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STATE OF CALIFORNIA

Energy Resources
Conservation and Development Commission

In the Matter of:

Petition For Amendment for the
**PALEN SOLAR ELECTRIC
GENERATING SYSTEM**

DOCKET NO: 09-AFC-7C

**PALEN SOLAR HOLDINGS, LLC
AND CEC STAFF STIPULATION
REGARDING CERTAIN CONDITIONS
OF CERTIFICATION**

California Energy Commission (CEC) Staff and Palen Solar Holdings, LLC (PSH) hereby stipulate to an agreement that the Committee should incorporate the following Conditions of Certification into the Amended Final Decision for the Palen Solar Electric Generating System (PSEGS). The Conditions of Certification that are the subject of this Stipulation include:

- Facility Design
- Hazardous Materials
- Geology/Paleontology
- Land Use
- Noise
- Public Health
- Socioeconomics
- Soil & Water Resources
- Transmission Line Safety and Nuisance
- Visual Resources
- Waste Management
- Compliance
- Cultural Resources

- Transmission System Engineering

Pursuant to direction of the Committee at the evidentiary hearing on October 29, 2013, PSH and Staff have prepared the attached Stipulated Conditions of Certification showing all agreements and noting those Conditions of Certification which are disputed.

Staff and PSH are continuing to work on preparing a second Stipulation relating to the Conditions of Certification for the following topic areas.

- Traffic and Transportation
- Worker Safety and Fire Protection
- Biology
- Air Quality

The undersigned hereby stipulate that the following attached Conditions of Certification reflect the agreements between PSH and Staff.

Dated: November 13, 2013



Scott A. Galati
Counsel to Palen Solar Holdings, LLC



Jennifer Martin-Gallardo
CEC Staff Counsel

PROPOSED CONDITIONS OF CERTIFICATION

GEN-1 The project owner shall design, construct, and inspect the project in accordance with the 2010 California Building Standards Code (CBSC), also known as Title 24, California Code of Regulations, which encompasses the California Building Code (CBC), California Building Standards Administrative Code, California Electrical Code, California Mechanical Code, California Plumbing Code, California Energy Code, California Fire Code, California Code for Building Conservation, California Reference Standards Code, and all other applicable engineering LORS in effect at the time initial design plans are submitted to the CBO for review and approval (the CBSC in effect is the edition that has been adopted by the California Building Standards Commission and published at least 180 days previously). The project owner shall ensure that all the provisions of the above applicable codes are enforced during the construction, addition, alteration, moving, demolition, repair, maintenance, or closure of the completed facility. All transmission facilities (lines, switchyards, switching stations and substations) are covered in the conditions of certification in the **TRANSMISSION SYSTEM ENGINEERING** section of this document.

In the event that the initial engineering designs are submitted to the CBO when the successor to the 2010 CBSC is in effect, the 2010 CBSC provisions shall be replaced with the applicable successor provisions. Where, in any specific case, different sections of the code specify different materials, methods of construction or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall govern.

The project owner shall ensure that all contracts with contractors, subcontractors, and suppliers clearly specify that all work performed and materials supplied comply with the codes listed above.

Verification: Within 30 days following receipt of the certificate of occupancy, the project owner shall submit to the CPM a statement of verification, signed by the responsible design engineer, attesting that all designs, construction, installation, and inspection requirements of the applicable LORS and the Energy Commission's decision have been met in the area of facility design. The project owner shall provide the CPM a copy of the certificate of occupancy within 30 days of receipt from the CBO.

Once the certificate of occupancy has been issued, the project owner shall inform the CPM at least 30 days prior to any construction, addition, alteration, moving, demolition, repair, or maintenance to be performed on any portion(s) of the completed facility that requires CBO approval for compliance with the above codes. The CPM will then determine if the CBO needs to approve the work.

GEN-2 Before submitting the initial engineering designs for CBO review, the project owner shall furnish the CPM and the CBO with a schedule of facility design submittals, and master drawings and master specifications list. The master drawings and master specifications list shall contain a list of proposed submittal packages of designs, calculations, and specifications for major structures, systems, and equipment. Major structures, systems, and equipment are structures and their associated components or equipment that are necessary for power production, costly or time consuming to repair or replace, are used for the storage, containment, or handling of hazardous or toxic materials, or could become potential health and safety hazards if not constructed according to applicable engineering LORS. The schedule shall contain the date of each submittal to the CBO. To facilitate audits by Energy Commission staff, the project owner shall provide specific packages to the CPM upon request.

Verification: At least 60 days (or a project owner- and CBO-approved alternative time frame) prior to the start of rough grading, the project owner shall submit to the CBO and to the CPM the schedule, and the master drawings and master specifications list of documents to be submitted to the CBO for review and approval. These documents shall be the pertinent design documents for the major structures, systems, and equipment defined above in Condition of Certification **GEN-2**. Major structures and equipment shall be added to or deleted from the list only with CPM approval. The project owner shall provide schedule updates in the monthly compliance report.

GEN-3 The project owner shall make payments to the CBO for design review, plan checks, and construction inspections, based upon a reasonable fee schedule to be negotiated between the project owner and the CBO, in accordance with the 2010 CBC. These fees may be based on the value of the facilities reviewed; may be based on hourly rates; or may be otherwise agreed upon by the project owner and the CBO.

Verification: The project owner shall make the required payments to the CBO in accordance with the agreement between the project owner and the CBO. The project owner shall send a copy of the CBO's receipt of payment to the CPM in the next monthly compliance report indicating that applicable fees have been paid.

GEN-4 Prior to the start of rough grading, the project owner shall assign a California-registered architect, or a structural or civil engineer, as the resident engineer (RE) in charge of the project. All transmission facilities (lines, switchyards, switching stations, and substations) are addressed in the conditions of certification in the **TRANSMISSION SYSTEM ENGINEERING** section of this document.

The RE may delegate responsibility for portions of the project to other registered engineers. Registered mechanical and electrical engineers may be delegated responsibility for mechanical and electrical portions of the project, respectively. A project may be divided into parts, provided that each part is

clearly defined as a distinct unit. Separate assignments of general responsibility may be made for each designated part.

The RE shall:

1. Monitor progress of construction work requiring CBO design review and inspection to ensure compliance with LORS;
2. Ensure that construction of all facilities subject to CBO design review and inspection conforms in every material respect to applicable LORS, these conditions of certification, approved plans, and specifications;
3. Prepare documents to initiate changes in approved drawings and specifications when either directed by the project owner or as required by the conditions of the project;
4. Be responsible for providing project inspectors and testing agencies with complete and up-to-date sets of stamped drawings, plans, specifications, and any other required documents;
5. Be responsible for the timely submittal of construction progress reports to the CBO from the project inspectors, the contractor, and other engineers who have been delegated responsibility for portions of the project; and
6. Be responsible for notifying the CBO of corrective action or the disposition of items noted on laboratory reports or other tests when they do not conform to approved plans and specifications.

The resident engineer (or his delegate) must be located at the project site, or be available at the project site within a reasonable period of time, during any hours in which construction takes place.

The RE shall have the authority to halt construction and to require changes or remedial work if the work does not meet requirements.

If the RE or the delegated engineers are reassigned or replaced, the project owner shall submit the name, qualifications and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify the CPM of the CBO's approval of the new engineer.

Verification: At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of rough grading, the project owner shall submit to the CBO for review and approval, the resume and registration number of the RE and any other delegated engineers assigned to the project. The project owner shall notify the CPM of the CBO's approvals of the RE and other delegated engineer(s) within five days of the approval.

If the RE or the delegated engineer(s) is subsequently reassigned or replaced, the project owner has five days to submit the resume and registration number of the newly

assigned engineer to the CBO for review and approval. The project owner shall notify the CPM of the CBO's approval of the new engineer within five days of the approval.

GEN-5 Prior to the start of rough grading, the project owner shall assign at least one of each of the following California registered engineers to the project: a civil engineer; a soils, geotechnical, or civil engineer experienced and knowledgeable in the practice of soils engineering; and an engineering geologist. Prior to the start of construction, the project owner shall assign at least one of each of the following California registered engineers to the project: a design engineer who is either a structural engineer or a civil engineer fully competent and proficient in the design of power plant structures and equipment supports; a mechanical engineer; and an electrical engineer. (California Business and Professions Code section 6704 et seq., and sections 6730, 6731 and 6736 require state registration to practice as a civil engineer or structural engineer in California). All transmission facilities (lines, switchyards, switching stations, and substations) are handled in the conditions of certification in the **TRANSMISSION SYSTEM ENGINEERING** section of this document.

The tasks performed by the civil, mechanical, electrical, or design engineers may be divided between two or more engineers, as long as each engineer is responsible for a particular segment of the project (for example, proposed earthwork, civil structures, power plant structures, equipment support). No segment of the project shall have more than one responsible engineer. The transmission line may be the responsibility of a separate California registered electrical engineer.

The project owner shall submit, to the CBO for review and approval, the names, qualifications, and registration numbers of all responsible engineers assigned to the project.

If any one of the designated responsible engineers is subsequently reassigned or replaced, the project owner shall submit the name, qualifications and registration number of the newly assigned responsible engineer to the CBO for review and approval. The project owner shall notify the CPM of the CBO's approval of the new engineer.

A. The civil engineer shall:

1. Review the foundation investigations, geotechnical, or soils reports prepared by the soils engineer, the geotechnical engineer, or by a civil engineer experienced and knowledgeable in the practice of soils engineering;
2. Design (or be responsible for the design of), stamp, and sign all plans, calculations, and specifications for proposed site work, civil works, and related facilities requiring design review and inspection by the CBO. At a minimum, these include: grading, site preparation, excavation,

compaction, construction of secondary containment, foundations, erosion and sedimentation control structures, drainage facilities, underground utilities, culverts, site access roads and sanitary sewer systems; and

3. Provide consultation to the RE during the construction phase of the project and recommend changes in the design of the civil works facilities and changes to the construction procedures.
- B. The soils engineer, geotechnical engineer, or civil engineer experienced and knowledgeable in the practice of soils engineering, shall:
1. Review all the engineering geology reports;
 2. Prepare the foundation investigations, geotechnical, or soils reports containing field exploration reports, laboratory tests, and engineering analysis detailing the nature and extent of the soils that could be susceptible to liquefaction, rapid settlement or collapse when saturated under load;
 3. Be present, as required, during site grading and earthwork to provide consultation and monitor compliance with requirements set forth in the 2010 CBC (depending on the site conditions, this may be the responsibility of either the soils engineer, the engineering geologist, or both); and
 4. Recommend field changes to the civil engineer and RE.

This engineer shall be authorized to halt earthwork and to require changes if site conditions are unsafe or do not conform to the predicted conditions used as the basis for design of earthwork or foundations.

- C. The engineering geologist shall:
1. Review all the engineering geology reports and prepare a final soils grading report; and
 2. Be present, as required, during site grading and earthwork to provide consultation and monitor compliance with the requirements set forth in the 2010 CBC (depending on the site conditions, this may be the responsibility of either the soils engineer, the engineering geologist, or both).
- D. The design engineer shall:
1. be directly responsible for the design of the proposed structures and equipment supports;

2. Provide consultation to the RE during design and construction of the project;
 3. Monitor construction progress to ensure compliance with engineering LORS;
 4. Evaluate and recommend necessary changes in design; and
 5. Prepare and sign all major building plans, specifications, and calculations.
- E. The mechanical engineer shall be responsible for, and sign and stamp a statement with, each mechanical submittal to the CBO, stating that the proposed final design plans, specifications, and calculations conform to all of the mechanical engineering design requirements set forth in the Energy Commission's decision.
- F. The electrical engineer shall:
1. be responsible for the electrical design of the project; and
 2. Sign and stamp electrical design drawings, plans, specifications, and calculations.

Verification: At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of rough grading, the project owner shall submit to the CBO for review and approval, resumes and registration numbers of the responsible civil engineer, soils (geotechnical) engineer and engineering geologist assigned to the project.

At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of construction, the project owner shall submit to the CBO for review and approval, resumes and registration numbers of the responsible design engineer, mechanical engineer, and electrical engineer assigned to the project.

The project owner shall notify the CPM of the CBO's approvals of the responsible engineers within five days of the approval.

If the designated responsible engineer is subsequently reassigned or replaced, the project owner has five days in which to submit the resume and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify the CPM of the CBO's approval of the new engineer within five days of the approval.

GEN-6 Prior to the start of an activity requiring special inspection, including prefabricated assemblies, the project owner shall assign to the project, qualified and certified special inspector(s) who shall be responsible for the special inspections required by the 2010 CBC. All transmission facilities (lines, switchyards, switching stations, and substations) are handled in

conditions of certification in the **TRANSMISSION SYSTEM ENGINEERING** section of this document.

A certified weld inspector, certified by the American Welding Society (AWS), and/or American Society of Mechanical Engineers (ASME) as applicable, shall inspect welding performed on-site requiring special inspection (including structural, piping, tanks and pressure vessels).

The special inspector shall:

1. Be a qualified person who shall demonstrate competence, to the satisfaction of the CBO, for inspection of the particular type of construction requiring special or continuous inspection;
2. Inspect the work assigned for conformance with the approved design drawings and specifications;
3. Furnish inspection reports to the CBO and RE. All discrepancies shall be brought to the immediate attention of the RE for correction, then, if uncorrected, to the CBO and the CPM for corrective action; and
4. Submit a final signed report to the RE, CBO, and CPM, stating whether the work requiring special inspection was, to the best of the inspector's knowledge, in conformance with the approved plans, specifications, and other provisions of the applicable edition of the CBC.

Verification: At least 15 days (or project owner- and CBO-approved alternative time frame) prior to the start of an activity requiring special inspection, the project owner shall submit to the CBO for review and approval, with a copy to the CPM, the name(s) and qualifications of the certified weld inspector(s), or other certified special inspector(s) assigned to the project to perform one or more of the duties set forth above. The project owner shall also submit to the CPM a copy of the CBO's approval of the qualifications of all special inspectors in the next monthly compliance report.

If the special inspector is subsequently reassigned or replaced, the project owner has five days in which to submit the name and qualifications of the newly assigned special inspector to the CBO for approval. The project owner shall notify the CPM of the CBO's approval of the newly assigned inspector within five days of the approval.

GEN-7 If any discrepancy in design and/or construction is discovered in any engineering work that has undergone CBO design review and approval, the project owner shall document the discrepancy and recommend required corrective actions. The discrepancy documentation shall be submitted to the CBO for review and approval. The discrepancy documentation shall reference this condition of certification and, if appropriate, applicable sections of the CBC and/or other LORS.

Verification: The project owner shall transmit a copy of the CBO's approval of any corrective action taken to resolve a discrepancy to the CPM in the next monthly

compliance report. If any corrective action is disapproved, the project owner shall advise the CPM, within five days, of the reason for disapproval and the revised corrective action to obtain CBO's approval.

GEN-8 The project owner shall obtain the CBO's final approval of all completed work that has undergone CBO design review and approval. The project owner shall request the CBO to inspect the completed structure and review the submitted documents. The project owner shall notify the CPM after obtaining the CBO's final approval. The project owner shall retain one set of approved engineering plans, specifications, and calculations (including all approved changes) at the project site or at another accessible location during the operating life of the project. Electronic copies of the approved plans, specifications, calculations, and marked-up as-builts shall be provided to the CBO for retention by the CPM.

Verification: Within 15 days of the completion of any work, the project owner shall submit to the CBO, with a copy to the CPM, in the next monthly compliance report, (a) a written notice that the completed work is ready for final inspection, and (b) a signed statement that the work conforms to the final approved plans. After storing the final approved engineering plans, specifications, and calculations described above, the project owner shall submit to the CPM a letter stating both that the above documents have been stored and the storage location of those documents.

Within 90 days of the completion of construction, the project owner shall provide to the CBO three sets of electronic copies of the above documents at the project owner's expense. These are to be provided in the form of "read only" (Adobe .pdf 6.0) files, with restricted (password-protected) printing privileges, on archive quality compact discs.

CIVIL-1 The project owner shall submit to the CBO for review and approval the following:

1. Design of the proposed drainage structures and the grading plan;
2. An erosion and sedimentation control plan;
3. Related calculations and specifications, signed and stamped by the responsible civil engineer; and
4. Soils, geotechnical, or foundation investigations reports required by the 2010 CBC.

Verification: At least 15 days (or project owner- and CBO-approved alternative time frame) prior to the start of site grading the project owner shall submit the documents described above to the CBO for design review and approval. In the next monthly compliance report following the CBO's approval, the project owner shall submit a written statement certifying that the documents have been approved by the CBO.

CIVIL-2 The resident engineer shall, if appropriate, stop all earthwork and construction in the affected areas when the responsible soils engineer, geotechnical

engineer, or the civil engineer experienced and knowledgeable in the practice of soils engineering identifies unforeseen adverse soil or geologic conditions. The project owner shall submit modified plans, specifications, and calculations to the CBO based on these new conditions. The project owner shall obtain approval from the CBO before resuming earthwork and construction in the affected area.

Verification: The project owner shall notify the CPM within 24 hours, when earthwork and construction is stopped as a result of unforeseen adverse geologic/soil conditions. Within 24 hours of the CBO's approval to resume earthwork and construction in the affected areas, the project owner shall provide to the CPM a copy of the CBO's approval.

CIVIL-3 The project owner shall perform inspections in accordance with the 2010 CBC. All plant site-grading operations, for which a grading permit is required, shall be subject to inspection by the CBO.

If, in the course of inspection, it is discovered that the work is not being performed in accordance with the approved plans, the discrepancies shall be reported immediately to the resident engineer, the CBO, and the CPM. The project owner shall prepare a written report, with copies to the CBO and the CPM, detailing all discrepancies, non-compliance items, and the proposed corrective action.

Verification: Within five days of the discovery of any discrepancies, the resident engineer shall transmit to the CBO and the CPM a non-conformance report (NCR), and the proposed corrective action for review and approval. Within five days of resolution of the NCR, the project owner shall submit the details of the corrective action to the CBO and the CPM. A list of NCRs, for the reporting month, shall also be included in the following monthly compliance report.

CIVIL-4 After completion of finished grading and erosion and sedimentation control and drainage work, the project owner shall obtain the CBO's approval of the final grading plans (including final changes) for the erosion and sedimentation control work. The civil engineer shall state that the work within his/her area of responsibility was done in accordance with the final approved plans.

Verification: Within 30 days (or project owner- and CBO-approved alternative time frame) of the completion of the erosion and sediment control mitigation and drainage work, the project owner shall submit to the CBO, for review and approval, the final grading plans (including final changes) and the responsible civil engineer's signed statement that the installation of the facilities and all erosion control measures were completed in accordance with the final approved combined grading plans, and that the facilities are adequate for their intended purposes, along with a copy of the transmittal letter to the CPM. The project owner shall submit a copy of the CBO's approval to the CPM in the next monthly compliance report.

STRUC-1 Prior to the start of any increment of construction, the project owner shall submit plans, calculations and other supporting documentation to the CBO for

design review and acceptance for all project structures and equipment identified in the CBO-approved master drawing and master specifications lists. The design plans and calculations shall include the lateral force procedures and details as well as vertical calculations.

Construction of any structure or component shall not begin until the CBO has approved the lateral force procedures to be employed in designing that structure or component.

The project owner shall:

1. Obtain approval from the CBO of lateral force procedures proposed for project structures;
2. Obtain approval from the CBO for the final design plans, specifications, calculations, soils reports, and applicable quality control procedures. If there are conflicting requirements, the more stringent shall govern (for example, highest loads, or lowest allowable stresses shall govern). All plans, calculations, and specifications for foundations that support structures shall be filed concurrently with the structure plans, calculations, and specifications;
3. Submit to the CBO the required number of copies of the structural plans, specifications, calculations, and other required documents of the designated major structures prior to the start of on-site fabrication and installation of each structure, equipment support, or foundation;
4. Ensure that the final plans, calculations, and specifications clearly reflect the inclusion of approved criteria, assumptions, and methods used to develop the design. The final designs, plans, calculations, and specifications shall be signed and stamped by the responsible design engineer; and
5. Submit to the CBO the responsible design engineer's signed statement that the final design plans conform to applicable LORS.

Verification: At least 60 days (or project owner- and CBO-approved alternative time frame) prior to the start of any increment of construction of any structure or component listed in the CBO-approved master drawing and master specifications list, the project owner shall submit to the CBO the above final design plans, specifications and calculations, with a copy of the transmittal letter to the CPM.

