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California Energy Commission  
**DRAFT STAFF REPORT**

# Forms and Instructions for Submitting Electricity Demand Forecasts

Prepared in Support of the *2017 Integrated  
Energy Policy Report*

**California Energy Commission**  
Edmund G. Brown Jr., Governor



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# California Energy Commission

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# ABSTRACT

These electricity demand forms and instructions direct load-serving entities in California to provide information to the California Energy Commission. The information relates to electricity demand forecasts, demand-side management and energy efficiency impacts, private supply impacts, and related information for 2017 through 2028, and historical years 2015 and 2016.

**Keywords:** electricity demand, consumption, forecast, peak, self-generation, conservation, demand-side, energy, efficiency, price, retail, end-use

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## EXECUTIVE SUMMARY

This staff report describes information that is needed by the California Energy Commission to prepare the *2017 Integrated Energy Policy Report*. This report also provides forms with instructions that define the electricity demand forecast information that must be submitted by load-serving entities with annual peak demand greater than 200 megawatts.

The Energy Commission is directed by California Public Resources Code Sections 25300-25323 to regularly assess all aspects of energy demand and supply. These assessments will be included in the *2017 Integrated Energy Policy Report* or in supporting reports. These assessments provide a foundation for policy recommendations to California Governor Edmund G. Brown Jr., the California State Legislature, and other state agencies. The broad strategic purpose of these policies is to conserve resources, protect the environment, ensure energy reliability, enhance the state's economy, and protect public health and safety. These forms and instructions are scheduled for adoption by the Energy Commission in December 2016.

To carry out these energy assessments, the Energy Commission is authorized to require California market participants to submit historical data, forecast data, and assessments. California Public Resources Code Sections 25216 and 25216.5 provide broad authority for the Energy Commission to collect data and information “on all forms of energy supply, demand, conservation, public safety, research, and related subjects.”

The information collected according to the instructions will provide a foundation for the analysis and recommendations of the *2017 Integrated Energy Policy Report* including resource assessment and analysis of progress toward energy efficiency, demand response, and renewable energy goals. Energy Commission forecasts are used by the California Public Utilities Commission in long-term procurement and resource adequacy proceedings and by the California Independent System Operator in transmission planning and grid reliability studies.





# General Instructions for Demand Forecast Submittals

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To develop energy policies that conserve resources, protect the environment, ensure energy reliability, enhance the state's economy, and protect public health and safety, the California Energy Commission is directed by California Public Resources Code (PRC) Section 25301 to conduct regular assessments of all aspects of energy demand and supply. These assessments serve as the foundation for analysis and policy recommendations to the Governor, Legislature, and other agencies in the *Integrated Energy Policy Report (IEPR)*. To carry out these assessments, PRC Section 25301[a],

the Energy Commission may require submission of demand forecasts, resource plans, market assessments, related outlooks, individual customer historic electric or gas service usage, or both, and individual customer historic billing data, in a format and level of granularity specified by the commission from electric and natural gas utilities, transportation fuel and technology suppliers, and other market participants.

The Energy Commission's data collection regulations authorize these forms and instructions to collect data identified in California Code of Regulations (CCR), Title 20, Section 1345.

The Energy Commission is preparing to undertake assessments for the *2017 Integrated Energy Policy Report (2017 IEPR)*. The adopted forecast, or range of forecasts, will provide a foundation for the analysis and recommendations of the *2017 IEPR*, including resource assessment and analysis of progress toward energy efficiency, demand response, and renewable energy goals. Energy Commission forecasts are used by the California Public Utilities Commission (CPUC) in long-term procurement and resource adequacy proceedings and by the California Independent System Operator (California ISO) in transmission planning and grid reliability studies.

To provide the Energy Commission and the public with the opportunity to consider a range of perspectives on demand trends, the Energy Commission is requesting electricity demand forecasts, along with demand-side management (DSM), energy efficiency, and private supply impacts from new or expanded programs to achieve broad goals established by regulatory agencies, and related information from all load-serving entities (LSEs) with annual peak demand greater than 200 megawatts (MW). These submittals are to be prepared and documented according to the attached instructions.

Separate documents will direct the contents and format of resource planning information. Each LSE should take care that the assessments submitted on the resource plan forms are consistent with the submitted demand forecast.

Definitions of terms used in these forms and instructions are found at the end of this document.

Questions relating to these forms and instructions should be directed to Cary Garcia, Demand Analysis Office, at (916) 653-2922 or by e-mail to [Cary.Garcia@energy.ca.gov](mailto:Cary.Garcia@energy.ca.gov).

## Who Must File

Data are requested from all LSEs whose annual peak demand in the last two consecutive years exceeded 200 MW.

Statutes found in the PRC and supporting regulations give the Energy Commission authority to require forecast submittals from all entities engaged in generating, transmitting, or distributing electric power by any facilities. This includes utility distribution companies (UDCs), energy service providers (ESPs), community choice aggregators (CCAs) permitted to operate under Assembly Bill 117 (Migden, Chapter 838, Statutes of 2002), and all other entities that serve end-use loads, collectively referred to as LSEs. However, according to existing regulations, small LSEs<sup>1</sup> need not comply with the complete reporting requirements but may be required to submit demand forecasts in an alternative abbreviated form established by the Energy Commission. For this specific *IEPR* proceeding, the Energy Commission is not requesting long-term forecast data using these forms from any LSE with peak demand less than 200 MW.

## Summary of Requested Data

UDCs are to submit Forms 1 through 6 and Form 8. ESPs are to submit Forms 7 and 8. CCAs are to submit Forms 4, 7.2, and 8.1a (POU/CCA) only. A table indicating which forms are to be filled out by various participants is presented in the beginning of the accompanying electronic forms template.

- Form 1. Historical and Forecast Electricity Demand – annual sales and peak demand, private supply, and hourly loads
- Form 2. Forecast Input Assumptions – economic and demographic assumptions and electricity rate forecasts
- Form 3. Incremental DSM Program Impacts, including energy efficiency, demand response and distributed generation (DG) program impacts
- Form 4. Forecast Methodology Documentation
- Form 6. DSM Methodology Documentation
- Form 7. CCA and ESP Load Forecasts
- Form 8. Price and Rate Forms

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<sup>1</sup> A small LSE is one that has experienced an annual peak demand of 200 megawatts or less in two consecutive calendar years preceding the required data filing date and is regulated by the CPUC or owned or operated by a public government entity.

## Changes from Previous *Integrated Energy Policy Report*

The notable changes to the 2017 *IEPR* Energy Demand Forecast Forms and Instructions are as follows:

- Submission protocols were modified. The Energy Commission now requires electronic submittal for all filings using the Energy Commission's e-filing system. See *Submittal Format Requirements* for details on using the Energy Commission's e-filing system.
- Form 1.6d now requests average hourly electric demand for retail non-residential customers. Customers should be classified by sector, North American Industry Classification System (NAICS) category, tariff, electricity usage, and geographic zone. See *Specific Instructions* for further details.
- CCAs are asked to provide additional detail on forecast methodology, as well as budget and cost projections if available.

## Due Dates

Historical sales information (Form 1.1a for years 2015-2016), average hourly demand for residential and non-residential customers (Form 1.6c) and Photovoltaic (PV) Interconnection data (Form 1.8) must be submitted to the Energy Commission on or before Monday, February 13, 2017.

Forms 1 through 7 (in all parts) and Form 8.2 must be submitted to the Energy Commission on or before Monday, April 17, 2017.

Forms 8.1a and 8.1b must be submitted on or before Monday, June 5, 2017.

LSEs that require additional time may request an extension by submitting a written request to the Executive Director of the Energy Commission, as described in CCR, Title 20, Article 2, Section 1342.

## Submittal Format Requirements

For all filings, parties are required to use the Energy Commission's e-filing system. This requires LSEs to submit their demand data and narratives electronically by uploading files using an internet connection and a modern browser. A user's guide to the Energy Commission's e-filing system is posted at: <http://www.energy.ca.gov/e-filing/>.

