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Project Title:	Small Diameter Directional LED Lamps and General Purpose LED Lamps	
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1601 Scope.

...[skipping (a)-(j)]

(k) Lamps, which are federally-regulated general service fluorescent lamps, federally-regulated incandescent reflector lamps, state-regulated general service incandescent lamps, general service lamps, state-regulated light-emitting diode (LED) lamps, state-regulated small-diameter directional lamps, and includes GU-24 base lamps.

...[skipping (l)-(w)]

Note: Authority cited: Sections 25213, 25218(e), 25402(a)-25402(c) and 25960, Public Resources Code; and sections 16, 26 and 30, Governor's Exec. Order No. B-29-15 (April 1, 2015). Reference: Sections 25216.5(d), 25402(a)-25402(c), 25402.5.4 and 25960, Public Resources Code; and section 16, Governor's Exec. Order No. B-29-15 (April 1, 2015).

1602 Definitions.

...[skipping (a)-(j)]

(k) Lamps

...[skipping Appliance Lamp through Average rated life]

"Beam angle" means the angle within which the lamp produces 50% of the maximum luminous intensity.

...[skipping Bi-pin lamp through Candelabra base incandescent lamp]

"Center beam candle power" means luminous intensity at the center of the beam of a reflector lamp, measured in candelas (cd).

...[skipping Clear type lamp through Colored Incandescent Lamp]

"Connected LED lamp" means an LED lamp capable of changing its lumen output or spectral power distribution in response to an external control signal other than a change in RMS AC supply voltage or a 0-10 volt DC control signal. Connected LED lamp includes lamps that can be controlled wirelessly and through power line carrier digital communication.

...[skipping *Design voltage*]

"Directional lamp" means a lamp that has at least 80 percent of light output within a solid angle of π steradian corresponding to a cone with an angle of 120°.

"Duv" means the closest distance from the chromaticity coordinate of the light source to the Planckian locus on the International Commission on Illumination (CIE) (u', 2/3 v') coordinates with "+" sign for above and "-" sign for below the Planckian locus.

...[skipping Enhanced Spectrum or Modified Spectrum through Lumen maintenance]

"Lumen output" means the brightness of the lamp at full output, measured in Lumens.

...[skipping Marine Lamp through Plant Light Lamp]

"Power" means the total amount of electric power required, measured in Watts, to operate the lamp, as measured at the base of the lamp.

_...[skipping R20 incandescent reflector lamp through State-regulated incandescent reflector lamp]

"State-regulated Light Emitting Diode (LED) lamp" means a lamp capable of producing light with Duv between -0.012 and 0.012, and that has an E12, E17, E26, or GU-24 base, including

LED lamps that are designed for retrofit within existing recessed can housings that contain one of the preceding bases. State-regulated LED lamp does not include a lamp with a brightness of more than 2,600 lumens or a lamp that cannot produce light with a correlated color temperature between 2200 K and 7000 K.

"State-regulated small diameter directional lamp" means a directional lamp that meets all of the following criteria:

- 1. Capable of operating at 12 volts, 24 volts, or 120 volts;
- 2. Has an ANSI ANSLG C81.61-2009 (R2014) compliant pin base or E26 base;
- 3. Is a non-tubular directional lamp with a diameter of less than or equal to 2.25 inches;
- 4. Has a lumen output of less than or equal to 850 lumens, or has a wattage of 75 watts or less; and
- 5. Has a rated life greater than 300 hours.

State-regulated small diameter directional lamp includes incandescent filament, LED, and any other lighting technology that falls within this definition. State-regulated small diameter directional lamp does not include directional lamps with an E26 base that utilize light emitting diodes (LEDs) and are covered under the definition of state-regulated Light Emitting Diode Lamps.

...[skipping *Three-way lamp* through *USB charger system*]

The following documents are incorporated by reference in Section 1602.

Number Title

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

...[skipping ANSI C78.1-1991 (R1996) through ANSI C81.61-2006]

ANSI ANSLG C81.61-2009 (R2014) American National Standard for Electrical Lamp

Bases – Specifications for Bases (Caps) for

Electric Lamps

... [skipping to the end of section 1602]

Note: Authority cited: Sections 25213, 25218(e), 25402(a)-25402(c) and 25960, Public Resources Code; and sections 16, 26 and 30, Governor's Exec. Order No. B-29-15 (April 1, 2015). Reference: Sections 25216.5(d), 25402(a)-25402(c) and 25960, Public Resources Code; and section 16, Governor's Exec. Order No. B-29-15 (April 1, 2015).