The project owner shall submit to the CPM, in the next monthly compliance report, a copy of a statement from the CBO that the proposed structural plans, specifications, and calculations have been approved and comply with the requirements set forth in applicable engineering LORS.

STRUC-2 The project owner shall submit to the CBO the required number of sets of the following documents related to work that has undergone CBO design review and approval:

1. Concrete cylinder strength test reports (including date of testing, date sample taken, design concrete strength, tested cylinder strength, age of test, type and size of sample, location and quantity of concrete placement from which sample was taken, and mix design designation and parameters);
2. Concrete pour sign-off sheets;
3. Bolt torque inspection reports (including location of test, date, bolt size, and recorded torques);
4. Field weld inspection reports (including type of weld, location of weld, inspection of non-destructive testing (NDT) procedure and results, welder qualifications, certifications, qualified procedure description or number (ref: AWS); and
5. Reports covering other structural activities requiring special inspections shall be in accordance with the 2010 CBC.

Verification: If a discrepancy is discovered in any of the above data, the project owner shall, within five days, prepare and submit an NCR describing the nature of the discrepancies and the proposed corrective action to the CBO, with a copy of the transmittal letter to the CPM. The NCR shall reference the condition(s) of certification and the applicable CBC chapter and section. Within five days of resolution of the NCR, the project owner shall submit a copy of the corrective action to the CBO and the CPM.

The project owner shall transmit a copy of the CBO's approval or disapproval of the corrective action to the CPM within 15 days. If disapproved, the project owner shall advise the CPM, within five days, the reason for disapproval, and the revised corrective action to obtain CBO's approval.

STRUC-3 The project owner shall submit to the CBO design changes to the final plans required by the U.S Energy Information Administration, "Natural Gas Pipelines in the Western Region, 2010 CBC, including the revised drawings, specifications, calculations, and a complete description of, and supporting rationale for, the proposed changes, and shall give to the CBO prior notice of the intended filing.

Verification: On a schedule suitable to the CBO, the project owner shall notify the CBO of the intended filing of design changes, and shall submit the required number of sets of revised drawings and the required number of copies of the other above-mentioned documents to the CBO, with a copy of the transmittal letter to the CPM. The project owner shall notify the CPM, via the monthly compliance report, when the CBO has approved the revised plans.

STRUC-4 Tanks and vessels containing quantities of toxic or hazardous materials exceeding amounts specified in the 2010 CBC shall, at a minimum, be designed to comply with the requirements of that chapter.

Verification: At least 30 days (or project owner- and CBO-approved alternate time frame) prior to the start of installation of the tanks or vessels containing the above specified quantities of toxic or hazardous materials, the project owner shall submit to the CBO for design review and approval final design plans, specifications, and calculations, including a copy of the signed and stamped engineer's certification.

The project owner shall send copies of the CBO approvals of plan checks to the CPM in the following monthly compliance report. The project owner shall also transmit a copy of the CBO's inspection approvals to the CPM in the monthly compliance report following completion of any inspection.

MECH-1 The project owner shall submit, for CBO design review and approval, the proposed final design, specifications and calculations for each plant major piping and plumbing system listed in the CBO-approved master drawing and master specifications list. The submittal shall also include the applicable QA/QC procedures. Upon completion of construction of any such major piping or plumbing system, the project owner shall request the CBO's inspection approval of that construction.

The responsible mechanical engineer shall stamp and sign all plans, drawings, and calculations for the major piping and plumbing systems, subject to CBO design review and approval, and submit a signed statement to the CBO when the proposed piping and plumbing systems have been designed, fabricated, and installed in accordance with all of the applicable laws, ordinances, regulations and industry standards, which may include, but are not limited to:

- American National Standards Institute (ANSI) B31.1 (Power Piping Code);
- ANSI B31.2 (Fuel Gas Piping Code);
- ANSI B31.3 (Chemical Plant and Petroleum Refinery Piping Code);
- ANSI B31.8 (Gas Transmission and Distribution Piping Code);
- NACE R.P. 0169-83;
- NACE R.P. 0187-87;
- NFPA 56;
- Title 24, California Code of Regulations, Part 5 (California Plumbing Code);
- Title 24, California Code of Regulations, Part 6 (California Energy Code, for building energy conservation systems and temperature control and ventilation systems);

- Title 24, California Code of Regulations, Part 2 (California Building Code); and
- San Diego County codes.

The CBO may deputize inspectors to carry out the functions of the code enforcement agency.

Verification: At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of any increment of major piping or plumbing construction listed in the CBO-approved master drawing and master specifications list, the project owner shall submit to the CBO for design review and approval the final plans, specifications, and calculations, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with applicable LORS, and shall send the CPM a copy of the transmittal letter in the next monthly compliance report.

The project owner shall transmit to the CPM, in the monthly compliance report following completion of any inspection, a copy of the transmittal letter conveying the CBO's inspection approvals.

MECH-2 For all pressure vessels installed in the plant, the project owner shall submit to the CBO and California Occupational Safety and Health Administration (Cal-OSHA), prior to operation, the code certification papers and other documents required by applicable LORS. Upon completion of the installation of any pressure vessel, the project owner shall request the appropriate CBO and/or Cal-OSHA inspection of that installation.

The project owner shall:

1. Ensure that all boilers and fired and unfired pressure vessels are designed, fabricated, and installed in accordance with the appropriate section of the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code, or other applicable code. Vendor certification, with identification of applicable code, shall be submitted for prefabricated vessels and tanks; and
2. Have the responsible design engineer submit a statement to the CBO that the proposed final design plans, specifications, and calculations conform to all of the requirements set forth in the appropriate ASME Boiler and Pressure Vessel Code or other applicable codes.

Verification: At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of on-site fabrication or installation of any pressure vessel, the project owner shall submit to the CBO for design review and approval, the above listed documents, including a copy of the signed and stamped engineer's certification, with a copy of the transmittal letter to the CPM.

The project owner shall transmit to the CPM, in the monthly compliance report following completion of any inspection, a copy of the transmittal letter conveying the CBO's and/or Cal-OSHA inspection approvals.

MECH-3 The project owner shall submit to the CBO for design review and approval the design plans, specifications, calculations, and quality control procedures for any heating, ventilating, air conditioning (HVAC) or refrigeration system. Packaged HVAC systems, where used, shall be identified with the appropriate manufacturer's data sheets.

The project owner shall design and install all HVAC and refrigeration systems within buildings and related structures in accordance with the CBC and other applicable codes. Upon completion of any increment of construction, the project owner shall request the CBO's inspection and approval of that construction. The final plans, specifications and calculations shall include approved criteria, assumptions, and methods used to develop the design. In addition, the responsible mechanical engineer shall sign and stamp all plans, drawings and calculations and submit a signed statement to the CBO that the proposed final design plans, specifications and calculations conform with the applicable LORS.

Verification: At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of construction of any HVAC or refrigeration system, the project owner shall submit to the CBO the required HVAC and refrigeration calculations, plans, and specifications, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with the CBC and other applicable codes, with a copy of the transmittal letter to the CPM.

ELEC-1 Prior to the start of any increment of electrical construction for all electrical equipment and systems 480 Volts or higher (see a representative list, below), with the exception of underground duct work and any physical layout drawings and drawings not related to code compliance and life safety, the project owner shall submit, for CBO design review and approval, the proposed final design, specifications, and calculations. Upon approval, the above listed plans, together with design changes and design change notices, shall remain on the site or at another accessible location for the operating life of the project. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS. All transmission facilities (lines, switchyards, switching stations, and substations) are handled in conditions of certification in the **TRANSMISSION SYSTEM ENGINEERING** section of this document.

A. Final plant design plans shall include:

1. one-line diagrams for the 13.8 kV, 4.16 kV and 480 V systems; and
2. System grounding drawings.

- B. Final plant calculations must establish:
1. Short-circuit ratings of plant equipment;
 2. Ampacity of feeder cables;
 3. Voltage drop in feeder cables;
 4. System grounding requirements;
 5. Coordination study calculations for fuses, circuit breakers and protective relay settings for the 13.8 kV, 4.16 kV and 480 V systems;
 6. System grounding requirements; and
 7. Lighting energy calculations.
- C. The following activities shall be reported to the CPM in the monthly compliance report:
1. Receipt or delay of major electrical equipment;
 2. Testing or energization of major electrical equipment; and
 3. A signed statement by the registered electrical engineer certifying that the proposed final design plans and specifications conform to requirements set forth in the Energy Commission decision.

Verification: At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of each increment of electrical construction, the project owner shall submit to the CBO for design review and approval the above listed documents. The project owner shall include in this submittal a copy of the signed and stamped statement from the responsible electrical engineer attesting compliance with the applicable LORS, and shall send the CPM a copy of the transmittal letter in the next monthly compliance report.

PROPOSED CONDITIONS OF CERTIFICATION

HAZ-1 The project owner shall not use any hazardous material not listed in Appendix B, below, or in greater quantities or strengths than those identified by chemical name in Appendix B, below, unless approved in advance by the Compliance Project Manager (CPM).

Verification: The project owner shall provide to the CPM, in the Annual Compliance Report, a list of hazardous materials contained at the facility.

HAZ-2 The project owner shall concurrently provide a Hazardous Materials Business Plan (HMBP) and a Spill Prevention, Control, and Countermeasure Plan (SPCC), to the Riverside County Department of Environmental Health (RCDEH), the Riverside County Fire Department (RCFD), and the CPM for review. After receiving comments from the RCDEH, RCFD, and the CPM, the project owner shall include in the final documents all recommendations that ensure LORS compliance. Copies of the final HMBP and SPCC Plan shall then be provided to the RCDEH and RCFD for information and to the CPM for approval. The project owner shall also pay the usual and customary fee for RCDEH and RCFD review of those plans, and the usual and customary fee for any necessary and required inspections regarding same.

Verification: At least 30 days prior to receiving any hazardous material on the site for commissioning or operations, the project owner shall provide a copy of a final Hazardous Materials Business Plan, Spill Prevention, Control, and Countermeasures Plan to the CPM for approval.

The project owner shall also provide proof that the plans were submitted to the RCDEH and RCFD for review and that the usual and customary fees for those reviews have been paid.

The project owner shall also provide proof in the Annual compliance Report that the usual and customary fee for any necessary and required inspections by the RCEHD and the RCFD have been paid.

HAZ-3 The project owner shall develop and implement a Safety Management Plan for the delivery and handling of liquid and gaseous hazardous materials. The plan shall include procedures, protective equipment requirements, training, and a checklist. It shall also include a section describing all measures to be implemented to prevent mixing of incompatible hazardous materials. This plan shall be applicable during construction, commissioning, and operation of the power plant.

Verification: At least 30 days prior to the delivery of any liquid or gaseous hazardous material to the facility, the project owner shall provide a Safety Management Plan as described above to the CPM for review and approval.

HAZ-4: The project owner shall not allow any fuel gas pipe cleaning activities on-site, either before placing the pipe into service or at any time during the lifetime of the facility, that involves “flammable gas blows” where natural (or flammable) gas is used to blow out debris from piping and then vented to atmosphere. Instead, an inherently safer method involving a non-flammable gas (e.g. air, nitrogen, steam) or mechanical pigging shall be used as per NFPA 56. A written procedure shall be developed and implemented as per NFPA 56, section 4.3.1

Verification: At least 30 days before any fuel gas pipe cleaning activities begin, the project owner shall submit a copy of the Fuel Gas Pipe Cleaning Work Plan (as described in NFPA 56, section 4.3.1) which shall indicate the method of cleaning to be used, what gas will be used, the source of pressurization, and whether a mechanical PIG will be used, to the CBO for information and to the CPM for review and approval.

HAZ-5 Prior to commencing construction, a site-specific Construction Site Security Plan for the construction phase shall be prepared and made available to the CPM for review and approval. The Construction Security Plan shall include the following:

1. perimeter security consisting of fencing enclosing the construction area;
2. security guards;
3. site access control consisting of a check-in procedure or tag system for construction personnel and visitors;
4. written standard procedures for employees, contractors and vendors when encountering suspicious objects or packages on-site or off-site;
5. protocol for contacting law enforcement and the CPM in the event of suspicious activity or emergency; and
6. evacuation procedures.

Verification: At least 30 days prior to commencing construction, the project owner shall notify the CPM that a site-specific Construction Security Plan is available for review and approval.

HAZ-6 The project owner shall also prepare a site-specific **Operations** security plan for the operational phases that **shall** be **made** available to the CPM for review and approval. The project owner shall implement site security measures that address physical site security and hazardous materials storage. The level of security to be implemented shall not be less than that described below (as per NERC 2002).

The Operation Security Plan shall include the following:

1. permanent full perimeter fence or wall, at least eight feet high;

2. main entrance security gate, either hand operated or motorized;
3. evacuation procedures;
4. protocol for contacting law enforcement and the CPM in the event of suspicious activity or emergency;
5. written standard procedures for employees, contractors, and vendors when encountering suspicious objects or packages on-site or off-site;
6.
 - A. a statement (refer to sample, **ATTACHMENT A**), signed by the project owner certifying that background investigations have been conducted on all project personnel. Background investigations shall be restricted to determine the accuracy of employee identity and employment history and shall be conducted in accordance with state and federal laws regarding security and privacy;
 - B. a statement(s) (refer to sample, **ATTACHMENT B**), signed by the contractor or authorized representative(s) for any permanent contractors or other technical contractors (as determined by the CPM after consultation with the project owner), that are present at any time on the site to repair, maintain, investigate, or conduct any other technical duties involving critical components (as determined by the CPM after consultation with the project owner) certifying that background investigations have been conducted on contractors who visit the project site. Background investigations shall be restricted to determine the accuracy of employee identity and employment history and shall be conducted in accordance with state and federal laws regarding security and privacy;
7. site access controls for employees, contractors, vendors, and visitors;
8. a statement(s), if required, (refer to sample, **ATTACHMENT C**), signed by the owners or authorized representative of hazardous materials transport vendors, certifying that they have prepared and implemented security plans in compliance with 49 CFR 172.802, and that they have conducted employee background investigations in accordance with 49 CFR Part 1572, subparts A and B;
9. closed circuit TV (CCTV) monitoring system, recordable, and viewable in the power plant control room and security station (if separate from the control room) with cameras able to pan, tilt, and zoom, have low-light capability, and are able to view the outside entrance to the control room, the front gate, and key areas of the power block areas; and

10. additional measures to ensure adequate perimeter security consisting of either:

- A. security guard(s) present 24 hours per day, 7 days per week and conducting both routine and random patrols; or
- B. perimeter breach detectors; or
- C. CCTV able to view 100% of the perimeter fence.

The project owner shall fully implement the security plans and obtain CPM approval of any substantive modifications to those security plans. The CPM may authorize modifications to these measures, or may require additional measures, such as protective barriers for critical power plant components (e.g. transformers, gas lines, compressors, etc.) or cyber security depending upon circumstances unique to the facility or in response to industry-related standards, security concerns, or additional guidance provided by the U.S. Department of Homeland Security, the U.S. Department of Energy, or the North American Electrical Reliability Council, after consultation with both appropriate law enforcement agencies and the project owner.

Verification: At least 30 days prior to the initial receipt of hazardous materials on-site for commissioning or operations, the project owner shall notify the CPM that a site-specific Operations Site Security Plan is available for review and approval. In the annual compliance report, the project owner shall include a statement that all current project employee and appropriate contractor background investigations have been performed, and that updated certification statements have been appended to the operations security plan. In the annual compliance report, the project owner shall include a statement that the operations security plan includes all current hazardous materials transport vendor certifications for security plans and employee background investigations.

CONDITIONS OF CERTIFICATION

GEO-1 The Soils Engineering Report required by Section 1803 of the 2010 CBC should specifically include laboratory test data, associated geotechnical engineering analyses, and a thorough discussion of potential hydro-compaction or dynamic compaction; the presence of expansive clay soils; and the presence of corrosive soils. The report should also include recommendations for ground improvement and/or foundation systems necessary to mitigate these potential geologic hazards, if present.

Verification: The project owner shall include in the application for a grading permit a copy of the Soils Engineering Report which addresses the potential for liquefaction; settlement due to compressible soils, ground water withdrawal, hydro-compaction, or dynamic compaction; and the possible presence of expansive clay soils, and a summary of how the results of the analyses were incorporated into the project foundation and grading plan design for review and comment by the Chief Building Official (CBO). A copy of the Soils Engineering Report, application for grading permit and any comments by the CBO are to be provided to the CPM at least 30 days prior to grading.

PAL-1 The project owner shall provide the compliance project manager (CPM) with the resume and qualifications of its paleontological resource specialist (PRS) for review and approval. If the approved PRS is replaced prior to completion of project mitigation and submittal of the Paleontological Resources Report, the project owner shall obtain CPM approval of the replacement PRS. The project owner shall keep resumes on file for qualified paleontological resource monitors (PRMs). If a PRM is replaced, the resume of the replacement PRM shall also be provided to the CPM.

The PRS resume shall include the names and phone numbers of references. The resume shall also demonstrate to the satisfaction of the CPM the appropriate education and experience to accomplish the required paleontological resource tasks.

As determined by the CPM, the PRS shall meet the minimum qualifications for a vertebrate paleontologist as described in the Society of Vertebrate Paleontology (SVP) guidelines of 1995. The experience of the PRS shall include the following:

1. Institutional affiliations, appropriate credentials, and college degree;
2. Ability to recognize and collect fossils in the field;
3. Local geological and biostratigraphic expertise;
4. Proficiency in identifying vertebrate and invertebrate fossils; and

5. At least three years of paleontological resource mitigation and field experience in California and at least one year of experience leading paleontological resource mitigation and field activities.

The project owner shall ensure that the PRS obtains qualified paleontological resource monitors to monitor as he or she deems necessary on the project. Paleontologic resource monitors (PRMs) shall have the equivalent of the following qualifications:

- BS or BA degree in geology or paleontology and one year of experience monitoring in California; or
- AS or AA in geology, paleontology, or biology and four years' experience monitoring in California; or
- Enrollment in upper division classes pursuing a degree in the fields of geology or paleontology and two years of monitoring experience in California.

Verification:

- (1) At least 60 days prior to the start of ground disturbance, the project owner shall submit a resume and statement of availability of its designated PRS for on-site work.
- (2) At least 20 days prior to ground disturbance, the PRS or project owner shall provide a letter with resumes naming anticipated monitors for the project, stating that the identified monitors meet the minimum qualifications for paleontological resource monitoring required by the condition. If additional monitors are obtained during the project, the PRS shall provide additional letters and resumes to the CPM. The letter shall be provided to the CPM no later than one week prior to the monitor's beginning on-site duties.
- (3) Prior to the termination or release of a PRS, the project owner shall submit the resume of the proposed new PRS to the CPM for review and approval.

PAL-2 The project owner shall provide to the PRS and the CPM, for approval, maps and drawings showing the footprint of the power plant, construction lay-down areas, and all related facilities. Maps shall identify all areas of the project where ground disturbance is anticipated. If the PRS requests enlargements or strip maps for linear facility routes, the project owner shall provide copies to the PRS and CPM. The site grading plan and plan and profile drawings for the utility lines would be acceptable for this purpose. The plan drawings should show the location, depth, and extent of all ground disturbances and be at a scale between 1 inch = 40 feet and 1 inch = 100 feet. If the footprint of the project or its linear facilities changes, the project owner shall provide maps and drawings reflecting those changes to the PRS and CPM.

If construction of the project proceeds in phases, maps and drawings may be submitted prior to the start of each phase. A letter identifying the proposed schedule of each project phase shall be provided to the PRS and CPM.

Before work commences on affected phases, the project owner shall notify the PRS and CPM of any construction phase scheduling changes.

At a minimum, the project owner shall ensure that the PRS or PRM consults weekly with the project superintendent or construction field manager to confirm area(s) to be worked the following week and until ground disturbance is completed.

Verification:

- (1) At least 30 days prior to the start of ground disturbance, the project owner shall provide the maps and drawings to the PRS and CPM.
- (2) If there are changes to the footprint of the project, revised maps and drawings shall be provided to the PRS and CPM at least 15 days prior to the start of ground disturbance.
- (3) If there are changes to the scheduling of the construction phases, the project owner shall submit a letter to the CPM within 5 days of identifying the changes.

