Compliance tab should be completed every year to show the actual electricity demand and qualifying hydroelectric generation for the reporting year(s). Forecasted data should be replaced with actual data as necessary, and forecasts may be updated to reflect new information. When forecasted data is replaced with actual data, ensure that the column heading colors are updated to reflect the changes. A POU must also indicate historic carryover and optional compliance measures applied, as well as calculate excess procurement for the compliance period, if applicable. Cells in white will automatically populate and do not require POU input. Cells under dark blue column headers contain forecasted data.

**FOOTNOTES**

1	Pre-June 1, 2010 Category 1 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (a) of the regulations.
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2	Pre-June 1, 2010 Category 2 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (b) of the regulations.
3	Pre-June 1, 2010 Category 3 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations.
4	Historic Carryover Applied	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of verified historic carryover to be applied toward RPS procurement requirements for the reporting year. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover for the reporting year.
5	Portfolio Balance Requirements, for the Calculation of Excess Procurement Only (MWh)	This determination of the portfolio balance requirements will be used only calculate excess procurement. A POU that meets the criteria of Public Utilities Code section 399.30 (j) is exempt from meeting the portfolio balance requirements.
6	Applied to Target	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), from each listed category to be applied to the RPS procurement target, for the purposes of calculating the excess procurement. Portfolio Content Category 3 electricity products cannot be counted as excess procurement, so all Category 3 RECs up to the Category 3 balance limitation must be entered in this column.
7	Pre-June 1, 2010 Category 3 RECs Retired	This row shows the application of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations. Category 3 cannot be counted as excess procurement, so all pre-June 1, 2010, Category 3 RECs must be applied to the target.
8	Excess Procurement Calculation	Allocate the Excess RECs Eligible among the applicable categories. The number of MWhs allocated to each category may not exceed the "potential excess" for that category shown in cells E34-E39.
9	Short-Term RECs	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired during the reporting year that meet the criteria of subsection 3202 (a)(1) or subsection 3202 (a)(3) of the regulations and that were procured from contracts of less than 10 years.
10	Total Historic Carryover	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of historic carryover verified by the Energy Commission. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover.

[illegible]

[illegible]

April 2013

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 10/2013)

## Annual RPS Report: Accounting

	Input Required
	Actual Data
	Forecasted Data

	Compliance Period 1		
	Actual		Forecasted
	2011	2012	2013
<b>Annual RPS Procurement and Percentages (MWh)</b>			
Annual Retail Sales	9,725.0000	12,581.0000	14,183.0000
Annual RPS Procurement Retired	0.0000	0.0000	607.0000
Soft Targets	20.00%	20.00%	20.00%
Annual RPS Procurement Percentage	0.00%	0.00%	4.28%

	Compliance Period 1		
	2011	2012	2013
<b>Procurement Target (MWh)</b>			
Procurement Target	7,297.8000		
Category 0 RECs Retired	0.0000	0.0000	0.0000
Category 1 RECs Retired	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 1 RECs Retired <sup>1</sup>	0.0000	0.0000	0.0000
Category 2 RECs Retired	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 2 RECs Retired <sup>2</sup>	0.0000	0.0000	0.0000
Category 3 RECs Retired	0.0000	0.0000	607.0000
Pre-June 1, 2010 Category 3 RECs Retired <sup>3</sup>	0.0000	0.0000	0.0000
Soft Targets	20.00%	20.00%	20.00%
RECs Retired as Percentage of Retail Sales	0.00%	0.00%	4.28%
Forecasted Total RECs Retired (Compliance Period)	607.0000		

## Compliance Report Form for Local Publicly Owned Electric Utilities

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**RPS Compliance Period Report: Accounting**

To be completed at the end of each compliance period.

	Input Required
	Actual Data
	Forecasted Data

<b>Compliance Period 1</b>			
<b>Actual</b>			
<b>Annual RPS Procurement and Percentages (MWh)</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>
Annual Retail Sales	9,725.0000	12,581.0000	14,183.0000
Annual RPS Procurement Retired	0.0000	0.0000	607.0000
Soft Targets	20.00%	20.00%	20.00%
Annual RPS Retirement Percentage	0.00%	0.00%	4.28%
Total RECs Procured (Compliance Period)	<b>607.0000</b>		

<b>Compliance Period 1</b>			
<b>Procurement Target (MWh)</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>
Procurement Target	<b>7,297.8000</b>		
Category 0 RECs Retired	0.0000	0.0000	0.0000
Category 1 RECs Retired	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 1 RECs Retired	0.0000	0.0000	0.0000
Category 2 RECs Retired	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 2 RECs Retired	0.0000	0.0000	0.0000
Category 3 RECs Retired	0.0000	0.0000	607.0000
Pre-June 1, 2010 Category 3 RECs Retired	0.0000	0.0000	0.0000
<b>Sum</b>	<b>607.0000</b>		
Historic Carryover Applied <sup>1</sup>			
Total RECs Retired (Compliance Period)	<b>607.0000</b>		



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Portfolio Balance Requirements (MWh)	Compliance Period 1		
	Total Retired	Applied to Target <sup>2</sup>	Potential Excess
Category 0 RECs Retired	0.0000	0.0000	0.0000
Historic Carryover Applied	0.0000	0.0000	0.0000
Category 1 RECs Retired*	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 1 RECs Retired	0.0000	0.0000	0.0000
Category 2 RECs Retired	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 2 RECs Retired	0.0000	0.0000	0.0000
Category 3 RECs Retired**	607.0000	0.0000	n/a
Pre-June 1, 2010 Category 3 RECs Retired	0.0000	0.0000	n/a
<b>Total RECs applied to the Target***</b>	<b>0.0000</b>		
Category 1 Balance Requirement	303.5000		
Category 3 Balance Limitation	151.7500		
Disallowed Category 3 RECs	455.2500		

\*The Category 1 RECs applied to the target will be flagged if the number is less than the Category 1 Balance Requirement (line 43).

\*\*The Category 3 RECs applied to the target will be flagged if the number is more than the Category 3 Balance Limitation (line 44).

\*\*\*The sum of the total RECs applied to the Target will be flagged if it exceeds the Procurement Target (line 20)

Additional Procurement	Starting Balance	Applied to Current Compliance Period	Accumulated in Current Compliance Period	Remaining Balance
<b>Total Excess Procurement</b>	<b>0.0000</b>	<b>0.0000</b>	<b>(7,297.8000)</b>	<b>(7,297.8000)</b>
Excess Category 0 RECs	0.0000	0.0000	0.0000	0.0000
Excess Category 1 RECs	0.0000	0.0000	0.0000	0.0000
Excess Pre-June 1, 2010 Cat. 1 RECs	0.0000	0.0000	0.0000	0.0000
Excess Category 2 RECs	0.0000	0.0000	0.0000	0.0000
Excess Pre-June 1, 2010 Cat. 2 RECs	0.0000	0.0000	0.0000	0.0000
Total Historic Carryover <sup>6</sup>		0.0000	n/a	0.0000

## Compliance Report Form for Local Publicly Owned Electric Utilities

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RPS Procurement Enforcement (MWh)	Compliance Period 1		
	2011	2012	2013
Deficit of RECs Necessary to Meet Target		7,297.8000	
Deficit of RECs Necessary to Meet PCC 1 Portfolio Balance Requirement		303.5000	

Excess Procurement Calculation (MWh) <sup>7</sup>	Compliance Period 1
Total RECS retired, less disallowed PCC 3	151.7500
Procurement Target	7,297.8000
Potential Excess	0.0000
Short-Term RECs <sup>8</sup>	
<b>Excess RECs Eligible</b>	<b>(7,297.8000)</b>
Excess Category 0 RECs	
Excess Category 1 RECs	
Excess Pre-June 1, 2010 Category 1 RECs	
Excess Category 2 RECs	
Excess Pre-June 1, 2010 Category 2 RECs	

Other Optional Compliance Measures Applied	Yes/No
Cost Limitations	
Delay of Timely Compliance	
Reduction of PCC 1	

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 10/2013)

## List of Narratives to be Attached

Frequency	Narrative*	Attached to 2011 Report (Y/N)	Attached to 2012 Report (Y/N)	Attached to 2013 Report (Y/N)
Annual	Public goods funds collected; description of programs, expenditures, and expected or actual results. (Section 3207(c)(2)(G))	Yes	Yes	Yes
Annual	Explanation of any potential delay of timely compliance events. (Section 3207(c)(2)(H))	Yes	Yes	Yes
Annual	Explanation of actions taken or planned to minimize impacts of delay. (Section 3207(c)(2)(H))	Yes	Yes	Yes
Annual	Demonstration of Reasonable Progress for actions taken. (Section 3207(c)(3))	Yes	Yes	Yes
Annual	Demonstration of Reasonable Progress for actions planned. (Section 3207(c)(4))	Yes	Yes	Yes
As needed	Documentation justifying application of adopted optional compliance measures	Yes	Yes	Yes
As needed	Documentation providing applied cost limitation in dollars expended during the compliance period, estimated total cost to meet RPS procurement requirements in dollars expended during the compliance period, and POU actions taken in response to meeting or exceeding the cost limitation. (Section 3207(d)(6)(A))			

\*Note. If the narrative information was previously provided to the Energy Commission in an existing report, the POU may reference the narrative information by identifying the name, submittal date, and page number of the existing report, and including a copy of each page of the report that is referenced.

## Form for Local Publicly Owned Electric Utilities

## To Determine Eligibility for Public Utilities Code Section 399.30(j)

CEC-RPS-POU (Revised 10/2013)

**POU Eligibility for Section 399.30(j)**

Use this form to determine the eligibility of a POU for the alternative compliance obligation of Public Utilities Code section 399.30(j).

This form is due October 31, 2013, for eligibility for Compliance Period 1 (2011-2013) and 90 calendar days after the end of the previous compliance period to determine eligibility for each subsequent compliance period.

Does the POU provide electric services to a local government that is both a city and county of California?	
--	--

For each of the seven calendar years immediately preceding the first calendar year of the compliance period, provide the following information:

	Qualifying Hydroelectric Generation (MWh) <sup>1</sup>	Electricity Demand (MWh) <sup>2</sup>
<b>2004</b>		
<b>2005</b>		
<b>2006</b>		
<b>2007</b>		
<b>2008</b>		
<b>2009</b>		
<b>2010</b>		
<b>TOTAL</b>	0.0000	0.0000
<b>Average</b>	0.00%	

**RPS Annual Compliance Report for POUs meeting the criteria of Public Utilities Code section 399.30 (j)**

To be completed annually.

	Input Required
	Actual Data
	Forecasted Data

Annual RPS Procurement Target (MWh)	2011	2012	2013
Current Year Total Electricity Demand	0.0000	0.0000	0.0000
Current Year Qualifying Hydroelectric Generation	0.0000	0.0000	0.0000
Electricity Demand Not Met by Hydroelectric	0.0000	0.0000	0.0000
Soft Target	20.00%	20.00%	20.00%
Annual RPS Procurement Target	0.0000	0.0000	0.0000

RECs Retired (MWh)	2011	2012	2013
Category 0 RECs Retired	0.0000	0.0000	0.0000
Category 1 RECs Retired	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 1 RECs Retired <sup>d</sup>	0.0000	0.0000	0.0000
Category 2 RECs Retired	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 2 RECs Retired <sup>d</sup>	0.0000	0.0000	0.0000
Category 3 RECs Retired	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 3 RECs Retired <sup>d</sup>	0.0000	0.0000	0.0000
Historic Carryover Applied <sup>a</sup>			
Total RECs Retired (Compliance Period)	0.0000	0.0000	0.0000
Procurement Target	0.0000	0.0000	0.0000

RPS Procurement Enforcement (MWh)	2011	2012	2013
Deficit of RECs Necessary to Meet Target	0.0000	0.0000	0.0000

Other Optional Compliance Measures Applied	Yes/No
Cost Limitations	
Delay of Timely Compliance	

Portfolio Balance Requirements, for the calculation of Excess Procurement only (MWh) <sup>5</sup>	2011			2012			2013		
	Total Retired	Applied to Target <sup>6</sup>	Potential Excess	Total Retired	Applied to Target <sup>6</sup>	Potential Excess	Total Retired	Applied to Target <sup>6</sup>	Potential Excess
Category 0 RECs Retired	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Historic Carryover Applied	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Category 1 RECs Retired	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 1 RECs Retired	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Category 2 RECs Retired	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Pre-June 1, 2010 Category 2 RECs Retired	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Category 3 RECs Retired <sup>a</sup>	0.0000	0.0000	n/a	0.0000	0.0000	n/a	0.0000	0.0000	n/a
Pre-June 1, 2010 Category 3 RECs Retired <sup>d</sup>	0.0000	0.0000	n/a	0.0000	0.0000	n/a	0.0000	0.0000	n/a
Total RECs applied to the Target <sup>**</sup>		0.0000			0.0000			0.0000	
Category 3 Balance Limitation		0.0000			0.0000			0.0000	
Disallowed Category 3 RECs		0.0000			0.0000			0.0000	

<sup>a</sup>The Category 3 RECs applied to the target will be flagged if the number is more than the Category 3 Balance Limitation (line 46).

<sup>\*\*</sup>The sum of the total RECs applied to the target will be flagged if they exceed the Procurement Target (line 13).

Excess Procurement Calculation (MWh) <sup>8</sup>	2011	2012	2013
Total RECs retired, less disallowed PCC 3	0.0000	0.0000	0.0000
Procurement Target	0.0000	0.0000	0.0000
Potential Excess	0.0000	0.0000	0.0000
Short-Term RECs <sup>9</sup>			
Excess RECs Eligible	0.0000	0.0000	0.0000
Excess Category 0 RECs			
Excess Category 1 RECs			
Excess Pre-June 1, 2010 Category 1 RECs			
Excess Category 2 RECs			
Excess Pre-June 1, 2010 Category 2 RECs			

Additional Procurement	Starting Balance	Applied to Current Compliance Period	Accumulated in Current Compliance Period	Remaining Balance
<b>Total Excess Procurement</b>	<b>0.0000</b>	<b>0.0000</b>	<b>0.0000</b>	<b>0.0000</b>
Excess Category 0 RECs	0.0000	0.0000	0.0000	0.0000
Excess Category 1 RECs	0.0000	0.0000	0.0000	0.0000
Excess Pre-June 1, 2010 Category 1 RECs	0.0000	0.0000	0.0000	0.0000
Excess Category 2 RECs	0.0000	0.0000	0.0000	0.0000
Excess Pre-June 1, 2010 Category 2 RECs	0.0000	0.0000	0.0000	0.0000
<b>Total Historic Carryover<sup>10</sup></b>		0.0000	n/a	0.0000



**(TO BE COMPLETED BY LOCAL PUBLICLY OWNED ELECTRIC UTILITIES)  
COMPLIANCE REPORT to the CALIFORNIA ENERGY COMMISSION  
ON THE RENEWABLES PORTFOLIO STANDARD PROGRAM  
ATTESTATION FORM**

I am an authorized officer or agent of the local publicly owned electric utility (POU), as noted below, and hereby submit this report and attest to the following on behalf of the POU:

- 1) I am an authorized officer or agent of the POU for which this report was prepared, and have authority to submit this report on behalf of the POU;
- 2) The information and data provided in this report, including information provided in any supporting documents submitted with the report, are submitted to the California Energy Commission in accordance with the *Renewables Portfolio Standard Eligibility Guidebook* for use in verifying the POU's procurement claims of electricity products from RPS-certified electrical generation facilities for purposes of the Renewables Portfolio Standard (RPS);
- 3) To the best of my knowledge, none of the electricity generation for procurement claims identified in this report, nor any of the Renewable Energy Certificates, as defined in the *Renewables Portfolio Standard Eligibility Guidebook*, Seventh Edition, associated with the electricity generation for such claims, have been or will be used more than once to satisfy RPS procurement requirements or requirements of other renewable energy regulatory or market programs;
- 4) I have read the *Renewables Portfolio Standard Eligibility Guidebook* and understand the provisions, eligibility criteria, and requirements of the guidebook, including my responsibilities under the guidebook to provide additional information for RPS verification purposes if requested by the Energy Commission; and
- 5) I declare under penalty of perjury that the information and data provided in this report, including information provided in any supporting documents submitted with the report and listed below, are true and correct to the best of my knowledge.