After completing registration, log in and select the following proceeding from the drop-down menu: **17-IEPR-03 Electricity, Natural Gas, and Transportation Demand Forecast**.

When naming an attached file of 50 megabytes or less, please include the LSE's name in the filename. Attachments should be submitted as separate files and clearly identified. Cover letters that only identify the documents that are part of the filing are unnecessary.

If requesting confidentiality for any part of the submittal, please read and carefully follow the instructions in Appendix A: Confidentiality Applications. For confidentiality applications that require document signatures, the words “Original signed by” and the signee’s typed name can serve in lieu of a “wet” signature. Yellow fill should be used to highlight all cells for which the LSE is requesting confidentiality. Energy Commission staff will use color coding to track these requests and to protect data determined to be confidential.

Electronic information files are required in these formats:

Data on specified forms using Microsoft Excel®

Reports, narratives, and cover letters in Microsoft Word® or Adobe Acrobat®

A template with data forms is available on the Energy Commission website at [http://www.energy.ca.gov/2017\\_energypolicy/](http://www.energy.ca.gov/2017_energypolicy/) and by request. While it is preferred that filers use this template, participants may provide these results in their own format so long as the equivalent information is provided and clearly labeled.

## **Protocols for Submitted Demand Forecasts**

The demand forecast submitted should be the most likely to occur projection of unmanaged total consumption. Unmanaged consumption means that the forecast should include impacts from DSM activities that are approved and funded, and that have a detailed implementation plan but should not include impacts from programs or policies that are not finalized. Total consumption means that the forecast should include total electricity usage. Locally supplied energy is reported separately from sales. Because one use of these forecasts is to provide a basis for resource assessments, total consumption at the end-user level must be adjusted by losses to reflect total usage at the generation level. Since local private supply reduces system requirements and losses, forecasts of local private supply are also required from distribution utilities.

The primary purpose of the data requested is for each UDC to provide its view of demand trends and document the methods and data it uses to develop its forecast. Some data may also be used for developing the staff forecast. The Energy Commission does not require the use of specific forecasting methods.

General instructions on how the forecast is to be submitted:

- UDC forecasts are to provide projected electricity demand for 2017-2028, and historical data for 2015 and 2016. Historical data should represent actual amounts or the UDC’s best estimate available at the time of filing. ESPs should provide projections for the period through which they have contracted load.
- UDCs are to provide forecasts for both their expected “bundled” customers (customers to whom they provide both generation and distribution services) and for all customers to whom they provide distribution services, including direct

access, CCA load, and any other form of LSE providing generation services to end-users. Bundled load is reported on Forms 1.1 and 1.3. Total load is reported on Forms 1.2 and 1.4.

- UDCs are to prepare demand forecasts using either:
  - (A) Franchise service area defined by applicable state law or regulatory decisions lawfully determined by the CPUC, or (B) A definition of distribution utility service area that is mutually agreed upon by the distribution utility and Energy Commission staff.
- The demand forecast and aggregate forecasts of incremental demand response and DSM impacts reported in these forms should be consistent with data submitted in accordance with the *2017 Forms and Instructions for Submitting Electricity Resource Plans*.

# Specific Instructions

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UDCs are to complete only Forms 1 through 6 and Form 8. ESPs complete only Forms 7 and 8. CCAs complete only Forms 4, 7, and 8.1a (POU/CCA).

Several forms request data by sector. Definitions of the sectors used in the Energy Commission forecast models are listed in the Definitions section at the end of this document. However, UDCs that use other sectors or customer classes to develop their forecast should modify forms as needed to report the forecast using their own categories and document their sector or customer class definitions.

## Form 1 Historical and Forecast Electricity Demand

### Form 1.1 Retail Sales of Electricity by Class or Sector

Form 1.1a is for the entry of total retail sales of electricity to bundled and direct access customers, measured on the customer side of the meter in gigawatt hours (GWh). Each UDC should modify the sectors listed on the Form 1.1 template to reflect the sectors or classes by which they forecast. The historical series (2000-2016) submitted through Form 1.1a should be consistent with the data used by that UDC in developing its sales forecast.

Form 1.1b is for the entry of total retail sales of electricity to bundled customers only. The distinction between forms 1.1a and 1.1b is meant to streamline potential confidentiality requests for retail sales to bundled customers.

These forms also ask for documentation of the amount of load assumed to be migrating to or from the UDC and load growth associated with previously unserved areas. If the forecast of departing load is based on historical trends, this form should report those historical data.

### Form 1.2 Distribution Area Net Electricity or Generation Load

Form 1.2 is for the entry of electricity deliveries in GWh by type of customer and the addition of losses to calculate utility system energy requirements. Each UDC should report deliveries for the following categories, as applicable:

- Sales to bundled customers (from Form 1.1b)
- Deliveries to direct access customers
- Deliveries to customers of CCAs
- Deliveries to customers of other publicly owned departed or departing load (such as irrigation districts) in the UDC's distribution area.

Losses are to be calculated at generation busbar and should represent total transmission and distribution losses, as well as any other unaccounted-for losses in the system.

### **Form 1.3 Peak Demand by Sector (Bundled Customers)**

Form 1.3 accounts for coincident peak demand by sector as well as for losses. The coincident peak is the sector peak at the time of the distribution area peak. Reported losses should be calculated at the generation busbar and include distribution, transmission, and unaccounted-for energy. Peak demand for residential and commercial sectors should, if possible, be separated into base load or weather-sensitive peak demand.

UDCs should also show the amount of migrating load assumed in the forecast. Investor-owned utilities (IOUs) should use this form to show the amount of load expected to be gained in newly developed areas, or lost to municipalized load or community choice aggregation. Publicly owned utilities (POUs) should identify expected load growth or loss from migrating load or newly developed areas included in their base forecast.

### **Form 1.4 Distribution Area Peak Demand**

Form 1.4 is for the entry of peak demand and losses at the time of the distribution system peak by type of customer, where the categories provided are:

- Coincident peak demand and losses of bundled customers (from Form 1.3).
- Coincident peak demand and losses of direct access customers.
- Coincident peak demand and losses of CCA entities.
- Coincident peak demand and losses of other publicly owned departing or departed load (such as irrigation districts) that are still in the distribution area.

Losses entered should represent total transmission and distribution losses at the point of generation, as well as any other unaccounted-for losses in the system.

### **Form 1.5 Peak Demand Weather Scenarios**

This form records distribution area peak demand forecasts under high-temperature conditions. The cases, referred to as 1-in-5, 1-in-10, 1-in-20, and 1-in-40, refer to peak demand under temperature conditions that have a 20 percent, 10 percent, 5 percent, and 2.5 percent chance of being met or exceeded, respectively. These conditions should be contrasted with the 1-in-2 baseline temperature condition that has a 50 percent chance of being met or exceeded.

### **Form 1.6a and 1.6b System Hourly Loads**

Form 1.6a reports actual system hourly loads and losses for 2015 and 2016 and forecasted hourly loads for 2017. Hourly system loads are to be reported in MW. UDCs should provide a brief explanation of how loads were measured including the timing of



hourly readings such as the beginning of the hour, the ending of the hour, or integration within the hour. If complete loads for 2016 are not yet available, filers are asked to submit at least through September 30, 2016.

Hourly loads should reflect integrated end-user load and the effects of demand-side programs, excluding private supply. IOUs are asked to report bundled and unbundled loads and losses separately. For historical years only, provide the estimated amount of curtailed load resulting from the triggering of demand response and interruptible programs. Additionally, UDCs are asked for estimates of actual outages by hour.

Form 1.6b is for reporting hourly loads for the same years as Form 1.6a but at a more disaggregate level of geography. The zones used should be climate zones or other geographic subareas used for transmission planning studies or rate making (if applicable to the respondent).