PAL-3 The project owner shall ensure that the PRS prepares, and the project owner submits to the CPM for review and approval, a paleontological resources monitoring and mitigation plan (PRMMP) to identify general and specific measures to minimize potential impacts to significant paleontological resources. Approval of the PRMMP by the CPM shall occur prior to any ground disturbance. The PRMMP shall function as the formal guide for monitoring, collecting, and sampling activities and may be modified with CPM approval. This document shall be used as the basis of discussion when on-site decisions or changes are proposed. Copies of the PRMMP shall reside with the PRS, each monitor, the project owner's on-site manager, and the CPM.

The PRMMP shall be developed in accordance with the guidelines of the Society of Vertebrate Paleontology (SVP 1995) and shall include, but not be limited, to the following:

1. Assurance that the performance and sequence of project-related tasks, such as any literature searches, pre-construction surveys, worker environmental training, fieldwork, flagging or staking, construction monitoring, mapping and data recovery, fossil preparation and collection, identification and inventory, preparation of final reports, and transmittal of materials for curation will be performed according to PRMMP procedures;
2. Identification of the person(s) expected to assist with each of the tasks identified within the PRMMP and the conditions of certification;
3. A thorough discussion of the anticipated geologic units expected to be encountered, the location and depth of the units relative to the project

when known, and the known sensitivity of those units based on the occurrence of fossils either in that unit or in correlative units;

4. An explanation of why, how, and how much sampling is expected to take place and in what units. Include descriptions of different sampling procedures that shall be used for fine-grained and coarse-grained units;
5. A discussion of the locations of where the monitoring of project construction activities is deemed necessary, and a proposed plan for monitoring and sampling;
6. A discussion of procedures to be followed in the event of a significant fossil discovery, halting construction, resuming construction, and how notifications will be performed;
7. A discussion of equipment and supplies necessary for collection of fossil materials and any specialized equipment needed to prepare, remove, load, transport, and analyze large-sized fossils or extensive fossil deposits;
8. Procedures for inventory, preparation, and delivery for curation into a retrievable storage collection in a public repository or museum, which meet the Society of Vertebrate Paleontology's standards and requirements for the curation of paleontological resources;
9. Identification of the institution that has agreed to receive data and fossil materials collected, requirements or specifications for materials delivered for curation and how they will be met, and the name and phone number of the contact person at the institution; and
10. A copy of the paleontological Conditions of Certification.

Verification: At least 30 days prior to ground disturbance, the project owner shall provide a copy of the PRMMP to the CPM. The PRMMP shall include an affidavit of authorship by the PRS and acceptance of the PRMMP by the project owner evidenced by a signature.

PAL-4 Prior to ground disturbance and for the duration of construction activities involving ground disturbance, the project owner and the PRS shall prepare and conduct weekly CPM-approved training for the following workers: project managers, construction supervisors, foremen, and general workers involved with or who operate ground-disturbing equipment or tools. Workers shall not excavate in sensitive units prior to receiving CPM-approved worker training. Worker training shall consist of an initial in-person PRS training or may utilize a CPM-approved video or other presentation format during the project kick off for those mentioned above. Following initial training, a CPM-approved video or other approved training presentation/materials, or in-person training may

be used for new employees. The training program may be combined with other training programs prepared for cultural and biological resources, hazardous materials, or other areas of interest or concern. No ground disturbance shall occur prior to CPM approval of the Worker Environmental Awareness Program (WEAP), unless specifically approved by the CPM.

The WEAP shall address the possibility of encountering paleontological resources in the field, the sensitivity and importance of these resources, and legal obligations to preserve and protect those resources.

The training shall include:

1. A discussion of applicable laws and penalties under the law;
2. Good quality photographs or physical examples of vertebrate fossils for project sites containing units of high paleontologic sensitivity;
3. Information that the PRS or PRM has the authority to halt or redirect construction in the event of a discovery or unanticipated impact to a paleontological resource;
4. Instruction that employees are to halt or redirect work in the vicinity of a find and to contact their supervisor and the PRS or PRM;
5. An informational brochure that identifies reporting procedures in the event of a discovery;
6. A WEAP certification of completion form signed by each worker indicating that he/she has received the training; and
7. A sticker that shall be placed on hard hats indicating that environmental training has been completed.

Verification:

- (1) At least 30 days prior to ground disturbance, the project owner shall submit the proposed WEAP, including the brochure, with the set of reporting procedures for workers to follow.
- (2) At least 30 days prior to ground disturbance, the project owner shall submit the training program presentation/materials to the CPM for approval if the project owner is planning to use a presentation format other than an in-person trainer for training.
- (3) If the owner requests an alternate paleontological trainer, the resume and qualifications of the trainer shall be submitted to the CPM for review and approval prior to installation of an alternate trainer. Alternate trainers shall not conduct training prior to CPM authorization.

- (4) In the monthly compliance report (MCR), the project owner shall provide copies of the WEAP certification of completion forms with the names of those trained and the trainer or type of training (in-person or other approved format) offered that month. The MCR shall also include a running total of all persons who have completed the training to date.

PAL-5 The project owner shall ensure that the PRS and PRM(s) monitor consistent with the PRMMP all construction-related grading, excavation, trenching, and augering in areas where potential fossil-bearing materials have been identified, both at the site and along any constructed linear facilities associated with the project. In the event that the PRS determines full-time monitoring is not necessary in locations that were identified as potentially fossil bearing in the PRMMP, the project owner shall notify and seek the concurrence of the CPM.

The project owner shall ensure that the PRS and PRM(s) have the authority to halt or redirect construction if paleontological resources are encountered. The project owner shall ensure that there is no interference with monitoring activities unless directed by the PRS. Monitoring activities shall be conducted as follows:

1. Any change of monitoring from the accepted schedule in the PRMMP shall be proposed in a letter or email from the PRS and the project owner to the CPM prior to the change in monitoring and will be included in the monthly compliance report. The letter or email shall include the justification for the change in monitoring and be submitted to the CPM for review and approval.
2. The project owner shall ensure that the PRM(s) keep a daily monitoring log of paleontological resource activities. The PRS may informally discuss paleontological resource monitoring and mitigation activities with the CPM at any time.
3. The project owner shall ensure that the PRS notifies the CPM within 24 hours of the occurrence of any incidents of non-compliance with any paleontological resources conditions of certification. The PRS shall recommend corrective action to resolve the issues or achieve compliance with the conditions of certification.
4. For any significant paleontological resources encountered, either the project owner or the PRS shall notify the CPM within 24 hours, or Monday morning in the case of a weekend event, where construction has been halted because of a paleontological find.

The project owner shall ensure that the PRS prepares a summary of monitoring and other paleontological activities placed in the monthly compliance reports. The summary will include the name(s) of PRS or PRM(s) active during the month; general descriptions of training and monitored construction activities; and general locations of excavations, grading, and other activities. A section

of the report shall include the geologic units or subunits encountered, descriptions of samplings within each unit, and a list of identified fossils. A final section of the report will address any issues or concerns about the project relating to paleontologic monitoring, including any incidents of non-compliance or any changes to the monitoring plan that have been approved by the CPM. If no monitoring took place during the month, the report shall include an explanation in the summary as to why monitoring was not conducted.

Verification: The project owner shall ensure that the PRS submits the summary of monitoring and paleontological activities in the MCR. When feasible, the CPM shall be notified 10 days in advance of any proposed changes in monitoring different from the plan identified in the PRMMP. If there is any unforeseen change in monitoring, the notice shall be given as soon as possible prior to implementation of the change.

PAL-6 The project owner, through the designated PRS, shall ensure that all components of the PRMMP are adequately performed including collection of fossil materials, preparation of fossil materials for analysis, analysis of fossils, identification and inventory of fossils, the preparation of fossils for curation, and the delivery for curation of all significant paleontological resource materials encountered and collected during project construction.

Verification: The project owner shall maintain in his/her compliance file copies of signed contracts or agreements with the designated PRS and other qualified research specialists. The project owner shall maintain these files for a period of three years after project completion and approval of the CPM-approved paleontological resource report (see Condition of Certification **PAL-7**). The project owner shall be responsible for paying any curation fees charged by the museum for fossils collected and curated as a result of paleontological mitigation. A copy of the letter of transmittal submitting the fossils to the curating institution shall be provided to the CPM.

PAL-7 The project owner shall ensure preparation of a Paleontological Resources Report (PRR) by the designated PRS. The PRR shall be prepared following completion of the ground-disturbing activities. The PRR shall include an analysis of the collected fossil materials and related information and submit it to the CPM for review and approval.

The report shall include, but not be limited to, a description and inventory of recovered fossil materials; a map showing the location of paleontological resources encountered; determinations of sensitivity and significance; and the PRS' description of sensitivity and significance of those resources.

Verification: Within 90 days after completion of ground-disturbing activities, including landscaping, the project owner shall submit the PRR under confidential cover to the CPM.

PAL-8 The project owner, through the designated PRS, shall ensure that all components of the PRMMP are adequately performed, including collection of fossil material, preparation of fossil material for analysis, analysis of fossils,

identification and inventory of fossils, preparation of fossils for curation, and delivery for curation of all significant paleontological resource materials encountered and collected during project construction. The project owner shall pay all curation fees charged by the museum for fossil material collected and curated as a result of paleontological mitigation. The project owner shall also provide the curator with documentation showing the project owner irrevocably and unconditionally donates, gives, and assigns permanent, absolute, and unconditional ownership of the fossil material.

Verification: Within 60 days after the submittal of the PRR, the project owner shall submit documentation to the CPM showing fees have been paid for curation and the owner relinquishes control and ownership of all fossil material.

PAL-9 *DISPUTED*

PROPOSED CONDITION OF CERTIFICATION

LAND-1 Prior to the start of construction, the project owner shall provide to the Compliance Project Manager (CPM) documentation of the U.S. Bureau of Land Management (BLM) Right-of-Way grant and the BLM-approved project-specific amendment to the California Desert Conservation Area Plan (CDCA) permitting the construction/operation of the proposed Palen Solar Electric Generating System.

Verification: Prior to the start of construction, the project owner shall submit to the CPM a copy of the BLM approved Right-of-Way grant and project specific amendment to the CDCA Plan permitting the Palen Solar Electric Generating System.

PUBLIC NOTIFICATION PROCESS

NOISE-1 At least 15 days prior to the start of ground disturbance, the project owner shall notify all residents within one mile of the project site and the linear facilities, by mail or by other effective means, of the commencement of project construction. At the same time, the project owner shall establish a telephone number for use by the public to report any undesirable noise conditions associated with the construction and operation of the project. If the telephone is not staffed 24 hours a day, the project owner shall include an automatic answering feature, with date and time stamp recording, to answer calls when the phone is unattended. This telephone number shall be posted at the project site during construction where it is visible to passersby. This telephone number shall be maintained until the project has been operational for at least one year.

Verification: Prior to ground disturbance, the project owner shall transmit to the compliance project manager (CPM) a statement, signed by the project owner's project manager, stating that the above notification has been performed and describing the method of that notification. This communication shall also verify that the telephone number has been established and posted at the site, and shall provide that telephone number.

NOISE COMPLAINT PROCESS

NOISE-2 Throughout the construction and operation of the project, the project owner shall document, investigate, evaluate, and attempt to resolve all project-related noise complaints. The project owner or authorized agent shall:

- use the Noise Complaint Resolution Form (below), or a functionally equivalent procedure acceptable to the CPM, to document and respond to each noise complaint;
- attempt to contact the person(s) making the noise complaint within 24 hours;
- conduct an investigation to determine the source of noise in the complaint;
- if the noise is project related, take all feasible measures to reduce the source of the noise; and
- submit a report documenting the complaint and actions taken. The report shall include: a complaint summary, including the final results of noise reduction efforts and, if obtainable, a signed statement by the complainant stating that the noise problem has been resolved to the complainant's satisfaction.

Verification: Within five days of receiving a noise complaint, the project owner shall file a Noise Complaint Resolution Form, shown below, with both the local jurisdiction and the CPM that documents the resolution of the complaint. If mitigation is required to resolve the complaint and the complaint is not resolved within a three-day period, the

project owner shall submit an updated Noise Complaint Resolution Form when the mitigation is performed and complete.

EMPLOYEE NOISE CONTROL PROGRAM

NOISE-3 The project owner shall submit to the CPM for review and approval a noise control program. The noise control program shall be used to reduce employee exposure to high (above permissible) noise levels during construction in accordance to the applicable OSHA and Cal-OSHA standards.

Verification: At least 30 days prior to the start of ground disturbance, the project owner shall submit the noise control program to the CPM. The project owner shall make the program available to Cal-OSHA upon request.

NOISE RESTRICTIONS

NOISE-4 The project design and implementation shall include appropriate noise mitigation measures adequate to ensure that the operation of the project will not cause the noise levels due to plant operation alone, during the daytime hours of 7 a.m. to 10 p.m., to exceed an average of 48 dBA L_{eq} measured at or near monitoring location LT1.

No new pure-tone components shall be caused by the project. No single piece of equipment shall be allowed to stand out as a source of noise that draws legitimate complaints¹.

A. When the project first achieves a sustained output of 85 percent or greater of rated capacity, the project owner shall conduct a 25-hour community noise survey at monitoring location LT1, or at a closer location acceptable to the CPM. This survey shall also include measurement of one-third octave band sound pressure levels to ensure that no new pure-tone noise components have been caused by the project.

The measurement of power plant noise for the purposes of demonstrating compliance with this Condition of Certification may alternatively be made at a location, acceptable to the CPM, closer to the plant (e.g., 400 feet from the plant boundary) and this measured level then mathematically extrapolated to determine the plant noise contribution at the affected residence. The character of the plant noise shall be evaluated at the affected receptor locations to determine the presence of pure tones or other dominant sources of plant noise.

B. If the results from the noise survey indicate that the power plant noise at the affected receptor site exceeds the above value during the above time

¹ A legitimate complaint refers to a complaint about noise that is caused by the PSEGS project as opposed to another source (as verified by the CPM). A legitimate complaint constitutes a violation by the project of any noise condition of certification (as confirmed by the CPM), which is documented by an individual or entity affected by such noise.

period, mitigation measures shall be implemented to reduce noise to a level of compliance with this limit.

- C. If the results from the noise survey indicate that pure tones are present, mitigation measures shall be implemented to eliminate the pure tones.

Verification: The survey shall take place within 30 days of the project first achieving a sustained output of 85 percent or greater of rated capacity. Within 15 days after completing the survey, the project owner shall submit a summary report of the survey to the CPM. Included in the survey report shall be a description of any additional mitigation measures necessary to achieve compliance with the above listed noise limit and a schedule, subject to CPM approval, for implementing these measures. When these measures are in place, the project owner shall repeat the noise survey.

Within 15 days of completion of the new survey, the project owner shall submit to the CPM a summary report of the new noise survey, performed as described above and showing compliance with this condition.

OCCUPATIONAL NOISE SURVEY

NOISE-5 Following the project's attainment of a sustained output of 85 percent or greater of its rated capacity, the project owner shall conduct an occupational noise survey to identify any noise hazardous areas in the facility.

The survey shall be conducted by a qualified person in accordance with the provisions of Title 8, California Code of Regulations, sections 5095-5099 (Article 105) and Title 29, Code of Federal Regulations, section 1910.95. The survey results shall be used to determine the magnitude of employee noise exposure.

The project owner shall prepare a report of the survey results and, if necessary, identify mitigation measures to be employed in order to comply with the applicable California and federal regulations.

Verification: Within 30 days after completing the survey, the project owner shall submit the noise survey report to the CPM. The project owner shall make the report available to OSHA and Cal-OSHA upon request.

CONSTRUCTION RESTRICTIONS

NOISE-6 Heavy equipment operation and noisy construction work relating to any project features within one-quarter of a mile of an existing residence shall be restricted to the times delineated below, unless a special permit has been issued by the County of Riverside:

Mondays through Fridays:

June through September: 6 a.m. to 7 p.m.

October through May: 6 a.m. to 6 p.m.

Saturdays:

9 a.m. to 5 p.m.

Sundays and Federal holidays:

No Construction Allowed

Haul trucks and other engine-powered equipment shall be equipped with adequate mufflers. Haul trucks shall be operated in accordance with posted speed limits. Truck engine exhaust brake use shall be limited to emergencies.

Verification: Prior to ground disturbance, the project owner shall transmit to the CPM a statement acknowledging that the above restrictions will be observed throughout the construction of the project.

NOISE-7 If a traditional high-pressure steam blow process is used, the project owner shall equip steam blow piping with a temporary silencer that quiets the noise of steam blows to no greater than 89 dBA measured at a distance of 100 feet. The steam blows shall be conducted between 8:00 a.m. and 5:00 p.m. unless arranged with the CPM such that off-site impacts will not cause annoyance to receptors. If a low-pressure continuous steam blow process is used, the project owner shall submit to the CPM a description of the process, with expected noise levels and planned hours of steam blow operation.

Verification: At least 15 days prior to the first steam blow, the project owner shall notify all residents or business owners within one mile of the project site boundary. The notification may be in the form of letters, phone calls, fliers, or other effective means as approved by the CPM. The notification shall include a description of the purpose and nature of the steam blow(s), the planned schedule, expected sound levels, and explanation that it is a one-time activity and not part of normal plant operation.

PROPOSED CONDITIONS OF CERTIFICATION

PUBLIC HEALTH-1 The project owner shall develop and implement a Cooling Water Management Plan to ensure that the potential for bacterial growth in cooling water is kept to a minimum. The Plan shall be consistent with either staff's "Cooling Water Management Program Guidelines" or with the Cooling Technology Institute's "Best Practices for Control of Legionella" guidelines but in either case, the Plan must include sampling and testing for the presence of Legionella bacteria at least every six months. After two years of power plant operations, the project owner may ask the compliance project manager (CPM) to re-evaluate and revise the Legionella bacteria testing requirement.

Verification: At least 60 days prior to the commencement of cooling tower operations, the Cooling Water Management Plan shall be provided to the CPM for review and approval.

PROPOSED CONDITIONS OF CERTIFICATION

SOCIO-1 The project owner shall submit a “No Trespassing” letter to the satisfaction of the Colorado River Station of the Riverside County Sheriff’s Department. The “No Trespassing” letter shall remain on file throughout construction and operation of the project.

Verification: At least 30 days prior to the start of construction, the project owner shall provide a copy of the letter to the Colorado River Station of the Riverside County Sheriff’s Department for review and to the CPM for review and approval.

PROPOSED CONDITIONS OF CERTIFICATION

DRAINAGE EROSION AND SEDIMENTATION CONTROL PLAN (DESCP)

SOIL&WATER-1 Prior to site mobilization, the project owner shall obtain the Compliance Project Manager (CPM) approval of the Drainage Erosion and Sedimentation Control Plan (DESCP) for managing storm water during Project construction and operations as normally administered by the County of Riverside. The DESCP must ensure proper protection of water quality and soil resources, demonstrate no increase in off-site flooding potential, include provisions for sediment and storm water retention from both the power block, solar fields and transmission right of way to meet any Riverside County requirements, address exposed soil treatments in the solar fields for both road and non-road surfaces, and identify all monitoring and maintenance activities. The plan must also cover all linear project features such as offsite transmission mains. The DESCP shall contain, at minimum, the elements presented below that outline site management activities and erosion and sediment-control Best Management Practices (BMP) to be implemented during site mobilization, excavation, construction, and post construction (operating) activities.

- A. Vicinity Map** – A map(s), at a minimum scale 1 inch to 500 feet, shall be provided indicating the location of all Project elements (construction sites, laydown area, pipelines) with depictions of all significant geographic features including swales, storm drains, and sensitive areas.
- B. Site Delineation** – All areas subject to soil disturbance for the proposed Project (Project phases, laydown area, all linear facilities, landscaping areas, and any other Project elements) shall be delineated showing boundary lines of all construction areas and the location of all existing and proposed structures, pipelines, roads, and drainage facilities.
- C. Watercourses and Critical Areas** – The DESCP shall show the location of all nearby watercourses including swales, storm drains, and desert washes. It shall indicate the proximity of those features to the proposed Project construction, laydown, and landscape areas and all transmission and pipeline construction corridors.
 - 1. The DESCP shall describe how the project will avoid or minimize impacts to Palen-McCoy Valley sand corridor,
 - 2. All proposed linear features (with the exception of Power Pylons) shall be constructed flush with the surrounding ground surface and without ground level obstructions.