Section 1604 Test Methods for Specific Appliances.

...[skipping (a)-(j)]

(k) Lamps

- ...[skipping subsection (1)]
- (2) The test method for state-regulated general service incandescent lamps, and state-regulated incandescent reflector lamps, and state-regulated small diameter directional lamps that use incandescent filament technology is 10 C.F.R. section 430.23(r) (Appendix R to Subpart B of part 430).
- ...[skipping subsection (3)]
- (4) The test methods for <u>LED state-regulated small diameter directional lamps and</u> state-regulated LED lamps is <u>IES LM 79 08</u> are contained in Table K-1. For certification, compliance, and enforcement purposes, the sampling provisions in 80 Fed. Reg. 39664-39665 (July 9, 2015) shall be used.

<u>Table K-1</u>
<u>Test Methods for State-Regulated LED Lamps and LED State--Regulated Small Diameter</u>
<u>Directional Lamps</u>

Measurement	<u>Test Procedure</u>	Required or
		<u>Optional*</u>
Input power, Lumen	IES LM-79 (2008) with additional requirements provided	<u>Required</u>
output, Lumens per	in 80 Fed. Reg. 39665-39666 (July 9, 2015) §430.23(dd) and	
Watt, Correlated	Appendix BB to Subpart B of Part 430.	
Color Temperature,		
Duv, Color		
Rendering Index,		
Power Factor		
<u>Lumen Maintenance</u>	IES LM-84 (2014) and TM-28 (2014) with additional	Required
and Time to Failure	requirements provided in 80 Fed. Reg. 39665-39667 (July	
	9, 2015), §430.23(dd) and Appendix BB to Subpart B of	
	<u>Part 430.</u>	
Standby Power	IEC 62301 (2011) with additional requirements provided	<u>Required</u>
	in 80 Fed. Reg. 39667 (July 9, 2015) and with the following	
	additional requirements for connected LED lamps:	
	(A) Ensure that the lamp is connected to only one	
	network type and the lamp is in Network Mode	

	(i) If lamp has ability to connect to multiple	
	networks, only one network shall be	
	tested, and the network selected for testing	
	shall be selected using the following	
	prioritization:	
	1. Wi-Fi	
	2. <u>ZigBee</u>	
	3. <u>ANT</u>	
	4. Bluetooth	
	5. RF	
	6. <u>Wired</u>	
	7. Other	
	(B) Measure standby power as described in section	
	5.3.2 of IEC 62301 (2011) for a total period of no	
	less than 60 minutes.	
	(i) Standby power shall be measured at a	
	lamp that is a distance of 10 meters (+/- 0.5	
	meters) from the hub, or wireless controller if no hub exists. If connection is	
	·	
	not possible at this distance, conduct	
	testing within 1 meter of the maximum	
	connection distance.	
	(C) To calculate standby power, divide the	
	accumulated energy consumption in watt-hours	
	by the duration of the test in hours. Record this	
	value as the average Network Standby Power.	
	Earlance that are not connected LED lance record this	
	For lamps that are not connected LED lamps, record this	
ri: 1	value as "not applicable."	0 1: 1
<u>Flicker</u>	Title 24, part 6, Joint Appendix 10 (2015), tested at both	<u>Optional</u>
	100% and 20% output. Lamps with a percent amplitude	
	modulation (percent flicker) less than 30 percent at	
	frequencies less than 200Hz shall report "yes" for	
	"reduced flicker operation" described in section 1606,	
_	otherwise report "no."	0 11 1
<u>Lumen</u>	Title 24, part 6, Joint Appendix 8 (2015).	<u>Optional</u>
Maintenance, Rated		
Life, and Survival		
Rate for Compliance		
with Title 24 Joint		
Appendix 8 and		
minimum dimming		
<u>level.</u>		

Audible Noise	ENERGY STAR Recommended Practice – Noise (2013)	<u>Optional</u>
	with the following modification: measurements shall be	
	taken at 100 percent output as well as at 20 percent	
	output if dimmable.	