### **Form 1.6c Residential Load Shapes**

Form 1.6c is for reporting average electric demand over each 15 minute interval per hour for retail residential customers for 2014 and 2015 and classified by the following variables: forecast zone, housing type, space-heating fuel, and usage level.

Forecast zone should be based on the ZIP Code to forecast zone as specified by the Energy Commission. Housing types should be limited to single- and multi-family units, while space heating fuel should be limited to either electric or gas. Usage level categorizes annual energy usage into three categories: low, medium, and high. Loads reported on this form should reflect the average electric demand over each 15 minute interval per hour of a year, averaged over all accounts for each combination of forecast zone, housing type, and space-heating fuel. For each combination of these variables, provide the total number of accounts averaged over the year along with the average, median, and the standard deviation of annual electricity usage.

### **Form 1.6d Non-Residential Load Shapes**

Form 1.6c is for reporting average electric demand over each 15-minute interval per hour for retail non-residential customers for 2013, 2014, and 2015 and classified by the following variables: forecast zone, NAICS category, and utility electric tariff.

Forecast zone should be based on the ZIP Code to forecast zone as specified by the Energy Commission. NAICS category will be based on a NAICS code to NAICS category file as specified by the Energy Commission. Loads reported on this form should reflect the average electric demand over each 15-minute interval per hour of a year, averaged over all accounts for each combination of forecast zone, NAICS category, and utility electric tariff. For each combination of these variables, provide the total number of accounts averaged over the year along with the average, median, and the standard deviation of annual electricity usage.

## **Forms 1.7a Through 1.7c Private Supply Annual Peak and Energy**

Forms 1.7a through 1.7c are for the reporting of local private supply by sector or customer class and technology type. These forms represent the UDC's estimate of total private supply in the distribution area. Form 1.7a focuses on annual energy, Form 1.7b on annual peak demand coincident with the distribution area peak, and Form 1.7c on cumulative installed capacity. Energy and peak load estimates should reflect how facilities are expected to operate, not simply installed capacity or potential energy.

Policy decisions to pursue large goals of rooftop PV or other DG on the customer side of the meter, such as combined heat and power (CHP) or cogeneration implies the need for documentation of these influences on the *IEPR* demand forecast. Private supply includes self-generation, DG on the customer side of the meter, "over-the-fence" sales from a CHP facility, or wheeling from a CHP facility to a final user.

Given the wide range of differences in technology, cost, market maturity, and operating mode, Forms 1.7a through 1.7c require an explicit breakout by technology type. In addition to PV technology, other technologies that could be used to meet a portion or all of onsite electricity demand include microturbine, fuel cell, combustion turbine, and internal combustion engine. Each technology, in turn, can be differentiated by the use of renewable or nonrenewable fuel. CHP is traditionally thought of as the simultaneous production of mechanical energy, which may be used to generate electricity and useful heat. Settings where the self-generator does not make productive use of the recovered heat but only uses the technology to generate electricity may be considered as falling under the broader scope of DG. To properly capture such variation in technology use, each form has a section for PVs, CHP by technology type, and an "other" section for technologies that are not a PV system or operating as a CHP plant, such as wind turbines. Please indicate whether the installed capacity reported on these forms reflects nameplate rating or some other rating scheme.

LSEs may provide additional forms if they wish to show other categories (for example, fuel type and consumption) of energy, peak demand, or installed capacity in their filing.

## **Form 1.8 Photovoltaic Interconnection Data**

California Energy Commission staff has typically relied on program data, such as the California Solar Initiative (CSI), to track behind-the-meter customer-owned PV. Recently, the CSI rebates are either expired or reduced to the extent that customers install systems without participating in an incentive program. As a consequence, the CSI program data are no longer a comprehensive source for tracking PV installations. For this reason, Energy Commission staff requests utility interconnection data. Specifically, UDCs are required to report the total number and total capacity of customer-owned, behind-the-meter, interconnected PV systems, aggregated by ZIP Code, interconnection date, and customer class. This data is requested for 2012 through 2016.

Specific variables to be reported include:

- Five-digit ZIP Codes in which systems were interconnected.
- Year and month in which projects received approval to interconnect.
- Total number of systems interconnected.
- Total capacity of interconnected systems in kilowatt (based on Energy Commission alternating current ratings).
- Customer sector installing systems.

## **Form 2 Electricity Forecast Input Assumptions**

Electricity demand forecasts are based in part on projections of economic and demographic variables. Document these projections on Forms 2.1 through 2.4. UDCs may provide these variables in their own format as long as the equivalent information is provided and the variables are clearly labeled. The deflator series used to convert variables from nominal to real values should be provided in these forms. If different deflators are used for different variables, each deflator series should be provided.

UDCs should document the methods used to develop the economic and demographic projections, including historical data sources, projected data sources, appropriateness of source for forecast and a discussion of the plausibility of those projections in the Form 4 methodology report.

### **Form 2.1 Economic and Demographic Variables**

Form 2.1 documents economic and demographic variables that are used directly in an LSE's energy demand forecast models. Examples include employment and output by industry, local population, and population by age groups, households and/or housing by type, and taxable sales.

Only those variables actually used to develop the forecast need be reported. UDCs, particularly those with large geographic planning/service areas, should provide any sub-utility regional breakdowns of population and income projections used in the development of the economic, demographic, or energy forecasts. Sub-utility regions may be individual counties, groups of counties, and/or weather zones.

It must be emphasized that variables need to be precisely defined. For example, population estimates should be accompanied by an identification of the source of the estimates and whether the estimates are midyear or end of year and whether the estimates are for total population, civilian population, household population, or other subgroups.

## **Form 2.2 Electricity Rate Forecast**

Form 2.2 allows for the reporting of projected retail electricity rates to develop the forecast. The rate forecasts should be reported using the same customer sectors or classes as Form 1.1. If forecasted rates are not available, report historic and current year estimates. Prices should not include local taxes and may be presented in nominal dollars, or real dollars including the deflator. If the rate projections are derived from a specific resource supply plan, those plans should be documented or referenced.

## **Form 2.3 Customer Counts and Other Inputs**

Form 2.3 provides recorded and projected customer counts by major customer sector as used to develop the forecast. Customer counts should reflect end-users with whom the UDC has a generation services relationship. For example, an IOU should not report all customers in its service area, but only the bundled service customers. The most convenient and consistent series is acceptable, but a narrative should explain the units reported and whether the annual values are derived from a specific point in time, a specific month, an average of months across the year, or another method.

## **Load Migration Drivers and Other Assumptions**

Economic, demographic, and energy price projections may not exhaust all variables used by the participant to "drive" the energy demand forecast model(s). In particular, UDCs should identify the data used to project expected load migration. Some utilities may evaluate such factors as the amount and zoning of undeveloped land within the boundaries of the utility district; local residential, commercial, and industrial development policies; local population and income trends; annexation policies; and the general plan of the municipality. If other input assumptions affect the forecast, it is critical that they be documented. Additional narrative and spreadsheets can be provided as appropriate.

## **Form 3 Demand Side Management Program Impacts**

This section of the forms and instructions summarizes the format requirements for reporting energy and coincident peak impacts of conservation, load shifting, demand response, and DG and renewable programs that are expected to be achieved by the reporting UDC. The impacts reported on this form should be incremental to DSM considerations embedded in the UDCs unmanaged demand forecast described by Forms 1.1 through 1.5.

Peak impacts should represent the expected impact at the time of distribution area peak. Alternatively, UDCs may report average impacts during their peak period. Each UDC should document what the peak impacts represent and which hours it considers its peak period.

These forms request data by market sector, such as residential, commercial, industrial, and agricultural. UDCs may modify the sectors used as needed to be consistent with the UDC analysis and forecasting methods.

Documentation of the method used to estimate impacts for each program should accompany these and are to be presented in Form 6.