Signed: \_\_\_\_\_ Dated: \_\_\_\_\_

List of Supporting Documents, if Any: \_\_\_\_\_

Authorized Officer or Agent of POU Signing Attestation	
<b>Name:</b>	Juan G. Villanueva
<b>Title:</b>	Projects & Contract Administration/DBELO Manager
<b>Phone:</b>	(209) 946-0246
<b>E-mail:</b>	jvillanueva@stocktonport.com
<b>Fax:</b>	(209) 465-7244
POU	
<b>Entity Name:</b>	Stockton Port District
<b>Street Address:</b>	2201W. Washington Street, P.O. Box 2089
<b>City, State, Zip Code</b>	Stockton, CA 95201
<b>Year POU Established</b>	2003
<b>No. of CA End-Use Retail Customer Accts.</b>	56



**(TO BE COMPLETED BY  
LOCAL PUBLICLY OWNED ELECTRIC UTILITIES)  
COMPLIANCE REPORT to the CALIFORNIA ENERGY COMMISSION  
ON THE RENEWABLES PORTFOLIO STANDARD PROGRAM**

Enter the name of the Local Publicly Owned Electric Utility (POU) submitting this report and the Reporting Year covered by the report.

POU	Port of Stockton
Reporting Year Covered in Report	2013

**Reporting for the Renewables Portfolio Standard Program (RPS), by tab:**

***Static Contract Information:*** Complete with information only from contracts and ownership agreements that were executed in the previous calendar year or that were not previously reported.

***Contracted RPS Facilities Information:*** Complete with information for facilities associated with reported contracts.

***Annual Report Accounting:*** Complete and update annually for previous, current, and forecasted years.

***Compliance Period Accounting:*** Complete at the end of each compliance period.

E-mail the electronic file of the completed report to the address shown below, with all supporting documentation. Then print out the file, sign the attestation, and mail the report to the address shown below:

**California Energy Commission  
e-mail: [RPSTrack@energy.ca.gov](mailto:RPSTrack@energy.ca.gov)**

**Renewable Energy Office**

Attn: RPS Verification

California Energy Commission

1516 9th Street, MS-45

Sacramento, CA 95814-5555

This report is due on July 1st of each year for retirements made for the previous reporting year.

Energy Commission staff may request additional information from the POU to verify the generation and RPS procurement claims of electricity products reported in this report. If requested, an authorized officer or agent of the POU must submit additional information.





**(TO BE COMPLETED BY  
LOCAL PUBLICLY OWNED ELECTRIC UTILITIES)  
COMPLIANCE REPORT to the CALIFORNIA ENERGY COMMISSION  
ON THE RENEWABLES PORTFOLIO STANDARD PROGRAM**

**Static Contract Information Tab**

**Instructions** - List all executed renewable energy contracts or ownership agreements for facilities that are operational. Only include contracts or ownership agreements that have not been previously reported. If the contract or ownership agreement is amended to change any of the information reported on the static contract information tab or the contracted RPS facilities information tab, complete a new row for the amended contract. The information reported on this spreadsheet should be classified by expected portfolio content category. If you expect multiple categories of electricity products from a single contract or ownership agreement, please indicate "yes" under each expected category. Actual portfolio content category classification for electricity products will be verified by the Energy Commission after it has been reported using the Interim Tracking System and/or WREGIS Compliance Reports. Provide supporting documentation, such as a copy of the contract with confidential information redacted, documenting any data reported here. Note: if an answer is provided that has not been selected from the drop down menu, it will not upload into the Energy Commission database and the POU will be required to revise and refile this form.

**FOOTNOTES**

1	Contract ID	The Energy Commission will assign an automatically generated ID number to track each contract and ownership agreement. The contract ID number is generated using the POU name entered on the title page tab and the reporting year entered on the static contract info tab. Do not list the same contract more than once. Each contract should have only one Contract ID.
2	Reporting Year	Enter the calendar year in which contract information is first reported in this spreadsheet. For example, a contract that was executed in 2005 would first be reported for the 2011 reporting year, so "2011" would be entered in this field. A contract that was executed in 2012 would first be reported for the 2012 reporting year, so "2012" would be entered in this field.

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



3	Amendment to Previously Reported Contract?	Select "Yes" or "No" from the drop down list to indicate whether this contract was amended from a contract previously reported to the Energy Commission for the RPS. If the contract is being reported to the Energy Commission's RPS program for the first time, enter "No." The response "Yes" is only for amendments or modifications that are substantive changes. For example, changes that increase nameplate capacity or expected quantities of annual generation, increase the term of the contract, or substitute a different eligible renewable energy resource. For non-substantive amendments, the response should be "No" and a brief explanation should be provided in the Notes column.
4	Contract ID of Previously Reported Contract	If the contract has been amended from a contract previously reported to the Energy Commission's RPS program, enter the Contract ID (see footnote 1) of the previously reported contract. If the contract is new and/or being reported for the first time, leave blank.
5	Portfolio Content Category	Select "Yes" or "No" from the drop down list in each column to indicate whether you plan to classify electricity products from the contract in each category. A contract may result in electricity products classified into more than one Portfolio Content Category.
6	Seller or Utility Owned	Indicate the wholesale seller of electricity products under the contract. If electricity products are from a facility owned by the POU, state "Utility Owned." If purchased from a load-serving entity or third party, state the name of the load-serving entity or third party.
7	Contract Execution Date	Indicate the date the contract was originally executed as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011. If the facility is owned by the POU, indicate the date the ownership agreement was originally executed or when ownership began. For example, if the generating facility was developed by a third party and then purchased by the POU, the contract execution date is the date the POU and the third party executed the purchase agreement. If there are multiple signature dates in the contract, use the last signature date as the execution date. If any other event sets the execution date, such as approval at a meeting, explain in the notes and provide supporting documentation for the execution date.

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



8	For pre-January 1, 2005, Does the Contract Specify the Ownership of the RECs?	Public Utilities Code Section 399.21, Subdivision (a)(4) states that RECs may not be created for electricity generated pursuant to any electricity purchase contract with a retail seller or POU executed before January 1, 2005, unless the contract contains explicit terms and conditions specifying the ownership or disposition of those RECs. The law requires procurement under those contracts to be tracked through WREGIS and counted toward the RPS procurement requirement of the retail seller or POU purchasing the electricity. Energy Commission staff must track facilities subject to this requirement to ensure that the RECs are not traded and/or claimed by other reporting entities. If there is a contract execution date before January 1, 2005, select "Yes" or "No" from the drop down list to indicate whether the contract contains explicit terms and conditions specifying the ownership or disposition of the RECs. For a contract that does not contain explicit terms and conditions specifying the ownership or disposition of the RECs, these RECs must be used for the RPS by the contracting POU. For all facilities owned by a POU and all contracts executed on or after January 1, 2005, select "N/A."
9	Contract Start Date	Indicate the first date that electricity product(s) were or will be transferred to the POU under the contract as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011. If the facility is owned by the POU, enter the date that electricity product(s) were or will be transferred to the POU. For example, if the agreement was executed on 8/31/2011 and the electricity products are first transferred to the POU on 1/1/2012, the "Contract Execution Date" is 8/31/2011 and the "Contract Start Date" is 1/1/2012.
10	Contract End Date	State the final procurement date of the electricity product(s) being procured under the contract/agreement as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011.
11	Type of Ownership or Contract Arrangement	<p>You must select one of the following from the drop down list:</p> <ul style="list-style-type: none"> <li>- Full electrical output</li> <li>- Full electrical output during contract</li> <li>- Percent share of electrical output during contract</li> <li>- Fixed amount of facility's electrical output during contract</li> <li>- Incremental generation - full amount of facility's electrical output</li> <li>- Incremental generation - partial amount of facility's electrical output</li> <li>- Other</li> </ul> <p>Provide comments in "Notes" column, as needed, to explain contracting, ownership or other facility arrangements.</p>

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



12	Contract Amount of Annual Total Generation Procured/ Contract Percentage of Annual Total Generation Procured	For each contract complete only one of the two columns associated with this footnote. Enter the annual amount OR percentage of total generation procured under the contract/agreement). If a fixed amount of generation is procured under the contract/agreement, enter total contractual fixed amount (annually if applicable) and provide additional explanations in the notes section as necessary. Enter the fixed amount in MWh, rounded to the nearest ten-thousandth, for example, 8.1234 MWh. If a percentage of generation is procured under the contract/agreement, enter the actual percentage amount, not a decimal or whole number (3.7% versus 0.037, 100% versus 1) and provide additional explanation in the "Notes" field as necessary. Explain in the "Notes" column if the generation amount or percentage being procured changes annually and provide annual specific amounts per calendar year or total amount contracted for over multiple years.
13	Contract Termination Date, if Applicable	If the contract is terminated before the contract end date, enter the termination date as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011. If contract has not been terminated before the end date, leave blank.
14	Bundled purchase by POU?	Select "Yes" or "No" from the drop down list.
15	Scheduling Coordinator	If applicable, indicate the scheduling coordinator as detailed within the scheduling agreement or procurement contract. If more than one party is designated as a scheduling coordinator, please list the primary scheduling coordinator first and add additional scheduling coordinators separated by a comma. If there is no scheduling coordinator, enter N/A.
16	Resale Agreement	Select "Yes" or "No" from the drop down list to indicate if contract is a resale agreement, under which the POU is procuring electricity products from another California RPS-obligated entity. A resale agreement does not include transactions where a POU representative or agent procures electricity products on the POU's behalf.

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



17	PCC 1 Initial Qualification	<p>For all contracts/agreements that result in PCC 1 procurement, select one of the options below from the drop down list and be prepared to provide supporting documentation with confidential information redacted. For all contracts that do not result in PCC 1 procurement, select "N/A." For purposes of determining PCC 1 qualification: "Interconnected to CBA" refers to a facility that has its first point of interconnection within the metered boundaries of a California Balancing Authority (CBA) Area. "Interconnected to Distribution System" refers to a facility that has its first point of interconnection to an electricity distribution system used to serve end users within the metered boundaries of a California Balancing Authority Area. "Scheduled into CBA" refers to electricity products from a facility that is not directly connected to a CBA and that has generation scheduled on an hourly or subhourly basis into a CBA without substituting electricity from another source. "Dynamic Transfer into CBA" refers to electricity products subject to an agreement between a CBA and the Balancing Authority in which the facility is located to dynamically transfer electricity from the facility into the CBA Area.</p>
18	Incremental to POU	<p>If contract includes procurement of incremental electricity for purposes of PCC 2 qualification, the execution date of the contract/agreement must be on or after the execution date of the contract/agreement for the renewable electricity products. Select "Yes" or "No" from the drop down list to indicate whether the contract for incremental electricity was executed on or after the execution date of the contract/agreement for the renewable electricity products. If the contract does not include incremental electricity for PCC 2 purposes, select "N/A."</p>
19	Notes	<p>Include any additional information about the contract, including information on any amendments to the contract. If a contract has been previously submitted to RPS Energy Commission staff, indicate that the contract has already been submitted. Provide other helpful notes as appropriate. If the contract has been amended to make only non-substantive changes - i.e. the amendment does not increase nameplate capacity or expected quantities of annual generation, increase the term of the contract, or substitute a different eligible energy resource - provide a copy of the contract amendment and list in the notes: Amendment: MM/YYYY - Non-substantive. Include pertinent, high-level summary information, such as: name or ownership changes, update to terms, etc.</p>

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



### Contracted RPS Facilities Information Tab

**Instructions** - List all applicable facility information for the corresponding contracts listed in the Static Contract Info tab. Note - a new row must be completed for each RPS ID number and WREGIS ID number under a contract. Only one RPS ID number and WREGIS ID number may be entered per row. Fuel sources, if applicable, should be included in the Notes column.

### FOOTNOTES

1	Contract ID	Select the appropriate contract ID number from the drop down list of numbers generated in the Static Contract Information tab. If the facility is being added to a previously reported contract and that contract is not available from the dropdown, leave this field blank for that facility and state in the notes the contract ID of the previously reported contract the facility is under.
2	RPS ID	If more than one RPS ID number is associated with the RPS-certified facility under contract, complete one row per RPS ID number.
3	Facility Name	If electricity products will be purchased from one generation facility, indicate the name of the facility. If electricity products will be purchased from multiple generation facilities through a portfolio of assets, list all the facilities included in the portfolio of assets. If the facilities included in the asset are unknown, indicate "unknown."
4	WREGIS ID	If more than one WREGIS ID number is associated with the RPS-certified facility under contract, complete one row per WREGIS ID number.
5	County	Indicate the county where the facility is located. If the facility is located outside of the United States, or if incremental electricity for PCC 2 products is provided from system power purchases, enter N/A.
6	State (or Country if outside US)	Indicate the state where the facility is located. If the facility is located outside of the United States, please enter the country where the facility is located. If incremental electricity for PCC 2 products is provided from system power purchases indicate "system power purchases."
7	Facility Eligibility Date	Indicate the RPS-eligibility date for the facility, as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011, as indicated on the RPS eligibility certificate issued by the Energy Commission. If this is yet to be determined, indicate TBD. The eligibility date, or beginning on date, is the date upon which the Energy Commission deems the first application for a facility received. Generation is typically eligible beginning with the month containing this beginning on date. See Section IV B 3: Eligibility Date, of the <i>RPS Eligibility Guidebook, Seventh Edition</i> , for additional information.
8	Facility Online Date	Enter the commercial online date for the facility as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011.
9	Renewable Technology On-line Date	Enter the date when the facility came online using a renewable fuel or technology, if different from the commercial online date, as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011.

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



10	Facility Source Name - as registered with webRegistry	For facilities not directly connected to a CBA that will be scheduling into a CBA to classify electricity products as PCC 1, enter the facility's source name, as registered with OATI's webRegistry.
11	Notes	Include any additional information about the facility, as needed.

### Annual RPS Report Accounting Tab

**Instructions** - POU's that meet the criteria of Public Utilities Code section 399.30 (j) or RPS POU Regulations section 3204 (a)(8) and 3204 (a)(9) **DO NOT** complete this tab. The Annual Report Accounting tab should be completed every year to show the actual retail sales and RPS procurement retired for the reporting year(s). Forecasted data should be replaced with actual data as necessary, and forecasts may be updated to reflect new information. Cells in white will automatically populate and do not require POU input. Cells under dark blue column headers contain forecasted data.

### FOOTNOTES

1	Pre-June 1, 2010 Category 1 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (a) of the regulations.
2	Pre-June 1, 2010 Category 2 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (b) of the regulations.
3	Pre-June 1, 2010 Category 3 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations.



## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



### RPS Compliance Period Accounting Tab

**Instructions** - POU's that meet the criteria of Public Utilities Code section 399.30 (j) or RPS POU Regulations section 3204 (a)(8) and 3204 (a)(9) **DO NOT** complete this tab. The Compliance Period Accounting tab should be completed at the end of each compliance period to show optional compliance measures, historic carryover and excess procurement applied toward the RPS procurement requirements for the compliance period, as well as excess procurement accumulated during the compliance period. POU's must also indicate how many MWh in each category will be applied toward the RPS procurement target for the compliance period. Cells in white will automatically populate and do not require POU input.