^{*} Required test procedures must be conducted per section 1603(a) for each basic model of lamp. Optional test procedures are conditionally required depending on manufacturer claims of performance as described in sections 1607(d)(12) and 1606 table X.

...[skipping subsection (5)]

...[skipping (l)-(w)]

The following documents are incorporated by reference in Section 1604.

CALIFORNIA ENERGY COMMISSION TEST METHODS

...[skipping CEC/Gas-Fired Heat Pumps]

California Title 24, Part 6, Joint Appendix 8

JA-8 -- 2015

California Title 24, Part 6, Joint Appendix 10

JA-10 -- 2015

Qualification Requirements for High Efficacy Light

Sources

Test Method for Measuring Flicker of Lighting

Systems and Reporting Requirements

California Joint Appendix JA8 -- 2008 Testing of Light Emitting Diode Light Sources

Copies available from: California Energy Commission

Energy Hotline

1516 Ninth Street, MS-25 Sacramento, California 95814

Phone: (916) 654-5106 FAX: (916) 654-4304

FEDERAL TEST METHODS

...[skipping C.F.R., Title 10, section 430.23 through EPA "Test Method for Calculating the Energy Efficiency of Single-Voltage External AC-DC and AC-AC Power Supplies"]

ENERGY STAR Recommended Practice –

Noise (2013)

EPA ENERGY STAR Program Requirements
Product Specification for Lamps (Light Bulbs)

Version 1.1 (August 2014).

Copies available from: US EPA

CLIMATE PROTECTION PARTNERSHIP

ENERGY STAR PROGRAMS HOTLINE &

DISTRIBUTION (MS-6202J)

1200 PENNSYLVANIA AVE NW

WASHINGTON, DC 20460 WWW.ENERGYSTAR.GOV

80 Federal Register 39664-39667 (July 9,

2015)

Energy Conservation Program: Test Procedures for

Integrated Light-Emitting Diode Lamps, Proposed

<u>Rule</u>

<u>Copies available from:</u> <u>OFFICE OF THE FEDERAL REGISTER</u>

800 NORTH CAPITOL STREET, NW

SUITE 700

WASHINGTON, DC 20001 PHONE: (202) 741-6000 FAX: (202) 741-6012

WWW.FEDERALREGISTER.GOV

...[skipping Air-Conditioning Heating, and Refrigeration Institute (AHRI) through Hydraulic Institute (HI)]

ILLUMINATING ENGINEERING SOCIETY (IES)

IES LM 79-08 Approved Method: Electrical and Photometric

Measurements of Solid State Lighting Products

IES LM-84-14 Measuring Luminous Flux and Color Maintenance

of LED Lamps, Light Engines, and Luminaires

IES TM-28 (2014) Projecting Long-Term Luminous Flux Maintenance

of LED Lamps and Luminaires

Copies available from: Illuminating Engineering Society

120 Wall Street, 17th Floor New York, NY 10005-4001

www.ies.org

Phone: (212) 248-5000 FAX: (212) 248-5017/18

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)

IEC 62301 (2011) (E) Household electrical appliances - Measurement of

standby power

IEC 62087 (2002)(E) Methods of Measurement for the Power

Consumption of Audio, Video, and Related

Equipment

IEC 62087:2008(E), Edition 2.0 Methods of Measurement for the Power

Consumption of Audio, Video, and Related

Equipment

IEC 62301:2005 Household Electrical Appliances - Measurement of

Standby Power

Copies available from: IEC Central Office

3, RUE DE VAREMBÉ

P.O. BOX 131

CH - 1211 GENEVA 20

SWITZERLAND

PHONE: +41 22 919 02 11

...[skipping International Organization for Standards (ISO) through end of 1604].

Note: Authority cited: Sections 25213, 25218(e), 25402(a)-25402(c) and 25960, Public Resources Code; and sections 16, 26 and 30, Governor's Exec. Order No. B-29-15 (April 1, 2015). Reference: Sections 25216.5(d), 25402(a)-25402(c) and 25960, Public Resources Code; and section 16, Governor's Exec. Order No. B-29-15 (April 1, 2015).