3. Earthwork and temporary construction related activities shall be conducted such that off-site resources are protected from impacts due to redirection of flood flows around and through the site. Construction activities shall proceed in a manner so as to minimize exposure of facilities to construction period flooding. Temporary diversion channels, if employed, shall be adequately designed for flood conveyance capable of protecting the construction site while not contributing to onsite or offsite erosion.
- D. Drainage Map** – The DESCPC shall provide a topographic site map(s), at a minimum scale of 1 inch to 200 feet, showing existing, interim, and proposed drainage swales and drainage systems and drainage-area boundaries. On the map, spot elevations are required where relatively flat conditions exist. The spot elevations and contours shall be extended off-site for a minimum distance of 100 feet.
- E. Drainage of Project Site Narrative** – The DESCPC shall include a narrative of the drainage measures necessary to protect the site and potentially affected soil and water resources within the drainage downstream of the site. The narrative shall include the summary pages from the hydraulic analysis prepared by a professional engineer and erosion control specialist. The narrative shall state the watershed size(s) in acres that was used in the calculation of drainage features.
- F. Clearing and Grading Plans** – The DESCPC shall provide a delineation of all areas to be cleared of vegetation and areas to be preserved. The plan shall provide elevations, slopes, locations, and extent of all proposed grading as shown by contours, cross sections, or other means. The locations of any disposal areas, fills, or other special features shall also be shown. Existing and proposed topography shall be illustrated by tying in proposed contours with existing topography.
- G. Clearing and Grading Narrative** – The DESCPC shall include a table with the estimated quantities of material excavated or filled for the site and all Project elements (Project site, laydown area, transmission and pipeline corridors, roadways, and bridges) whether such excavation or fill is temporary or permanent, and the amount of such material to be imported or exported.
- H. Soil Wind and Water Erosion Control** – The plan shall address exposed soil treatments to be used during construction and operation of the proposed Project for both road and non-road surfaces including specifically identifying all chemical based dust palliatives, soil bonding, and weighting agents

appropriate for use at the proposed Project site that would not cause adverse effects to vegetation. BMPs shall include measures designed to prevent wind and water erosion including application of chemical dust palliatives after rough grading to limit water use. All dust palliatives, soil binders, and weighting agents shall be approved by the CPM prior to use.

- I. **Best Management Practices Plan** – The DESCOP shall identify on the topographic site map(s) the location of the site specific BMPs to be employed during each phase of construction (initial grading, Project element excavation and construction, and final grading/stabilization). BMPs shall include measures designed to control dust, stabilize construction access roads and entrances, and control storm water runoff and sediment transport.
- J. **Best Management Practices Narrative** – The DESCOP shall show the location (as identified in (I) above), timing, and maintenance schedule of all erosion- and sediment-control BMPs to be used prior to initial grading, during all Project element (site, pipelines) excavations and construction, final grading/stabilization, and operation. Separate BMP implementation schedules shall be provided for each Project element for each phase of construction. The maintenance schedule shall include post-construction maintenance of structural-control BMPs, or a statement provided about when such information would be available.
- K. **Project Schedule** – The DESCOP shall identify on the topographic site map the location of the site-specific BMPs to be employed during each phase of construction (initial grading, Project element construction, and final grading/stabilization). Separate BMP implementation schedules shall be provided for each Project element for each phase of construction.
- L. **Erosion Control Drawings** – The erosion-control drawings and narrative shall be designed, stamped and sealed by a professional engineer or erosion control specialist.
- M. **Agency Comments** – The DESCOP shall include copies of recommendations, conditions, and provisions from the County of Riverside, California Department of Fish and Game (CDFG), and Colorado River Basin Regional Water Quality Control Board (CRBRWQCB).
- N. **Monitoring Plan:** Monitoring activities shall include routine measurement of the volume of accumulated sediment in the onsite drainage ditches, and storm water diversions.

Verification: No later than 30 days prior to start of site mobilization, the project owner shall submit a copy of the final DESCOP to the County of Riverside, the CRBRWQCB, and the CPM for review and comment and to the County of Riverside and the CRBRWQCB if required. The CPM shall consider comments if received by the county and CRBRWQCB before approval of the DESCOP.

The DESCOP shall be consistent with the grading and drainage plan and relevant portions of the DESCOP shall clearly show approval by the chief building official. The DESCOP shall be a separate plan from the SWPPP developed in conjunction with any National Pollutant Discharge Elimination System (NPDES) permit for Construction Activity. The project owner shall provide in the monthly compliance report with a narrative on the effectiveness of the drainage, erosion, and sediment-control measures and the results of monitoring and maintenance activities. Once operational, the project owner shall update and maintain the DESCOP for the life of the Project and shall provide in the annual compliance report information on the results of monitoring and maintenance activities.

PROJECT GROUNDWATER WELLS, PRE-WELL INSTALLATION

SOIL&WATER-2 The project owner proposes to construct and operate up to ten (10) onsite groundwater water supply wells that produce water from the CVGB. The project owner shall ensure that the wells are completed in accordance with all applicable state and local water well construction permits and requirements. Prior to initiation of well construction activities, the project owner shall submit for review and comment a well construction packet to the County of Riverside and fees normally required for the county's well permit, with copies to the CPM. The Project shall not construct a well or extract and use groundwater until approval has been issued by the County and the CPM to construct and operate the well. Wells permitted and installed as part of pre-construction field investigations that subsequently are planned for use as project water supply wells require CPM approval prior to their use to supply water to the project.

Post-Well Installation. The project owner shall provide documentation as required under County permit conditions to the CPM that the well has been properly completed. In accordance with California's Water Code section 13754, the driller of the well shall submit to the DWR a Well Completion Report for each well installed. The project owner shall ensure the Well Completion reports are submitted. The project owner shall ensure compliance with all county water well standards and the County requirements for the life of the wells, and shall provide the CPM with two (2) copies each of all monitoring or other reports required for compliance with the County of Riverside water well standards and operation requirements, as well as any changes made to the operation of the well.

Verification: The project owner shall do all of the following:

- a. No later than 60 days prior to the construction of the onsite groundwater production wells, the project owner shall submit to the CPM a copy of the water well construction packet submitted to the County of Riverside.
- b. No later than 30 days prior to the construction of the onsite groundwater production wells, the project owner shall submit a copy of written concurrence received from the

County of Riverside that the proposed well construction activities comply with all county well requirements and meet the requirements established by the county's water well permit program. The CPM will provide approval to the project owner of the well location and operation within 10 days of receipt of the County of Riverside's concurrence with the proposed well construction activities.

- c. No later than 60 days after installation of each well at the Project site, the project owner shall ensure that the well driller submits a Well Completion Report to the DWR with a copy provided to the CPM. The project owner shall submit to the CPM together with the Well Completion Report a copy of well drilling logs, water quality analyses, and any inspection reports. Additionally no later than 60 days after installation of each well (including closure of any associated mud pits) the project owner shall submit documentation to the CPM and the CRBWQCB that well drilling activities were conducted in compliance with Title 23, California Code of Regulations, Chapter 15, Discharges of Hazardous Wastes to Land, (23 CCR, sections 2510 et seq.) and that any onsite drilling sumps used for Project drilling activities were removed in compliance with 23 CCR section 2511(c).
- d. During well construction and for the operational life of the well, the project owner shall submit two copies each to the CPM of any proposed well construction or operation changes.

CONSTRUCTION AND OPERATION WATER USE

SOIL&WATER-3 The proposed Project's use of groundwater during construction shall not exceed 400 afy (total of 1,130 af during the 34 months) during construction and 201 afy during operation. Water quality used for project construction and operation shall be reported in accordance with Condition of Certification **SOIL&WATER-18** to ensure compliance with this condition.

Prior to the use of groundwater for construction, the project owner shall install and maintain metering devices as part of the water supply and distribution system to document Project water use and to monitor and record in gallons per day the total volume(s) of water supplied to the Project from this water source. The metering devices shall be operational for the life of the Project.

Verification: At least 60 days prior to the start of construction of the proposed Project, the project owner shall submit to the CPM a copy of evidence that metering devices have been installed and are operational.

Beginning six months after the start of construction, the project owner shall prepare a semi-annual summary of amount of water used for construction purposes. The summary shall include the monthly range and monthly average of daily water usage in gallons per day.

The project owner shall prepare an annual summary, which shall include daily usage, monthly range and monthly average of daily water usage in gallons per day, and total water used on a monthly and annual basis in acre-feet. For years subsequent to the

initial year of operation, the annual summary shall also include the yearly range and yearly average water use by source. For calculating the total water use, the term “year” shall correspond to the date established for the annual compliance report submittal.

GROUNDWATER LEVEL MONITORING, MITIGATION, AND REPORTING

SOIL&WATER-4 The project owner shall submit a Groundwater Level Monitoring, Mitigation, and Reporting Plan to the CPM for review and approval in advance of construction activities and prior to the operation of onsite groundwater supply wells. The Groundwater Level Monitoring, Mitigation, and Reporting Plan shall provide detailed methodology for monitoring background and site groundwater levels. Monitoring shall include pre-construction, construction, and Project operation water use. The plan shall establish pre-construction and Project related groundwater level and water quality trends that can be quantitatively compared against observed and simulated trends near the Project pumping wells and near potentially impacted existing wells.

A. Prior to Project Construction

1. A well reconnaissance shall be conducted to investigate and document the condition of existing water supply wells located within 3 miles of the project site, provided that access is granted by the well owners. The reconnaissance shall include sending notices by registered mail to all property owners within a 3 mile radius of the project area.
2. Monitor to establish preconstruction conditions. The monitoring plan and network of monitoring wells shall make use of existing wells in the basin that would satisfy the requirements for the monitoring program. The monitoring network shall be defined by the groundwater model developed for the AFC as the area predicted to show a water level change of 1 feet or more at the end of construction and at the end of operation and any monitoring wells that are installed to comply with Waste Discharge Requirements issued by the Energy Commission for the evaporation ponds and land treatment unit associated with the Project. The projected area of groundwater drawdown shall be refined on an annual basis during project construction and every three (3) years during project operations using the data acquired as part of Condition of Certification **SOIL&WATER-4** as well as the numerical groundwater model developed as part of the AFC and subsequent Data Responses by the applicant. If the area predicted to show a water level change of 1 feet increases, the project owner will be required to submit a revised monitoring plan with additional monitoring wells (if required).

3. Identified additional wells shall be located outside of this area to serve as background monitoring wells. Abandoned wells, or wells no longer in use, that are accessible and provide reliable water level data within the potentially impacted area shall also be included as part of the monitoring network. A site reconnaissance shall be performed to identify wells that could be accessible for monitoring. As access to these wells is available, historic water level, water quality, well construction and well performance information shall be obtained for both pumping and non-pumping conditions.
4. As access allows, measure groundwater levels from the off-site and on-site wells within the network and background wells to provide initial groundwater levels for pre-project trend analysis.
5. Construct water level maps within the CVGB within 5 miles of the site from the groundwater data collected prior to construction. Update trend plots and statistical analyses, as data is available.

B. During Construction:

1. Collect water levels from wells within the monitoring network and flows from seeps and or springs on a quarterly basis throughout the construction period and at the end of the construction period. Perform statistical trend analysis for water levels. Assess the significance of an apparent trend and estimate the magnitude of that trend.

C. During Operation:

1. On a quarterly basis for the first year of operation and semi-annually thereafter for the following four years, collect water level measurements from any wells identified in the groundwater monitoring program to evaluate operational influence from the Project. Quarterly operational parameters (i.e., pumping rate) of the water supply wells shall be monitored. Additionally, quarterly groundwater-use in the CVGB shall be estimated based on available data.
2. On an annual basis, perform statistical trend analysis for water levels data and comparison to predicted water level declines due to project pumping. Analysis of the significance of an apparent trend shall be determined and the magnitude of that trend estimated. Based on the results of the statistical trend analyses and comparison to predicted water level declines due to Project pumping, the project owner shall determine the area where the Project pumping has induced a drawdown in the water supply at a level of 5 feet or more below the baseline trend.
3. If water levels have been lowered more than 5 feet below pre-site operational trends, and monitoring data provided by the project owner

show these water level changes are different from background trends and are caused by Project pumping, then the project owner shall provide mitigation to the impacted well owner(s). Mitigation shall be provided to the impacted well owners that experience 5 feet or more of Project-induced drawdown if the CPM's inspection of the well monitoring data confirms changes to water levels and water level trends relative to measured pre-project water levels, and the well (private owners well in question) yield or performance has been significantly affected by Project pumping. The type and extent of mitigation shall be determined by the amount of water level decline induced by the Project, the type of impact, and site specific well construction and water use characteristics. If an impact is determined to be caused by drawdown from more than one source, the level of mitigation provided shall be proportional to the amount of drawdown induced by the Project relative to other sources. In order to be eligible, a well owner must provide documentation of the well location and construction, including pump intake depth, and that the well was constructed and usable before Project pumping was initiated. The mitigation of impacts shall be determined as follows:

- a. If Project pumping has lowered water levels by 5 feet or more and increased pumping lifts, increased energy costs shall be calculated. Payment or reimbursement for the increased costs shall be provided at the option of the affected well owner on an annual basis. In the absence of specific electrical use data supplied by the well owner, the project owner shall use **SOIL&WATER-5** to calculate increased energy costs.
- b. If groundwater monitoring data indicate Project pumping has lowered water levels below the top of the well screen, and the well yield is shown to have decreased by 10% or more of the pre-Project average seasonal yield, compensation shall be provided for the diagnosis and maintenance to treat and remove encrustation from the well screen. Reimbursement shall be provided at an amount equal to the customary local cost of performing the necessary diagnosis and maintenance for well screen encrustation. Should the well yield reductions be recurring, the project owner shall provide payment or reimbursement for periodic maintenance throughout the life of the Project. If with treatment the well yield is incapable of meeting 110% of the well owner's maximum daily demand, dry season demand, or annual demand the well owner should be compensated by reimbursement or well replacement as described under Condition 3.c.
- c. If Project pumping has lowered water levels to significantly impact well yield so that it can no longer meet its intended purpose, causes the well to go dry, or cause casing collapse, payment or

reimbursement of an amount equal to the cost of deepening or replacing the well shall be provided to accommodate these effects. Payment or reimbursement shall be at an amount equal to the customary local cost of deepening the existing well or constructing a new well of comparable design and yield (only deeper).. The demand for water, which determines the required well yield, shall be determined on a per well basis using well owner interviews and field verification of property conditions and water requirements compiled as part of the pre-project well reconnaissance. Well yield shall be considered significantly impacted if it is incapable of meeting 110% of the well owner's maximum daily demand, dry-season demand, or annual demand – assuming the pre-project well yield documented by the initial well reconnaissance met or exceeded these yield levels.

- d. The project owner shall notify any owners of the impacted wells within one month of the CPM approval of the compensation analysis for increased energy costs.
 - e. Pump lowering – In the event that groundwater is lowered as a result of Project pumping to an extent where pumps are exposed but well screens remain submerged the pumps shall be lowered to maintain production in the well. The Project shall reimburse the impacted well owner for the costs associated with lowering pumps.
 - f. Deepening of wells – If the groundwater is lowered enough as a result of Project pumping that well screens and/or pump intakes are exposed, and pump lowering is not an option, such affected wells shall be deepened or new wells constructed. The project owner shall reimburse the impacted well owner for all costs associated with deepening existing wells or constructing new wells shall be borne by the project owner.
4. After the first five-year operational and monitoring period the CPM shall evaluate the data and determine if the monitoring program for water level measurements should be revised or eliminated. Revision or elimination of any monitoring program elements shall be based on the consistency of the data collected. The determination of whether the monitoring program should be revised or eliminated shall be made by the CPM.
 5. If mitigation includes monetary compensation, the project owner shall provide documentation to the CPM that compensation payments have been made by March 31 of each year of Project operation or, if lump-sum payments are made, payment is made by March 31 following the first year of operation only. Within 30 days after compensation is paid, the project owner shall submit to the CPM a compliance report

describing compensation for increased energy costs necessary to comply with the provisions of this condition.

6. At the end of every subsequent five-year monitoring period, the collected data shall be evaluated by the CPM and they shall determine if the sampling frequency should be revised or eliminated.
7. During the life of the Project, the project owner shall provide to the CPM all monitoring reports, complaints, studies and other relevant data within 10 days of being received by the project owner.

Verification: The project owner shall do all of the following:

At least 60 days prior to operation of the site groundwater supply wells, the project owner shall submit to the CPM, a comprehensive report presenting all the data and information required in item A above. The CPM will provide comments to the plan 15 days following submittal, and the final plan shall be approved 15 days prior to operation of the site groundwater supply wells. The project owner shall submit to the CPM all calculations and assumptions made in development of the report data and interpretations.

During Project construction, the project owner shall submit to the CPM quarterly reports presenting all the data and information required in item B above. The quarterly reports shall be provided 30 days following the end of the quarter. The project owner shall also submit to the CPM all calculations and assumptions made in development of the report data and interpretations.

No later than March 31 of each year of construction or 60 days prior to Project operation, the project owner shall provide to the CPM for review and approval, documentation showing that any mitigation to private well owners during Project construction was satisfied, based on the requirements of the property owner as determined by the CPM.

During Project operation, the project owner shall submit to the CPM, applicable quarterly, semi-annual and annual reports presenting all the data and information required in item C above. Quarterly reports shall be submitted to the CPM 30 days following the end of the quarter. The fourth quarter report shall serve as the annual report and shall be provided on January 31 in the following year.

The project owner shall submit to the CPM all calculations and assumptions made in development of report data and interpretations, calculations, and assumptions used in development of any reports.

After the first five year operational and monitoring period, the project owner shall submit a 5 year monitoring report to the CPM that includes all monitoring data collected and a summary of the findings. The CPM will determine if the water level measurements and water quality sampling frequencies should be revised or eliminated.

COMPENSATION FOR WELL IMPACTS

SOIL&WATER-5 Where it is determined that the project owner shall reimburse a private well owner for increased energy costs identified as a result of analysis performed in Condition of Certification **SOIL&WATER-4**, the project owner shall calculate the compensation owed to any owner of an impacted well as described below.

Increased cost for energy = change in lift/total system head x total energy consumption x costs/unit of energy

Where:

change in lift (ft) = calculated change in water level in the well resulting from project

total system head (ft) = elevation head + discharge pressure head

elevation head (ft) = difference in elevation between wellhead discharge pressure gauge and water level in well during pumping.

discharge pressure head (ft) = pressure at wellhead discharge gauge (psi) X 2.31

The project owner shall submit to the CPM for review and approval the documentation showing which well owners must be compensated for increased energy costs and that the proposed amount is sufficient compensation to comply with the provisions of this condition.

- Any reimbursements (either lump sum or annual) to impacted well owners shall be only to those well owners whose wells were in service within six months of the Commission decision and within a 5-mile radius of the project site.
- The project owner shall notify all owners of the impacted wells within one month of the CPM approval of the compensation analysis for increase energy costs.
- Compensation shall be provided on either a one-time lump-sum basis, or on an annual basis, as described below.

Annual Compensation: Compensation provided on an annual basis shall be calculated prospectively for each year by estimating energy costs that will be incurred to provide the additional lift required as a result of the project. With the permission of the impacted well owner, the project owner shall provide energy meters for each well or well field affected by the project. The impacted well owner to receive compensation must provide documentation of energy

consumption in the form of meter readings or other verification of fuel consumption. For each year after the first year of operation, the project owner shall include an adjustment for any deviations between projected and actual energy costs for the previous calendar year.

One-Time Lump-Sum Compensation: Compensation provided on a one-time lump-sum basis shall be based on a well-interference analysis, assuming the maximum project-pumping rate of 300 afy. Compensation associated with increased pumping lift for the life of the project shall be estimated as a lump sum payment as follows:

- The current cost of energy to the affected party considering time of use or tiers of energy cost applicable to the party's billing of electricity from the utility providing electric service, or a reasonable equivalent if the party independently generates their electricity;
- An annual inflation factor for energy cost of 3%; and
- A net present value determination assuming a term of 30 years and a discount rate of 9%;

Verification: The project owner shall do all of the following:

1. No later than 30 days after CPM approval of the well drawdown analysis, the project owner shall submit to the CPM for review and approval all documentation and calculations describing necessary compensation for energy costs associated with additional lift requirements.
2. The project owner shall submit to the CPM all calculations, along with any letters signed by the well owners indicating agreement with the calculations, and the name and phone numbers of those well owners that do not agree with the calculations.