### **Form 3.2 Incremental Energy Efficiency Impacts**

Form 3.2 reports the estimated cumulative impacts resulting from programs or policies that are incremental to those considered in the unmanaged demand forecast, but that may still be considered reasonably likely to occur, particularly in pursuit of goals established by regulatory agencies. The combined impacts reported on this form should be consistent with those reported in compliance with the *2017 Forms and Instructions for Submitting Electricity Resource Plans*.

### **Form 3.3 Incremental Distributed Generation Impacts**

Form 3.3 reports the expected energy and coincident peak impacts of customer-side-of-the-meter renewable and DG programs, including cogeneration through the use of technologies such as an internal combustion engine, turbine, microturbine, photovoltaic, wind, and fuel cell. This should include any program that results in displaced utility sales to the end-user through self-generation or DG. Self-generation or DG that adds power to the grid should be reported in resource plans.

In particular, IOUs should report projected impacts of the Self-Generation Incentive Program and the CSI. POU's should include impacts of current solar and other renewable programs and planned programs to comply with Senate Bill 1 (Murray, 2006, Chapter 132, Statutes of 2006). Public utilities should also include impacts of current and planned programs to promote renewable and nonrenewable self-generation, including cogeneration.

Energy and peak impacts are reported as DG facilities that are expected to operate, not based on installed capacity or potential energy. This accounts for interaction with retail electricity rates, fuel prices, and how end-users choose to operate these facilities.

### **Form 3.4 Incremental Demand Response Impacts**

Form 3.4 is for reporting expected coincident peak impacts for each demand response programs. The term “demand response” encompasses a variety of programs, including traditional direct control (interruptible) programs and price-responsive programs. Therefore, programs are identified as *dispatchable* or *non-dispatchable*.

*Dispatchable programs* are defined here as programs with triggering conditions that the customer does not control and cannot anticipate, such as direct control, interruptible tariffs, or demand bidding programs. Programs with triggering conditions are dispatchable whether they are a day-of or day-ahead trigger, and whether the trigger is

economic or physical. LSEs should treat energy or peak load saved from dispatchable programs as a resource and not a reduction to the demand forecast.

*Non-dispatchable* programs are not activated using a predetermined threshold condition but allow the customer to make the economic choice whether to modify usage in response to ongoing price signals. Impacts from non-dispatchable programs should be included in the demand forecast. For example, load reductions at on-peak hours subtracted from the “base” forecast and load building or load shifting in off-peak hours added to the “base” forecast.

## **Form 4 Demand Forecast Methods and Models**

Form 4 is for LSEs to document the electricity demand forecast methods, models, and data used to develop the submitted forecast forms. LSEs may include existing forecast model reports as an appendix to this form if this report includes the following required information.

LSEs should begin Form 4 by defining the area for which the forecast is developed identifying isolated loads and resale customers and describe how they are included or excluded from the forecast. Provide definitions of customer classes, including which rate classes are included in the categories for which forecasts are submitted.

After defining the forecast area and included customers, describe the methodology for forecasting electricity demand components such as end-uses, fuel types, or structure types. Include key forecast model structural equations, for example, econometric models, behavioral equations, or identities. For sector models developed using aggregate econometric methods, provide data for all dependent and independent variables, reporting all standard statistical parameters for econometric models. Algebraic variables and variable mnemonics should be clearly defined.

Last, discuss the reasonableness of differences between historical and forecasted growth patterns. Report the past performance of the forecasting method, including comparison of previous forecasts to actual annual weather-adjusted peak and energy demand; then discuss how the submitted forecast is reasonable in light of economic and demographic data, energy prices, demand-side-management technology and programs, state policy trends, and climate change.

### **Additional Forecast Detail**

The following are additional topics that should be addressed in forecast methodology discussion:

#### **Forecast Calibration Procedures**

Most forecasts are calibrated to historical energy consumption and peak demand. Provide a comprehensive description of the method of forecast calibration.

### **Economic and Demographic Data**

UDCs are required to provide documentation of the methods used to develop the economic and demographic projections reported in Form 2 and a discussion of the plausibility of those projections. They may include an economic and demographic methodology report as an appendix to this form. Documentation should include historical data sources, projected data sources, and reasoning of these sources for the forecast.

### **Historical Peak and Projected Peak Loads**

Describe the methods and data used to develop the historical and projected peak loads of sectors or customer classes reported in Form 1.3.

### **Energy and Peak Loss Estimates**

Forms 1.2, 1.3, and 1.4 include estimates of energy losses. Describe fully the method and data sources used to develop historical and forecast energy and peak losses. If the method uses a loss factor, specify what that factor is and discuss if that factor varies by year or by customer sector.

### **Estimates of Direct Access, Community Choice Aggregation, and Other Departed Load**

UDCs should describe the methods, assumptions, and data used to forecast direct access, community choice aggregation, and other departed load reported in Forms 1.2 and 1.4. These should include a list of current and projected ESP and CCA entities in the distribution utility's planning area.

IOUs should describe the methods and data used to account for expected migrating municipal load in their forecasts. Data used to account for migrating or newly departed municipal load should be reported in Form 1 or 2, as appropriate.

POUs and CCAs that anticipate load growth from newly acquired load should identify the areas in which they are acquiring load and describe the data sources used to account for that load growth.

### **Weather Adjustment Procedures**

Describe the process for adjusting the forecast to normal weather conditions and the sources of the meteorological data, including:

- Names and locations of the weather stations
- Weights used for each weather station
- Temperature variables used, such as daily maximum, heating and cooling degree days, or apparent temperature values
- Base values of the temperature variables used and annual data used in the adjustment process

UDCs should also describe the methods and assumptions used to develop the high-temperature cases (1-in-5, 1-in-10, 1-in-20, and 1-in-40) reported in Form 1.5. Provide a narrative discussion of the baseline peak temperature assumptions, how the high-temperature scenarios were developed, sources for the weather data, and the methods used to develop the temperature probability distributions. Include in the discussion any climate change considerations used to adjust the expected relationship between these scenarios.

### **Hourly Loads by Subarea**

If an LSE is submitting hourly loads for subareas of their service area in Form 1.6b, provide definitions of the reported subareas. Attach a file with geographic identifiers, such as ZIP Codes, that define the region covered by each zone. Also, describe the source of the data, if from metered load, or the methods used to develop estimates of the subarea loads.

### **Local Private Supply Estimates**

Describe fully the methods, assumptions, and data sources used to develop the estimates provided in Forms 1.7a through 1.7c. Because these are expected energy and on-peak effects, they require estimates of how facilities will actually be operated. Indicate the degree to which conservation efforts, financial incentives, and interruptible programs and negotiated rates have been incorporated into the self-generation forecast. Separate reports may be attached as long as these demand forms include a summary.

### **Energy Efficiency and Demand Side Management**

Explicitly discuss how energy efficiency and other demand-side impacts are incorporated into the final forecast for each sector. The description of how this is accomplished should be explicit for each sector, for energy and peak demand. Methods might include:

- Direct inclusion of use of end-use models and appropriate inputs characterizing the impacts of standards or programs.
- Calculation of the difference from an unmitigated forecast without program savings in the historical or forecast period and a forecast with both historical and forecast program savings included.
- Separately computed savings for programs from other analytic techniques with some or all of these savings subtracted from a “raw model output” to produce the final forecast.

### **Climate Change and Electrification**

The IEPR forecast includes the potential impacts of climate change and electrification that may cause forecasted demand to deviate from historical trends. UDCs are required to document any such considerations embedded within their own demand forecast,



including references to studies, plans, and other sources that support their assumptions.

## **Form 6 Incremental Demand-Side Program Methodology**

Form 6 is for providing a narrative description of the methodology used to determine DSM program impacts from Form 3, Demand-Side Program Impacts.