1605.1 Federal and State Standards for Federally-Regulated Appliances.

- ...[skipping (a)-(j)]
- (k) Lamps
- (1) Federally-Regulated General Service Fluorescent Lamps.
- (A) General Service Fluorescent Lamps Manufactured Before July 15, 2012. The average lamp efficacy and the color rendering index of federally-regulated general service fluorescent lamps manufactured before July 15, 2012, shall be not less than the applicable values shown in Table K-12.

Table K-12

Standards for Federally-Regulated General Service Fluorescent Lamps Manufactured Before July 15, 2012

- ...[skipping re-numbered table K-2]
- **(B) General Service Fluorescent Lamps Manufactured On or After July 15, 2012.** The correlated color temperature and minimum average lamp efficacy (LPW) of federally-regulated general service fluorescent lamps shall be not less than the applicable values shown in Table K-23.

Table K-23

Standards for Federally-Regulated General Service Fluorescent Lamps Manufactured On or After July 15, 2012

- ...[skipping re-numbered table K-3]
- (2) Federally-Regulated Incandescent Reflector Lamps. The average lamp efficacy of federally regulated incandescent reflector lamps shall not be less than the applicable values shown in Table K-2, subject to the following.
- (A) Incandescent Reflector Lamps Manufactured Before July 15, 2012. The average lamp efficacy of federally-regulated incandescent reflector lamps manufactured on or after November 2, 1995 and manufactured before July 15, 2012 shall be not less than the applicable values shown in Table K-34, subject to the following.
 - 1. The standards specified in Table K-34 shall apply with respect to:

- a. ER incandescent reflector lamps, BR incandescent reflector lamps, BPAR incandescent reflector lamps, and similar bulb shapes on and after January 1, 2008; and
- b. Incandescent reflector lamps with a diameter of more than 2.25 inches, but not more than 2.75 inches, on and after June 15, 2008.
- 2. The standards specified in Table K-34 shall not apply to the following types of incandescent reflector lamps:
 - a. Lamps rated at 50 watts or less that are ER30, BR30, BR40, or ER40;
 - b. Lamps rated at 65 watts that are BR30, BR40, or ER40 lamps;
 - c. R20 incandescent reflector lamps rated 45 watts or less; and
 - d. R20 short lamps.

Table K-34

Standards for Federally-Regulated Incandescent Reflector Lamps Manufactured Before July 15, 2012

...[skipping re-numbered table K-4]

(B) Incandescent Reflector Lamps Manufactured on or After July 15, 2012. The average lamp efficacy of federally-regulated incandescent reflector lamps with rated lamp wattage between 40 - 205 watts, and manufactured on or after July 15, 2012, shall be not less than the applicable values shown in Table K-45.

Table K-45

Standards for Federally-Regulated Incandescent Reflector Lamps Manufactured On or After July 15, 2012

- ...[skipping re-numbered table K-5]
- **(3) Medium Base Compact Fluorescent Lamps.** A bare lamp and covered lamp (no reflector) medium base compact fluorescent lamp manufactured on or after January 8, 2007, shall meet the requirements set forth in Table K-56.

Table K-56 Standards for Medium Base Compact Fluorescent Lamps

...[skipping re-numbered table K-6]

(4) Federally-Regulated General Service Incandescent Lamps and Modified Spectrum General Service Incandescent Lamps. The energy consumption rate of federally regulated general service incandescent lamps and modified spectrum general service incandescent lamps, manufactured on or after the effective dates shown, shall be no greater than the maximum rated wattage shown in Tables K-67 and K-78.

...[skipping (4)(A) and (4)(B)]

Table K-67 Standards for Federally-Regulated General Service Incandescent Lamps

...[skipping re-numbered table K-7]

Table K-78

Standards for Federally-Regulated Modified Spectrum General Service Incandescent Lamps

- ...[skipping re-numbered table K-8]
- **(5) Candelabra Base Incandescent Lamps and Intermediate Base Incandescent Lamps.** The energy consumption rate of federally regulated candelabra base incandescent lamps and intermediate base incandescent lamps, manufactured on or after January 1, 2012, shall be no greater than the maximum rated wattage shown in Tables Table- K-89.