Compensation payments shall be made by March 31 of each year of project operation or, if lump-sum payment is selected, payment shall be made by March 31 of the first year of operation only. Within 30 days after compensation is paid, the project owner shall submit to the CPM a compliance report describing compensation for increased energy costs necessary to comply with the provisions of this condition.

WASTE DISCHARGE REQUIREMENTS

SOIL&WATER-6 The project owner shall comply with the requirements specified in Appendix B, C, and D. These requirements relate to discharges, or potential discharges, of waste that could affect the quality of waters of the state, and were developed in consultation with staff of the State Water Resources Control Board and/or the applicable California Regional Water Quality Control Board (hereafter "Water Boards"). It is the Commission's intent that these

requirements be enforceable by both the Commission and the Water Boards. In furtherance of that objective, the Commission hereby delegates the enforcement of these requirements, and associated monitoring, inspection and annual fee collection authority, to the Water Boards. Accordingly, the Commission and the Water Board shall confer with each other and coordinate, as needed, in the enforcement of the requirements. The project owner shall pay the annual waste discharge permit fee associated with this facility to the Water Boards. In addition, the Water Boards may "prescribe" these requirements as waste discharge requirements pursuant to Water Code Section 13263 solely for the purposes of enforcement, monitoring, inspection, and the assessment of annual fees, consistent with Public Resources Code Section 25531, subdivision (c).

Verification: The Project owner shall follow the groundwater quality monitoring requirements as provided in **SOIL&WATER-18** by providing Groundwater Quality Monitoring and Reporting Plan 90 days prior to operation of water supply wells for construction activities. The plan shall provide methods and procedures for monitoring background water quality, and site groundwater quality related to operation of the waste management units. Well locations, groundwater sampling procedures and analytical methods shall be provided consistent with requirements stipulated in the Waste Discharge Requirements provided in Appendix B, C and D.

No later than 60 days prior to any wastewater discharge or use of land treatment units, the project owner shall provide documentation to the CPM, with copies to the CRBRWQCB, demonstrating compliance with the WDRs established in Appendices B, C, and D. Any changes to the design, construction, or operation of the evaporation basins, treatment units, or storm water system shall be requested in writing to the CPM, with copies to the CRBRWQCB, and approved by the CPM, in consultation with the CRBRWQCB, prior to initiation of any changes. The project owner shall provide to the CPM, with copies to the CRBRWQCB, all monitoring reports required by the WDRs, and fully explain any violations, exceedances, enforcement actions, or corrective actions related to construction or operation of the evaporation basins or treatment units.

SEPTIC SYSTEM AND LEACH FIELD REQUIREMENTS

SOIL&WATER-7 The project owner shall comply with the requirements of the County of Riverside Ordinance Code Title 8, Chapter 8.124 and the California Plumbing Code (California Code of Regulations Title 24, Part 5) regarding sanitary waste disposal facilities such as septic systems and leach fields. The septic system and leach fields shall be designed, operated, and maintained in a manner that ensures no deleterious impact to groundwater or surface water. Compliance shall include an engineering report on the septic system and leach field design, operation, maintenance, and loading impact to groundwater.

Verification: The project owner shall submit all necessary information and the appropriate fee to the County of Riverside and the CRBRWQCB to ensure that the project has complied with county and state sanitary waste disposal facilities

requirements. Written assessments prepared by the County of Riverside and the CRBRWQCB regarding the project's compliance with these requirements must be submitted to the CPM for review and approval 30 days prior to the start of power plant operation.

SOIL&WATER-8: DELETED

SOIL&WATER-9: DELETED

SOIL&WATER-10: DELETED

SOIL&WATER-11: DELETED

SOIL&WATER-12: DELETED

CLOSURE PLAN

SOIL&WATER-13 The project owner shall prepare both a Provisional Closure Plan and a Final Closure Plan that will meet the requirements of the BLM. The project owner shall identify likely closure scenarios and develop facility closure plans in accordance with COM-15 "Facility Closure Plans" of the General Conditions. Actions to be taken to avoid or mitigate long-term impacts related to water and wind erosion after the facility's closure need to be identified. Actions may include such measures as a facility closure SWPPP, revegetation and restoration of disturbed areas, post-closure maintenance, collection and disposal of project materials and chemicals, and access restrictions.

Verification: One (1) year after initiating commercial operation, the project owner must submit a Provisional Closure Plan and cost estimate for permanent closure to the CPM for review and approval. Three (3) years prior to closing, the owner must submit a Final Closure Plan to the CPM for review and approval. The project owner shall amend these documents as necessary, with approval from the CPM, should the facility closure scenario change in the future.

MITIGATION OF IMPACTS TO THE PALO VERDE MESA GROUNDWATER BASIN

SOIL&WATER-14 To mitigate the impact from Project pumping, the Project owner shall identify and implement offset measures to mitigate the increase in discharge from surface water to groundwater that affects recharge in the Palo Verde Valley Groundwater Basin (USGS). The project owner shall implement **SOIL&WATER-17** to evaluate the change in recharge over the life of the project including any latency effects from Project pumping. The activities shall include the following water conservation projects: payment for irrigation improvements in Palo Verde Irrigation District, payment for irrigation improvements in Imperial Irrigation District, purchase of water rights within the Colorado River Basin that will be held in reserve, and/or BLM's Tamarisk

Removal Program or other proposed mitigation activities acceptable to the CPM.

The activities proposed for mitigation shall be outlined in a Water Offset Plan that will be provided to the CPM for review and approval and which shall include the following at a minimum:

- A. Identification of the water offsets as determined in **SOIL&WATER-17**;
- B. Demonstration of the Project owner's ability to conduct the activity;
- C. Whether any governmental approval of the identified offset will be needed, and if so, whether additional approval will require compliance with CEQA or NEPA;
- D. Demonstration of how much water is provided by each of the offset measures;
- E. An estimated schedule for completion of the activities;
- F. Performance measures that would be used to evaluate the amount of water replaced by the proposed offset measure; and,
- G. A Monitoring and Reporting Plan outlining the steps necessary and proposed frequency of reporting to show the activities are achieving the intended benefits of the water supply offsets;

Verification: The project Owner shall submit a Water Offset Plan to the CPM for review and approval thirty (30) days before the start of extraction of groundwater for construction or operation.

The Project owner shall implement the activities reviewed and approved in the Water Offset Plan in accordance with the agreed upon schedule in the Water Offset Plan. If agreement with the CPM on identification or implementation of offset activities cannot be achieved the Project owner shall immediately halt construction or operation until the agreed upon activities can be identified and implemented.

GROUNDWATER PRODUCTION REPORTING

SOIL&WATER-15 The Project is subject to the requirement of Water Code Sections 4999 et. seq. for reporting of groundwater production in excess of 25 acre-feet per year.

Verification: The project owner shall file an annual "Notice of Extraction and Diversion of Water" with the SWRCB in accordance with Water Code Sections 4999 et. seq. The project owner shall include a copy of the filing in the annual compliance report.

GROUND SUBSIDENCE MONITORING AND ACTION PLAN

SOIL&WATER-16 One monument monitoring station per production well or a minimum of three stations shall be constructed to measure potential inelastic subsidence that may alter surface characteristics of the Chuckwalla Valley near the proposed production wells. The project owner shall:

- A. Prepare and submit a Subsidence Monitoring Plan (SMP). The plan shall include the following elements:
 1. Construction diagrams of the proposed monument monitoring station including size and description, planned depth, measuring points, and protection measures;
 2. Map depicting locations (minimum of three) of the planned monument monitoring stations;
 3. Monitoring program that includes monitoring frequency, thresholds of significance, reporting format.
- B. Prepare quarterly reports commencing three (3) months following commencement of groundwater production during construction and operations.
 1. The reports shall include presentation and interpretation of the data collected including comparison to the thresholds developed in Item C.
- C. Prepare a Mitigation Action Plan that details the following:
 1. Thresholds of significance for implementation of proposed action plan;
 - a. Any subsidence that may occur will not be allowed to damage existing structures either on or off the site or alter the appearance or use of the structure;
 - b. Any subsidence that may occur will not be allowed to alter the natural drainage patterns or permit the formation of playas or lakes;
 - c. Any subsidence that violates (a) or (b) will result in the project owner investigating the need to immediately reduce/cease pumping until the cause is identified or subsidence caused by project pumping abates and the structures and/or drainage patterns are stabilized and corrected.
 2. Action Plan that details proposed actions by the project owner in the event thresholds are achieved during the monitoring program.

The project owner shall submit the Ground Subsidence Monitoring and Action Plan that is prepared by an Engineering Geologist registered in the State of California 30 days prior to the start of extraction of groundwater for construction or operation.

Verification: The project owner shall do all of the following:

1. At least 30 days prior to project construction, the project owner shall submit to the CPM, a comprehensive report presenting all the data and information required in item A above.
2. The project owner shall submit to the CPM all calculations and assumptions made in development of the SMP.
3. During Project construction and operations, the project owner shall submit to the CPM quarterly reports presenting all the data and information required in item B above.
4. The project owner shall submit to the CPM all calculations and assumptions made in development of the report data and interpretations.
5. After the first five years of the monitoring period, the project owner shall submit a 5-year monitoring report to the CPM that submits all monitoring data collected and provides a summary of the findings. The CPM will determine if the Ground Subsidence Monitoring and Action Plan frequencies should be revised or eliminated.

ESTIMATION OF SURFACE WATER IMPACTS

SOIL&WATER-17 To further assess the impacts from Project pumping, the Project owner shall estimate the increase in discharge from surface water to groundwater that affects recharge in the Palo Verde Valley Groundwater Basin (PVVGB)(USGS). This estimate may be used for determining the appropriate offset volume in accordance with **SOIL&WATER-14**. The Project owner shall do the following to provide an estimate for review and approval by the CPM:

1. The Project owner shall conduct a detailed analysis of the affect from Project pumping on at the end of the 30 year operational period the change in groundwater outflow from the Chuckwalla Valley Groundwater Basin to the Palo Verde Valley and how the change in outflow may affect recharge of surface water to the PVVGB from the Project's groundwater extraction activities. The detailed analysis shall include:
 - a. The conceptual model developed in the AFC and the Staff Assessment, for the Chuckwalla Valley Groundwater Basin and the Palo Verde Valley, and any changes resultant from further analysis in support of numerical modeling;
 - b. The use of an appropriately constructed groundwater model 1.) for the eastern portion of the Chuckwalla Valley Groundwater Basin that describes the effect from Project pumping on the outflow of groundwater to the Palo Verde Valley, and 2.) an appropriately constructed groundwater model of the Palo Verde Valley, inclusive of the mesa and floodplain. The models shall be coupled as appropriate

to determine the effect from Project pumping on the surface water recharge in the Palo Verde Valley. Each model shall be constructed in consideration of the following:

- i. Horizontal and vertical geometry information gained through on- and offsite investigations conducted as part of the hydrogeological field investigations for the AFC, and any subsequently documented investigation performed as part of the model development ;
 - ii. Aquifer properties developed as part of the AFC and any subsequently documented investigations performed as part of the model development, and an assessment of aquifer properties available from other published sources. The properties used shall be representative of the available data; and
 - iii. The modeling effort shall include a sensitivity analysis where in the most sensitive variables will be identified and varied within a reasonable range outside of the calibration value to provide an assessment of the range of potential impacts from the Project pumping on the recharge from the Palo Verde Valley Groundwater Basin.
- c. Reporting of the results of the modeling effort.
 - d. Estimation of the increased contribution of surface water discharge to groundwater and the change in recharge to the Palo Verde Valley Groundwater Basin attributable to Project groundwater pumping.
2. The analysis shall include the following elements:
 - a. The change in groundwater flux to the regional aquifer from surface water sources attributable to Project pumping in any for the life of the Project (30 years) until pre-project (within 95%) conditions are achieved;
 - b. A sensitivity analysis that would provide a range in the potential changes in flux relative to variation in the key model variables within each model as a result of Project pumping for life of the Project until pre-project (within 95%) conditions are achieved;
 3. The project owner shall present the results of the conceptual model, numerical model, transient runs and sensitivity analysis in a report for review and approval by the CPM. The report shall include all pertinent information regarding the development of the numerical models. The report shall include as discussion of the following as appropriate to each model:
 - a. Introduction

- b. Previous Investigations
- c. Conceptual Model
- d. Numerical Model and Input Parameters
- e. Sensitivity Analysis
- f. Transient Modeling Runs
- g. Conclusions

Verification: At least ninety (90) days prior to initiation of groundwater pumping for grading operations of the proposed Project, the project owner shall submit to the CPM for their review and approval a report detailing the results of the modeling effort. The report shall include the estimated amount of change in discharge from surface water to groundwater within the Palo Verde Valley due to Project pumping. This estimate shall be used for determining the appropriate volume of water for offset in accordance with **SOIL&WATER-14**.

GROUNDWATER QUALITY MONITORING AND REPORTING PLAN

SOIL&WATER-18 The project owner shall submit a Groundwater Quality Monitoring and Reporting Plan to the CPM for review and approval. The Groundwater Quality Monitoring and Reporting Plan shall provide a description of the methodology for monitoring background and site groundwater quality following the Waste Discharge Requirements of **SOIL&WATER-6**, to assess the effects from pumping on changes in the aquifer water chemistry, and to monitor potential impacts from operation of proposed septic leach fields, if required. The initial background water quality sampling shall be implemented during the background groundwater level monitoring events in accordance with **SOIL&WATER-4**. Prior to project construction, access to offsite wells shall be obtained and samples collected and monitoring wells shall be installed to evaluate background water quality in the shallow and deep regional aquifer in areas that will be affected by Project pumping. These data will be used to establish pre-construction water quality that can be quantitatively compared against data gathered during construction and operation to assess if project pumping or a release from the waste management units (See **SOIL&WATER-6**), or septic systems (if required) has adversely affected the water supply or sensitive receptors.

1. A Groundwater Quality Monitoring and Reporting Plan shall be submitted to the CPM 90 days prior to operation of the water supply wells for construction. The Plan shall include a scaled map showing the site and vicinity, existing well locations, and proposed monitoring locations (both existing wells and new monitoring wells proposed for construction). Additional monitoring wells that shall be installed include wells required in

accordance with Condition of Certification **SOIL&WATER-6**, for the evaporation ponds and land treatment unit proposed for the project, and if required for the sanitary leachfield system. The map shall also include relevant natural and man-made features (existing and proposed as part of this project). The plan also shall provide: (1) well construction information and borehole lithology for each existing well proposed for use as a monitoring well; (2) description of proposed drilling and well installation methods; (3) proposed monitoring well design; and, (4) schedule for completion of the work.

2. A Well Monitoring Installation and Groundwater Quality Network Report shall be submitted to the CPM for review and approval in conjunction with Condition of Certification **SOIL&WATER-4** and 60 days prior to operation of the water supply wells. The report shall include a scaled map showing the final monitoring well network. It shall document the drilling methods employed, provide individual well construction as-builds, borehole lithology recorded from the drill cuttings, well development, and well survey results. The well survey shall measure the location and elevation of the top of the well casing and reference point for all water level measurements, and shall include the coordinate system and datum for the survey measurements. Additionally, the report shall describe the water level monitoring equipment employed in the wells and document their deployment and use.
3. As part of the monitoring well network development, all newly constructed monitoring wells shall be constructed consistent with State and Riverside County specifications.
4. Prior to use of any groundwater for construction, all groundwater quality and groundwater level monitoring data shall be reported to the CPM in the Well Monitoring Installation and Groundwater Quality Network Report that is due in conjunction with the background water level monitoring report under **SOIL&WATER-4** and 60 days prior to construction. The report shall include the following:
 - a. An assessment of pre-project groundwater levels, a summary of available climatic information (monthly average temperature and rainfall records from the nearest weather station), and a comparison and assessment of water level data relative to the assumptions and spatial trends simulated by the project owner's groundwater model.
 - b. An assessment of pre-project groundwater quality with groundwater samples analyzed for those constituents required under the Waste Discharge Requirements (Appendix B, C and D) and if not included total dissolved solids (TDS), chloride, nitrates, major cations and anions, oxygen-18 and deuterium isotopes, and soluble metals.

- c. The data shall be tabulated and include the estimated range (minimum and maximum values), average, and median for each constituent analyzed. If a sufficient number of data points are available from the background sampling, the data shall also be analyzed using the Mann-Kendall test for trend at 90% confidence to assess whether pre-project water quality trends, if any, are statistically significant.
5. During project construction and during the first five years of project operations, the project owner shall semi-annually monitor the quality of groundwater and changes in groundwater elevation and submit data semiannually to the CPM one month following the end of the 1st and 3rd quarter and following the operation reporting requirement under **SOIL&WATER-4**. After five years of project operations, the frequency and scope of the monitoring program shall be reassessed by the CPM. The semi-annual report shall document water level monitoring methods, the water level data, water level plots, and a comparison between pre- and post-project start-up water level trends as itemized below. The report shall also include a summary of actual water use conditions, monthly climatic information (temperature and rainfall) from the nearest meteorological monitoring station, and a comparison and assessment of water level data relative to the assumptions and simulated spatial trends predicted by the project owner's groundwater model.
 - a. Groundwater samples from all wells in the monitoring well network shall be analyzed and reported semi-annually for those constituents required in the Waste Discharge Requirements (Appendix B, C and D) and if not included TDS, chloride, nitrates, cations and anions, oxygen-18 and deuterium isotopes.
 - b. For analysis purposes, pre-project water quality shall be defined by samples collected prior to project construction as specified above, and compliance data shall be defined by samples collected after the construction start date to determine the effects from Project pumping and after the installation and operation of the waste management units in compliance with the Waste Discharge Requirements (Appendix B, C and D) and the sanitary leachfields, if required.
 - c. Trends in water quality data shall be analyzed using the Mann-Kendall test for trend at the 90% confidence. Trends in the compliance data shall be compared and contrasted to pre-project trends, if any.
 - d. The contrast between pre-project and compliance mean or median concentrations shall be compared using an Analysis of Variance (ANOVA) or other appropriate statistical method approved by the CRBRWQCB for evaluation of water quality impacts. A parametric ANOVA (for example, an F-test) can be conducted on the two data sets if the residuals between observed and expected values are normally distributed and have equal variance, or the data can be

transformed to an approximately normal distribution. If the data cannot be represented by a normal distribution, then a nonparametric ANOVA shall be conducted (for example, the Kruskal-Wallis test). If a statistically significant difference is identified at 90% confidence between the two data sets, the monitoring data are inconsistent with random differences between the pre-project and baseline data indicating a significant water quality impact from project pumping may be occurring.

- e. If compliance data to evaluate the effects from Project pumping or potential impacts from operation of sanitary leachfield indicate that the water supply quality has deteriorated in (exceeds pre-project constituent concentrations in TDS, sodium, chloride, or other constituents identified as part of the monitoring plan and applicable Water Quality Objectives are exceeded for the applicable beneficial uses of the water supply) adjacent water supply wells that can be shown to be adversely influenced by Project Pumping for three consecutive years, the Project owner shall provide well-head treatment or a new water supply to either meet or exceed pre-project water quality conditions to any impacted water supply wells.

Verification: The project owner shall complete the following:

At least 90 days prior to construction, a Groundwater Level and Quality Monitoring and Reporting Plan shall be submitted to the CPM for review and approval.

At least 60 days prior to construction, a Well Monitoring Installation and Groundwater Level Network Report shall be submitted to the CPM for review and approval.

At least 60 days prior to use of any groundwater for construction, all groundwater quality and groundwater level monitoring data shall be reported to the CPM.

On a semiannual basis water quality data shall be collected during construction and 5 years following initial operation. The results of the monitoring will be reported on a semiannual basis, one month following the end of the 1st and 3rd quarters.

NON-TRANSIENT, NON-COMMUNITY WATER SYSTEM

SOIL&WATER-19 The Project is subject to the requirement of Title 22, Article 3, Sections 64400.80 through 64445 for a non-transient, non-community water system (serving 25 people or more for more than six months). In addition, the system shall require periodic monitoring for various bacteriological, inorganic and organic constituents.

Verification: The project owner shall submit the equivalent County of Riverside requirements to operate a non-transient, non-community water system with the County of Riverside at least 60 days prior to commencement of operations at the site. In addition, the project owner shall submit to the CPM a monitoring and reporting plan for production wells operated as part of the domestic water supply system prior to plant

operations. The plan shall include reporting requirements including monthly, quarterly and annual submissions.