### FOOTNOTES

1	Historic Carryover Applied	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of verified historic carryover to be applied toward RPS procurement requirements for the compliance period. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover for the compliance period.
2	Applied to Target	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), from each listed category to be applied to the RPS procurement target, for the purposes of calculating the portfolio balance requirements and excess procurement. Portfolio Content Category 3 electricity products cannot be counted as excess procurement, so all Category 3 RECs up to the Category 3 balance limitation must be entered in this column. This column should not include RECs that will be eligible for excess procurement.
3	Pre-June 1, 2010 Category 1 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (a) of the regulations.
4	Pre-June 1, 2010 Category 2 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (b) of the regulations.
5	Pre-June 1, 2010 Category 3 RECs Retired	This row shows the application of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations. Category 3 cannot be counted as excess procurement, so all pre-June 1, 2010, Category 3 RECs must be applied to the target.



## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



6	Total Historic Carryover	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of historic carryover verified by the Energy Commission. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover.
7	Excess Procurement Calculation	Allocate the Excess RECs Eligible among the applicable categories. The number of MWh allocated to each category may not exceed the "potential excess" for that category shown in cells E34-E39.
8	Short-Term RECs	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired during the compliance period that meet the criteria of subsection 3202 (a)(1) or subsection 3202 (a)(3) of the regulations and that were procured from contracts of less than 10 years.

### List of Narratives to be Attached

**Instructions** - Select "Yes" or "No" from the drop down list if the listed narrative will be included with the CEC-RPS-POU Compliance Report.

### 399.30 (j) POU Eligibility Tab

**Instructions** - Only POU's that meet the criteria of Public Utilities Code section 399.30 (j) should complete this tab. This tab is used to help demonstrate that the POU meets those criteria.

### FOOTNOTES

1	Qualifying Hydroelectric Generation (MWh)	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of generation from a facility that meets the following criteria: 1. The facility is located within California; 2. The facility is owned and operated by the POU; 3. The facility is a hydroelectric facility but does not meet the definition of a renewable electrical generation facility and is not RPS certified based on the definition of a renewable electrical generation facility.
2	Electricity Demand (MWh)	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of electricity demand. Electricity demand is the consumption of electricity by all end-use customers and their tenants, including but not limited to the POU itself.

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### 399.30 (j) POU Compliance Tab

**Instructions** - Only POU's that meet the criteria of Public Utilities Code section 399.30 (j) should complete this tab. The 399.30 (j) Compliance tab should be completed every year to show the actual electricity demand and qualifying hydroelectric generation for the reporting year(s). Forecasted data should be replaced with actual data as necessary, and forecasts may be updated to reflect new information. A POU must also indicate historic carryover and optional compliance measures applied, as well as calculate excess procurement for the compliance period, if applicable. Cells in white will automatically populate and do not require POU input.

### FOOTNOTES

1	Pre-June 1, 2010 Category 1 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (a) of the regulations.
2	Pre-June 1, 2010 Category 2 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (b) of the regulations.
3	Pre-June 1, 2010 Category 3 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations.
4	Historic Carryover Applied	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of verified historic carryover to be applied toward RPS procurement requirements for the reporting year. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover for the reporting year.
5	Portfolio Balance Requirements, for the Calculation of Excess Procurement Only (MWh)	This determination of the portfolio balance requirements will be used only to calculate excess procurement. A POU that meets the criteria of Public Utilities Code section 399.30 (j) is exempt from meeting the portfolio balance requirements.

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6	Applied to Target	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), from each listed category to be applied to the RPS procurement target, for the purposes of calculating the excess procurement. Portfolio Content Category 3 electricity products cannot be counted as excess procurement, so all Category 3 RECs up to the Category 3 balance limitation must be entered in this column.
7	Pre-June 1, 2010 Category 3 RECs Retired	This row shows the application of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations. Category 3 cannot be counted as excess procurement, so all pre-June 1, 2010, Category 3 RECs must be applied to the target.
8	Excess Procurement Calculation	Allocate the Excess RECs Eligible among the applicable categories. The number of MWh allocated to each category may not exceed the "potential excess" for that category shown in cells E34-E39.
9	Short-Term RECs	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired during the reporting year that meet the criteria of subsection 3202 (a)(1) or subsection 3202 (a)(3) of the regulations and that were procured from contracts of less than 10 years.
10	Total Historic Carryover	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of historic carryover verified by the Energy Commission. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover.

## Compliance Report Form for Local Publicly Owned Electric Utilities

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### 3204 Annual Accounting

**Instructions** - Only POU's that meet the criteria of RPS POU Regulations section 3204 (a)(8) and 3204 (a)(9) should complete this tab. This tab should be completed every year to show the actual retail sales and RPS procurement retired for the reporting year(s). Forecasted data should be replaced with actual data as necessary, and forecasts may be updated to reflect new information. Cells in white will automatically populate and do not require POU input.

### FOOTNOTES

1	Bundled RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of Renewable Energy Credits (RECs) retired to meet the RPS procurement requirements for the compliance period that <u>do not</u> meet the criteria in subsection 3202 (a)(2) of the regulations and were procured bundled by the POU.
2	Unbundled RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that <u>do not</u> meet the criteria in subsection 3202 (a)(2) of the regulations and <u>were not</u> procured bundled by the POU.

### 3204 Compliance

**Instructions** - Only POU's that meet the criteria of RPS POU Regulations section 3204 (a)(8) and 3204 (a)(9) should complete this tab. This tab should be completed at the end of each compliance period to show optional compliance measures, historic carryover and excess procurement applied toward the RPS procurement requirements for the compliance period, as well as excess procurement accumulated during the compliance period. POU's must also indicate how many MWh in each category will be applied toward the RPS procurement target for the compliance period. Cells in white will automatically populate and do not require POU input.

### FOOTNOTES

1	Bundled RECs Retired	This field shows the number of RECs retired to meet the RPS procurement requirements for the compliance period that <u>do not</u> meet the criteria in subsection 3202 (a)(2) of the regulations and were procured bundled by the POU.
2	Unbundled RECs Retired	This field shows the number of RECs retired to meet the RPS procurement requirements for the compliance period that <u>do not</u> meet the criteria in subsection 3202 (a)(2) of the regulations and <u>were not</u> procured bundled by the POU.

## Compliance Report Form for Local Publicly Owned Electric Utilities

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3	Historic Carryover Applied	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of verified historic carryover to be applied toward RPS procurement requirements for the compliance period. If historic carryover has not been verified at the time of reporting, enter the estimated historic carryover you would like to apply toward the RPS procurement requirements for the compliance period.
4	Applied to Target	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), from each listed category to be applied to the RPS procurement target, for the purposes of calculating excess procurement. Unbundled RECs cannot be counted as excess procurement, so all unbundled RECs up to the unbundled RECs percentage limitation must be entered in this column.
5	Excess Procurement Calculation	Allocate the Excess RECs Eligible among the applicable categories. The number of MWhs allocated to each category may not exceed the "potential excess" for that category shown in cells E33-E35.
6	Short-Term RECs	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired during the compliance period that do not meet the criteria of subsection 3202 (a)(2) of the regulations and that were procured from contracts of less than 10 years.
7	Total Historic Carryover	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of historic carryover verified by the Energy Commission. If historic carryover has not been verified at the time of reporting, enter the estimated historic carryover.

April 2014

[illegible]

April 2014



## Compliance Report Form for Local Publicly Owned Electric Utilities

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## Annual RPS Report: Accounting

To be completed annually.


Input Required

Actual Data

<b>Compliance Period 1</b>			
<b>Actual</b>			
<b>Annual RPS Procurement and Percentages (MWh)</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>
Annual Retail Sales	9,725.125	12,581.228	14,484.272
Annual RPS Procurement Retired	0.000	0.000	607.000
Soft Targets	20.00%	20.00%	20.00%

<b>Compliance Period 1</b>			
<b>Procurement Target (MWh)</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>
Procurement Target	7,358.125		
Category 0 RECs Retired	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired <sup>1</sup>	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired <sup>2</sup>	0.000	0.000	0.000
Category 3 RECs Retired	0.000	0.000	607.000
Pre-June 1, 2010 Category 3 RECs Retired <sup>3</sup>	0.000	0.000	0.000
<b>Total RECs Retired (Compliance Period)</b>	<b>607.0000</b>		



## RPS Compliance Period Report: Accounting

To be completed at the end of each compliance period.

	Input Required
	Actual Data

	<i>Compliance Period 1</i>		
	Actual		
	2011	2012	2013
<b>Annual RPS Procurement and Percentages (MWh)</b>			
Annual Retail Sales	9,725.125	12,581.228	14,484.272
Annual RPS Procurement Retired	0.000	0.000	607.000
Soft Targets	20.00%	20.00%	20.00%
Total RECs Retired for the Compliance Period	<b>607.000</b>		

<b>Procurement Target (MWh)</b>	<i>Compliance Period 1</i>		
	2011	2012	2013
Procurement Target	<b>7,358.125</b>		
Category 0 RECs Retired	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired	0.000	0.000	0.000
Category 3 RECs Retired	0.000	0.000	607.000
Pre-June 1, 2010 Category 3 RECs Retired	0.000	0.000	0.000
Historic Carryover (HCO) Applied <sup>1</sup>	0.000		
Total RECs Retired Including HCO	<b>607.000</b>		

## Compliance Report Form for Local Publicly Owned Electric Utilities

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Portfolio Balance Requirements (MWh)	Compliance Period 1		
	Total Retired	Applied to Target <sup>2</sup>	Potential Excess
Category 0 RECs Retired	0.000	0.000	0.000
Historic Carryover Applied	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired	0.000	0.000	0.000
Category 3 RECs Retired	607.000	607.000	n/a
Pre-June 1, 2010 Category 3 RECs Retired	0.000	0.000	n/a
<b>Total RECs applied to the Target</b>	<b>607.000</b>		
Category 1 Balance Requirement		303.500	
Category 3 Balance Limitation		151.750	
Disallowed Category 3 RECs		455.250	

Additional Procurement (MWh)	Starting Balance	Applied to Current Compliance Period	Accumulated in Current Compliance Period	Remaining Balance
<b>Total Excess Procurement</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>
Excess Category 0 RECs	0.000	0.000	0.000	0.000
Excess Category 1 RECs	0.000	0.000	0.000	0.000
Excess Pre-June 1, 2010 Cat. 1 RECs	0.000	0.000	0.000	0.000
Excess Category 2 RECs	0.000	0.000	0.000	0.000
Excess Pre-June 1, 2010 Cat. 2 RECs	0.000	0.000	0.000	0.000
<b>Total Historic Carryover<sup>6</sup></b>	<b>0.000</b>	<b>0.000</b>	<b>n/a</b>	<b>0.000</b>

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	<b>Compliance Period 1</b>		
<b>RPS Procurement Enforcement (MWh)</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>
Deficit of RECs Necessary to Meet Target	6,751.125		
Deficit of RECs Necessary to Meet PCC 1 Portfolio Balance Requirement	303.500		

<b>Excess Procurement Calculation (MWh)<sup>7</sup></b>	<b>Compliance Period 1</b>
Total RECS retired, less disallowed PCC 3	151.750
Procurement Target	7,358.125
Potential Excess	0.000
Short-Term RECs <sup>8</sup>	0.000
<b>Excess RECs Eligible</b>	<b>0.000</b>
Excess Category 0 RECs	0.000
Excess Category 1 RECs	0.000
Excess Pre-June 1, 2010 Category 1 RECs	0.000
Excess Category 2 RECs	0.000
Excess Pre-June 1, 2010 Category 2 RECs	0.000

<b>Other Optional Compliance Measures Applied</b>	<b>Yes/No</b>
Cost Limitations	Yes
Delay of Timely Compliance	No
Reduction of PCC 1	No

## Compliance Report Form for Local Publicly Owned Electric Utilities

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## List of Narratives to be Attached

Frequency	Narrative*	Attached to 2011 Report (Y/N)	Attached to 2012 Report (Y/N)	Attached to 2013 Report (Y/N)
Annual	Public goods funds collected; description of programs, expenditures, and expected or actual results. (Section 3207(c)(2)(G))	Yes	Yes	Yes
Annual	Explanation of any potential delay of timely compliance events. (Section 3207(c)(2)(H))	Yes	Yes	Yes
Annual	Explanation of actions taken or planned to minimize impacts of delay. (Section 3207(c)(2)(H))	Yes	Yes	Yes
Annual	Demonstration of Reasonable Progress for actions taken. (Section 3207(c)(3))	Yes	Yes	Yes
Annual	Demonstration of Reasonable Progress for actions planned. (Section 3207(c)(4))	Yes	Yes	Yes
As needed	Documentation justifying application of adopted optional compliance measures	Yes	Yes	Yes
As needed	Documentation providing applied cost limitation in dollars expended during the compliance period, estimated total cost to meet RPS procurement requirements in dollars expended during the compliance period, and POU actions taken in response to meeting or exceeding the cost limitation. (Section 3207(d)(6)(A))	Yes	Yes	Yes

\*Note: If the narrative information was previously provided to the Energy Commission in an existing report, the POU may reference the narrative information by identifying the name, submittal date, and page number of the existing report, and including a copy of each page of the report that is referenced. Any narratives not provided will be treated as an affirmative response that the POU did not undertake the associated activity.

## Form for Local Publicly Owned Electric Utilities

## To Determine Eligibility under Public Utilities Code Section 399.30(j)

CEC-RPS-POU (Revised 04/2014)

**POU Eligibility Under Section 399.30(j)**

Use this form to determine the eligibility of a POU for the alternative compliance obligations of Public Utilities Code section 399.30(j) in accordance with section 3204 (a)(7) of the Energy Commission's regulations, *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities*.

This form is due October 31, 2013, for eligibility for Compliance Period 1 (2011-2013) and 90 calendar days after the end of each compliance period thereafter to determine eligibility for the subsequent compliance period.

1.	Does the POU provide electric services to a local government that is both a city and county of California?	
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2.	Provide the total annual Qualifying Hydroelectric Generation from the facilities listed in Question 3 and the POU's total annual electricity demand for each of the seven calendar years immediately preceding the end of the compliance period.
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	Qualifying Hydroelectric Generation (MWh) <sup>1</sup>	Electricity Demand (MWh) <sup>2</sup>
2007		
2008		
2009		
2010		
2011		
2012		
2013		
<b>TOTAL</b>	0.0000	0.0000
<b>Average</b>	0.00%	



C E C - R P S - P O U

## RPS Annual Compliance Report for POUs meeting the criteria of Public Utilities Code section 399.30 (j)

To be completed annually.

	Input Required
	Actual Data

Annual RPS Procurement Target (MWh)	2011	2012	2013
Current Year Total Electricity Demand	0.000	0.000	0.000
Current Year Qualifying Hydroelectric Generation	0.000	0.000	0.000
Electricity Demand Not Met by Hydroelectric	0.000	0.000	0.000
Soft Target	20.00%	20.00%	20.00%
<b>Annual RPS Procurement Target</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>

RECs Retired (MWh)	2011	2012	2013
Category 0 RECs Retired	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired <sup>1</sup>	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired <sup>2</sup>	0.000	0.000	0.000
Category 3 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 3 RECs Retired <sup>3</sup>	0.000	0.000	0.000
<b>Total RECs Retired (Compliance Period)</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>
<b>Procurement Target</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>

RPS Procurement Enforcement (MWh)	2011	2012	2013
Deficit of RECs Necessary to Meet Target	0.000	0.000	0.000

Other Optional Measures Applied	Yes/No
Cost Limitations	No
Delay of Timely Compliance	No

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Portfolio Balance Requirements, for the calculation of Excess Procurement only (MWh) <sup>5</sup>	2013		
	Total Retired	Applied to Target <sup>6</sup>	Potential Excess
Category 0 RECs Retired	0.000	0.000	0.000
Historic Carryover Applied	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired	0.000	0.000	0.000
Category 3 RECs Retired*	0.000	0.000	n/a
Pre-June 1, 2010 Category 3 RECs Retired <sup>7</sup>	0.000	0.000	n/a
<b>Total RECs applied to the Target</b>	<b>0.000</b>		
Category 3 Balance Limitation		0.000	
Disallowed Category 3 RECs		0.000	

	2013
<b>Excess Procurement Calculation (MWh)<sup>8</sup></b>	
Total RECS retired, less disallowed PCC 3	0.000
Procurement Target	0.000
Potential Excess	0.000
Short-Term RECs <sup>9</sup>	0.000
<b>Excess RECs Eligible</b>	<b>0.000</b>
Excess Category 0 RECs	0.000
Excess Category 1 RECs	0.000
Excess Pre-June 1, 2010 Category 1 RECs	0.000
Excess Category 2 RECs	0.000
Excess Pre-June 1, 2010 Category 2 RECs	0.000



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Additional Procurement	Starting Balance	Applied to Current Reporting Year	Accumulated in Current Reporting Year	Remaining Balance
<b>Total Excess Procurement</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>
Excess Category 0 RECs	0.000	0.000	0.000	0.000
Excess Category 1 RECs	0.000	0.000	0.000	0.000
Excess Pre-June 1, 2010 Category 1 RECs	0.000	0.000	0.000	0.000
Excess Category 2 RECs	0.000	0.000	0.000	0.000
Excess Pre-June 1, 2010 Category 2 RECs	0.000	0.000	0.000	0.000
<b>Total Historic Carryover<sup>10</sup></b>	<b>0.000</b>	<b>0.000</b>	<b>n/a</b>	<b>0.000</b>

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)

**3204 (a)(8) and (a)(9) Annual RPS Report: Accounting**

To be completed at the end of each compliance period ONLY by POU's meeting the criteria of section 3204 (a)(8) or (a)(9) of the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities*.