Table K-89

Standards for Federally Regulated Candelabra Base Incandescent Lamps and Intermediate Base Incandescent Lamps

- ...[skipping re-numbered table K-9]
- ...[skipping the rest of section 1605.1]

Note: Authority cited: Sections 25213, 25218(e), 25402(a)-25402(c) and 25960, Public Resources Code; and sections 16, 26 and 30, Governor's Exec. Order No. B-29-15 (April 1, 2015). Reference: Sections 25216.5(d), 25402(a)-25402(c) and 25960, Public Resources Code; and section 16, Governor's Exec. Order No. B-29-15 (April 1, 2015).

1605.3 State Standards for Non-Federally-Regulated Appliances

...[skipping (a)-(j)]

(k) Lamps

(1) **State-Regulated Incandescent Reflector Lamps.** The average lamp efficacy of state-regulated incandescent reflector lamps manufactured on or after January 1, 2008, shall be not less than the applicable values shown in Table K-710.

Table K-7<u>10</u> Standards for State-Regulated Incandescent Reflector - Lamps

...[skipping re-numbered table K-10]

(2) Standards for <u>State-Regulated LED Lamps</u>, <u>State-Regulated General Service Incandescent Lamps</u>, General Service Lamps, and Modified Spectrum Incandescent Lamps. The energy consumption rate of state-regulated general service incandescent lamps, general service lamps, and modified spectrum general service incandescent lamps manufactured on or after the effective dates shown in Tables K-8 <u>11</u>, K-9 <u>12</u>, and K-10 <u>13</u> shall meet the standards shown in these Tables. The energy consumption rate of state-regulated LED lamps with a lumen output of 150 lumens or greater for candelabra bases, or 200 lumens or greater for other bases, manufactured on or after the effective dates shown in Table K-14 shall meet the standards shown in that table.

$\label{eq:Table K-811} \textbf{Standards for State-Regulated General Service Incandescent Lamps - Tier I}$

...[skipping re-numbered table K-11]

Table K- <u>912</u> Standards for State-Regulated General Service Lamps - Tier II

- ...[skipping re-numbered table K-12 and subsections(2) (A)]
- (B) Each lamp described in Section 1605.3(k)(3-2)(A) shall have a color rendering index that is greater than or equal to:
- 1. 80 for nonmodified spectrum lamps; or
- 2. 75 for modified spectrum lamps.

Table K- 1013

Standards for State-Regulated Modified Spectrum General Service Incandescent Lamps - Tier I

...[skipping re-numbered table K-13]

- (C) State-regulated LED lamps with lumen output of 150 lumens or greater for candelabra bases, or 200 lumens or greater for other bases, and manufactured on or after January 1, 2018 shall have:
 - (1) A color point that meets the requirements in Table 1 of Annex B of ANSI C78.377-2015 for color targets and color consistency.
 - (2) A CRI (Ra) of 82 or greater.
 - (3) Individual color scores of R1, R2, R3, R4, R5, R6, R7, and R8 of 72 or greater.
 - (4) A power factor of 0.7 or greater.
 - (5) A rated life of 10,000 hours or greater as determined by the lumen maintenance and time to failure test procedure.
 - (6) State-regulated LED lamps that have an ANSI standard lamp shape of A shall meet the omnidirectional light distribution requirements of ENERGY STAR's Product Specification for Lamps Version 2.0 (December 2015).
 - (7) State-regulated LED lamps that have an ANSI standard lamp shape of B, BA, C, CA, F, or G shall meet the decorative light distribution requirements of ENERGY STAR's Product Specification for Lamps Version 1.1 (August 2014).
- (D) In addition to the requirements in section 1605.3(k)(2)(C), state-regulated LED lamps manufactured on or after July 1, 2019 shall have a standby mode power of 0.2 watts or less.

<u>Table K-14</u> <u>Standards for State-regulated LED Lamps</u>

Effective Date	Minimum Compliance	Minimum Efficacy
	<u>Score</u>	<u>Lumens Per Watt</u>
<u>January 1, 2018</u>	<u>282</u>	<u>68</u>
July 1, 2019	<u>297</u>	<u>80</u>
The compliance score shall be calculated as the sum of the efficacy		
and 2.3 times the CRI of a lamp.		