The project owner shall designate a California Certified Water Treatment Plant Operator as well as the technical, managerial and financial requirements as prescribed by State law. The project owner shall supply updates on an annual basis of monitoring requirements, any required submittals equivalent to the County of Riverside requirements including annual renewal requirements.

STORM WATER DAMAGE MONITORING AND RESPONSE PLAN

SOIL&WATER-20 The project owner shall reduce impacts caused by large storms by ensuring heliostats and diversion channels withstand the 100-year storm event, establishing ongoing maintenance and inspection of storm water controls, and implementing a response plan to clean up damage and address ongoing issues.

The project owner shall ensure that the heliostats and diversion channels are designed and installed to withstand storm water scour that may occur as a result of a 100-year, 24-hour storm event. The project owner shall implement a fence inspection and repair program to repair fencing after major storm events. The analysis of the storm event and resulting heliostat stability will be provided within a Pylon Insertion Depth and Heliostat Stability Report to be completed by the project owner. This analysis will incorporate results from site-specific geotechnical stability testing, as well as hydrologic and hydraulic storm water modeling performed by the project owner. The modeling will be completed using methodology and assumptions approved by the CPM.

The project owner shall also develop a Storm Water Damage Monitoring and Response Plan to evaluate potential impacts from storm water, including damage to diversion channels, perimeter fencing, and heliostats that fail due to storm water flow or otherwise break and scatter mirror debris or other potential pollutants on to the ground surface.

The basis for determination of pylon embedment depths shall employ a step-by-step process as identified below and approved by the CPM:

- A. Determination of peak storm water flow within each sub-watershed from a 100-year event:
 - Use of *Riverside County Flood Control and Water Conservation District Hydrology Manual (Riverside County Manual)* to specify hydrologic parameters to use in calculations; and
 - HEC -1 and Flo-2D models (or other approved models) will be developed to calculate storm flows from the mountain watersheds upstream of the project site, and flood flows at the project site, based upon hydrologic parameters from Riverside County.

B. Determination of potential total pylon scour depth:

- Potential channel erosion depths will be determined using the calculated design flows, as determined in A above, combined with Flo-2D to model onsite sediment transport.
- Potential local scour will be determined using the calculated design flows, as determined in A above, combined with the Federal Highway Administration (FHWA) equation for local bridge pier scour from the FHWA 2001 report, "Evaluating Scour at Bridges."

C. The results of the scour depth calculations and pylon stability testing will be used to determine the minimum necessary pylon embedment depth within the active channels. In the inactive portions of the alluvial fans that are not subject to channel erosion and local scour, the minimum pylon embedment depths will be based on the results of the pylon stability testing.

D. The results of the calculated peak storm water flows and channel erosion and heliostat scour analysis together with the recommended heliostat installation depths shall be submitted to the CPM for review and approval sixty (60) days before the start of heliostat installation.

The Storm Water Damage Monitoring and Response Plan shall be submitted to the CPM for review and approval and shall include the following:

- Detailed maps showing the installed location of all heliostats within each project phase;
- Description of the method of removing all soil spoils should any be generated;
- Each heliostat should be identified by a unique ID number marked to show initial ground surface at its base, and the depth of the pylon below ground;
- Minimum Depth Stability Threshold to be maintained of pylons to meet long-term stability for applicable wind, water (flowing and static), and debris loading effects;
- Above and below ground construction details of a typical installed heliostat;
- BMPs to be employed to minimize the potential impact of broken mirrors to soil resources;
- Methods and response time of mirror cleanup and measures that may be used to mitigate further impact to soil resources from broken mirror fragments; and
- Monitoring, documenting, and restoring the adjacent offsite downstream property when impacted by sedimentation or broken mirror shards.

A plan to monitor and inspect periodically, before first seasonal and after every storm event:

- Security and Tortoise Exclusion Fence: Inspect for damage and buildup of sediment or debris
- Heliostats within drainages or subject to drainage overflow or flooding: Inspect for tilting, mirror damage, depth of scour compared to pylon depth below ground and the Minimum Depth Stability Threshold, collapse, and downstream transport.
- Drainage channels: Inspect for substantial migration or changes in depth, and transport of broken glass.
- Constructed diversion channels: Inspect for scour and structural integrity issues caused by erosion, and for sediment and debris buildup.
- Adjacent offsite downstream property: Inspect for changes in the surface texture and quality from sediment buildup, erosion, or broken glass.

Short-Term Incident-Based Response:

- Security and Tortoise Exclusion Fence: repair damage, and remove built-up sediment and debris.
- Heliostats: Remove broken glass, damaged structure, and damaged wiring from the ground, and for pylons no longer meeting the Minimum Depth Stability Threshold, either replace/reinforce or remove the mirrors to avoid exposure for broken glass.
- Drainage channels: no short-term response necessary unless changes indicate risk to facility structures.
- Constructed diversion channels: repair damage, maintain erosion control measures and remove built-up sediment and debris.

Long-Term Design-Based Response:

- Propose operation/BMP modifications to address ongoing issues. Include proposed changes to monitoring and response procedures, frequency, or standards.
- Replace/reinforce pylons no longer meeting the Minimum Depth Stability Threshold or remove the mirrors to avoid exposure for broken glass.
- Propose design modifications to address ongoing issues. This may include construction of active storm water management diversion channels and/or detention ponds.

Inspection, short-term incident response, and long-term design based response may include activities both inside and outside of the project boundaries. For activities outside of the project boundaries the owner shall

ensure all appropriate environmental review and approval has been completed before field activities begin.

Verification: At least sixty (60) days prior to installation of the first pylon, the project owner shall submit to the CPM a copy of the Pylon Insertion Depth and Heliostat Stability Report for review and approval prior to construction. At least sixty (60) days prior to commercial operation, the project owner shall submit to the CPM a copy of the Storm Water Damage Monitoring and Response Plan for review and approval prior to commercial operation. The project owner shall retain a copy of this plan onsite at the power plant at all times. The project owner shall prepare an annual summary of the number of heliostats failed due to damage, cause and extent of the damage, and cleanup and mitigation performed for each damaged heliostat. The annual summary shall also report on the effectiveness of the diversion channels against storms, including information on the damage and repair work or associated erosion control elements. The project owner shall submit proposed changes or revisions to the Storm Water Damage Monitoring and Response Plan to the CPM for review and approval.

PROPOSED CONDITIONS OF CERTIFICATION

TLSN-1 The project owner shall construct the proposed project transmission line according to the requirements of: (a) California Public Utility Commission's GO-95, GO-52, GO-131-D, GO-128, Title 8, and Group 2; (b) the High Voltage Electrical Safety Orders, sections 2700 through 2974 of the California Code of Regulations; and (3) Southern California Edison's EMF reduction guidelines.

Verification: At least 30 days prior to start of construction of the transmission line or related structures and facilities, the project owner shall submit to the Compliance Project Manager (CPM) a letter signed by a California-registered electrical engineer affirming that the lines will be constructed according to the requirements stated in the condition.

TLSN-2 The project owner shall use a qualified individual to measure the strengths of the electric and magnetic fields from the line at the points of maximum intensity along the route for which the project owner provided specific estimates. The measurements shall be made before and after energization according to the American National Standard Institute/Institute of Electrical and Electronic Engineers (ANSI/IEEE) standard procedures. These measurements shall be completed no later than six months after the start of operations.

Verification: The project owner shall file copies of the pre-and post-energization measurements with the CPM within 60 days after completion of the measurements.

TLSN-3 The project owner shall as part of its intended fire prevention program ensure that the right-of-way of the transmission line is kept free of combustible material, as required under the provisions of section 4292 of the Public Resources Code and section 1250 of Title 14 of the California Code of Regulations.

Verification: During the first five years of plant operation, the project owner shall provide a summary of inspection results and any fire prevention activities carried out along the right-of-way and provide such summaries in the Annual Compliance Report on transmission line safety and nuisance-related requirements.

TLSN-4 The project owner shall ensure that all permanent metallic objects within the right-of-way of the project-related line are grounded according to industry standards regardless of ownership.

Verification: At least 30 days before the line is energized, the project owner shall transmit to the CPM a letter confirming compliance with this condition.

CONDITIONS OF CERTIFICATION

SURFACE TREATMENT OF PROJECT STRUCTURES AND BUILDINGS

VIS-1 The project owner shall treat the surfaces of all project structures and buildings visible to the public such that a) their colors minimize visual intrusion and contrast by blending with (matching) the existing characteristic landscape colors; b) their colors and finishes do not create excessive glare; and c) their colors and finishes are consistent with local policies and ordinances. The transmission line conductors shall be non-specular and non-reflective, and the insulators shall be non-reflective and non-refractive.

Following in-field consultation with the Energy Commission/BLM Visual Resources specialist and other representatives as deemed necessary, the project owner shall submit for Energy Commission Compliance Project Manager (CPM) review and approval, a specific Surface Treatment Plan that will satisfy these requirements. The treatment plan shall include:

- A. A description of the overall rationale for the proposed surface treatment, including the selection of the proposed color(s) and finishes based on the characteristic landscape. Colors will be fielded tested using the actual distances from the KOPs to the proposed structures, using the proposed colors painted on representative surfaces;
- B. A list of each major project structure, building, tank, pipe, and wall; the transmission line towers and/or poles; and fencing, specifying the color(s) and finish proposed for each. Colors must be identified by vendor, name, and pantone number; or according to a universal designation system;
- C. One set of color brochures or color chips showing each proposed color and finish;
- D. A specific schedule for completion of the treatment; and
- E. A procedure to ensure proper treatment maintenance for the life of the project.

The project owner shall not specify to the vendors the treatment of any buildings or structures treated during manufacture, or perform the final treatment on any buildings or structures treated in the field, until the project owner receives notification of approval of the treatment plan by the CPM. Subsequent modifications to the treatment plan are prohibited without CPM approval.

Verification: At least 90 days prior to specifying to the vendor the colors and finishes of the first structures or buildings that are surface treated during manufacture, the project owner shall submit the proposed treatment plan to the CPM for review and approval and simultaneously to the BLM and Riverside County for review and comment. If the CPM determines that the plan requires revision, the project owner shall provide to the CPM a plan with the specified revision(s) for review and approval by the CPM before any treatment is applied. Any modifications to the treatment plan must be submitted to the CPM for review and approval.

Prior to the start of commercial operation, the project owner shall notify the CPM that surface treatment of all listed structures and buildings has been completed and they are ready for inspection and shall submit to each one set of electronic color photographs from the same key observation points identified in (d) above. The project owner shall provide a status report regarding surface treatment maintenance in the Annual Compliance Report. The report shall specify a): the condition of the surfaces of all structures and buildings at the end of the reporting year; b) maintenance activities that occurred during the reporting year; and c) the schedule of maintenance activities for the next year.

REVEGETATION OF DISTURBED SOIL AREAS

VIS-2 The project owner shall minimize visual disturbances due to construction and revegetate disturbed soil areas to the greatest practical extent, as described in Condition of Certification **BIO-8**, measures 1, 2, 5, and 21. In order to address specifically visual concerns, the required spreading of preserved topsoil shall include reclamation of the area of disturbed soils used for laydown, project construction, and siting of the other ancillary operation and support structures that appear in the visual foreground of I-10.

Verification: Refer to Condition of Certification **BIO-8**.

TEMPORARY AND PERMANENT EXTERIOR LIGHTING

VIS-3 To the extent feasible, consistent with safety and security considerations, the project owner shall design and install all permanent exterior lighting and all temporary construction lighting such that a) lamps and reflectors are not visible from beyond the project site, including any off-site security buffer areas; b) lighting does not cause excessive reflected glare; c) direct lighting does not illuminate the nighttime sky, except for required FAA aircraft safety lighting (which should be an on-demand, audio-visual warning system that is triggered by radar technology); d) illumination of the project and its immediate vicinity is minimized, and e) the plan complies with local policies and ordinances. The project owner shall submit to the CPM for review and approval and simultaneously to the BLM and County of Riverside for review and comment a lighting mitigation plan that includes the following:

- A. Location and direction of light fixtures shall take the lighting mitigation requirements into account;

- B. Lighting design shall consider setbacks of project features from the site boundary to aid in satisfying the lighting mitigation requirements;
- C. Lighting shall incorporate fixture hoods/shielding, with light directed downward or toward the area to be illuminated;
- D. Light fixtures that are visible from beyond the project boundary shall have cutoff angles that are sufficient to prevent lamps and reflectors from being visible beyond the project boundary, except where necessary for security;
- E. All lighting shall be of minimum necessary brightness consistent with operational safety and security; and
- F. Lights in high illumination areas not occupied on a continuous basis (such as maintenance platforms) shall have (in addition to hoods) switches, timer switches, or motion detectors so that the lights operate only when the area is occupied.
- G. Lighting plan shall demonstrate that plant operational lighting (excluding FAA and emergency lighting) will, to the extent practical, not be directly reflected upward or off-site by heliostats in nighttime stow position. Control measures for eliminating such reflections shall be incorporated in the HMPP specified in Condition of Certification TRANS-7.

Verification: At least 90 days prior to ordering any permanent exterior lighting or temporary construction lighting, the project owner shall contact the CPM to discuss the documentation required in the lighting mitigation plan. At least 60 days prior to ordering any permanent exterior lighting, the project owner shall submit to the CPM for review and approval and simultaneously to the BLM and County of Riverside for review and comment a lighting mitigation plan. If the CPM determines that the plan requires revision, the project owner shall provide to the CPM a revised plan for review and approval by the CPM.

The project owner shall not order any exterior lighting until receiving CPM approval of the lighting mitigation plan.

Prior to commercial operation, the project owner shall notify the CPM that the lighting has been completed and is ready for inspection. If after inspection, the CPM notifies the project owner that modifications to the lighting are needed, within 30 days of receiving that notification the project owner shall implement the modifications and notify the CPM that the modifications have been completed and are ready for inspection.

Within 48 hours of receiving a lighting complaint, the project owner shall provide the CPM with a complaint resolution form report as specified in the Compliance General Conditions including a proposal to resolve the complaint, and a schedule for implementation. The project owner shall notify the CPM within 48 hours after completing implementation of the proposal. A copy of the

complaint resolution form report shall be submitted to the CPM within 30 days.

PROPOSED CONDITIONS OF CERTIFICATION

WASTE-1 The project owner shall prepare a UXO Identification, Training and Reporting Plan to properly train all site workers in the recognition, avoidance and reporting of military waste debris and ordnance. The project owner shall submit the plan to the CPM for review and approval prior to the start of construction. The project owner shall provide documentation of the plan and provide survey results to the CPM. The plan shall contain, at a minimum, the following:

- A description of the training program outline and materials, and the qualifications of the trainers;
- Identification of available trained experts who will oversee earth-moving activities where ordnance could be uncovered and respond to notification of discovery of any ordnance (unexploded or not); and
- Work plan to identify, recover, and remove discovered ordnance, and to complete additional field screening, including geophysical surveys to investigate adjacent areas for surface, near surface or buried ordnance in all proposed land disturbance areas.

Verification: The project owner shall submit the UXO Identification, Training and Reporting Plan to the CPM for approval no later than 30 days prior to the start of site mobilization. The results of geophysical surveys shall be submitted to the CPM within 30 days of completion of the surveys.

WASTE-2 The project owner shall provide the résumé of an experienced and qualified Professional Engineer or Professional Geologist to the CPM for review and approval. The résumé shall show experience in remedial investigation and feasibility studies. This Professional Engineer or Professional Geologist shall be available during site characterization (if needed), excavation, grading, and demolition activities. The Professional Engineer or Professional Geologist shall be given authority by the project owner to oversee any earth-moving activities that have the potential to disturb contaminated soil and impact public health, safety, and the environment.

Verification: No later than 30 days prior to the start of site mobilization the project owner shall submit the resume to the CPM for review and approval.

WASTE-3 If potentially contaminated soil is identified during site characterization, excavation, grading, or demolition at either the proposed site or linear facilities—as evidenced by discoloration, odor, detection by handheld instruments, or other signs—the Professional Engineer or Professional Geologist shall inspect the site; determine the need for sampling to confirm the nature and extent of contamination; and provide a written report to the project owner, representatives of Department of Toxic Substances Control

(DTSC) or Regional Water Quality Control Board (RWQCB) and the CPM stating the recommended course of action.

Depending on the nature and extent of contamination, the Professional Engineer or Professional Geologist shall have the authority to temporarily suspend construction activity at that location for the protection of workers or the public. If in the opinion of the Professional Engineer or Professional Geologist significant remediation may be required, the project owner shall contact the CPM and representatives of the DTSC or RWQCB for guidance and possible oversight.

Verification: The project owner shall submit any reports filed by the Professional Engineer or Professional Geologist to the CPM within 5 days of their receipt. The project owner shall notify the CPM within 24 hours of any orders issued to halt construction.

WASTE-4 The project owner shall submit a Construction Waste Management Plan to the CPM for review and approval prior to the start of construction. The plan shall contain, at a minimum, the following:

- a description of all construction waste streams, including projections of frequency, amounts generated and hazard classifications;
- a survey of structures to be demolished that identifies the types of waste to be managed;
- a reuse/recycling plan for construction and demolition materials that meets or exceeds the 50 percent waste diversion goal established by the Integrated Waste Management Compliance Act; and,
- management methods to be used for each waste stream, including temporary on-site storage, housekeeping and best management practices to be employed, treatment methods, and companies providing treatment services, waste testing methods to assure correct classification, methods of transportation, disposal requirements and sites, and recycling and waste minimization/reduction plans.

Verification: The project owner shall submit the Construction Waste Management Plan to the CPM for approval no later than 30 days prior to the initiation of construction activities at the site.

WASTE-5 The project owner shall obtain a hazardous waste generator identification number from the United States Environmental Protection Agency (USEPA) prior to generating any hazardous waste during project construction and operations.

Verification: The project owner shall keep a copy of the identification number on file at the project site and provide documentation of the hazardous waste generation and notification and receipt of the number to the CPM in the next scheduled monthly compliance report after receipt of the number. Submittal of the notification and issued number documentation to the CPM is only needed once unless there is a change in ownership, operation, waste generation, or waste characteristics that requires a new

notification to USEPA. Documentation of any new or revised hazardous waste generation notifications or changes in identification number shall be provided to the CPM in the next scheduled compliance report.

WASTE-6 Upon notification of any impending waste management-related enforcement action related to project site activities by any local, state, or federal authority, the project owner shall notify the CPM of any such action taken or proposed against the project itself, or against any waste hauler or disposal facility or treatment operator with which the owner contracts for the project, and describe the owner's response to the impending action or if a violation has been found, how the violation will be corrected.

Verification: The project owner shall notify the CPM in writing within 10 days of receiving written notice from authorities of an impending enforcement action. The CPM shall notify the project owner of any changes that will be required in the way project-related wastes are managed as a result of a finalized action against the project.

WASTE-7 The project owner shall submit the Operation Waste Management Plan to the CPM for review and approval. The plan shall contain, at a minimum, the following:

- a detailed description of all operation and maintenance waste streams, including projections of amounts to be generated, frequency of generation, and waste hazard classifications;
- management methods to be used for each waste stream, including temporary on-site storage, housekeeping and best management practices to be employed, treatment methods and companies providing treatment services, waste testing methods to ensure correct classification, methods of transportation, disposal requirements and sites, and recycling and waste minimization/source reduction plans;
- information and summary records of contacts with the local Certified Unified Program Agency and the DTSC regarding any waste management requirements necessary for project activities. Copies of all required waste management permits, notices, and/or authorizations shall be included in the plan and updated as necessary;
- a detailed description of how facility wastes will be managed and any contingency plans to be employed, in the event of an unplanned closure or planned temporary facility closure; and
- a detailed description of how facility wastes will be managed and disposed upon closure of the facility.

Verification: The project owner shall submit the Operation Waste Management Plan to the CPM for approval no later than 30 days prior to the start of project operation. The project owner shall submit any required revisions to the CPM within 20 days of notification from the CPM that revisions are necessary.

The project owner shall also document in each annual compliance report the actual volume of wastes generated and the waste management methods used during the year, provide a comparison of the actual waste generation and management methods used to those proposed in the original Operation Waste Management Plan, and update the Operation Waste Management Plan as necessary to address current waste generation and management practices.