### **Efficiency Program Impacts**

Discuss how estimates for potential efficiency program impacts were derived in Form 3.2. List and provide documented studies or sources used to support these assumptions. Additionally, describe the method by which potential load impacts are reconciled with the UDC's demand forecast as reported in Form 1.

### **Demand Response Program Impacts**

Discuss how the estimates of peak impacts were derived for each program in Form 3.3. Describe assumptions about eligible population, participation rates, price elasticities, wholesale market conditions, and prices used to develop the projections. Describe the method used to develop estimates of non-dispatchable program impacts and the extent to which the forecast is consistent with recent program performance. For dispatchable programs, describe what criteria will be used in deciding whether to dispatch and how they will be operated to reduce the peak. For example, will the dispatch signal be sent each year to all or most customers, or only during emergencies, or on days when peak load passes a critical value?

### **Renewable and Distributed Generation Program Impacts**

Discuss how the estimates of energy and peak impacts for each program were derived in Form 3.4. In particular, detail the method and data used to project impacts of solar programs. Describe assumptions about eligible population, participation rates, price elasticities, fuel prices, wholesale market conditions, and prices used to develop the projections. Last, describe criteria used in deciding how to model customer decisions to use these facilities in peak shaving or baseload modes.

## **Form 7 Energy Service Provider and Community Choice Aggregator Demand Forecasts**

### **Form 7.1 Energy Service Provider Loads and Resources under Contract**

For each utility distribution area in which it serves load, each ESP should provide a projection of annual sales and peak demand for load currently under contract, for as many years as they have any contracted load. The submitted load forecast should correspond to the loads the ESP will report in the resource plan data request. ESPs may also choose, but are not required to provide, a forecast of expected load if that approach

will be more consistent with the submitted resource information. Forecasts should not include reserve margins.

The variables to be reported, by utility distribution area, are:

- Annual metered sales in MWh, for customers under contract, before any losses.
- Annual peak demand in MW, including distribution losses, comparable to settlement data.
- Customer counts—residential and nonresidential. Note whether the units reported are number of customers or number of accounts, and whether the annual values represent a specific point in time, a specific month, or an average of months across the year.

### **Form 7.2 Community Choice Aggregator Load Forecast**

Each CCA should provide projections of annual sales, peak demand, and customer counts for the service territory in which it offers generation services identifying the UDC providing distribution services. Using Form 4 as a guide, CCAs should provide narrative description of their forecast methodology, including assessments of energy efficiency programs, distributed resources, or any other programs or technologies that may impact long-term forecasts of electricity demand.

## **Form 8 Retail Price and Rate Forms**

These forms gather financial data on electric costs, revenue requirements, and cost allocation.

### **General Instructions**

- Provide all financial data in nominal (current-year) dollars through 2028.
- Report all financial data in thousands of dollars and round off to the nearest thousand. For example, \$15,250 would be reported as \$15,000.
- Each LSE may use either fiscal year or calendar year data to report (or project) annual data. For LSEs that report based on a fiscal year, the “year” is the starting year of the fiscal year. Please note if the data are on a fiscal year basis, and the start and end dates used.

### **Form 8.1a Revenue Requirements by Major Cost Categories/Unbundled Rate Component**

Form 8.1a includes three separate forms: Form 8.1a (IOU), Form 8.1a (POU/CCA), and Form 8.1a (ESP). Investor-owned utilities are to complete Form 8.1a (IOU), publicly owned utilities are to complete Form 8.1a (POU), and retail energy service providers are to complete Form 8.1a (ESP).

## **Form 8.1a (Investor-Owned Utilities)**

This form requests each IOU's major costs in the recent past and estimates of major costs over the next 10 years. For 2015 through 2017, IOUs are requested to report their CPUC-authorized revenue requirements, not actual costs.

Form 8.1a (IOU) identifies 10 major revenue-requirement categories: Generation, Transmission, Distribution, Nuclear Decommissioning, Public Purpose Programs, California Department of Water Resources (DWR) Bond Charge, Ongoing Competitive Transition Charge, Regulatory Asset for Energy Recovery Bond (Pacific Gas and Electric Company (PG&E) Only), Taxes and Franchise Fees, and Other Costs Not Already Reported. The following instructions explain which financial information to report or project under each category.

### **Generation Revenue Requirements**

The IOUs must base their generation revenue requirements upon the same quantities and types of electricity supply that they reported to the Energy Commission in their electricity resource plan submittals, Forms S-1 and S-2. Generation revenue requirements include utility-owned generation and purchased power. Utility-owned generation costs distinguish between fuel and non-fuel revenue requirements. Fuel-related revenue requirements include fuel purchases and associated carbon allowance costs, transportation, and storage. Non-fuel revenue requirements are the sum of operations and maintenance expenses, depreciation, return on investment, and all other costs.

Utility-owned means generation built or acquired by the IOU that is either placed in the rate base or treated as a cost-based asset for rate recovery purposes. The utility-owned generation section is further subdivided into six types of power plants:

- Nuclear
- Conventional Hydroelectric
- Hydroelectric Pumped Storage
- Natural Gas-Fired Generation
- Coal
- Renewables Portfolio Standard (RPS) "Eligible" Renewables

Conventional hydroelectric generators and hydroelectric pumped storage facilities are defined here as facilities that do not qualify as eligible for California's RPS to avoid double counting of costs to avoid double counting of generating facilities that are both hydroelectric and "RPS Eligible Renewables." Natural gas-fired generation includes all utility-owned steam generation units, combined-cycle power plants, combustion turbines, and DG facilities.

For conventional hydroelectric generation, projected “fuel” costs are for water rights. “Fuel” costs for hydroelectric pumped storage are the energy costs associated with off-peak pumping.

For utility-owned generation that is natural gas-fired or coal-fired, report the average annual fuel price that was used to estimate generation-fuel revenue requirements. Report both of these fuel-price data series in dollars per million British thermal units. Also report the projected California carbon allowance price in dollars per million metric tons of carbon equivalent used to estimate future procurements costs.

RPS Eligible Renewables are electricity-generating facilities that use one or more types of renewable energy resources or fuels to operate and that meet the RPS eligibility criteria. IOUs may aggregate revenue requirement dollar amounts for all types of renewable energy facilities.

Form 8.1a (IOU) will subtotal each year’s projected costs for each type of utility-owned generation. In addition, it will subtotal the revenue requirement amounts for all types of utility-owned generation.

*Purchased Power* costs are requested for:

- DWR contracts: the total of all remaining DWR contracts.
- Qualifying facilities (QFs) costs, excluding QF contract expenses that are recovered through the Ongoing Competitive Transition Charge (CTC). These are reported in “Ongoing CTC” costs.
- Non-QF renewable resource costs.
- All other bilateral contracts, such as any other contracts for forward energy, capacity, or call or put options.
- Residual market transactions include energy-related short-term market activity such as short-term contracts (less than three months) and spot-market purchases.
- Payments to California ISO for market charges: report non-energy-related market participation costs such as grid management charges, ancillary services, and California ISO uplift costs.
- Other Resources: provide cost projections for any future power supplies not already reported in Form 8.1a as "Utility-Owned Generation" or as a type of "Purchased Power."

### **Transmission Revenue Requirements**

Report costs associated with Federal Energy Regulatory Commission-jurisdictional transmission assets for the following categories:

- Base Transmission Revenue Requirement includes transmission system operations and maintenance, depreciation, and return on investment. Report authorized revenue requirements and projected expenses for network improvements and large transmission projects identified in the five-year transmission plan with the California ISO. Beyond the term of the five-year plan, provide cost estimates only for transmission network improvements.
- Transmission Revenue Balancing Account Adjustment.
- Transmission Access Charge Balancing Account: reports amounts billed by the California ISO under the Transmission Access Charge structure to be recovered from retail customers.
- Reliability Services includes costs for exceptional dispatch and to operate reliability must-run generators for local voltage support.