	Input Required
	Actual Data

	<b>Compliance Period 1</b>		
	<b>Actual</b>		
	<b>2011</b>	<b>2012</b>	<b>2013</b>
<b>Annual RPS Procurement and Percentages (MWh)</b>			
Annual Retail Sales			
Annual RPS Procurement Retired	0.000	0.000	0.000
Soft Targets	20.00%	20.00%	20.00%

<b>Procurement Target (MWh)</b>	<b>Compliance Period 1</b>		
	<b>2011</b>	<b>2012</b>	<b>2013</b>
Procurement Target		0.000	
Category 0 RECs Retired	0.000	0.000	0.000
Bundled RECs Retired <sup>1</sup>	0.000	0.000	0.000
Unbundled RECs Retired <sup>2</sup>	0.000	0.000	0.000
<i>Forecasted Total RECs Retired (Compliance Period)</i>		<b>0.000</b>	

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)

**3204 (a)(8) and 3204 (a)(9) RPS Compliance Period Report**

To be completed at the end of each compliance period ONLY by POU's meeting the criteria of section 3204 (a)(8) or (a)(9) of the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities*.

	Input Required
	Actual Data

Annual RPS Procurement and Percentages (MWh)	Compliance Period 1		
	Actual		
	2011	2012	2013
Annual Retail Sales	0.000	0.000	0.000
Annual RPS Procurement Retired	0.000	0.000	0.000
Soft Targets	20.00%	20.00%	20.00%

Total RECs Retired for the Compliance Period	0.000
--	-------

Procurement Target (MWh)	Compliance Period 1		
	2011	2012	2013
Procurement Target	0.000		
Category 0 RECs Retired	0.000	0.000	0.000
Bundled RECs Retired <sup>1</sup>	0.000	0.000	0.000
Unbundled RECs Retired <sup>2</sup>	0.000	0.000	0.000

Historic Carryover (HCO) Applied <sup>3</sup>	0.000
Total RECs Retired Including HCO	0.000

RECs Applied to Target (MWh)	Compliance Period 1		
	Total Retired	Applied to Target <sup>4</sup>	Potential Excess
Category 0 RECs Retired	0.000	0.000	0.000
Historic Carryover applied	0.000	0.000	0.000
Bundled RECs Retired	0.000	0.000	0.000
Unbundled RECs Retired	0.000	0.000	n/a
Total RECs applied to the Target	0.000		

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Additional Procurement (MWh)	Starting Balance	Applied to Current Compliance Period	Accumulated in Current Compliance Period	Remaining Balance
<b>Total Excess Procurement</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>	<b>0.000</b>
Excess Category 0 RECs	0.000	0.000	0.000	0.000
Excess Bundled RECs	0.000	0.000	0.000	0.000
Total Historic Carryover <sup>7</sup>	0.000	0.000	n/a	0.000

	<b>Compliance Period 1</b>		
<b>RPS Procurement Enforcement (MWh)</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>
Deficit of RECs Necessary to Meet Target	0.000		

	<b>Compliance Period 1</b>
<b>Excess Procurement Calculation (MWh)<sup>5</sup></b>	
Total RECS retired	0.000
Procurement Target	0.000
Potential Excess	0.000
Unbundled RECs Percentage Limitation	0.000
Unbundled RECs Ineligible for Excess	0.000
Short-Term RECs <sup>6</sup>	0.000
<b>Excess RECs Eligible</b>	<b>0.000</b>
Excess Category 0 RECs	0.000
Excess Bundled RECs	0.000

<b>Other Optional Compliance Measures Applied</b>	<b>Yes/No</b>
Cost Limitations	No
Delay of Timely Compliance	No
Reduction of PCC 1	No



**(TO BE COMPLETED BY LOCAL PUBLICLY OWNED ELECTRIC UTILITIES)  
COMPLIANCE REPORT to the CALIFORNIA ENERGY COMMISSION  
ON THE RENEWABLES PORTFOLIO STANDARD PROGRAM  
ATTESTATION FORM**

I am an authorized officer or agent of the local publicly owned electric utility (POU), as noted below, and hereby submit this report and attest to the following on behalf of the POU:

- 1) I am an authorized officer or agent of the POU for which this report was prepared, and have authority to submit this report on behalf of the POU;
- 2) The information and data provided in this report, including information provided in any supporting documents submitted with the report, are submitted to the California Energy Commission in accordance with the *Renewables Portfolio Standard Eligibility Guidebook* and the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities*, as codified in Title 20, California Code of Regulations, sections 1240 and 3200 - 3208, for use in verifying the POU's procurement claims of electricity products from RPS-certified electrical generation facilities for purposes of the Renewables Portfolio Standard (RPS);
- 3) To the best of my knowledge, none of the electricity generation for procurement claims identified in this report, nor any of the Renewable Energy Certificates, as defined in the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities*, associated with the electricity generation for such claims, have been or will be used more than once to satisfy RPS procurement requirements or requirements of other renewable energy regulatory or market programs;
- 4) I have read the *Renewables Portfolio Standard Eligibility Guidebook* and the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities* and understand the provisions, eligibility criteria, and requirements of the guidebook and regulations, including my responsibilities under the guidebook and regulations to provide additional information for RPS verification purposes if requested by the Energy Commission; and
- 5) I declare under penalty of perjury that the information and data provided in this report, including information provided in any supporting documents submitted with the report and listed below, are true and correct to the best of my knowledge.

Signed: \_\_\_\_\_ Dated: \_\_\_\_\_

Authorized Officer or Agent of POU Signing Attestation	
<b>Name:</b>	Juan G. Villanueva
<b>Title:</b>	Projects & Contract Administration/DBELO Manager
<b>Phone:</b>	(209) 946-0246
<b>E-mail:</b>	jvillanueva@stocktonport.com
<b>Fax:</b>	(209) 765-7244
POU	
<b>Entity Name:</b>	Stockton Port District
<b>Street Address:</b>	2201 West Washington
<b>City, State, Zip Code</b>	Stockton, CA 95201
<b>Year POU Established</b>	2003
<b># of CA End-Use Retail Customer Accts.</b>	50

## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



List of Supporting Documentation Attached to This Report	
1.	140701_RPS POU Narratives
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**(TO BE COMPLETED BY LOCAL PUBLICLY OWNED ELECTRIC UTILITIES)  
COMPLIANCE REPORT to the CALIFORNIA ENERGY COMMISSION  
ON THE RENEWABLES PORTFOLIO STANDARD PROGRAM  
ATTESTATION FORM**

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- 1) I am an authorized officer or agent of the POU for which this report was prepared, and have authority to submit this report on behalf of the POU;
- 2) The information and data provided in this report, including information provided in any supporting documents submitted with the report, are submitted to the California Energy Commission in accordance with the *Renewables Portfolio Standard Eligibility Guidebook* for use in verifying the POU's procurement claims of electricity products from RPS-certified electrical generation facilities for purposes of the Renewables Portfolio Standard (RPS);
- 3) To the best of my knowledge, none of the electricity generation for procurement claims identified in this report, nor any of the Renewable Energy Certificates, as defined in the *Renewables Portfolio Standard Eligibility Guidebook*, Seventh Edition, associated with the electricity generation for such claims, have been or will be used more than once to satisfy RPS procurement requirements or requirements of other renewable energy regulatory or market programs;
- 4) I have read the *Renewables Portfolio Standard Eligibility Guidebook* and understand the provisions, eligibility criteria, and requirements of the guidebook, including my responsibilities under the guidebook to provide additional information for RPS verification purposes if requested by the Energy Commission; and
- 5) I declare under penalty of perjury that the information and data provided in this report, including information provided in any supporting documents submitted with the report and listed below, are true and correct to the best of my knowledge.

Signed: \_\_\_\_\_

Dated: \_\_\_\_\_

6/5/2014

List of Supporting Documents, if Any: \_\_\_\_\_

**Authorized Officer or Agent of POU Signing Attestation**

Name: Juan G. Villanueva

Title: Projects &amp; Contract Administration/DBELO Manager

Phone: (209) 946-0246

E-mail: jvillanueva@stocktonport.com

Fax: (209) 465-7244

**POU**

Entity Name: Stockton Port District

Street Address: 2201W. Washington Street, P.O. Box 2089

City, State, Zip Code: Stockton, CA 95201

Year POU Established: 2003

No. of CA End-Use Retail  
Customer Accts. 56





**(TO BE COMPLETED BY LOCAL PUBLICLY OWNED ELECTRIC UTILITIES)  
COMPLIANCE REPORT to the CALIFORNIA ENERGY COMMISSION  
ON THE RENEWABLES PORTFOLIO STANDARD PROGRAM  
ATTESTATION FORM**

I am an authorized officer or agent of the local publicly owned electric utility (POU), as noted below, and hereby submit this report and attest to the following on behalf of the POU:

- 1) I am an authorized officer or agent of the POU for which this report was prepared, and have authority to submit this report on behalf of the POU;
- 2) The information and data provided in this report, including information provided in any supporting documents submitted with the report, are submitted to the California Energy Commission in accordance with the *Renewables Portfolio Standard Eligibility Guidebook* and the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities*, as codified in Title 20, California Code of Regulations, sections 1240 and 3200 - 3208, for use in verifying the POU's procurement claims of electricity products from RPS-certified electrical generation facilities for purposes of the Renewables Portfolio Standard (RPS);
- 3) To the best of my knowledge, none of the electricity generation for procurement claims identified in this report, nor any of the Renewable Energy Certificates, as defined in the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities*, associated with the electricity generation for such claims, have been or will be used more than once to satisfy RPS procurement requirements or requirements of other renewable energy regulatory or market programs;
- 4) I have read the *Renewables Portfolio Standard Eligibility Guidebook* and the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities* and understand the provisions, eligibility criteria, and requirements of the guidebook and regulations, including my responsibilities under the guidebook and regulations to provide additional information for RPS verification purposes if requested by the Energy Commission; and
- 5) I declare under penalty of perjury that the information and data provided in this report, including information provided in any supporting documents submitted with the report and listed below, are true and correct to the best of my knowledge.

Signed: \_\_\_\_\_

Dated: \_\_\_\_\_

**Authorized Officer or Agent of POU Signing Attestation**

Name: Juan G. Villanueva

Title: Projects &amp; Contract Administration/DBELO Manager

Phone: (209) 946-0246

E-mail: jvillanueva@stocktonport.com

Fax: (209) 765-7244

**POU**

Entity Name: Stockton Port District

Street Address: 2201 West Washington

City, State, Zip Code: Stockton, CA 95201

Year POU Established: 2003

# of CA End-Use Retail Customer Accts.: 50



## Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 04/2014)



CALIFORNIA ENERGY COMMISSION

List of Supporting Documentation Attached to This Report	
1.	140701_RPS POU Narratives
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<b>The Stockton Port District</b>	<b>Shell Energy North America (US), L.P.</b>
Contract ID:	Contract ID:
Deal Maker: Juan Villanueva	Deal Maker: Robert Pierce
Phone: 209-946-0246	Phone: 509-688-6063
Fax : 209-464-7244	Fax: 858-320-2674

**CONFIRMATION AGREEMENT**  
**Green Attributes (RECs Only – Bucket 3) – WSPP Agreement**

This Confirmation Agreement ("Confirmation" or "Agreement") is entered into December 21, 2012 ("Effective Date") by and between **The Stockton Port District** ("**Stockton**" or "**Buyer**") and **Shell Energy North America (US), L.P.** ("**Shell Energy**" or "**Seller**"); each individually a "**Party**" and collectively the "**Parties**", regarding the purchase and sale of products under the terms and conditions below.

**Master Agreement:** EEI Master Power Purchase and Sale Agreement between the Parties dated October 13, 2010 (the "EEI Master Agreement").

**Transaction:** Buyer is buying Renewable Energy Credits ("**RECs**") from Seller and Buyer shall take title to such during the Delivery Term herein.

**Project:** The RECs sold by Seller to Buyer are produced by Phase III of the Nine Canyon Wind Project that: (i) is certified with the CEC and qualifies as an Eligible Renewable Resource, as such term is defined in Public Utilities Code Section 399.12 or Section 399.16, (CEC number 60803A), (ii) is located near Finley, Washington, (iii) is a 32.2 MW phase of a the larger Nine Canyon wind facility, and (iv) (a) Phase III commenced commercial operation after January 1, 2005, and (b) is interconnected to the BPA transmission system (the "Project").

**Product:** Green Attributes sourced by the Project, as such Product is described in the Code Pub. Util. Code §399.16(b)(3) and as further defined in CPUC D.11-12-052 (Decision Implementing RPS Portfolio Content Categories).

**Seller:** Shell Energy

**Buyer:** Stockton

**Delivery Term:** The Delivery Term of this Transaction shall commence upon the Effective Date and shall continue through December 31, 2013, and until the Parties have fulfilled all obligations with respect to the Transaction, including the transfer of all RECs via WREGIS Certificates to Buyer and the payment of amounts due pursuant to this Confirmation.

**Vintage:** Calendar year 2013.

**Contract Quantity:** 607 RECs

**RECs**  
**Contract Price:** \$1.50 per REC.

**Delivery Point:** Title to RECs shall transfer from Seller to Buyer through WREGIS.

**Transfer Date:** Unless otherwise mutually agreed, on or before November 30, 2013.

**Change in Law:** If any action by the CPUC or any state, federal or local Governmental Authority or agency occurs after the Effective Date hereof and the result of such action is that Buyer is precluded from using the

Product purchased herein to satisfy the California Renewables Portfolio Standard requirement ("Change in Law") Buyer may elect to terminate this Confirmation by delivering to the other Party written notice of such termination not later than 60 days following the effective date of the Change in Law. In the event Buyer does not exercise its right to terminate this Confirmation due to a Change in Law within such 60 day period, Buyer may not thereafter terminate this Confirmation due to the Change in Law. A termination of this Confirmation due to a Change in Law shall be effective upon the delivery of written notice therefore and thereafter:

- (i) all obligations to Deliver RECs not then already transferred by Seller to Buyer shall be terminated and Seller shall have no obligation to make any further deliveries, and Buyer shall have no obligation to accept any transfer, of Green Attributes;
- (ii) If Buyer refuses to accept Delivery of the RECs due to the Change in Law, neither Party shall be liable for damages;
- (iii) neither Party shall have any further obligations to the other hereunder with respect to RECs not Delivered due to a proper termination in accordance with the foregoing.