13

- (3) State-regulated Small Diameter Directional Lamps. State-regulated small diameter directional lamps manufactured on or after January 1, 2018 must have a rated life of 25,000 hours or greater as determined by the lumen maintenance and time to failure test procedure and meet one of the following requirements:
- (A) have luminous efficacy of at least 80 lumens per watt.
- (B) have a minimum luminous efficacy of 70 lumens per watt or greater and a minimum compliance score of 165 or greater, where compliance is calculated as the sum of the luminous efficacy and CRI.
- (4) **GU-24 Base Lamps.** GU-24 base lamps shall not be incandescent lamps.
- (5) See Section 1605.1(k) for energy efficiency standards for federally-regulated lamps.
- ...[skipping (l)-(m)]

(n) Luminaires and Torchieres.

- (1) Energy Efficiency Standard for Metal Halide Luminaires. Metal halide luminaires rated at least partially within the range of 150 to 500 watts shall not have probe-start ballasts and shall comply with Section 1605.3(n)(1)(A) as applicable:
- ...[skipping subsections (A), (B) and section (2)]

(3) Portable Luminaires.

- (A) Portable luminaires manufactured on or after January 1, 2010 shall meet one or more of the following requirements:
 - 1. Be equipped with a dedicated fluorescent lamp socket connected to a high frequency electronic ballast contained within the portable luminaire;
 - 2. Be equipped with one or more GU-24 line-voltage sockets and not rated for use with incandescent lamps of any type, including line voltage or low voltage;
 - 3. Be an LED luminaire or a portable luminaire with an LED light engine with integral heat sink, and comply with the minimum requirements shown in Table N-32;

Table N-2 Minimum Requirements for Portable LED Luminaires and Portable Luminaires with LED Light Engines with Integral Heat Sink

Criteria	Requirement

Light Output	≥ 200 lumens (initial)
Minimum LED Luminaire Efficacy	29 lumens/W
Minimum LED Light Engine Efficacy	40 lumens/W
Color Correlated Temperature (CCT)	2700 K through 5000 K
Minimum Color Rendering Index (CRI)	75
Power Factor (for luminaires labeled or sold for residential use)	≥ 0.70

4. Be equipped with an E12, E17, or E26 screw-based socket and be prepackaged and sold together with one screw-based compact fluorescent lamp or screw-based LED lamp for each screw-based socket on the portable luminaire. The compact fluorescent or LED lamps which are prepackaged with the portable luminaire shall be fully compatible with the luminaire controls, meaning that portable luminaires having a dimmer control shall be prepackaged with dimmable compact fluorescent or LED lamps, and portable luminaires having 3-way controls shall be prepackaged with 3-way compact fluorescent or LED lamps. The compact fluorescent lamps which are prepackaged with the luminaires shall also meet the minimum energy efficiency levels established by ENERGY STAR® for compact fluorescent lamps in effect on December 31, 2008. The LED lamps required to be packaged with the luminaire shall comply with the minimum requirements shown in Table N-2 for state-regulated LED lamps in sections 1601 through 1607 of this article;

...[skipping subsection 5. through (w)]

The following documents are incorporated by reference in Section 1605.3.

Number Title

FEDERAL REQUIREMENTS

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C81.61-2006 Specifications for Electric Bases

ANSI C78.377-2015 American National Standard for Electric Lamps –

Specifications for the Chromaticity of Solid State

Lighting (SSL) Products

Copies available from: American National Standards Institute

1819 L Street, NW, 6th Floor

Washington, DC 20036

www.ansi.org

Phone: (202) 293-8020 FAX: (202) 293-9287

EPA ENERGY STAR® Program Requirements Product Specification for Lamps (Light Bulbs) Version 1.1 (August 2014)

EPA ENERGY STAR® Program Requirements Product Specification for Lamps (Light Bulbs) Version 2.0 (December 2015)

ENERGY STAR® Program Requirements for CFLs

Copies available from: US EPA

Climate Protection Partnership

ENERGY STAR® Programs Hotline & Distribution

(MS-6202J)

1200 Pennsylvania Ave NW Washington, DC 20460 www.energystar.gov

Copies available from: Superintendent of Documents

U.S. Government Printing Office

Washington, DC 20402 http://ecfr.gpoaccess.gov/

...[skipping Underwriters Laboratories, Inc. (UL) to end of 1605.3]

Note: Authority cited: Sections 25213, 25218(e), 25402(a)-25402(c) and 25960, Public Resources Code; and sections 16, 26 and 30, Governor's Exec. Order No. B-29-15 (April 1, 2015). Reference: Sections 25216.5(d), 25402(a)-25402(c), 25402.5.4 and 25960, Public Resources Code; and section 16, Governor's Exec. Order No. B-29-15 (April 1, 2015).