### **Distribution Revenue Requirements**

This section of Form 8.1a (IOU) reports authorized revenue requirements and projected expenses for each IOU's CPUC-jurisdictional distribution assets.

"Base Distribution Revenue Requirement" includes operations and maintenance, depreciation and amortization, return on investment, and other costs collected in the distribution rate.

In addition, report authorized revenue requirements and projected costs to implement each of the following programs:

- Self-Generation Incentive Program
- Demand Response Program
- California Solar Initiative and successor programs such as the Multifamily Affordable Solar Housing and Single-Family Affordable Solar Housing programs
- Low Emission Vehicle/Infrastructure Programs

### **Nuclear Decommissioning**

IOUs with cost responsibility for decommissioning a nuclear power plant are requested to report authorized revenue requirements and estimated future costs.

### **Public Purpose Programs**

Report annual cost projections for implementing for programs funded by ratepayers through Public Purpose Program rates or related adjustment mechanisms:

- Low-income programs (including subsidies for medical/life-support equipment users)
- Energy efficiency programs
- Electricity Program Investment Charge

**DWR Bond Charge**

Provide projected annual costs for DWR revenue bond charges.

**Ongoing Competitive Transition Charge**

Each IOU is requested to project total annual costs to be collected through the ongoing competitive transition charge.

**Regulatory Asset for Energy Cost Recovery Bond (PG&E Only)**

Provide data on recent authorized revenue requirements and projected expenses for PG&E's energy cost recovery bonds.

**Taxes and Franchise Fees**

Please provide an annual estimate of future revenue requirements for taxes and franchise fees if not already reported in other revenue requirements. Taxes may include federal income, state corporation franchise, property, payroll, business, and Superfund taxes. Franchise fees are those levied by city and county governments.

**Other Costs Not Already Reported**

IOUs are requested to include a forecast of the total of any other costs not already reported.

**Total Revenue Requirements**

The spreadsheet template will add all of the separate costs to produce total revenue requirements. The spreadsheet also duplicates the annual values for total revenue requirements onto the top rows of Form 8.1b (Bundled) and Form 8.1b (Direct Access).

**Form 8.1a (Publicly Owned Utility/Community Choice Aggregator)  
Budget Appropriations or Actual Costs and Cost Projections by Major  
Expense Categories**

Through this form, Energy Commission staff seeks to learn recent historical and projected annual revenue requirements of POUs and CCAs (collectively LSEs). Some categories on this form are not expected to apply to CCAs. The form identifies three major cost categories: operating expenses, capital outlay, and debt service, plus appropriations from LSE revenues into reserve funds, city general funds, or other municipal accounts.

The following instructions define what financial information to report or project under each cost category. For 2015 through 2017, LSEs are requested to report their approved budget appropriations or actual costs, whichever data are more readily available.

**Operations Expenses**

Operating expenses are costs to operate and maintain power generation, transmission, and distribution systems and to provide billing and information services to customers. Governing boards or city councils adopt annual or biennial "operating expense" budgets

that appropriate electricity sales revenues (and other income) to pay these expenses. The same costs identified in the operating-expense budgets will be reported and projected in this section of the form.

Form 8.1a (POU/CCA) organizes operating expenses into two broad categories: operations and maintenance of power production, transmission, and distribution assets; and customer-related expenses.

## **Power Production**

Form 8.1a (POU/CCA) divides power-production expenses into two categories (utility-owned generation and power purchases):

### **Utility-Owned Generation**

Utility-owned generation expenses are costs for operating and maintaining electric generating facilities that were built or acquired by the LSE. Power plants built and jointly owned by multiple POUs through joint powers authorities (JPAs) are not included in this section. Similarly, if the LSE financed power plant construction through a subsidiary financing authority at that financing authority now has a power purchase agreement with the POU, that power plant is not “utility-owned generation.”

Report data on expenses for utility-owned generation using the following resource categories:

- Nuclear
- Conventional hydroelectric
- Hydroelectric pumped storage
- Natural gas-fired generation
- Coal
- Generation from renewable resources

Costs are divided into two subcategories:

- Fuel expenses
- Other operations and maintenance expenses

In addition to the fuel commodity (for example, natural gas), fuel expenses include emission allowance costs, labor for purchasing and handling fuel, payments for natural gas pipeline use or coal transportation services, payments for fuel-storage facilities, insurance, sales commissions, and residual disposal expenses. For hydroelectric facilities, fuel expenses include water purchases, payments for licenses or permits for water rights, and payments for riparian rights. For hydroelectric pumped storage facilities, fuel expenses include electricity costs for off-peak pumping.

For both natural gas-fired and coal-fired power plants, provide the fuel price forecasts used in dollars per million British thermal units. Also report the projected California carbon allowance price in dollars per million metric tons of carbon equivalent which was used to estimate future procurements costs. “Other Operations and Maintenance” expenses include labor costs for operating and maintaining the structures and equipment used for electricity generation, and for supplies and operating permits.

### **Power Purchases**

Power-purchase expenses are costs to the utility for electricity purchased for resale. They include net settlements for exchanges of electricity or power, such as economy energy, and for transactions under pooling or interconnection agreements.

#### *Federal Power*

Provide cost information for federal power purchases, such as purchases from the Western Area Power Administration or Bonneville Power Administration.

#### *Contracts with Joint Power Authority*

California’s POU’s have co-funded many power plant (and transmission line) projects through JPAs, including the Northern California Power Agency and the Southern California Public Power Authority. Provide JPA power-purchase costs for the following categories of generating facilities:

- Nuclear
- Coal
- Conventional Hydroelectric
- Natural Gas-Fired
- Renewable Resources

#### *Contracts with POU Subsidiaries*

POUs may have financed power plant construction through subsidiaries (for example, the Sacramento Municipal Utility District (SMUD) Financing Authority) rather than the POU itself issuing a revenue bond or another type of debt instrument. Provide annual costs for purchased power from these subsidiaries. If more than one power purchase agreement exists, report an aggregated total.

#### *Bilateral Contracts*

Bilateral contracts are legally enforceable agreements between an LSE and a supplier for electricity deliveries in the future, including forward energy, capacity, and tolling agreements. Report bilateral contracts for power supplies separately for the total of all renewable resource contracts and all other bilateral contracts.



### *Other Resources*

Under "Other Resources," provide cost projections for future power supplies not already reported in Form 8.1a as "Utility-Owned Generation" or as a type of "Purchased Power" because the ownership of these supplies is unknown at this time.

### *Surplus Power Sales Revenue*

Report as a negative value the expected revenue generated from selling energy which is not needed to meet retail load.

### **Transmission Expenses**

Form 8.1a (POU/CCA) provides three subcategories for reporting transmission expenses:

- Operations and maintenance of utility-owned transmission system
- Payments to JPAs for transmission investments or services
- Other transmission-related expenses

Operations and maintenance expenses of the utility-owned transmission system include the POU's cost of labor, materials, and other costs of operating and maintaining utility-owned transmission facilities.

California's POUs have co-funded transmission line projects through JPAs, including the Transmission Agency of Northern California and the Southern California Public Power Authority. POUs are requested to report their annual payments to JPAs for these transmission investments/services. These expenses represent a POU's share of operating expenses, capital costs, and long-term debt service for JPA-owned transmission projects, as well as other services.

POUs may use "other transmission-related expenses" to document costs for transmitting POU electricity over transmission facilities owned by others, such as the Western Area Power Administration, IOUs, and other private-sector owners.

### **Distribution Expenses**

POUs' distribution expenses include the cost of labor, materials, and other supplies and services for operating and maintaining utility-owned distribution facilities. Distribution facilities include substations, line transformers, voltage regulators, poles, overhead and underground lines, utility-owned streetlights and signals, and meters.

Each POU is requested to provide an aggregate of all its distribution-related operations and maintenance expenses (recent historical and projected).