*Title Transfer and Indemnity:*

Sections 10.3 and 10.4 of the EET Master Agreement shall apply only to Power Products. With respect to RECs, title transfers from Seller to Buyer upon the completion of both Delivery and payment for the RECs.

Mutual Representations and Warranties. During the Delivery Term, each Party represents and warrants to the other that: (i) it is an "eligible commercial entity" and an "eligible contract participant" within the meaning of United States Commodity Exchange Act §§1a(11) and 1a(12), respectively, and this Transaction has been subject to individual negotiation by the Parties.

**SPECIAL PROVISIONS:**

(1) Green Attributes: Seller hereby provides and conveys all Green Attributes associated with all electricity generation from the Project to Buyer as part of the Product being delivered. Seller represents and warrants that Seller holds the rights to all Green Attributes from the Project, and Seller agrees to convey and hereby conveys all such Green Attributes to Buyer as included in the delivery of the Product from the Project. [STC 2 - RECs and Green Attributes, Non-Modifiable. D.08-04-009 (3.2)].

(2) Transfer of Renewable Energy Credits: Seller and, if applicable, its successors, represents and warrants that throughout the Delivery Term of this Agreement the renewable energy credits transferred to Buyer conform to the definition and attributes required for compliance with the California Renewables Portfolio Standard, as set forth in California Public Utilities Commission Decision 08-08-028, and as may be modified by subsequent decision of the California Public Utilities Commission or by subsequent legislation. To the extent a change in law occurs after execution of this Agreement that causes this representation and warranty to be materially false or misleading, it shall not be an Event of Default if Seller has used commercially reasonable efforts to comply with such change in law. [STC REC-1, Non-modifiable D.11-01-025].

(3) Tracking of RECs in WREGIS: Seller warrants that all necessary steps to allow the Renewable Energy Credits transferred to Buyer to be tracked in the Western Renewable Energy Generation Information System will be taken prior to the first delivery under the contract. [STC REC-2, Non-modifiable. D.11-01-025].

(4) RECs Settlement: During the Delivery Term, each Party, at its own cost and expense, shall maintain its registration with WREGIS. Each Party shall, at its sole expense, use WREGIS as required pursuant to the WREGIS Operating Rules to deliver and convey (in the case of Seller) and receive/accept (in the case of Buyer) RECs in accordance with WREGIS reporting protocols and WREGIS Operating Rules. Seller shall, at its sole cost and expense, take all commercially reasonable actions and execute documents or instruments necessary to ensure that the RECs to be sold hereunder from the Project can be transferred to Buyer utilizing WREGIS and that the transfer of WREGIS Certificates shall represent the RECs attributable to or associated with such Green Attributes from the Project. Buyer warrants that all necessary steps to allow the RECs transferred from Seller to be tracked in WREGIS will be taken prior to the first delivery under the contract.

(5) Eligibility: Seller, and, if applicable, its successors, represents and warrants that throughout the Delivery Term of this Agreement that: (i) the Project qualifies and is certified by the CEC as an Eligible Renewable Energy Resource ("ERR") as such term is defined in Public Utilities Code Section 399.12 or Section 399.16; and (ii) the Project's output delivered to Buyer

qualifies under the requirements of the California Renewables Portfolio Standard. To the extent a change in law occurs after execution of this Agreement that causes this representation and warranty to be materially false or misleading, it shall not be an Event of Default if Seller has used commercially reasonable efforts to comply with such change in law. [STC 6, Non-Modifiable D.08-04-009];

**(6) Additional Representations and Warranties of Seller.**

(i) Seller has not sold the Product or any Green Attributes of the Product to be transferred to Buyer to any other person or entity;

(ii) each REC meets the specifications set forth herein;

(iii) All rights, title and interest in and to the Product are transferred free and clear of any taxes or security interests except for any right or interest by any entity claiming through Buyer.

(7) Payments for RECs. Seller shall invoice Purchaser for the RECs after the WREGIS Certificates for such RECs have been properly transferred to Purchaser's WREGIS account in accordance with the rules and regulations of WREGIS. Purchaser shall pay the RECs Contract Price for the WREGIS Certificates transferred to Purchaser's WREGIS Account not later than ten (10) Business Days following receipt of Seller's invoice subsequent to the transfer of the RECs.

(8) Regulatory. The Parties intend the rates, terms and conditions of service specified in this Confirmation to remain fixed throughout the Delivery Term of this Confirmation regardless of any changes in underlying costs that would justify a change in rates under traditional cost of service principles. Neither Party shall unilaterally seek to obtain from FERC any relief changing the rate, charge, classification, or other term or condition of this Confirmation, notwithstanding any subsequent changes in Applicable Law, its costs of service or market conditions that may occur.

(9) Governing Law. For purposes of this Confirmation Article 10.6, Governing Law, of the EEI Master Agreement is amended by inserting at the end thereof the following: "Notwithstanding the foregoing, this Agreement and the rights and duties of the Parties hereunder shall be governed by and construed, enforced and performed in accordance with the laws of the state of California, without regard to principles of conflicts of law. To the extent enforceable at such time, each Party waives its respective right to any jury trial with respect to any litigation arising under or in connection with this Agreement. [STC 17, Applicable Law, Non-Modifiable D.08-04-009].

(10) Liquidated Damages. For purposes of this Confirmation only, Article 4 of the EEI Master Agreement is amended by inserting at the end thereof the following: In lieu of and not in addition to the damages provided in this Article 4, in the event that Seller's failure to deliver is due to DSO 216, Buyer's damages shall be limited to the lower of Buyer's actual loss or two times the Contract Price for the affected Contract Quantity.

(11) RPS Confidentiality. Either Party shall be permitted to disclose the following terms with respect to such Transaction: Party names, resource type, delivery term, project location, and project capacity.

**DEFINITIONS / INTERPRETATIONS:** For purposes of this Confirmation, the following definitions and rules of interpretations shall apply:

"Applicable Law" means all legally binding constitutions, treaties, statutes, laws, ordinances, rules, regulations, orders, interpretations, permits, judgments, decrees, injunctions, writs and orders of any Governmental Authority or arbitrator that apply to the Applicable Program or any one or both of the Parties or the terms hereof.

"California Program", "California Renewables Portfolio Standard" or "California RPS" means the renewable energy program and policies, codified in California Public Utilities Code Sections 399.11 through 399.20 and California Public Resources Code Sections 25740 through 25751, as such provisions are amended or supplemented from time to time.

"CEC" means the California Energy Commission or its regulatory successor.

"CEC Certification" means, if applicable, the certification of the renewable resource by the California Energy Commission as specified in the Renewables Portfolio Standard Eligibility Guidebook as eligible toward meeting the state's Renewables Portfolio Standard pursuant to Public Utilities Code Sections 399.11, et seq. and Public Resources Code Section 25741.

"CPUC" means the California Public Utilities Commission or its regulatory successor.



"Green Attributes" means any and all credits, benefits, emissions reductions, offsets, and allowances, howsoever entitled, attributable to the generation from the Project, and its avoided emission of pollutants. Green Attributes include but are not limited to RECs, as well as: (1) any avoided emission of pollutants to the air, soil or water such as sulfur oxides (SOx), nitrogen oxides (NOx), carbon monoxide (CO) and other pollutants; (2) any avoided emissions of carbon dioxide (CO2), methane (CH4), nitrous oxide, hydrofluorocarbons, perfluorocarbons, sulfur hexafluoride and other greenhouse gases (GHGs) that have been determined by the United Nations Intergovernmental Panel on Climate Change, or otherwise by law, to contribute to the actual or potential threat of altering the Earth's climate by trapping heat in the atmosphere;<sup>1</sup> (3) the reporting rights to these avoided emissions, such as Green Tag Reporting Rights. Green Tag Reporting Rights are the right of a Green Tag Buyer to report the ownership of accumulated Green Tags in compliance with federal or state law, if applicable, and to a federal or state agency or any other party at the Green Tag Buyer's discretion, and include without limitation those Green Tag Reporting Rights accruing under Section 1605(b) of The Energy Policy Act of 1992 and any present or future federal, state, or local law, regulation or bill, and international or foreign emissions trading program. Green Tags are accumulated on a MWh basis and one Green Tag represents the Green Attributes associated with one (1) MWh of Energy. Green Attributes do not include (i) any energy, capacity, reliability or other power attributes from the Project, (ii) production tax credits associated with the construction or operation of the Project and other financial incentives in the form of credits, reductions, or allowances associated with the Project that are applicable to a state or federal income taxation obligation, (iii) fuel-related subsidies or "tipping fees" that may be paid to Seller to accept certain fuels, or local subsidies received by the generator for the destruction of particular preexisting pollutants or the promotion of local environmental benefits, or (iv) emission reduction credits encumbered or used by the Project for compliance with local, state, or federal operating and/or air quality permits. If the Project is a biomass or biogas facility and Seller receives any tradable Green Attributes based on the greenhouse gas reduction benefits or other emission offsets attributed to its fuel usage, it shall provide Buyer with sufficient Green Attributes to ensure that there are zero net emissions associated with the production of electricity from the Project. [STC 2, RECs and Green Attributes, Non-Modifiable.]

"Governmental Authority" means any international, national, federal, provincial, state, municipal, county, regional or local government, administrative, judicial or regulatory entity operating under any Applicable Laws and includes any department, commission, bureau, board, administrative agency or regulatory body of any government.

"HE" means the hour ending.

"PPT" means Pacific prevailing time.

"REC" or "Renewable Energy Credit" means the right to claim Green Attributes attributable to the generation of electric energy from renewable energy resources. RECs are measured in one megawatt-hour increments and evidenced by a WREGIS Certificate. A REC includes all Green Attributes arising as a result of the generation of electricity associated with the REC.

"STC" stands for Standard Terms and Conditions of the CPUC relating to purchase and sales of Green Attributes.

"Vintage" means the period in which the REC was or will be created by the Project.

"WECC" means the Western Electricity Coordinating Council or its successor organizations.

"WREGIS" means the Western Renewable Energy Generation Information System.

"WREGIS Certificates" has the same meaning as "Certificate" as defined by WREGIS in the WREGIS Operating Rules and are designated as eligible for complying with the California Renewables Portfolio Standard.

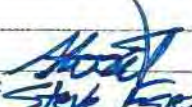
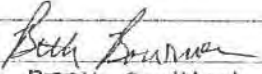
"WREGIS Operating Rules" means those operating rules and requirements adopted by WREGIS as of June 4, 2007, as subsequently amended, supplemented or replaced (in whole or in part) from time to time.

Please confirm that the terms and conditions stated herein accurately reflect the agreement reached by Buyer and Seller by signing and returning by facsimile to Seller at (858) 320-2674.

[SIGNATURE PAGE FOLLOWS]

<sup>1</sup> Avoided emissions may or may not have any value for GHG compliance purposes. Although avoided emissions are included in the list of Green Attributes, this inclusion does not create any right to use those avoided emissions to comply with any GHG regulatory program.

IN WITNESS WHEREOF, the Parties have signed this Confirmation effective as of the Effective Date.

The Stockton Port District		Shell Energy North America (US), L.P.	
By:		By:	
Name:	Steve Escher	Name:	BETH BOWMAN
Title:	Deputy Port Director	Title:	Sr. Vm. President



<b>The Stockton Port District</b>	<b>Shell Energy North America (US), L.P.</b>
Contract ID:	Contract ID:
Deal Maker: Juan Villanueva	Deal Maker: Robert Pierce
Phone: 209-946-0246	Phone: 509-688-6063
Fax: 209-464-7244	Fax: 858-320-2674

**CONFIRMATION AGREEMENT**  
**Green Attributes (RECs Only – Bucket 3) – WSPP Agreement**

This Confirmation Agreement ("Confirmation" or "Agreement") is entered into December 21, 2012 ("Effective Date") by and between The Stockton Port District ("Stockton" or "Buyer") and Shell Energy North America (US), L.P. ("Shell Energy" or "Seller"); each individually a "Party" and collectively the "Parties", regarding the purchase and sale of products under the terms and conditions below.

**Master Agreement:** EEI Master Power Purchase and Sale Agreement between the Parties dated October 13, 2010 (the "EEI Master Agreement").

**Transaction:** Buyer is buying Renewable Energy Credits ("RECs") from Seller and Buyer shall take title to such during the Delivery Term herein.

**Project:** The RECs sold by Seller to Buyer are produced by Phase III of the Nine Canyon Wind Project that: (i) is certified with the CEC and qualifies as an Eligible Renewable Resource, as such term is defined in Public Utilities Code Section 399.12 or Section 399.16, (CEC number 60803A), (ii) is located near Finley, Washington, (iii) is a 32.2 MW phase of a the larger Nine Canyon wind facility, and (iv) (a) Phase III commenced commercial operation after January 1, 2005, and (b) is interconnected to the BPA transmission system (the "Project").

**Product:** Green Attributes sourced by the Project, as such Product is described in the Code Pub. Util. Code §399.16(b)(3) and as further defined in CPUC D.11-12-052 (Decision Implementing RPS Portfolio Content Categories).

**Seller:** Shell Energy

**Buyer:** Stockton

**Delivery Term:** The Delivery Term of this Transaction shall commence upon the Effective Date and shall continue through December 31, 2014, and until the Parties have fulfilled all obligations with respect to the Transaction, including the transfer of all RECs via WREGIS Certificates to Buyer and the payment of amounts due pursuant to this Confirmation.

**Vintage:** Calendar year 2014.

**Contract Quantity:** 388 RECs

**RECs**

**Contract Price:** \$1.75 per REC.

**Delivery Point:** Title to RECs shall transfer from Seller to Buyer through WREGIS.

**Transfer Date:** On or before December 31, 2014.

*Change in Law:*

If any action by the CPUC or any state, federal or local Governmental Authority or agency occurs after the Effective Date hereof and the result of such action is that Buyer is precluded from using the Product purchased herein to satisfy the California Renewables Portfolio Standard requirement ("Change in Law") Buyer may elect to terminate this Confirmation by delivering to the other Party written notice of such termination not later than 60 days following the effective date of the Change in Law. In the event Buyer does not exercise its right to terminate this Confirmation due to a Change in Law within such 60 day period, Buyer may not thereafter terminate this Confirmation due to the Change in Law. A termination of this Confirmation due to a Change in Law shall be effective upon the delivery of written notice therefore and thereafter:

- (i) all obligations to Deliver RECs not then already transferred by Seller to Buyer shall be terminated and Seller shall have no obligation to make any further deliveries, and Buyer shall have no obligation to accept any transfer, of Green Attributes;
- (ii) If Buyer refuses to accept Delivery of the RECs due to the Change in Law, neither Party shall be liable for damages;
- (iii) neither Party shall have any further obligations to the other hereunder with respect to RECs not Delivered due to a proper termination in accordance with the foregoing.

*Title Transfer and Indemnity:*

Sections 10.3 and 10.4 of the EEI Master Agreement shall apply only to Power Products. With respect to RECs, title transfers from Seller to Buyer upon the completion of both Delivery and payment for the RECs.

Mutual Representations and Warranties. During the Delivery Term, each Party represents and warrants to the other that: (i) it is an "eligible commercial entity" and an "eligible contract participant" within the meaning of United States Commodity Exchange Act §§1a(11) and 1a(12), respectively, and this Transaction has been subject to individual negotiation by the Parties.

**SPECIAL PROVISIONS:**

(1) Green Attributes: Seller hereby provides and conveys all Green Attributes associated with all electricity generation from the Project to Buyer as part of the Product being delivered. Seller represents and warrants that Seller holds the rights to all Green Attributes from the Project, and Seller agrees to convey and hereby conveys all such Green Attributes to Buyer as included in the delivery of the Product from the Project. [STC 2 - RECs and Green Attributes, Non-Modifiable. D.08-04-009 (3.2)].