WASTE-8 DELETED

WASTE-9 The project owner shall ensure that all accidental spills or unauthorized releases of hazardous substances, hazardous materials, and hazardous waste are documented and remediated, and that wastes generated from accidental spills and unauthorized releases are properly managed and disposed of in accordance with all applicable federal, state, and local LORS and requirements. For the purpose of this condition of certification, “release” shall have the definition in Title 40 of the Code of Federal Regulations, Part 302.3.

Verification: No later than 30 days of the date that a project-related hazardous substance release was discovered, the project manager shall provide a copy of the accidental spill or unauthorized release documentation to the CPM.

The project owner shall document management of all accidental spills and unauthorized releases of hazardous substances, hazardous materials, and hazardous wastes that occur on the project property or related linear facilities. The documentation shall include, at a minimum, the following information: location of release; date and time of release; reason for release; volume released; how release was managed and material cleaned up; amount of contaminated soil and/or cleanup wastes generated; if the release was reported; to whom the release was reported; release corrective action and cleanup requirements placed by regulating agencies; level of cleanup achieved and actions taken to prevent a similar release or spill; and disposition of any hazardous wastes and/or contaminated soils and materials that may have been generated by the release.

WASTE-10 The project owner shall ensure that none of the project’s non-hazardous, non-recyclable, and non-reusable construction and operation wastes shall be diverted to or deposited at either the Desert Center Landfill or the Oasis Sanitary Landfill.

Verification: The project owner shall provide documentation of all project-related solid waste disposal activities and identify the landfills receiving project-related wastes in the annual compliance report submitted to the CPM.

COMPLIANCE CONDITIONS OF CERTIFICATION

COM-1: Unrestricted Access. The project owner shall take all steps necessary to ensure that the CPM, responsible Energy Commission staff, and delegate agencies or consultants have unrestricted access to the facility site, related facilities, project-related staff, and the records maintained on-site to facilitate audits, surveys, inspections, and general or closure-related site visits. Although the CPM will normally schedule site visits on dates and times agreeable to the project owner, the CPM reserves the right to make unannounced visits at any time, whether such visits are by the CPM in person or through representatives from Energy Commission staff, delegate agencies, or consultants.

COM-2: Compliance Record. The project owner shall maintain electronic copies of all project files and submittals on-site, or at an alternative site approved by the CPM, for the operational life and closure of the project. The files shall also contain at least one hard copy of:

1. the facility's Application for Certification;
2. all amendment petitions and Energy Commission orders;
3. all finalized original and amended structural plans and "as-built" drawings for the entire project;
4. all citations, warnings, violations, or corrective actions applicable to the project; and,
5. the most current versions of any plans, manuals, and training documentation required by the conditions of certification or applicable LORS.

Energy Commission staff and delegate agencies shall, upon request to the project owner, be given unrestricted access to the files maintained pursuant to this condition.

COM-3: Compliance Verification Submittals. Verification lead times associated with the start of construction or closure may require the project owner to file submittals during the AFC process, particularly if construction is planned to commence shortly after certification. The verification procedures, unlike the conditions, may be modified as necessary by the CPM.

A cover letter from the project owner or an authorized agent is required for all compliance submittals and correspondence pertaining to compliance matters. *The cover letter subject line shall identify the project by AFC number, cite the*

appropriate condition of certification number(s), and give a brief description of the subject of the submittal. When submitting supplementary or corrected information, the project owner shall reference the date of the previous submittal and the condition(s) of certification applicable.

All reports and plans required by the project's conditions of certification shall be submitted in a searchable electronic format (.pdf, MS Word or Excel, etc.) and include standard formatting elements such as a table of contents, identifying by title and page number, each section, table, graphic, exhibit, or addendum. All report and/or plan graphics and maps shall be adequately scaled and shall include a key with descriptive labels, directional headings, a bar scale, and the most recent revision date.

The project owner is responsible for the content and delivery of all verification submittals to the CPM, whether the actions required by the verification were satisfied by the project owner or an agent of the project owner. All submittals shall be accompanied by an electronic copy on an electronic storage medium, or by e-mail, as agreed upon by the CPM. If hardcopy submittals are required, please address as follows:

Christine Stora, Compliance Project Manager
Palen Solar Electric Generating System (09-AFC-7C)
California Energy Commission
1516 Ninth Street (MS-2000)
Sacramento, CA 95814

COM-4: Pre-Construction Matrix and Tasks Prior to Start of Construction. Prior to start of construction, the project owner shall submit to the CPM a compliance matrix including only those conditions that must be fulfilled before the start of construction. The matrix shall be included with the project owner's first compliance submittal or prior to the first pre-construction meeting, whichever comes first, and shall be submitted in a format similar to that described below.

Site mobilization and construction activities shall not start until all of the following occur: the project owner has submitted the pre-construction matrix and compliance verifications pertaining to all pre-construction conditions of certification; and the CPM has issued an authorization-to-construct letter to the project owner. The deadlines for submitting various compliance verifications to the CPM allow sufficient staff time to review and comment on, and if necessary, allow the project owner to revise the submittal in a timely manner. These procedures help ensure that project construction proceeds according to schedule. Failure to submit required compliance documents by the specified deadlines may result in delayed authorizations to commence various stages of the project.

If the project owner anticipates site mobilization immediately following project certification, it may be necessary for the project owner to file compliance

submittals prior to project certification. In these instances, compliance verifications can be submitted in advance of the required deadlines and the anticipated authorizations to start construction. The project owner must understand that submitting compliance verifications prior to these authorizations is at the owner's own risk. Any approval by Energy Commission staff prior to project certification is subject to change based upon the Commission Decision, or amendment thereto, and early staff compliance approvals do not imply that the Energy Commission will certify the project for actual construction and operation.

Construction may commence subsequent to CPM issuance of a letter authorizing the owner to proceed. The CPM may issue limited notices to proceed to allow one or more portions of construction to commence. A limited notice to proceed, if issued, will specify what activities can occur and what specific conditions must be met to commence the activities identified in the notice.

COM-5: Compliance Matrix. The project owner shall submit a compliance matrix to the CPM with each MCR and ACR. The compliance matrix provides the CPM with the status of all conditions of certification in a spreadsheet format.–The compliance matrix shall identify:

1. the technical area;
2. the condition number;
3. a brief description of the verification action or submittal required by the condition;
4. the date the submittal is required (e.g., 60 days prior to construction, after final inspection, etc.);
5. the expected or actual submittal date;
6. the date a submittal or action was approved by the CBO, CPM, or delegate agency, if applicable;
7. the compliance status of each condition, e.g., “not started,” “in progress,” or “completed” (include the date); and
8. if the condition was amended, the updated language and the date the amendment was proposed or approved.

The CPM can provide a template for the compliance matrix upon request.

COM-6: Monthly Compliance Reports and Key Events List. The first MCR is due one (1) month following the docketing of the project's Decision, unless otherwise agreed to by the CPM. The first MCR shall include the AFC number

and an initial list of dates for each of the events identified on the Key Events List. (The Key Events List form is found at the end of this Compliance Plan.)

During project pre-construction, construction, or closure, the project owner or authorized agent shall submit an electronic searchable version of the MCR within ten (10) business days after the end of each reporting month, unless otherwise specified by the CPM. MCRs shall be clearly identified for the month being reported. The searchable electronic copy may be filed on an electronic storage medium or by e-mail, subject to CPM approval. The compliance verification submittal condition provides guidance on report production standards, and the MCR shall contain, at a minimum:

1. a summary of the current project construction status, a revised/updated schedule if there are significant delays, and an explanation of any significant changes to the schedule;
2. documents required by specific conditions to be submitted along with the MCR; each of these items shall be identified in the transmittal letter, as well as the conditions they satisfy, and submitted as attachments to the MCR;
3. an initial, and thereafter updated, compliance matrix showing the status of all conditions of certification;
4. a list of conditions that have been satisfied during the reporting period, and a description or reference to the actions that satisfied the condition;
5. a list of any submittal deadlines that were missed, accompanied by an explanation and an estimate of when the information will be provided;
6. a cumulative list of any approved changes to the conditions of certification;
7. a list of any filings submitted to, and permits issued by, other governmental agencies during the month;
8. a projection of project compliance activities scheduled during the next two months; the project owner shall notify the CPM as soon as any changes are made to the project construction schedule that would affect compliance with conditions of certification;
9. a list of the month's additions to the on-site compliance file; and
10. a list of complaints, notices of violation, official warnings, and citations received during the month, a description of the actions taken to date to resolve the issues; and the status of any unresolved actions.

COM-7: Annual Compliance Reports. After construction is complete, the project owner shall submit searchable electronic ACRs instead of MCRs. ACRs shall

be completed for each year of commercial operation, may be required for a specified period after decommissioning to monitor closure compliance, as specified by the CPM, and are due each year on a date agreed to by the CPM. The searchable electronic copies may be filed on an electronic storage medium or by e-mail, subject to CPM approval. Each ACR shall include the AFC number, identify the reporting period, and contain the following:

1. an updated compliance matrix showing the status of all conditions of certification (fully satisfied conditions do not need to be included in the matrix after they have been reported as completed);
2. a summary of the current project operating status and an explanation of any significant changes to facility operations during the year;
3. documents required by specific conditions to be submitted along with the ACR. Each of these items shall be identified in the transmittal letter with the condition it satisfies, and submitted as attachments to the ACR;
4. a cumulative listing of all post-certification changes approved by the Energy Commission or the CPM;
5. an explanation for any submittal deadlines that were missed, accompanied by an estimate of when the information will be provided;
6. a listing of filings submitted to, or permits issued by, other governmental agencies during the year;
7. a projection of project compliance activities scheduled during the next year;
8. a listing of the year's additions to the on-site compliance file;
9. an evaluation of the Site Contingency Plan, including amendments and plan updates; and
10. a listing of complaints, notices of violation, official warnings, and citations received during the year, a description of how the issues were resolved, and the status of any unresolved matters.

COM-8: Confidential Information. Any information that the project owner designates as confidential shall be submitted to the Energy Commission's Executive Director with an application for confidentiality, pursuant to Title 20, California Code of Regulations, section 2505 (a). Any information deemed confidential pursuant to the regulations will remain undisclosed, as provided in Title 20, California Code of Regulations, section 2501.

COM-9: Annual Energy Facility Compliance Fee. Pursuant to the provisions of section 25806 (b) of the Public Resources Code, the project owner is required

to pay an annually adjusted compliance fee. Current compliance fee information is available on the Energy Commission's website at http://www.energy.ca.gov/siting/filing_fees.html. The project owner may also contact the CPM for the current fee information. The initial payment is due on the date the Energy Commission docket its final Decision. All subsequent payments are due by July 1 of each year in which the facility retains its certification.

COM-10: Amendments, Staff-Approved Project Modifications, Ownership Changes, and Verification Changes. The project owner shall petition the Energy Commission, pursuant to Title 20, California Code of Regulations, section 1769, to modify the design, operation, or performance requirements of the project or linear facilities, or to transfer ownership or operational control of the facility. The CPM will determine whether staff approval will be sufficient or whether Commission approval will be necessary. It is the project owner's responsibility to contact the CPM to determine if a proposed project change triggers the requirements of section 1769. Section 1769 details the required contents for a Petition to Amend an Energy Commission Decision. The only change that can be requested by means of a letter to the CPM is a request to change the verification method of a condition of certification.

Implementation of a project modification without first securing Energy Commission, or Energy Commission staff approval, may result in an enforcement action, including civil penalties, in accordance with section 25534 of the Public Resources Code. If the Energy Commission's rules regarding amendments are revised, the rules in effect at the time the change is requested shall apply.

COM-11: Reporting of Complaints, Notices, and Citations. Prior to the start of construction or decommissioning, the project owner shall send a letter to property owners within one (1) mile of the project, notifying them of a telephone number to contact project representatives with questions, complaints, or concerns. If the telephone is not staffed twenty-four (24) hours per day, it shall include automatic answering with a date and time stamp recording.

The project owner shall respond to all recorded complaints within twenty-four (24) hours or the next business day. The project site shall post the telephone number on-site and make it easily visible to passersby during construction, operation, and closure. The project owner shall provide the contact information to the CPM who will post it on the Energy Commission's web page at <http://www.energy.ca.gov/sitingcases/palen/>. The project owner shall report any disruption to the contact system or telephone number change to the CPM promptly, to allow the CPM to update the Energy Commission's facility webpage accordingly.

In addition to including all complaints, notices, and citations included with the MCRs and ACRs, within ten (10) days of receipt, the project owner shall report, and provide copies to the CPM, of all complaints, including noise and lighting complaints, notices of violation, notices of fines, official warnings, and citations. Complaints shall be logged and numbered. Noise complaints shall be recorded on the form provided in the *Noise and Vibration* Conditions of Certification. All other complaints shall be recorded on the complaint form (Attachment A) at the end of this Compliance Plan.

COM-12: Emergency Response Site Contingency Plan. No less than sixty (60) days prior to the start of commercial operation, or other date agreed to by the CPM, the project owner shall submit for CPM review and approval, *an Emergency Response Site Contingency Plan* (Contingency Plan). The Contingency Plan shall evidence a facility's coordinated emergency response and recovery preparedness for a series of reasonably foreseeable emergency events. The CPM may require the updating of the Contingency Plan over the life of the facility. Contingency Plan elements include, but are not limited to:

1. a site-specific list and direct contact information for persons, agencies, and responders to be notified for an unanticipated event;
2. a detailed and labeled facility map, including all fences and gates, the windsock location (if applicable), the on- and off-site assembly areas, and the main roads and highways near the site;
3. a detailed and labeled map of population centers, sensitive receptors, and the nearest emergency response facilities;
4. a description of the on-site, first response and backup emergency alert and communication systems, site-specific emergency response protocols, and procedures for maintaining the facility's contingency response capabilities, including a detailed map of interior and exterior evacuation routes, and the planned location(s) of all permanent safety equipment;
5. an organizational chart including the name, contact information, and first aid/emergency response certification(s) and renewal date(s) for all personnel regularly on-site;
6. a brief description of reasonably foreseeable, site-specific incidents and accident sequences (on- and off-site), including response procedures and protocols and site security measures to maintain twenty-four-hour site security;
7. procedures for maintaining contingency response capabilities; and
8. the procedures and implementation sequence for the safe and secure shutdown of all non-critical equipment and removal of hazardous materials and waste (see also specific conditions of certification for the technical

areas of *Public Health, Solid Waste Management, Hazardous Materials Management, and Worker Safety*).

COM-13: Incident-Reporting Requirements. Within one (1) hour, the project owner shall notify the CPM or Compliance Office Manager, by telephone and e-mail, of any incident at the power plant or appurtenant facilities that results or could result in any of the following:

1. reduction in the facility's ability to respond to dispatch (excluding forced outages caused by protective equipment or other typically encountered shutdown events);
2. health and safety impacts on the surrounding population;
3. property damage off-site;
4. response by off-site emergency response agencies;
5. serious on-site injury;
6. serious environmental damage; or
7. emergency reporting to any federal, state, or local agency.

The notice shall describe the circumstances, status, and expected duration of the incident.

If warranted, as soon as it is safe and feasible, the project owner shall implement the safe shutdown of any non-critical equipment and removal of any hazardous materials and waste that pose a threat to public health and safety and to environmental quality (also, see specific conditions of certification for the technical areas of *Hazardous Materials Management and Solid Waste Management*).

Within one (1) week of the incident, the project owner shall submit to the CPM a detailed incident report, which shall include, as appropriate, the following information:

1. a brief description of the incident, including its date, time, and location;
2. a description of the cause of the incident, or likely causes if it is still under investigation;
3. the location of any off-site impacts;
4. description of any resultant impacts;
5. a description of emergency response actions associated with the incident;
6. identification of responding agencies;

7. identification of emergency notifications made to federal, state, and/or local agencies;
8. identification of any hazardous materials released and an estimate of the quantity released;
9. a description of any injuries, fatalities, or property damage that occurred as a result of the incident;
10. fines or violations assessed or being processed by other agencies;
11. name, phone number, and e-mail address of the appropriate facility contact person having knowledge of the event; and
12. corrective actions to prevent a recurrence of the incident.

The project owner shall maintain all incident report records for the life of the project, including closure. After the submittal of the initial report for any incident, the project owner shall submit to the CPM copies of incident reports within twenty-four (24) hours of a request.

COM-14: Non-Operation. If the facility ceases operation temporarily, either planned or unplanned, for longer than one (1) week (or other CPM-approved date), but less than three (3) months (or other CPM-approved date), the project owner shall notify the CPM, interested agencies, and nearby property owners. Notice of planned non-operation shall be given at least two (2) weeks prior to the scheduled date. Notice of unplanned non-operation shall be provided no later than one (1) week after non-operation begins.

For any non-operation, a Repair/Restoration Plan for conducting the activities necessary to restore the facility to availability and reliable and/or improved performance shall be submitted to the CPM within one (1) week after notice of non-operation is given. If non-operation is due to an unplanned incident, temporary repairs and/or corrective actions may be undertaken before the Repair/Restoration Plan is submitted. The Repair/Restoration Plan shall include:

1. identification of operational and non-operational components of the plant;
2. a detailed description of the repair or restoration activities;
3. a proposed schedule for completing the repair or restoration activities;
4. an assessment of whether or not the proposed activities would require changing, adding, and/or deleting any conditions of certification and/or would cause noncompliance with any applicable LORS; and
5. planned activities during non-operation, including any measures to ensure continued compliance with all conditions of certification and LORS.

Written updates to the CPM for non-operational periods, until operation resumes, shall include:

1. progress relative to the schedule;
2. developments that delayed or advanced progress or that may delay or advance future progress;
3. any public, agency, or media comments or complaints; and
4. projected date for the resumption of operation.

During non-operation, all applicable conditions of certification and reporting requirements remain in effect. If, after one (1) year from the date of the project owner's last report of productive Repair/Restoration Plan work, the facility does not resume operation or does not provide a plan to resume operation, the Executive Director may assign suspended status to the facility and recommend commencement of permanent closure activities.

1. If the facility has a closure plan, the project owner shall update it and submit it for Energy Commission review and approval.
2. If the facility does not have a closure plan, the project owner shall develop one consistent with the requirements in this Compliance Plan and submit it for Energy Commission review and approval.

COM-15: Facility Closure Planning. To ensure that a facility's eventual permanent closure and long-term maintenance do not pose a threat to public health and safety and/or to environmental quality, the project owner shall coordinate with the Energy Commission to plan and prepare for eventual permanent closure.

A. Provisional Closure Plan and Estimate of Permanent Closure Costs

To assure satisfactory long-term site maintenance and adequate closure for "the whole of a project," the project owner shall submit a Provisional Closure Plan and Cost Estimate for CPM review and approval within sixty (60) days after the start of commercial operation. The Provisional Closure Plan and Cost Estimate shall consider applicable final closure plan requirements, including interim and long-term, post-closure site maintenance costs, and reflect:

1. facility closure costs at a time in the facility's projected life span when the mode and scope of facility operation would make permanent closure the most expensive;
2. the use of an independent third party to carry out the permanent closure; and
3. no use of salvage value to offset closure costs.

The Provisional Closure Plan and Cost Estimate shall provide for a phased closure process and include but not be limited to:

1. comprehensive scope of work and itemized budget;
2. closure plan development costs;
3. dismantling and demolition;
4. recycling and site clean-up;
5. mitigation and monitoring direct, indirect, and cumulative impacts;
6. site remediation and/or restoration;
7. interim operation and post-closure monitoring and maintenance, including long-term equipment replacement costs; and
8. contingencies.

The project owner shall include an updated Provisional Closure Plan and Cost Estimate in every fifth-year ACR for CPM review and approval. Each updated Provisional Closure Plan and Cost Estimate shall reflect the most current regulatory standards, best management practices, and applicable LORS.