### **Customer-Related Expenses**

Provide an annual total for all customer-related service expenses. Customer-related expenses include the cost of activities such as meter-reading, billing, service connections and disconnections, and advertising. Do not include expenses incurred to implement the LSE's public benefit programs.

**General and Administrative Expenses**

General and administrative expenses include salaries and wages for officers and employees who provide services not assignable to a specific utility function. For POU's that are electric departments, general and administrative expenses also include fund transfers for services provided to the electric department by other city departments.

**Public Benefit Programs**

Report costs to implement the following categories of public benefit programs:

- Low-income rate discounts and energy efficiency services
- Energy efficiency programs (excluding procurement)
- California Solar Initiative
- All other public benefit programs

**Energy Efficiency Expenses From Procurement Budget**

Expenses for energy efficiency programs paid from the generation or procurement budgets should be reported here.

**Operating Expenses Not Already Reported**

Form 8.1a (POU/CCA) includes this section for POU's to report and forecast all other operating expenses, if any.

**Capital Improvement Plan Projects**

This section requests approved budgets associated with long range capital improvement plans for expenditures funded by utility revenues rather than debt instruments. Capital project expenditures are requested for four categories:

**Generation**

Capital expenditures for utility-owned generation include the cost for land and land rights, structures and improvements, the installed cost of all power plant equipment, and asset retirement costs. Hydroelectric capital expenditures also include the cost of dams, reservoirs, and waterways.

**Transmission**

Capital expenditures for the utility-owned transmission system include land and land rights, structures and improvements, and the installed cost of station equipment, towers and fixtures, poles and fixtures, overhead conductors and devices, underground conduit, underground conductors and devices, roads and trails, and asset retirement costs.

**Distribution**

Capital expenditures for the utility-owned distribution system include land and land rights, structures and improvements, and the installed cost of station equipment, poles,

towers and fixtures, overhead conductors and devices, underground conduit, underground conductors and devices, line transformers, meters, street lighting and signal systems, and asset retirement costs. Report expenditures on this line for all distribution system capital improvement projects except deployment of advanced metering systems.

#### **Distribution Cost Detail on Advanced Metering System Projects**

If applicable, report a breakout of recent and projected capital expenses to deploy advanced metering systems.

#### **All Other Capital Improvement Projects**

Please report the sum of all other types of capital improvement project expenditures in this section, including capital improvement costs associated with public benefit programs. Also add a footnote at the bottom of this form that explains that the reported amount includes capital costs for public benefit-related projects.

#### **Debt Service**

Debt service is the sum of an LSE's repayments of principal and interest due each year on its outstanding long-term debt (for example, revenue bonds) and commercial paper notes, and trustee fees and debt issuance costs.

#### **Reserve Fund Contributions**

LSEs make annual contributions to various reserve funds, such as rate stabilization funds, insurance and accident reserve funds, bond payment reserve funds, and credit support collateral reserve funds. Please provide a total of all contributions to various reserve funds.

#### **Transfers to City General Fund, Payments in Lieu of Taxes, and Other Fees**

When a POU is an enterprise business within a municipal governmental, the city charter may direct the electric utility department to make annual contributions to the city's general fund. Such contributions may also be referred to as "Payments in Lieu of Taxes." POUs may also pay other municipal fees, such as "right-of-way" fees.

Provide recent historical and an annual forecast of annual payments to the city general fund and other municipal fees. For POUs that are electric departments, do not include fund transfers to other city departments for general and administrative services. Instead include such transfers in the general and administrative line of the Operating Expenses section.

#### **Form 8.1a (Energy Service Provider)**

The Energy Commission requests each ESP to provide data on historical and future power-supply costs to serve existing direct access customers. Provide an annual estimate of historical and future costs for all supply contracts, reported by two categories:

- Bilateral contracts, including contracts for energy and/or capacity entered into before the delivery time; bilateral contracts include capacity-only contracts to meet resource adequacy requirements
- Residual market transactions including short-term (less than three months) or spot-market purchases of electricity

### **Form 8.1b (Bundled)**

Form 8.1b (Bundled) reports the allocation of revenue requirements among bundled-customer classes. Report allocation to the generation and distribution rate components and the aggregation of all other revenue requirement categories (for example, transmission and public purpose programs). Report the allocation for the following classes of bundled customers:

- Residential/Domestic
- Commercial
- Industrial
- Agricultural
- All other customer classes (for example, street lighting)

The customer classes listed above match those used by Energy Commission staff to forecast electrical demand; however, they may not match how some utilities define their commercial and industrial customer classes. Use rate schedules for small and medium-sized customers as the proxy for all “commercial” customers and rate schedules for large customers as the proxy for “industrial” customers. Alternatively, LSEs may modify the class categories to be consistent with the classes used on their submitted demand forecast.

### **Form 8.1b (Direct Access)**

Respondents are requested to complete Form 8.1.b (Direct Access) by projecting the annual total of revenue requirements they intend to collect from direct access customers, if applicable. Respondents that do not have direct access customers do not need to fill out this form. Report the portion of annual revenue requirements intended for collection from residential and nonresidential customers.

### **Form 8.2 Utility Residential Electricity Sales by Baseline Percentages**

Residential customers from some California utilities buy electricity under a tiered pricing structure. Tiers are defined as percentages of a daily baseline amount, which may vary by geographic region (baseline territory). Respondents whose residential customers do not face a tiered rate structure need not fill out Form 8.2.

Data provided under Form 8.2 will enable Energy Commission staff to study the distribution of electricity-sales by residential customers. Form 8.2 is not intended to determine how many kWh are sold at each tier level.

These data are to be provided for both “all-electric” and “basic-use” customers separately. Each respondent should complete both versions of Form 8.2 by providing the number of residential customers and their corresponding electricity sales data for 2015 and 2016 in 10 percent increments of baseline quantity up to 300 percent, and 50 percent increments beyond 300 percent by month for each baseline territory. To illustrate, the number of customers in the 60 through 70 percent baseline cell should include only those customers with monthly use greater than 60 percent but not exceeding 70 percent of the allocated baseline quantity. The corresponding kWh figure reported in this category should represent the total energy used by those customers.

# Acronyms and Abbreviations

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Acronym/Abbreviation	Original Term
<i>2017 IEPR</i>	<i>2017 Integrated Energy Policy Report</i>
AC	Alternating Current
California ISO	California Independent System Operator
CCA	Community choice aggregator
CCR	California Code of Regulations
CHP	Combined heat and power
CPUC	California Public Utilities Commission
CSI	California Solar Initiative
CTC	Ongoing Competitive Transition Change
DG	Distributed generation
DSM	Demand-side management
DWR	California Department of Water Resources
Energy Commission	California Energy Commission
ESP	Energy service provider
GWh	Gigawatt-hours
<i>IEPR</i>	<i>Integrated Energy Policy Report</i>
IOU	Investor-owned utility
JPA	Joint Powers Authority
kW	Kilowatt
kWh	Kilowatt-hour
LSE	Load-serving entity
MW	Megawatt
NAICS	North American Classification System
PG&E	Pacific Gas and Electric Company
POU	Publicly owned utility

<b>Acronym/Abbreviation</b>	<b>Original Term</b>
PRC	California Public Resources Code
PV	Photovoltaic
QF	Qualifying facility
RPS	Renewables Portfolio Standard
SMUD	Sacramento Municipal Utility District
UDC	Utility distribution company

# Definitions

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**Bundled Customers:** Customers who receive both distribution and generation services from the same LSE.

**Cogeneration:** An arrangement whereby a utility or customer-owned facility sequentially produces thermal energy for process heat or space conditioning use and electrical energy for private use, or for sale to an electric utility, or some combination thereof.