(2) Transfer of Renewable Energy Credits: Seller and, if applicable, its successors, represents and warrants that throughout the Delivery Term of this Agreement the renewable energy credits transferred to Buyer conform to the definition and attributes required for compliance with the California Renewables Portfolio Standard, as set forth in California Public Utilities Commission Decision 08-08-028, and as may be modified by subsequent decision of the California Public Utilities Commission or by subsequent legislation. To the extent a change in law occurs after execution of this Agreement that causes this representation and warranty to be materially false or misleading, it shall not be an Event of Default if Seller has used commercially reasonable efforts to comply with such change in law. [STC REC-1, Non-modifiable D.11-01-025].

(3) Tracking of RECs in WREGIS: Seller warrants that all necessary steps to allow the Renewable Energy Credits transferred to Buyer to be tracked in the Western Renewable Energy Generation Information System will be taken prior to the first delivery under the contract. [STC REC-2, Non-modifiable. D.11-01-025].

(4) RECs Settlement: During the Delivery Term, each Party, at its own cost and expense, shall maintain its registration with WREGIS. Each Party shall, at its sole expense, use WREGIS as required pursuant to the WREGIS Operating Rules to deliver and convey (in the case of Seller) and receive/accept (in the case of Buyer) RECs in accordance with WREGIS reporting protocols and WREGIS Operating Rules. Seller shall, at its sole cost and expense, take all commercially reasonable actions and execute documents or instruments necessary to ensure that the RECs to be sold hereunder from the Project can be transferred to Buyer utilizing WREGIS and that the transfer of WREGIS Certificates shall represent the RECs attributable to or associated with such Green Attributes from the Project. Buyer warrants that all necessary steps to allow the RECs transferred from Seller to be tracked in WREGIS will be taken prior to the first delivery under the contract.



(5) Eligibility: Seller, and, if applicable, its successors, represents and warrants that throughout the Delivery Term of this Agreement that: (i) the Project qualifies and is certified by the CEC as an Eligible Renewable Energy Resource ("ERR") as such term is defined in Public Utilities Code Section 399.12 or Section 399.16; and (ii) the Project's output delivered to Buyer qualifies under the requirements of the California Renewables Portfolio Standard. To the extent a change in law occurs after execution of this Agreement that causes this representation and warranty to be materially false or misleading, it shall not be an Event of Default if Seller has used commercially reasonable efforts to comply with such change in law. [STC 6, Non-Modifiable D.08-04-009];

(6) Additional Representations and Warranties of Seller.

(i) Seller has not sold the Product or any Green Attributes of the Product to be transferred to Buyer to any other person or entity;

(ii) each REC meets the specifications set forth herein;

(iii) All rights, title and interest in and to the Product are transferred free and clear of any taxes or security interests except for any right or interest by any entity claiming through Buyer.

(7) Payments for RECs. Seller shall invoice Purchaser for the RECs after the WREGIS Certificates for such RECS have been properly transferred to Purchaser's WREGIS account in accordance with the rules and regulations of WREGIS. Purchaser shall pay the RECs Contract Price for the WREGIS Certificates transferred to Purchaser's WREGIS Account not later than ten (10) Business Days following receipt of Seller's invoice subsequent to the transfer of the RECs.

(8) Regulatory: The Parties intend the rates, terms and conditions of service specified in this Confirmation to remain fixed throughout the Delivery Term of this Confirmation regardless of any changes in underlying costs that would justify a change in rates under traditional cost of service principles. Neither Party shall unilaterally seek to obtain from FERC any relief changing the rate, charge, classification, or other term or condition of this Confirmation, notwithstanding any subsequent changes in Applicable Law, its costs of service or market conditions that may occur.

(9) Governing Law. For purposes of this Confirmation Article 10.6, Governing Law, of the EEI Master Agreement is amended by inserting at the end thereof the following: "Notwithstanding the foregoing, this Agreement and the rights and duties of the Parties hereunder shall be governed by and construed, enforced and performed in accordance with the laws of the state of California, without regard to principles of conflicts of law. To the extent enforceable at such time, each Party waives its respective right to any jury trial with respect to any litigation arising under or in connection with this Agreement. [STC 17, Applicable Law, Non-Modifiable D.08-04-009].

(10) Liquidated Damages. For purposes of this Confirmation only, Article 4 of the EEI Master Agreement is amended by inserting at the end thereof the following: In lieu of and not in addition to the damages provided in this Article 4, in the event that Seller's failure to deliver is due to DSO 216, Buyer's damages shall be limited to the lower of Buyer's actual loss or two times the Contract Price for the affected Contract Quantity.

(11) RPS Confidentiality. Either Party shall be permitted to disclose the following terms with respect to such Transaction: Party names, resource type, delivery term, project location, and project capacity.

**DEFINITIONS / INTERPRETATIONS**: For purposes of this Confirmation, the following definitions and rules of interpretations shall apply:

"Applicable Law" means all legally binding constitutions, treaties, statutes, laws, ordinances, rules, regulations, orders, interpretations, permits, judgments, decrees, injunctions, writs and orders of any Governmental Authority or arbitrator that apply to the Applicable Program or any one or both of the Parties or the terms hereof.

"California Program", "California Renewables Portfolio Standard" or "California RPS" means the renewable energy program and policies, codified in California Public Utilities Code Sections 399.11 through 399.20 and California Public Resources Code Sections 25740 through 25751, as such provisions are amended or supplemented from time to time.

"CEC" means the California Energy Commission or its regulatory successor.

"CEC Certification" means, if applicable, the certification of the renewable resource by the California Energy Commission as specified in the Renewables Portfolio Standard Eligibility Guidebook as eligible toward meeting the state's Renewables Portfolio Standard pursuant to Public Utilities Code Sections 399.11, et seq. and Public Resources Code Section 25741.

"CPUC" means the California Public Utilities Commission or its regulatory successor.

"Green Attributes" means any and all credits, benefits, emissions reductions, offsets, and allowances, howsoever entitled, attributable to the generation from the Project, and its avoided emission of pollutants. Green Attributes include but are not limited to RECs, as well as: (1) any avoided emission of pollutants to the air, soil or water such as sulfur oxides (SOx), nitrogen oxides (NOx), carbon monoxide (CO) and other pollutants; (2) any avoided emissions of carbon dioxide (CO2), methane (CH4), nitrous oxide, hydrofluorocarbons, perfluorocarbons, sulfur hexafluoride and other greenhouse gases (GHGs) that have been determined by the United Nations Intergovernmental Panel on Climate Change, or otherwise by law, to contribute to the actual or potential threat of altering the Earth's climate by trapping heat in the atmosphere;<sup>1</sup> (3) the reporting rights to these avoided emissions, such as Green Tag Reporting Rights. Green Tag Reporting Rights are the right of a Green Tag Buyer to report the ownership of accumulated Green Tags in compliance with federal or state law, if applicable, and to a federal or state agency or any other party at the Green Tag Buyer's discretion, and include without limitation those Green Tag Reporting Rights accruing under Section 1605(b) of The Energy Policy Act of 1992 and any present or future federal, state, or local law, regulation or bill, and international or foreign emissions trading program. Green Tags are accumulated on a MWh basis and one Green Tag represents the Green Attributes associated with one (1) MWh of Energy. Green Attributes do not include (i) any energy, capacity, reliability or other power attributes from the Project, (ii) production tax credits associated with the construction or operation of the Project and other financial incentives in the form of credits, reductions, or allowances associated with the Project that are applicable to a state or federal income taxation obligation, (iii) fuel-related subsidies or "tipping fees" that may be paid to Seller to accept certain fuels, or local subsidies received by the generator for the destruction of particular preexisting pollutants or the promotion of local environmental benefits, or (iv) emission reduction credits encumbered or used by the Project for compliance with local, state, or federal operating and/or air quality permits. If the Project is a biomass or biogas facility and Seller receives any tradable Green Attributes based on the greenhouse gas reduction benefits or other emission offsets attributed to its fuel usage, it shall provide Buyer with sufficient Green Attributes to ensure that there are zero net emissions associated with the production of electricity from the Project. [STC 2, RECs and Green Attributes, Non-Modifiable.]

"Governmental Authority" means any international, national, federal, provincial, state, municipal, county, regional or local government, administrative, judicial or regulatory entity operating under any Applicable Laws and includes any department, commission, bureau, board, administrative agency or regulatory body of any government.

"HE" means the hour ending.

"PPT" means Pacific prevailing time.

"REC" or "Renewable Energy Credit" means the right to claim Green Attributes attributable to the generation of electric energy from renewable energy resources. RECs are measured in one megawatt-hour increments and evidenced by a WREGIS Certificate. A REC includes all Green Attributes arising as a result of the generation of electricity associated with the REC.

"STC" stands for Standard Terms and Conditions of the CPUC relating to purchase and sales of Green Attributes.

"Vintage" means the period in which the REC was or will be created by the Project.

"WECC" means the Western Electricity Coordinating Council or its successor organizations.

"WREGIS" means the Western Renewable Energy Generation Information System.

"WREGIS Certificates" has the same meaning as "Certificate" as defined by WREGIS in the WREGIS Operating Rules and are designated as eligible for complying with the California Renewables Portfolio Standard.


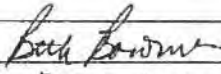
"WREGIS Operating Rules" means those operating rules and requirements adopted by WREGIS as of June 4, 2007, as subsequently amended, supplemented or replaced (in whole or in part) from time to time.

Please confirm that the terms and conditions stated herein accurately reflect the agreement reached by Buyer and Seller by signing and returning by facsimile to Seller at (858) 320-2674.

[SIGNATURE PAGE FOLLOWS]

<sup>1</sup> Avoided emissions may or may not have any value for GHG compliance purposes. Although avoided emissions are included in the list of Green Attributes, this inclusion does not create any right to use those avoided emissions to comply with any GHG regulatory program.

IN WITNESS WHEREOF, the Parties have signed this Confirmation effective as of the Effective Date.

The Stockton Port District	Shell Energy North America (US), L.P.
By: 	By: 
Name: <u>Steve Eschke</u>	Name: <u>BETH BOWMAN</u>
Title: <u>Deputy Port Director</u>	Title: <u>Sr. Vice President</u>

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## Introduction

The Stockton Port District owns and operated an electric utility located on Rough & Ready Island at Stockton, California. The utility has over 50 commercial and industrial customers with no residential customers having a coincident demand of approximately 4 MW and consuming over 14,400 MWhs. The distribution system is interconnected to the PG&E 60 kV system at Rough & Ready Island utilizing the Port's 60 kV to 12 kV distribution substation. Because the Port's load is limited to commercial and industrial customers, who are price conscious, the Port has been particularly sensitive to the cost of bulk power.

## Annual Public Goods Funds Collected and Expended

The Port of Stockton collects the Public Goods Charge through its retail billing. The following Table 1 indicates the amounts collected and expended during 2011, 2012, and 2013.

<b>Table 1 - Public Goods Funds</b>			
	<b>2011</b>	<b>2012</b>	<b>2013</b>
Annual Funds Collected	\$43,860	\$56,741	\$64,963
Expenditures	\$50,085	\$22,759	\$23,232
Results	See Narrative below	See Narrative below	See Narrative below

During 2010 \$6,183 was expended on a photovoltaic project investigation.

## 2011 Activities and Results

In 2011 the Port entered into an agreement with Solar Power Partners Inc. of Mill Valley, California to study the construction and interconnection of a 17 MW photo voltaic power plant located on Rough & Ready Island with a commercial operation date of December 31, 2011. It was the Port's intent to consider entering into a Power Purchase Agreement for a portion of the output necessary to meet its RPS requirements. The Port proceeded with the work necessary to first obtain a System Impact Study from PG&E which was accomplished on April 20, 2011. Just prior to that date the Port was advised that it would also have to have the California Independent System Operator (CAISO) perform a Cluster Study to understand the project impact on the CAISO Controlled Grid. PG&E was the party that would interface on behalf of the Port with the CAISO on the cluster study. In April we were advised that the CAISO was re-evaluating their handling of the large number of RPS projects and that the March window for inclusion in the 2011 Cluster Study had closed and Rough & Ready Solar would not be included. Subsequently we received an April 15, 2011 memo from Solar Power Partners that the cost of power from the project was estimated to be \$140/MWh. In July the Port was also advised that the results of a PG&E 50 MW Solar PPA Solicitation resulted in the winning proposal to be less than \$108/MWh. Although Solar Power Partners decided not to go forward with the project, the Port did expend

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significant funds on the development of the project. Some of that information is directly applicable to the current West Complex Solar Project. Although the project would have benefited the Port in meeting its RPS requirements the price of power would have been prohibitive for the Port at a time when the California bulk power market was less than \$65/MWh including CAISO services.

In summary the Port made a good faith effort to comply with the RPS Standard, however, the economics of the Rough & Ready Solar Project was not favorable to be included in the Port's power supply portfolio.

### **2012 Activities and Results**

During 2012 the Port formalized its Energy Efficiency Plan and had a manual prepared and associated documentation. The manual is based on the "2011 Statewide Customized Offering Procedures Manual for Business" administered by PG&E, SCE, and SDG&E. Previous to the preparation of a manual the Port had a less formal procedure for incentivizing energy efficiency measures. Although more flexible, the informal approach did not have the response intended. The manual was completed and offered to its tenants in late 2012.

The Port also pursued an opportunity for a developer sponsored photovoltaic project proposed by major developer. A 2 MW PV plant was proposed to the Port including a 20 year Power Purchase Agreement starting in 2013 at \$105/MWh with an annual 3% escalator on the rate. The turnkey price for the project was approximately \$6 million. At the same time, the Port's price of bulk electricity and services under its supply contract at the end of 2012 was slightly less than \$63/MWh.

During 2012 the Port completed its plan for compliance with the California RPS Standard. The plan was reviewed with the Port's Rough & Ready Island tenants in a public workshop, took comments and finalized its plan. The plan called for the development of an onsite 1.5 MW photovoltaic generating plant. In the process of developing the plan it was determined that the combination of development and construction of the West Complex Solar Plant and the purchase of Renewable Energy Certificates within the limitations of the Standard would be the best approach to meeting the Renewable Portfolio Standard while minimizing the economic risks associated with committing to a developer sponsored project or the Port's own Rough & Ready Solar Project in 2013.

### **2012 Schedule Impacts**

The initial schedule for the West Complex Solar Project was developed in 2012 with 12 milestones identified and tentative dates for completion. The times estimated for each task reflected moving forward with the PV project as the major objective. (See Table 2) The schedule provided time to adjust project design but did not recognize time required to investigate other alternative RPS compliant projects such as biomass.

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<b>Table 2 - West Complex Solar Plant Schedule</b>					
Task	Time	Scheduled Start Task	Actual Start	Scheduled Complete	Actual Complete
1. Prepare single line and technical sup.	2 Weeks	Nov. 15, 2012	Nov. 15, 2012	Dec. 1, 2012	<b>Dec. 15, 2012</b>
2. Notice PG&E and CAISO	1 day	Dec. 2, 2012	Jan. 31, 2012	Dec. 2, 2012	<b>Jan. 3, 2013</b>
3. Commence PG&E Studies	6 Months	Dec. 2, 2012	August 9, 2013	May 31, 2013	<b>Dec. 31, 2013*</b>
4. Prepare 2013/14 budget item	3 Months	Dec. 1, 2012	Jan. 1, 2013	March 1, 2013	<b>May 6, 2013</b>
5. Obtain 2013/14 budget approval	4 Months	Mar. 1, 2013	Mar. 1, 2013	June 30, 2013	<b>June 30, 2013</b>
6. Start Arrangements for Financing	7 Months	Mar. 1, 2013		Sept. 30, 2013	
7. Start Bid Documents Preparation	3 Months	July 1, 2013		Sept. 30, 2013	
8. Release Bid and Start RFP process	3 Months	Oct. 1, 2013		Dec. 31, 2013	
9. Award Bid		Jan. 2, 2014			
10. Start Procurement and Construction	10 Months	Jan. 2, 2014		Oct. 31, 2014	
11. Testing	1 Month	Nov. 1, 2014		Nov. 30, 2014	
12. Commercial Operation Date				Dec. 31, 2014	

\*Estimated

The project schedule called for award of bids by January 2, 2014 with approximately a 12 month construction time. However, the schedule did not anticipate the time required to investigate additional project considerations with respect to size, use of battery storage and alternatives to the PV plant.