Section 1606. Filing by Manufacturers; Listing of Appliances in Database.

...[skipping (a)(1)-(3)]

Table X - Data Submittal Requirements

	Appliance	Required Information	Permissible Answers
	All Appliances	* Manufacturer's Name	
		* Brand Name	
		* Model Number	
		Date model to be displayed	
		Regulatory Status	Federally-regulated consumer product, federally-regulated commercial and industrial equipment, non-federally-regulated
		[skipping (A)-(J)]	
	State-regulated small	Base Type	
K	diameter directional lamps	Lamp Type (examples PAR-16, MR-11, MR-16, or R)	
		Lamp Power (Watts)	
		Lamp Output (Lumens)	
		Beam Angle	
		Center Beam Candle Power (CBCP)	
		Efficacy (Lumens per watt)	
		Color Rendering Index (CRI)	
		Combined CRI + Efficacy	
		Correlated Color Temperature	
		Rated Lifetime (hours)	
	State-regulated	Rated lumens	
	medium	Rated lamp wattage	
	screw base general service Light Emitting Diode (LED) lamps, and Organic LED (OLED) lamps	Average lamp efficacy	
	State-regulated Light	Base Type	E12, E17, E26, GU-24, retrofit kit
	Emitting Diode	Lamp Shape	
	(LED) lamps	Light Distribution	<u>Directional, Omnidirectional,</u> <u>Decorative, Spot, Recessed Can</u>
		<u>Dimmable</u>	Yes, no
		Minimum dimming level (%)	
		Reduced Flicker Operation	Yes, no

Correlated Color Temperature	
Duv	
Rated Lifetime (hours)	
Lifetime test environment temperature	Ambient, Elevated
Lamp Power (Watts)	Ambient, Elevated
Lumen Output (Lumens)	
Efficacy (Lumens per watt)	
Color Rendering Index (R _a)	
Compliance Score	
Power Factor	
Standby Power (watts)	
<u>R</u> ₁	
<u>R</u> ₂	
<u>R</u> ₃	
<u>R</u> ₄	
<u>R</u> 5	
<u>R</u> 6	
<u>R</u> _Z	
<u>R</u> 8	
<u>R9</u> ²	
	ENERGY STAR® Omnidirectional,
	California Quality Specification
	Omnidirectional, ENERGY STAR®
	Decorative, California Quality
Meets applicable luminous intensity	Specification Recessed Can Housing
distribution requirements	Retrofit Kit, California Quality
	Specification Spotlight, California
	Quality Specification Floodlight,
	none.
Warranty Length (years) ²	HOIC.
Audible Noise at 100% output (decibels)	
Audible Noise at 20% output (decibels)	
Start Time ²	
6000 hour lumen maintenance ²	
6000 hour survival rate ²	
Projected time to L70 ²	T 1 D1 1
	Forward, Phase cut control, reverse
Dimming Control Compatibility	phase cut, powerline carrier, digital,
NTN (1 001 T4 0 11 1 1 17 1 1 1 1 1 1 1 1 1 1 1 1 1 1	0-10 VDC, other.
NEMA SSL 7A Compatible 2-(If compatible	Yes, no
with forward phase cut dimmer control	
answer "Yes," If not answer "No.")	
Marked in accordance with Title 24 JA-8 ²	Yes, no
Meets the Voluntary California Quality	Yes, no
Specification 2.0 requirements applicable	
to the lamp type	

- * "Identifier" information as described in Section 1602(a).
- 1 = Voluntary for federally-regulated appliances
- 2 = Voluntary for state-regulated appliances

...[skipping remainder of table X and section 1606]

The following documents are incorporated by reference into section 1606.