**Customer Sectors:** Customer sectors used by the Energy Commission are defined using the following NAICS categories.

	NAICS
Residential: private households, including single and multiple family dwellings.	RE00-RE39, 001-003, and 814
Commercial	115, 326212, 42, 44-45, 48841, 493, 512, 514, 518-519, 52-55 (excluding 5324), 561, 61, 62, 71, 72, 81 (excluding 814), and 92 (excluding 92811)
Industrial	11331, 21 and 23 (excluding 22131); 31-33, and 511
Agricultural	111, 112, 113, and 114
Water Pumping	22131
Transportation, Communication, Utility (TCU)	221, 48, 49 (excluding 493), 513, 517, 5324, 562, and 92811
Street Lighting	9225, 9226, and 925190

**Distributed Generation:** Electricity production that is on-site or close to the load center and is interconnected to the utility distribution system. Large generation facilities (such as qualifying facilities) that interconnect to the utility at transmission voltages would not be considered distributed generation.

**Electricity Consumption:** The amount of electricity used to provide energy services through both utility sales and local private supply of electricity.

**Load-Serving Entity:** An umbrella term encompassing all entities that provide generation services to end-users, whether or not it owns or operates a distribution system. Examples are traditional investor-owned utilities, municipal utilities, energy service providers permitted to operate under applicable law, community choice aggregators permitted to operate under AB 117, and all other entities that serve end-use loads.



**Local Private Supply:** Local private supply is supply from self-generation, customer-owned distributed generation, private sales "over-the-fence" from a cogeneration facility, or wheeling from a cogeneration facility to a final user.

**Self-Generation:** Any generation of electricity by a final user for his own use, regardless of the technology used. The portion of cogeneration retained for the customer's own use is self-generation even if this is a small portion of overall facility output.

**Utility Distribution Company:** A utility that owns and/or operates an electricity distribution system that interconnects end user loads with a generator serving more than one end user load or the interconnected transmission grid.

# **APPENDIX A:**

## **Confidentiality Applications**

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### **Repeated Applications for Confidentiality**

Information submitted to the Energy Commission can be deemed confidential without the need for a new application under CCR, Title 20, Sections 2505(a)(1)(G) and 2505(a)(4) if you file a certification under penalty of perjury that the new information is substantially similar to the previously granted confidentiality.

In this case, your current application will serve as your certification and the designation of confidentiality will be under the same terms as the prior designation. The information will remain confidential under the same terms as the prior designation for the same or comparable period of time identified by the applicant in the application. When submitting substantially similar information, you may take advantage of the repeated application process by providing a certification along with the data.

### **How to Request Confidentiality**

The Executive Director of the Energy Commission has responsibility for determining what information submitted with an application for confidentiality will be deemed confidential. Parties who seek such a designation for data they submit must make a separate, written request that identifies the specific information and provides a discussion of why the information should be protected from release, the length of time such protection is sought, and whether the information can be released in aggregated form.

Certain categories of data provided to the Energy Commission, when submitted with a request for confidentiality, will be automatically designated as confidential and do not require an application. The types of data that are eligible and the process for obtaining this confidential designation are specified in CCR, Title 20, Section 2505(a)(5). The Energy Commission has its own regulations distinct from those governing the CPUC, and CPUC determinations on confidentiality are not applicable to data submitted to the Energy Commission.

Parties should be aware that some confidential data may be disclosed after aggregation according to CCR, Title 20, Section 2507(d) or (e). Both historical and forecast energy sales data may be disclosed if reported at the following levels:

For individual ESPs, data may be aggregated at the statewide level by major customer sector.

For the sum of all ESPs, data may be aggregated at the service area, planning area, or statewide levels by major customer sector.

For the total sales of the sum of all electric retailers, data may be aggregated at the county level by major generator, utility, and ESP groups as these groups are defined by the U.S. Census Bureau in their NAICS tables.

Data that are not included in these categories, but that the filer believes are entitled to confidential treatment, should be submitted when due along with an application for confidential designation so that the Executive Director can review the information and make a determination about its confidential status. To do this, please carefully read and follow the instructions.

### **What a New or Repeated Confidentiality Application Must Have**

Applications for confidentiality and the confidential documents must be uploaded directly to Dockets through the e-filing system. Paper copies or compact discs do not need to be submitted. Links to the e-filing system are provided on each proceeding's webpage under the link "**Submit e-filing.**" Registration is necessary the first time documents are uploaded. Once registration is complete, to submit a confidential filing click on **Quick Actions** from the **DASHBOARD** and select **Submit Confidential e-filing** from the dropdown tab. The application needs to be uploaded first, followed by the confidential materials. The application will then be acted upon by the Executive Director in consultation with the Chief Counsel of the Energy Commission. (Section 2505, subd. (a))

**Table A-1: 2017 IEPR Subdockets**

17-IEPR-01	General/Scope
17-IEPR-02	Electricity Resource Plans
17-IEPR-03	Electricity and Natural Gas Demand Forecast

Source: California Energy Commission

- A signed "penalty of perjury certification" must be included in the application. Suggested standard language is as follows:

I certify under penalty of perjury that the information contained in this application for confidential designation is true, correct, and complete to the best of my knowledge. I also certify that I am authorized to make the application and certification on behalf of (ABC Utility or Corporation).

- For electronic filings containing a signature, including for submissions into electronic data bases requiring a signature as attestation of information, the signature may be in electronic form and represented as a scanned signature graphic, or "Original Signed By", "/S/", or similar notation followed by a typewritten name.

## **What a New or Repeated Confidentiality Application Must Include**

A complete application for confidentiality contains the following information:

- Identification of the information being submitted, including docket number, title, date, and size (for example, pages, sheets, megabytes).
- Description of the data or information for which confidentiality is being requested (for example, particular electricity supply contract categories for particular years).
- On Excel forms submitted with prospectively confidential data, identification of specific cells using yellow fills that are consistent with the confidentiality application.
- A clear description of the period for which confidentiality is being sought for each information category (for example, until December 31, 2017).
- An appropriate justification for each confidential data category request, including applicable provisions of the California Public Records Act (Government Code Section 6250 et seq.) and/or other laws.
- A statement attesting that a) the specific records to be withheld from public disclosure are exempt under provisions of the Government Code, or b) the public interest in nondisclosure of these particular facts clearly outweighs the public interest in disclosure.

## **What happens if a New or Repeated Application is Incomplete**

Applications that are docketed will be reviewed by Energy Commission staff within 30 calendar days of receipt for clarity, completeness, content, and context. If the application is incomplete or ambiguous in one or more respects, or if the data are incomplete or questionable, staff will contact the filer to resolve these uncertainties or obtain needed information.

Staff may append data and information to the supply forms as requested by the filer. Also, an updated or corrected Excel file may be forwarded by the filer as necessary. Where an application is unclear or incomplete, a filer may submit a corrected replacement application for confidentiality. By arrangement, a corrected application may be submitted electronically to the Docket Office. Once a docketed application is considered complete, staff prepares a recommendation for determination by the Executive Director.

Applications deemed incomplete may not be docketed by Energy Commission staff and may result in delay in processing until the deficiency can be corrected. The filer will be notified by the Office of the Chief Counsel about deficient attributes in the application. The applicant has 14 calendar days to correct defects in the application and return an amended application to the Energy Commission.

After 14 days, all information associated with a still-incomplete application for confidentiality will be deemed publicly disclosable and will be docketed accordingly.

### **Determinations and Additional Information for New Applications**

The Executive Director signs confidentiality determination letters in response to New Applications for Confidentiality. The applicant has 14 calendar days to appeal this decision.

An applicant can request confidentiality at any time, but once information is publicly released, confidentiality cannot be granted. The Energy Commission strongly encourages filers to provide data and any confidentiality requests concurrently.

More specific questions about confidentiality may be directed to Michelle Chester at [Michelle.Chester@energy.ca.gov](mailto:Michelle.Chester@energy.ca.gov) or (916) 654-4701 or to Jared Babula at [Jared.Babula@energy.ca.gov](mailto:Jared.Babula@energy.ca.gov) or (916) 654-3843.