Because the historic proposed cost of developer projects and the Port's West Complex Solar Project have exceeded the current market cost of electricity the Port has taken a cautious approach to committing to a project. Power plant prices have been moving closer to bulk power market prices and the Port has continued to develop its own project. Our purchase of RECs for 2012 reflects the 25% limitation of the 20% of retail sales renewable requirement.

### **2013 Activities and Results**

During the first half of 2013 the Port continued its development of the West Complex Solar Project. By July PG&E and the Port staff had agreed on a course of study of the possible impacts by the PV plant to local transmission system. The Port entered into a System Impact Study Plan with PG&E in July and have reviewed the results of that plan. At the same time it decided to consider increasing the size of the plan to 2 MW.

In addition to the West Complex Solar Plant development the Port is continuing its review of other developer proposed projects. The most recent is a biomass plant proposed by a potential tenant for Rough & Ready Island. Details of this plant have not been provided.

The Port's cost of bulk electricity and services under its supply contract for 2013 was slightly over \$75/MWh.

In early 2014 the Port, as part of its due diligence for the PV project surveyed the market to determine the cost of other alternatives to the PV project. In March it determined that there were significant savings to be obtained through the use of Category 1 Renewable Energy Certificates (RECs) in

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conjunction with the limited Category 3 RECs. At this time the Port has suspended the development of the West Complex Photovoltaic Project in favor of the purchase of RECs. It has obtained an offer from the Port's current power supplier to provide Category 1 and 3 RECs to meet its RPS requirements through 2017. The Category 1 and 3 RECs are tied to existing, certified power plants consistent with Public Utilities Code Section 399.16 (b)(1)(A) and 399.16 (b)(3). The Confirmations for these purchases will be completed in July or early August 2014.

This year is the first full year for the implementation of the Port's formal Energy Efficiency Program. In FY2012/13 and in FY2013/14 the Port allocated \$75,000 for potential rebates under the Energy Efficiency Program. During 2013 there have been a limited number of inquiries and in January 2014 a large customer submitted an application for a lighting retrofit project that would result in a savings of over 55,000 kWhs. That project is currently in the installation process and the Port is waiting on completion. For the coming year the Port has indicated that a review of potential barriers involving paper work complexity and flow. These areas will be reviewed with the objective of stimulating additional participation.

**2013 Schedule Impacts**

During the first half of 2013 the Port was approached by a biomass developer that was interested in constructing a large biomass plant and selling some of the power to the Port. Because the project proponent would construct the plant and sell power to the Port under a Power Purchase Agreement the Port would not have to fund the initial capital construction cost and therefore would provide an advantage. This proposal will be under consideration by the Port as an alternative or fall back to the West Complex Solar Project.

The time to refine the scope of PG&E System Impact Study was not provided for in the initial schedule. Additional time was necessary to adequately define the parameters and arrive at a scope of study that would be satisfactory to the Port and PG&E. The study was completed in January 2014. There were no significant or limiting impacts from the proposed 2 MW West Complex PV Project to the 60 kV system. The PV project is currently suspended in favor of the purchase of RECs from certified generation through 2017.

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During RPS Compliance Period 1 (2011-2013), the Port of Stockton (Port) proceeded diligently to develop local renewable energy projects that would provide the Port with sufficient state certifiable renewable energy to meet the Port's RPS goals.

While attempting to develop the local renewable projects, the Port undertook reasonable measures under its control and consistent with its obligations under local, state and federal laws and regulations to develop and construct the necessary facilities needed to generate and transmit the renewable electricity to the Port's 3 MW retail electric load. However, the local projects experienced numerous study delays beyond the control of the Port that ultimately led to the cancellation of the construction of a local solar project and the Port's decision to purchase the necessary Renewable Energy Credits (RECs) from the California market. During the same time period, the Port continued to review alternative renewable projects

The following narrative provides a brief chronological summary and support documentation of the actions taken by the Port demonstrating reasonable progress toward meeting its 2011-2013 RPS procurement goal.

## **2010**

On May 3, 2010 the Port's energy consultant provided a brief review of a new Stockton Energy Center LLC (SEC) proposal for the construction of a 20MW solar rooftop system at an estimated price of \$3.75/watt. The recommendation was to further explore the offer from SEC. **(Document – 100503\_April 26 SSI Proposal Comments.docx)**

On June 29, 2010 Stockton Energy Center LLC (SEC) entered into a License Agreement with the Stockton Port District in which SEC would have access to the roofs of 37 warehouses on Rough & Ready Island for the purpose of installing of 20MW of individual solar generation systems on each of the roofs. **(Document – 101122\_Executed SIFS Agreement w RRS 11-22-10.pdf)**

On October 22, 2010 the Port discussed the 20MW Rough & Ready Solar Project's interconnection with the Port facilities and service to the CAISO grid through PG&E's transmission system. Further, the interconnection process would require a System Impact and Facility Study to be performed by PG&E. **(Document – 101022\_Letter to Zahner on Connecting RRS.pdf)**

On December 6, 2010, pursuant to the terms of their interconnection agreement with PG&E, the Port notified PG&E of the potential interconnection of a 20MW solar project that may create a "significant operational change". The project startup was anticipated to be late 2011. **(Document – 101206\_Notice to PG&E.pdf)**

On December 20, 2010, the Port sent a completed small generator application form to PG&E for the Rough & Ready solar generation project requesting a net metering option. **(Document – 101220\_RPS Small Gen Application.pdf)**



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## **2011**

On February 4, 2011 PG&E issued an initial System Impact Study Plan for the Rough & Ready solar project. The SIS schedule called for PG&E to receive payment of study fees by mid February, 2011 and have a final report by +30 business days **(Document – 110207\_SPP Rough Ready Solar\_Stockton Port District\_SIS Study Plan\_FINAL.doc)**

On February 18, 2011 the Port sent PG&E a check for \$25,000 to begin the System Impact Study Plan for the Rough & Ready solar project. The SIS schedule called for PG&E to receive payment of study fees by mid February, 2011 and have a final report by +30 business days **(Document – 110217\_SIS Signed.pdf)**

On March 29, 2011 in an email PG&E tried to explain to the Port that the CAISO Tariff did not allow for the inclusion of the Port's proposed joint solar project because the project would not be direct connected to the CAISO grid and the Tariff did not address indirectly connected projects. Thus, the proposed solar project would receive a lower payment for any energy produced. **(Document – 110329\_PG&E Identifies Deliverability Issue.pdf)**

On March 31, 2011 in an email CAISO explains that the Tariff does not allow for the Port's proposed project to be included in the Cluster study until the CAISO tariff gets modified to incorporate non-participating transmission owners, like the Port. **(Document – 110331\_CAISO Identifies Deliverability Issues.pdf)**

On March 31, 2011 the Port staff met to hear about transmission cluster study and CAISO tariff issues. The project connected to the Port and then interconnected through the Port to PG&E does not fit in the CAISO tariff without changes that cannot be counted on to occur. The solar project is at risk for not being incorporated in the upcoming cluster study and may not be useable by PG&E. **(Document – 110421\_March 31 Minutes FINAL.doc)**

On April 15, 2011 the project developer for the 20MW Rough & Ready Solar Project sent the Port a letter stating that the solar rooftop project has not been successful in getting a Power Purchase Agreement with PG&E for the bulk of the output energy from the project. Therefore, would the Port entertain a 10-15MW ground based alternative. The Port's entire retail load was about 3MW at that time. **(Document – 110415\_RPS Memo to POS 041511.docx)**

On June 15, 2011 the Port completed a generation interconnection request for the developer Next Energy Concepts, LLC, a successor to Rough & Ready Solar. The proposed solar project was for a 25MW ground based system that would interconnect to the Port's 25MWV substation or Western's 230kV transmission system to be commercial by November 2013. **(Document – 110615\_RIS POS Generator Interconnection Application 061511.doc)**

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On June 23, 2011 email from Next Energy Concepts that notes all of the steps that the Rough & Ready Solar project have completed only to find that they need a Deliverability Assessment before they can participate in CAISO cluster study. **(Document – 110623\_Cluster Study Delay by CAISO.pdf)**

On July 24, 2011 email from Zahner discusses rezoning issues that will need to be addressed for the Port's Roberts Island parcels. The note further discusses the price of PG&E solar projects in the \$108/MWhr range. **(Document – 110724\_Roberts Island Solar and Price of PV.pdf)**

**On August 1, 2011 email from PG&E** noted that the Port's solar project had been put on HOLD while PG&E worked on CAISO Cluster study not including the Port's project. **(Document – 110801\_RRS Put on Hold by PG&E.pdf)**

On November 4, 2011 the Port and Zahner received a report from the CAISO regarding Cluster study deliverability concerns for generator interconnections. **(Document – 111104\_CAISO Deliverability Issues and Timing.pdf)**

## **2012**

On January 10, 2012, the Port received a memo from its energy consultant recommending that the Port expend funds to construct a 1.5MW PV generation station at the Port in conjunction with the purchase of a limited amount of RECs from the market with the emphasis on local construction of a renewable resource. The combination of construction expense and purchase of REC were undertaken as an approach to meeting the RPS requirements. The estimated commercial operations date of a local project was Dec. 31, 2014 **(Document – 121109\_PV Generation Station Preliminary Schedule.pdf)**

On March 30, 2012, the Port received a design/construct proposal from Next Energy Concepts for the development of a 1MW solar rooftop system located on Port facilities, owned by the Port. No costs or timelines were proposed in the letter. **(Document – 120330\_WCS Proposal 03212.docx)**

On April 19, 2012, the Port received a memo from its energy consultant discussing RPS cost limitations and recommending that the Port develop a compliance plan for the 33% **(Document – 120419\_Summary of CEC Webinar on propose RPS Regs.docx)**

**On April 27, 2012, the Port sent a letter to the CEC** discussion the impacts RPS compliance can have on micro-utilities, like the Port. The letter provides a summary of the Port's RPS development efforts and recommends that the CEC allow small POUs to be able to rely fully on the REC market to meet its RPS targets up to the 33% **(Document – 120426\_Lorraine Gonzalez Comments on Pre0Rule Making Regs.pdf)**

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On May 31, 2012, the Port received a design/construct proposal from HCS Engineering of Stockton for the development of a 500kW solar rooftop system located on Port facilities, owned by the Port. No costs or timelines were proposed in the letter. **(Document – 500KW Solar PV Electrical System Installation 5-31-12 DRAFT.doc)**

On July 17, 2012, the Port provided cost impact comments to the CEC regarding the implementation costs associated with the RPS regulations. Emphasis was made on the upward pressure on rates of upward of 8.25% on the revenue requirement due to the higher costs of developing renewable resources. **(Document – 120717\_Attachment B – Port of Stockton Cost Impact Comments.xlsx)**

On August 29, 2012, the Port received a Power Purchase proposal for the output from a 2MW solar system at a rate of \$0.110 /kWh from MAGNAN renewables. **(Document – 120829\_Mangan Est of PV Project Cost.pdf)**

On November 7, 2012, the Port received an engineer/design/construct proposal form HCS Engineering of Stockton for the design of a 1.5MW ground based solar project located at the Port. The estimated cost of the proposed project was about \$1.65 million. **(Document – 1500KW Solar PV Electrical System Installation 10-29-12 W-CK Comments.doc)**

On November 20, 2012, the Port issued its revised RPS Procurement Plan. The Plan included narrative about the Port's prior solar project development effort, cost analysis for a new 1.5-2MW local ground based solar project, retail rate implications and a project timeline. **(See Document – 2014-01-13\_RPS Procurement Plan.pdf)**

On November 29, 2012, the Port received another engineer/design/construct proposal form HCS Engineering of Stockton for the design of a 1.5MW ground based solar project located at the Port. The estimated cost of the proposed project was about \$1.65 million. **(Document – 1500KW Solar PV Electrical System Installation 10-29-12 W-CK Comments.doc)**

On December 20, 2012, the Port held a public meeting to discuss its revised RPS plan. The attached file is the power point presentation for the meeting. **(Document – 121218\_RPS Procurement Plan.ppt)**

On December 20, 2012, the Port held a public meeting to discuss its revised draft RPS plan for the development of a 1.5MW local solar project. The Port received minimal public comments on the plan. **(Document – 2012-12-20 Notice\_of\_public\_meeting\_RPS\_Procurement.pdf)**

On December 21, 2012, the Port entered into two Confirmation Agreements with Shell to purchase 607 Vintage year 2013 PCC3 RECs and 387 Vintage year 2014 PCC3 RECs. The purchased RECs were to complement the output of the 1.5-2MW local solar project that was still under SIS review by PG&E. **(Document – REC Confirmation Agmt CY 2013 20121221131546685.pdf, REC Confirmation Agmt CY 2014 20121221131215865.pdf)**

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## **2013**

On January 3, 2013, pursuant to the terms of their interconnection agreement with PG&E, the Port notified PG&E of the potential interconnection of a new 1.5MW solar project that may create a “significant operational change”. **(Document – 130103\_Significant Operational Change Notice.pdf)**

On January 4, 2013, the Port sent a notice to the CEC advising them of the public meeting and updated RPS Procurement Plan. **(Document – 130104\_RPS Procurement Plan Advise.pdf)**

On February 12, 2013, the Port received a proposal from HCS Engineering of Stockton for design and construction of the electrical interconnection for a 1.5MW solar project at Port facilities. **(Document – 130212\_pv proposal 1-16-13.docx)**

On February 13, 2013, the Port’s energy consultant recommended that the Port proceed with the PG&E system impact study for a 1.5MW solar project located on Port property using public purpose funding to pay for the study costs. The project was estimated to cost \$1.8 million with commercial operation by early 2015. **(Document – 130214\_PV Gen Funding Memo.pdf)**

On March 6, 2013, the Port’s consultant submitted a memo recommending that the Port register with WREGIS so that the Port can properly retire the PCC3 RECs purchased for Vintage year 2013 and 2014. **(Document – 130306\_WREGIS Registration.pdf)**

On March 7, 2013, the Port signed the WREGIS Account Holder Registration Agreement. **(Document – WREGIS Registration APP.pdf)**

On July 17, 2013, PG&E issued an initial System Impact Study Plan for the new 2MW Rough & Ready Island Solar Project. The new SIS schedule called for PG&E to receive payment of study fees by late July, 2013 with a final report by October, 2013. PG&E issued the final report on January 15, 2014. **(Document – 130809\_System Impact Study\_Signed.pdf)**

On October 22, 2013, PG&E issued a draft System Impact Study Plan for the new 2MW Rough & Ready Island Solar Project. **(Document – Port of Stockton\_Rough & Ready Solar SIS Report\_DRAFT – 2013.10.22.doc)**

In August, 2013, the CEC adopted Enforcement Procedures for the RPS for Local Publically Owned Electric Utilities. CEC-300-2013-002-CFM provided the detailed regulations for POUs to follow relative to RPS compliance reporting. **(Document – 130801\_RPS Adopted RegsCEC-300-2013-002-CFM.pdf)**

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## **2014**

On January 15, 2014, PG&E issued its final System Impact Study Plan for the new 2MW Rough & Ready Island Solar Project. The new SIS report concluded the project would not have significant impacts if it was operated as a NON-export interconnection with PG&E. **(Document – Port of Stockton\_Rough & Ready Solar SIS Report – 2014.01.15.doc, Appendix A – Study Plan.pdf, Appendix B – Contingency Lists.pdf, Appendix C – Power Flow Plots.pdf, Appendix D – Preliminary Protection Requirements R1.pdf)**