<u>Number</u> <u>Title</u>

CALIFORNIA ENERGY COMMISSION

<u>California Energy Commission Voluntary California Quality Light-Emitting Diode (LED) Lamp</u> <u>Specification (December 2014)</u>

<u>California Title 24, Part 6, Joint Appendix 8</u>
<u>Qualification Requirements for High Efficacy</u>

<u>IA-8 -- 2015</u> <u>Light Sources</u>

Copies available from: California Energy Commission

Energy Hotline

1516 Ninth Street, MS-25 Sacramento, California 95814

Phone: (916) 654-5106 FAX: (916) 654-4304

NATIONAL ELECTRICAL MANUFACTURER'S ASSOCIATION (NEMA)

NEMA SSL 7A (2013) Phase Cut Dimming for Solid State Lighting:

Basic Compatibility

<u>Copies available from:</u> <u>National Electric Manufacturers Association</u>

1300 N. 17th Street, Suite 1847

Rosslyn, VA 22209 www.nema.org

Phone: (703) 841-3200 Fax: (703) 841-3300

Note: Authority cited: Sections 25213, 25218(e), 25402(a)-25402(c) and 25960, Public Resources Code; and sections 16, 26 and 30, Governor's Exec. Order No. B-29-15 (April 1, 2015). Reference: Sections 25216.5(d), 25402(a)-25402(c), 25402.5.4 and 25960, Public Resources Code; and section 16, Governor's Exec. Order No. B-29-15 (April 1, 2015).

1607 Marking of Appliances.

- ...[skipping (a)-(c)]
- (d) Energy Performance Information
- ...[skipping (1)-(12)]
- (13) State-regulated LED lamps shall meet the criteria below before making any of the relevant claims in marketing materials, including retail packaging or on the lamp itself.
- (A) For lamps manufactured on or after January 1, 2018, the following shall be demonstrated before making a claim of being "dimmable."
- (1) The lamp shall be dimmable to 10 percent of its full light output.
- (2) The lamp shall be reduced flicker operation;
- (3) The lamp shall not produce noise in excess of 24 A-weighted decibels at 100 percent and 20 percent of full light output.
- (4) If the product cannot be reduced flicker operation using a standard phase-cut dimmer, but can be reduced flicker operation using another type of dimmer, references to dimmability shall be qualified with the phrase "dimmable with LED dimmer." These lamps shall include instructions on or inside the retail packaging that describe, or contain an internet link to a description of, the type of dimmers that are compatible or recommended for use with the lamp.
- (B) State-regulated LED lamps manufactured on or after January 1, 2018 shall meet all of the following requirements before including comparisons to incandescent lamps:
- (1) The lamp shall have a color correlated temperature of 3000k or less.
- (2) The lamp shall be "dimmable" as described in 1607(d)(13)(A).
- (3) The lamp shall have a lumen output of 310 lumens or greater for medium-screw base lamps or 150 lumens or greater for intermediate and candelabra bases.
- (C) If the manufacturer makes incandescent wattage equivalency claims for medium screw-base and GU-24 base omnidirectional state-regulated LED lamps manufactured on or after January 1, 2018, the lamps shall have a minimum lumen output in Table K-15.

<u>Table K-15</u> Incandescent Wattage Equivalences for State-regulated LED Lamps

Incandescent wattage equivalence	Minimum Lumen Output
<u>40 W</u>	<u>310</u>
<u>60 W</u>	<u>750</u>
<u>75 W</u>	1050
<u>100 W</u>	1490
150 W	2500

- (D) A lamp manufactured on or after January 1, 2018 that is certified with a lumen output of less than 150 lumens for candelabra bases, or less than 200 lumens for other bases, shall be labeled on the retail packaging as "for decorative purposes."
- (E) For lamps manufactured on or after February 1, 2017, if the manufacturer makes any marketing, label, or mark regarding a model's qualification for the California Quality LED Lamp Specification, the manufacturer shall certify that the lamp model meets each and every portion of the California Quality LED Lamp Specification.

The following documents are incorporated by reference in Section 1607.

Number Title

CALIFORNIA ENERGY COMMISSION

<u>California Energy Commission Voluntary California Quality Light Emitting Diode (LED) Lamp Specification (December 2014)</u>

<u>Copies available from:</u> <u>California Energy Commission</u>

Energy Hotline

<u>1516 Ninth Street, MS-25</u> <u>Sacramento, California 95814</u>

Phone: (916) 654-5106 FAX: (916) 654-4304

...[skipping Federal Marking Requirements to end of section 1607]

Note: Authority cited: Sections 25213, 25218(e), 25402(a)-25402(c) and 25960, Public Resources Code. Reference: Sections 25216.5(d), 25402(a)-25402(c) and 25960, Public Resources Code.