On January 31, 2014, the Port's consultant issued a memo to the Port on the various options for proceeding with the construction of the ground based 1.5-2MW solar project. **(Document – 140131\_PV Project Construction Options.pdf)**

On February 6, 2014, the Port received a proposal from RGS Energy for the development of a 1.2MW ground based solar project to be located on Port facilities. **The Report is marked CONFIDENTIAL. (Document – 140207\_PORT PROPOSAL FINAL TO EMAIL.pdf-Not Included)**

On February 7, 2014, the California Solar Statistics report provided documentation of the Cost of Solar systems by size. The information was useful for the Port to evaluate the solar proposals it was receiving. **(Document – Government PGE Projects 2013 to Present (2).pdf)**

In May 2014, the CEC issued a report on the "Estimated Cost of New Renewable and Fossil Generation in California (CEC-200-2014-003-SD). The report provided insight into the importance of tax incentives for the development of renewable project. The Port is a tax-exempt entity and thus the renewable project financials are significantly different than for a taxable entity that can take full advantage of the tax incentives. **(Document – Estimated Cost of Renewable and Fossil Generation in CA CEC-200-2014-003.pdf)**

**On May 5, 2014**, the Port received a due diligence memo from its consultant discussing the going forward development costs of a 1.5MW local solar project compared to purchasing the RECs from the California market. The recommendation was to drop the project and purchase RECs from the market. **(Document – 2014-01-13 RPS\_Procurement\_Plan.pdf)**

**On May 5, 2014**, the Port sent a letter to RGS Energy notifying them that the Port would not be pursuing their 1.2MW solar project. Instead the Port had decided to purchase RECs from the California market. **(Document – 140505\_PV Plant\_REC Letter (2).pdf)**

On June 30, 2014, the Port filed its annual RPS POU report and narratives with the CEC. The report showed the Port's plan to purchase 607 RECs to supplement the small solar project. **(Document – 140630\_CEC-RPS-POU.xlsx, 140630\_RPS POU Narratives.docx)**

On July 29, 2014, the Port filed its WREGIS attestation form for 2013. **(Document – 140729\_Signed Attestation\_WREGIS\_POU RPS.pdf)**

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On September 9, 2014, the Port submitted an amended RPS POU filing clarifying what project the PCC3 RECs came from. **(Document – 140918\_STOCK WREGIS RECs PCC3 2014-07-03\_CORR.xlsx)**

On September 9, 2014, the Port submitted an amended RPS POU filing clarifying what project the PCC3 RECs came from. **(Document – 140918\_STOCK WREGIS RECs PCC3 2014-07-03\_CORR.xlsx)**

On September 26, 2014, the Port complete the purchase of PCC1 and PCC3 RECs for Vintage Years 2014-2017. **(Document – Final(9-23-14)\_Stockton PCC1.pdf, (9-23-14)\_Stockton PCC3.pdf)**

On October 27, 2014, the Port submitted an amended RPS POU filing to the CEC. **(Document – 141027\_CEC-RPS-POU Update.xlsx)**

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## Introduction

The Stockton Port District owns and operated an electric utility located on Rough & Ready Island at Stockton, California. The utility has over 50 commercial and industrial customers with no residential customers having a coincident demand of approximately 4 MW and consuming over 13,000 MWhs. The distribution system is interconnected to the PG&E 60 kV system at Rough & Ready Island utilizing the Port's 60 kV to 12 kV distribution substation. Because the Port's load is limited to commercial and industrial customers, who are price conscious, the Port has been particularly sensitive to the cost of bulk power.

## Annual Public Goods Funds Collected and Expended

The Port of Stockton collects the Public Goods Charge through its retail billing. The following Table 1 indicates the amounts collected and expended during 2011, 2012, and 2013.

<b>Table 1 - Public Goods Funds</b>			
	<b>2011</b>	<b>2012</b>	<b>2013 (E)</b>
Annual Funds Collected	\$43,860	\$56,741	\$64,400
Expenditures	\$60,408	\$23,021	\$30,047
Results	See Narrative below	See Narrative below	See Narrative below

(E) = 2013 is estimated and does not include unbilled engineering and consulting work.

## 2011 Activities and Results

In 2011 the Port entered into an agreement with Solar Power Partners Inc. of Mill Valley, California to study the construction and interconnection of a 17 MW photo voltaic power plant located on Rough & Ready Island with a commercial operation date of December 31, 2011. It was the Port's intent to consider entering into a Power Purchase Agreement for a portion of the output necessary to meet its RPS requirements. The Port proceeded with the work necessary to first obtain a System Impact Study from PG&E which was accomplished on April 20, 2011. Just prior to that date the Port was advised that it would also have to have the California Independent System Operator (CAISO) perform a Cluster Study to understand the project impact on the CAISO Controlled Grid. PG&E was the party that would interface on behalf of the Port with the CAISO on the cluster study. In April we were advised that the CAISO was re-evaluating their handling of the large number of RPS projects and that the March window for inclusion in the 2011 Cluster Study had closed and Rough & Ready Solar would not be included. Subsequently we received an April 15, 2011 memo from Solar Power Partners that the cost of power from the project was estimated to be \$140/MWh. In July the Port was also advised that the results of a PG&E 50 MW Solar PPA Solicitation resulted in the winning proposal to be less than \$108/MWh. Although Solar Power Partners decided not to go forward with the project, the Port did expend significant funds on the development of the project. Some of that information is directly applicable to the current West Complex Solar Project. Although the project would have benefited the Port in meeting

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its RPS requirements the price of power would have been prohibitive for the Port at a time when the California bulk power market was less than \$65/MWh including CAISO services.

In summary the Port made a good faith effort to comply with the RPS Standard, however, the economics of the Rough & Ready Solar Project was not favorable to be included in the Port's power supply portfolio.

### **2012 Activities and Results**

During 2012 the Port formalized its Energy Efficiency and had a manual prepared and associated documentation. The manual is based on the "2011 Statewide Customized Offering Procedures Manual for Business" administered by PG&E, SCE, and SDG&E. Previous to the preparation of a manual the Port had a less formal procedure for incentivizing energy efficiency measures. Although more flexible, the informal approach did not have the response intended. The manual was completed and offered to its tenants in late 2012.

The Port also pursued an opportunity for a developer sponsored photovoltaic project proposed by major developer. A 2 MW PV plant was proposed to the Port including a 20 year Power Purchase Agreement starting in 2013 at \$105/MWh with an annual 3% escalator on the rate. The turnkey price for the project was approximately \$6 million. At the same time, the Port's price of bulk electricity and services under its supply contract at the end of 2012 was slightly less than \$63/MWh.

During 2012 the Port completed its plan for compliance with the California RPS Standard. The plan was reviewed with the Port's Rough & Ready Island tenants in a public workshop, took comments and finalized its plan. The plan called for the development of an onsite 1.5 MW photovoltaic generating plant. In the process of developing the plan it was determined that the combination of development and construction of the West Complex Solar Plant and the purchase of Renewable Energy Certificates within the limitations of the Standard would be the best approach to meeting the Renewable Portfolio Standard while minimizing the economic risks associated with committing to a developer sponsored project or the Port's own Rough & Ready Solar Project in 2013.

### **2012 Schedule Impacts**

The initial schedule for the West Complex Solar Project was developed in 2012 with 12 milestones identified and tentative dates for completion. The times estimated for each task reflected moving forward with the PV project as the major objective. (See Table 2) The schedule provided time to adjust project design but did not recognize time required to investigate other alternative RPS compliant projects such as biomass.



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<b>Table 2 - West Complex Solar Plant Schedule</b>					
Task	Time	Scheduled Start Task	Actual Start	Scheduled Complete	Actual Complete
1. Prepare single line and technical sup.	2 Weeks	Nov. 15, 2012	Nov. 15, 2012	Dec. 1, 2012	<b>Dec. 15, 2012</b>
2. Notice PG&E and CAISO	1 day	Dec. 2, 2012	Jan. 31, 2012	Dec. 2, 2012	<b>Jan. 3, 2013</b>
3. Commence PG&E Studies	6 Months	Dec. 2, 2012	August 9, 2013	May 31, 2013	<b>Dec. 31, 2013*</b>
4. Prepare 2013/14 budget item	3 Months	Dec. 1, 2012	Jan. 1, 2013	March 1, 2013	<b>May 6, 2013</b>
5. Obtain 2013/14 budget approval	4 Months	Mar. 1, 2013	Mar. 1, 2013	June 30, 2013	<b>June 30, 2013</b>
6. Start Arrangements for Financing	7 Months	Mar. 1, 2013		Sept. 30, 2013	
7. Start Bid Documents Preparation	3 Months	July 1, 2013		Sept. 30, 2013	
8. Release Bid and Start RFP process	3 Months	Oct. 1, 2013		Dec. 31, 2013	
9. Award Bid		Jan. 2, 2014			
10. Start Procurement and Construction	10 Months	Jan. 2, 2014		Oct. 31, 2014	
11. Testing	1 Month	Nov. 1, 2014		Nov. 30, 2014	
12. Commercial Operation Date				Dec. 31, 2014	

\*Estimated

The project schedule called for award of bids by January 2, 2014 with approximately a 12 month construction time. However, the schedule did not anticipate the time required to investigate additional project considerations with respect to size, use of battery storage and alternatives to the PV plant.

Because the historic proposed cost of developer projects and the Port's West Complex Solar Project have exceeded the current market cost of electricity the Port has taken a cautious approach to committing to a project. Power plant prices have been moving closer to bulk power market prices and the Port has continued to develop its own project. Our purchase of RECs for 2012 reflects the 25% limitation of the 20% of retail sales renewable requirement.

### **2013 Activities and Results (First 10 Months)**

During the first half of 2013 the Port continued its development of the West Complex Solar Project. By July PG&E and the Port staff had agreed on a course of study of the possible impacts by the PV plant to local transmission system. The Port entered into a System Impact Study Plan with PG&E in July and is reviewing the results of that plan this November. At the same time it decided to consider increasing the size of the plan to 2 MW.

In addition to the West Complex Solar Plant development the Port is continuing its review of other developer proposed projects. The most recent is a biomass plant proposed by a potential tenant for Rough & Ready Island. Details of this plant have not been provided.

The Port's cost of bulk electricity and services under its supply contract for the first 10 months of 2013 was slightly less than \$75/MWh.

This year is the first full year for the implementation of the Port's formal Energy Efficiency Program. In FY2012/13 the Port allocated \$75,000 for potential rebates under the Energy Efficiency Program. There have been a limited number of inquiries into the program but no active participants at this time. For the

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coming year the Port has indicated that a review of potential barriers including the incentive levels and paper work flow. These areas will be reviewed with the objective of stimulating additional participation.

**2013 Schedule Impacts**

During the first half of 2013 the Port was approached by a biomass developer that was interested in constructing a large biomass plant and selling some of the power to the Port. Because the project proponent would construct the plant and sell power to the Port under a Power Purchase Agreement the Port would not have to fund the initial capital construction cost and therefore would provide an advantage. This proposal will be under consideration by the Port as an alternative or fall back to the West Complex Solar Project.

The time to refine the scope of PG&E System Impact Study was not provided for in the initial schedule. Additional time was necessary to adequately define the parameters and arrive at a scope of study that would be satisfactory to the Port and PG&E. It is currently anticipated that the study will be complete by December 31, 2013.

**From:** WREGIS ADMIN  
**To:** [Energy - RPSTrack](#)  
**Cc:** [WREGIS ADMIN; Villanueva, Juan@STOCKTONPORT](#)  
**Subject:** 2013 CA RPS PCC3 State/Provincial/Voluntary Compliance Report  
**Date:** Thursday, July 03, 2014 8:55:44 AM  
**Attachments:** [2013 CA RPS PCC3 07.03.2014.csv](#)

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CA RPS,

The attached report showing the CA RPS State Provincial Voluntary Compliance Report for Stockton Port District is being provided to you by official request from Juan Villanueva of Stockton Port District.

Regards,

Chloé Herrman  
Program Coordinator, WREGIS  
Western Electricity Coordinating Council  
155 North 400 West  
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Account Holder	SubAccount	Subaccount ID	Retirement Types	Retirement Reason	State/Province	Certification #	Compliance Period	Reason	Additional Details
Stockton Port District	2013 CA RPS PCC3	4195	RPS	Out-of-State/Province Resource	CA	60803A	2013	Out of State/Province Resource – eTag Not Required	

WREGIS GU ID	Generator Plant-Unit Name	Fuel Type	Vintage Year/Month	Certificate Serial Numbers	Quantity	eTags	Duration -	Duration - End Date	AZ	BC	CA	CO	MT
W697	Nine Canyon Wind Project - Nine Canyon Phase 3	Wind	2013/02	697-WA-80881-2714 to 3320	607		2/1/2013	2/28/2013	No	No	Yes	No	No

NV	NM	TX	WA	OR	AB	UT	Green-e Energy Eligible	Ecologo Certified	Hydro Certification	SMUD Eligible	eTag Matched	eTag	Action Date
No	No	No	No	Yes	No	No	Yes	No	No	No	No		7/1/2014 14:44



**(TO BE COMPLETED BY LOAD SERVING ENTITIES)  
REPORT TO THE CALIFORNIA ENERGY COMMISSION  
WREGIS State/Provincial/Voluntary Compliance Report and  
WREGIS e-Tag Summary Report  
ATTESTATION FORM**

<b>Reporting Year Covered in this Report</b>	
<b>Title(s) of WREGIS Compliance Report(s) and WREGIS e-Tag Summary Report(s) as applicable</b>	<b>2013</b>

I am an authorized officer or agent of the Load Serving Entity, as noted below, and am authorized to submit or have submitted the WREGIS State/Provincial/Voluntary Compliance Report (WREGIS Compliance Report) and associated WREGIS NERC e-Tag Summary Report (WREGIS e-Tag Summary Report) for said entity to the California Energy Commission and to attest to the following on behalf of said entity:

- 1) I am an authorized officer or agent of the Load Serving Entity, as noted below, and have authorized WREGIS to submit the WREGIS Compliance Report and any associated WREGIS e-Tag Summary Report for said entity to the California Energy Commission;
- 2) The information and data provided in the Load Serving Entity's WREGIS Compliance Report and any associated WREGIS e-Tag Summary Report is submitted to the California Energy Commission for use to verify the Load Serving Entity's procurement claims of electricity products from RPS-certified electrical generation facilities for purposes of the RPS and in accordance with the Energy Commission's *Renewables Portfolio Standard Eligibility Guidebook*;
- 3) I have reviewed the Load Serving Entity's WREGIS Compliance Report and any associated WREGIS e-Tag Summary Report, and to the best of my knowledge, none of the procurement claims identified in the WREGIS Compliance Report or any associated WREGIS e-Tag Summary Report, nor any of the Renewable Energy Certificates, as defined in the *Renewables Portfolio Standard Eligibility Guidebook*, associated with the electricity generation for such procurement claims, have been or will be used more than once to satisfy RPS procurement requirements or voluntary contributions;
- 4) I have read the *Renewables Portfolio Standard Eligibility Guidebook* and understand the provisions, eligibility criteria, and requirements of the guidebook and my responsibilities under the guidebook, including my responsibilities to provide additional information for RPS verification purposes if requested by the Energy Commission.
- 5) I declare under penalty of perjury that the information provided in this attestation and in the Load Serving Entity's WREGIS Compliance Report and any associated WREGIS e-Tag Summary Report is true and correct to the best of my knowledge.

Signed: \_\_\_\_\_

Dated: 7/29/2014Name of Officer or Agent: Juan G. Villanueva

**Authorized Officer or Agent of Load Serving Entity**

Name: Juan G. Villanueva  
 Title: Projects & Contract Administration/DBELO Manager  
 Phone: (209) 946-0246  
 E-mail: jvillanueva@stocktonport.com  
 Fax: (209) 465-7244

**Load Serving Entity**

Entity Name: Stockton Port District  
 Street Address: 2201 West Washington  
 City, State, Zip Code: Stockton, CA 95201