B. Final Closure Plan and Cost Estimate

At least three (3) years prior to initiating a permanent facility closure, the project owner shall submit for Energy Commission review and approval, a Final Closure Plan and Cost Estimate, which includes any long-term, post-closure site maintenance and monitoring. Final Closure Plan and Cost Estimate contents include, but are not limited to:

1. a statement of specific Final Closure Plan objectives;
2. a statement of qualifications and resumes of the technical experts proposed to conduct the closure activities, with detailed descriptions of previous power plant closure experience;
3. identification of any facility-related installations not part of the Energy Commission certification, designation of who is responsible for these, and an explanation of what will be done with them after closure;
4. a comprehensive scope of work and itemized budget for permanent plant closure and long-term site maintenance activities, with a description and explanation of methods to be used, broken down by phases, including, but not limited to:
 - a. dismantling and demolition;

- b. recycling and site clean-up;
 - c. impact mitigation and monitoring;
 - d. site remediation and/or restoration;
 - e. post-closure maintenance; and
 - f. contingencies.
5. a revised/updated Final Cost Estimate for all closure activities, by phases, including long-term, post-closure site monitoring and maintenance costs, and replacement of long-term post-closure equipment;
 6. a schedule projecting all phases of closure activities for the power plant site and all appurtenances constructed as part of the Energy Commission-certified project;
 7. an electronic submittal package of all relevant plans, drawings, risk assessments, and maintenance schedules and/or reports, including an above- and below-ground infrastructure inventory map and registered engineer's or delegate CBO's assessment of demolishing the facility; additionally, for any facility that permanently ceased operation prior to submitting a Final Closure Plan and Cost Estimate and for which only minimal or no maintenance has been done since, a comprehensive condition report focused on identifying potential hazards;
 8. all information additionally required by the facility's conditions of certification applicable to plant closure;
 9. an equipment disposition plan, including:
 - a. recycling and disposal methods for equipment and materials; and
 - b. identification and justification for any equipment and materials that will remain on-site after closure;
 10. a site disposition plan, including but not limited to:
 - a. proposed rehabilitation, restoration, and/or remediation procedures, as required by the conditions of certification and applicable LORS,
 - b. long-term site maintenance activities, and
 - c. anticipated future land-use options after closure;
 11. identification and assessment of all potential direct, indirect, and cumulative impacts and proposal of mitigation measures to reduce

significant adverse impacts to a less-than-significant level; potential impacts to be considered shall include, but not be limited to:

- a. traffic
- b. noise and vibration
- c. soil erosion
- d. air quality degradation
- e. solid waste
- f. hazardous materials
- g. waste water discharges
- h. contaminated soil

12. identification of all current conditions of certification, LORS, federal, state, regional, and local planning efforts applicable to the facility, and proposed strategies for achieving and maintaining compliance during closure;
13. updated mailing list or listserv of all responsible agencies, potentially interested parties, and property owners within one (1) mile of the facility;
14. identification of alternatives to plant closure and assessment of the feasibility and environmental impacts of these; and
15. description of and schedule for security measures and safe shutdown of all non-critical equipment and removal of hazardous materials and waste (see conditions of certification for Public Health, Solid Waste Management, Hazardous Materials Management, and Worker Safety).

If an Energy Commission-approved Final Closure Plan and Cost Estimate is not implemented within one (1) year of its approval date, it shall be updated and re-submitted to the Commission for supplementary review and approval. If a project owner initiates but then suspends closure activities, and the suspension continues for longer than one (1) year, or subsequently abandons the facility, the Energy Commission may access the required financial assurance funds to complete the closure. The project owner remains liable for all costs of contingency planning and closure.

COM-16: CBO Delegation. Under the California Building Code Standards, while monitoring project construction and operation, staff acts as, and has the authority of, the Chief Building Official (CBO). Staff may delegate CBO responsibility to either an independent third-party contractor or a local building official. However, staff retains CBO authority when selecting a delegate CBO, including the interpretation and enforcement of state and local codes and standards and the use of discretion, as necessary, in implementing the various codes and standards. The CBO shall conduct on-site (including linear facilities) reviews and inspections at intervals necessary to fulfill those responsibilities.

The delegate CBO will also be responsible for coordinating compliance with all environmental Conditions of Certification and the implementation of all appropriate codes and standards. The CBO's role would not be expanded to nor duplicate the inspection roles of the on-site Designated Biologist (DB), Cultural Resource Specialist (CRS), or Air Quality Construction Mitigation Manager (AQCOMM) provided by the Project Owner, nor duplicate Staff's role with respect to review and approval of environmental compliance and mitigation plans.

The project owner will pay delegate CBO fees necessary to cover the costs of the on-site reviews, inspections, and environmental coordination. The Project Owner shall provide proof of its agreement to fund the activities of the CBO at least 60 days prior to the start of construction activity.

CULTURAL RESOURCES

STAFF CONDITIONS OF CERTIFICATION

CUL-1 *DISPUTED*

CUL-2 **TREATMENT OF DESERT TRAINING CENTER CALIFORNIA-ARIZONA MANEUVER AREA CULTURAL LANDSCAPE (DTCCL)**

The project owner shall contribute to a special fund set up by the Energy Commission and/or BLM to finance the completion of the documentation for the Desert Training Center California-Arizona Maneuver Area Cultural Landscape (DTCCL).

The amount of the contribution shall be \$25 per acre that the project encloses or otherwise disturbs. Any additional contingency contribution is not to exceed an amount totaling 20 percent of the original contribution. The contribution to the special fund may be made in installments at the approval of the CPM, with the first installment to constitute one-third of the total original contribution amount.

If a project is not certified, or if a project owner does not build the project, or, if for some other reason deemed acceptable by the CPM, a project owner does not participate in funding the DTCCL documentation program, the other project owner(s) may consult with the CPM to adjust the scale of the DTCCL documentation program research activities to match available funding. A project owner that funds the DTCCL documentation program, then withdraws, will be able to reclaim their monetary contribution, to be refunded on a prorated basis.

Verification:

1. No later than 10 days after receiving notice of the successful transfer of funds for any installment to the Energy Commission's special DTCCL fund, the project owner shall submit a copy of the notice to the Energy Commission's Compliance Project Manager (CPM).

CUL-3 **CULTURAL RESOURCES PERSONNEL**

Prior to the start of ground disturbance (includes "preconstruction site mobilization," "construction-related ground disturbance," and "construction-related grading, boring, and trenching," as defined in the General Conditions for this project), the project owner shall obtain the services of a Cultural Resources Specialist (CRS) and one or more alternate CRSs, if alternates are needed. The CRS shall manage all monitoring, mitigation, curation, and reporting activities in accordance with the Conditions of Certification (Conditions).

The CRS shall have a primarily administrative and coordination role for the PSEGS. The CRS may obtain the services of Cultural Resources Monitors (CRMs), if needed, to assist in monitoring, mitigation, and curation activities. The project owner shall ensure that the CRS implements the Cultural Resources Conditions providing for data recovery from known historical resources and ensure that the CRS makes recommendations regarding the eligibility for listing in the California Register of Historical Resources (CRHR) of any cultural resources that are newly discovered or that may be affected in an unanticipated manner. No ground disturbance shall occur prior to Compliance Project Manager (CPM) approval of the CRS and alternates, unless such activities are specifically approved by the CPM. Approval of a CRS may be denied or revoked for reasons including but not limited to noncompliance on this or other Energy Commission projects.

Cultural Resources Specialist

The resumés for the CRS and alternate(s) shall include information demonstrating to the satisfaction of the CPM that their training and backgrounds conform to the U.S. Secretary of Interior's Professional Qualifications Standards, as published in Title 36, Code of Federal Regulations, part 61. In addition, the CRS shall have the following qualifications:

- 1 A background in anthropology and prehistoric archaeology;
- 2 At least 10 years of archaeological resource mitigation and field experience, with at least three of those years in California; and
- 3 At least three years of experience in a decision-making capacity on cultural resources projects, with at least one of those years in California, and the appropriate training and experience to knowledgeably make recommendations regarding the significance of cultural resources.

Required Cultural Resources Technical Specialists

The project owner shall ensure that the CRS obtains the services of a qualified prehistoric archaeologist to conduct the research specified in **CUL-11** and **CUL-12**. The Project Prehistoric Archaeologist's (PPA) training and background must meet the U.S. Secretary of the Interior's Professional Qualifications Standards for prehistoric archaeology, as published in Title 36, Code of Federal Regulations, part 61, and the résumé of the PPA must demonstrate familiarity with similar artifacts and environmental modifications (deliberate and incidental) to those associated with the prehistoric and protohistoric use of the Chuckwalla Valley. The PPA must meet OSHA standards as a "Competent Person" in trench safety.

The project owner shall ensure that the CRS obtains the services of a qualified historical archaeologist to conduct the research specified in **CUL-13** and **CUL-14**. The Project Historical Archaeologist's (PHA) training and background must meet the U.S. Secretary of Interior's Professional

Qualifications Standards for historical archaeology, as published in Title 36, Code of Federal Regulations, part 61.

The résumés of the CRS, alternate CRS, the PPA, and the PHA shall include the names and telephone numbers of contacts familiar with the work of these persons on projects referenced in the résumés and demonstrate to the satisfaction of the CPM that these persons have the appropriate training and experience to undertake the required research. The project owner may name and hire the CRS, alternate CRS, the PPA, and the PHA prior to certification.

Field Crew Members and Cultural Resources Monitors

CRMs and field crew members shall have the following qualifications:

1. A B.S. or B.A. degree in anthropology, archaeology, historical archaeology, or a related field, and one year experience monitoring in California; or
2. An A.S. or A.A. degree in anthropology, archaeology, historical archaeology, or a related field, and four years experience monitoring in California; or
3. Enrollment in upper division classes pursuing a degree in the fields of anthropology, archaeology, historical archaeology, or a related field, and two years of monitoring experience in California.

Verification:

1. Preferably at least 120 days, but in any event no less than 75 days prior to the start of ground disturbance, the project owner shall submit the résumés for the CRS, the alternate CRS(s) if desired, the PPA, and the PHA to the CPM for review and approval.
2. At least 65 days prior to the start of data recovery on known archaeological sites, the project owner shall confirm in writing to the CPM that the approved CRS, the PPA, and the PHA will be available for on-site work and are prepared to implement the **Cultural Resources** Conditions **CUL-11** through **CUL-15**.
3. At least 10 days prior to a termination or release of the CRS, or within 10 days after the resignation of a CRS, the project owner shall submit the résumé of the proposed new CRS to the CPM for review and approval. At the same time, the project owner shall also provide to the proposed new CRS the AFC and all cultural resources documents, field notes, photographs, and other cultural resources materials generated by the project. If no alternate CRS is available to assume the duties of the CRS, a monitor may serve in place of a CRS so that ground disturbance may continue up to a maximum of three days without a CRS. If cultural resources are discovered then ground disturbance will remain halted until there is a CRS or alternate CRS to make a recommendation regarding significance.
4. At least 20 days prior to data recovery on known archaeological sites, the CRS shall provide a letter naming anticipated field crew members for the project and attesting that the identified field crew members meet the minimum qualifications for cultural

resources data recovery required by this Condition.

5. At least 20 days prior to ground disturbance, the CRS shall provide a letter naming anticipated CRMs for the project and attesting that the identified CRMs meet the minimum qualifications for cultural resources monitoring required by this Condition.
6. At least five days prior to additional CRMs beginning on-site duties during the project, the CRS shall provide letters to the CPM identifying the new CRMs and attesting to their qualifications.

CUL-4 PROJECT DOCUMENTATION FOR CULTURAL RESOURCES PERSONNEL

Prior to the start of ground disturbance, the project owner shall provide the CRS, the PPA, and the PHA with copies of the AFC, data responses, confidential cultural resources documents, the Final Staff Assessment (FSA) and the Commission Decision for the project. The project owner shall also provide the CRS, the PPA, the PHA, and the CPM with maps and drawings showing the footprints of the power plant, all linear facility routes, all access roads, and all laydown areas. Maps shall include the appropriate USGS quadrangles and maps at an appropriate scale (e.g., 1:2400 or 1" = 200') for plotting cultural features or materials. If the CRS requests enlargements or strip maps for linear facility routes, the project owner shall provide copies to the CRS and CPM. The CPM shall review map submittals and, in consultation with the CRS, approve those that are appropriate for use in cultural resources planning activities. No ground disturbance shall occur prior to CPM approval of maps and drawings, unless such activities are specifically approved by the CPM.

If construction of the project would proceed in phases, maps and drawings not previously provided shall be provided to the CRS, the PPA, the PHA, and CPM prior to the start of each phase. Written notice identifying the proposed schedule of each project phase shall be provided to the CRS and CPM.

Weekly, until ground disturbance is completed, the project construction manager shall provide to the CRS and CPM a schedule of project activities for the following week, including the identification of area(s) where ground disturbance will occur during that week.

The project owner shall notify the CRS and CPM of any changes to the scheduling of the construction phases.

Verification:

1. Preferably at least 115 days, but in any event no less than 60 days prior to the start of ground disturbance, the project owner shall provide the AFC, data responses, confidential cultural resources documents, the Final Staff Assessment (FSA) and the Commission Decision for the project to the CRS, if needed, and to the PPA, and the PHA. The project owner shall also provide the subject maps and drawings to the CRS, PPA, PHA, and CPM. Staff, in consultation with the CRS, PPA, and PHA, will

review and approve maps and drawings suitable for cultural resources monitoring and data recovery activities.

2. At least 15 days prior to the start of ground disturbance, if there are changes to any project-related footprint, the project owner shall provide revised maps and drawings for the changes to the CRS, PPA, PHA, and CPM.
3. At least 15 days prior to the start of each phase of a phased project, the project owner shall submit the appropriate maps and drawings, if not previously provided, to the CRS, PPA, PHA, and CPM.
4. Weekly, during ground disturbance, a current schedule of anticipated project activity shall be provided to the CRS and CPM by letter, e-mail, or fax.
5. Within five days of changing the scheduling of phases of a phased project, the project owner shall provide written notice of the changes to the CRS and CPM.

CUL-5 CULTURAL RESOURCES MONITORING AND MITIGATION PLAN

Prior to the start of ground disturbance, the project owner shall submit to the CPM for review and approval the Cultural Resources Monitoring and Mitigation Plan (CRMMP), as prepared by or under the direction of the CRS, with the contributions of the PPA, and the PHA. The authors' name(s) shall appear on the title page of the CRMMP. The CRMMP shall specify the impact mitigation protocols for all known cultural resources, i.e., archaeological, ethnographic, and historic resources, and identify general and specific measures to minimize potential impacts to all other cultural resources, including those discovered during construction. Implementation of the CRMMP shall be the responsibility of the CRS and the project owner. Copies of the CRMMP shall reside with the CRS, alternate CRS, the PPA, and the PHA, each CRM, and the project owner's on-site construction manager. No ground disturbance shall occur prior to CPM approval of the CRMMP, unless such activities are specifically approved by the CPM. Prior to certification, the project owner may have the CRS, alternate CRS, the PPA, and the PHA complete and submit to CEC for review the CRMMP, except for the portions to be contributed by the PTNCL and the DTCCL programs.

The CRMMP shall include, but not be limited to, the elements and measures listed below.

1. The following statement shall be included in the Introduction: "Any discussion, summary, or paraphrasing of the Conditions of Certification in this CRMMP is intended as general guidance and as an aid to the user in understanding the Conditions and their implementation. The Conditions, as written in the Commission Decision, shall supersede any summarization, description, or interpretation of the conditions in the CRMMP. The Cultural Resources Conditions of Certification from the Commission Decision are contained in Appendix A."

2. The duties of the CRS shall be fully discussed, including coordination duties with respect to the completion of the Prehistoric Trails Network Cultural Landscape (PTNCL) documentation program and the Desert Training Center California-Arizona Maneuver Area Cultural Landscape (DTCCL) documentation program, and oversight/management duties with respect to site evaluation, data collection, monitoring, and reporting at both known prehistoric and historic-period archaeological sites and any CRHR-eligible (as determined by the CPM) prehistoric and historic-period archaeological sites discovered during construction.
3. A general research design shall be developed that:
 - a. Charts a timeline of all research activities, including those coordinated under the PTNCL and DTCCL documentation program;
 - b. Recapitulates the existing paleoenvironmental, prehistoric, ethnohistoric, ethnographic, and historic contexts developed in the PTNCL and DTCCL historic context and adds to these the additional context of the non-military, historic-period occupation and use of the Chuckwalla Valley, to create a comprehensive historic context for the PSEGS vicinity;
 - c. Poses archaeological research questions and testable hypotheses specifically applicable to the archaeological resource types known for the Chuckwalla Valley, based on the research questions developed under the PTNCL and DTCCL research and on the archaeological and historical literature pertinent to the Chuckwalla Valley; and
 - d. Clearly articulates why it is in the public interest to address the research questions that it poses.
4. Protocols, reflecting the guidance provided in **CUL-10** through **CUL-15** shall be specified for the treatment of known and newly discovered prehistoric and historic-period archaeological resource types.
5. Artifact collection, retention/disposal, and curation policies shall be discussed, as related to the research questions formulated in the research design. These policies shall apply to cultural resources materials and documentation resulting from evaluation and data recovery at known prehistoric-period, ethnographic, and historic-period archaeological sites and any CRHR-eligible (as determined by the CPM) prehistoric and historic-period archaeological sites discovered during construction. A prescriptive treatment plan may be included in the CRMMP for limited data types.
6. The implementation sequence and the estimated time frames needed to accomplish all project-related tasks during the ground-disturbance and post-ground-disturbance analysis phases of the project shall be specified.
7. Person(s) expected to perform each of the tasks, their responsibilities, and

the reporting relationships between project construction management and the mitigation and monitoring team shall be identified.

8. The manner in which Native American observers or monitors will be included, in addition to their roles in the activities required under **CUL-1**, the procedures to be used to select them, and their roles and responsibilities shall be described.
9. All impact-avoidance measures (such as flagging or fencing) to prohibit or otherwise restrict access to sensitive resource areas that are to be avoided during ground disturbance, construction, and/or operation shall be described. Any areas where these measures are to be implemented shall be identified. The description shall address how these measures would be implemented prior to the start of ground disturbance and how long they would be needed to protect the resources from project-related impacts.
10. The commitment to record on Department of Parks and Recreation (DPR) 523 **Series** forms, to map, and to photograph all encountered cultural resources over 50 years of age shall be stated. In addition, the commitment to curate all archaeological materials retained as a result of the archaeological investigations (survey, testing, and data recovery), in accordance with the California State Historical Resources Commission's Guidelines for the Curation of Archaeological Collections, into a retrievable storage collection in a public repository or museum shall be stated.
11. The commitment of the project owner to pay all curation fees for artifacts recovered and for related documentation produced during cultural resources investigations conducted for the project shall be stated. The project owner shall identify a curation facility that could accept cultural resources materials resulting from PSEGS cultural resources investigations.
12. The CRS shall attest to having access to equipment and supplies necessary for site mapping, photography, and recovery of all cultural resource materials (that cannot be treated prescriptively) from known CRHR-eligible archaeological sites and from CRHR-eligible sites that are encountered during ground disturbance .
13. The contents, format, and review and approval process of the final Cultural Resource Report (CRR) shall be described.

Verification:

1. Preferably at least 45 days, but in any event no less than 30 days prior to the start of ground disturbance, the project owner shall submit the CRMMP to the CPM for review and approval.
2. At least 20 days prior to the start of ground disturbance, in a letter to the CPM, the project owner shall agree to pay curation fees for any materials generated or collected as a result of the archaeological investigations (survey, testing, and data

recovery).

3. At least 30 days prior to the start of ground disturbance, the project owner shall provide to the CPM a copy of a letter from a curation facility that meets the standards stated in the California State Historical Resources Commission's Guidelines for the Curation of Archaeological Collections, stating the facility's willingness and ability to receive the materials generated by PSEGS cultural resources activities and requiring curation. Any agreements concerning curation will be retained and available for audit for the life of the project.

CUL-6 CULTURAL RESOURCES REPORT (CRR)

The project owner shall submit the final Cultural Resources Report (CRR) to the CPM for review and approval and to the BLM Palm Springs archaeologist for review and comment. The final CRR shall be written by or under the direction of the CRS. The final CRR shall report on all field activities including dates, times and locations, results, samplings, and analyses. All survey reports, revised and final Department of Parks and Recreation (DPR) 523 forms, data recovery reports, and any additional research reports not previously submitted to the California Historical Resource Information System (CHRIS) and the State Historic Preservation Officer (SHPO) shall be included as appendices to the final CRR.

If the project owner requests a suspension of ground disturbance and/or construction activities, then a draft CRR that covers all cultural resources activities associated with the project shall be prepared by the CRS and submitted to the CPM and to the BLM Palm Springs archaeologist for review and approval on the same day as the suspension/extension request. The draft CRR shall be retained at the project site in a secure facility until ground disturbance and/or construction resumes or the project is withdrawn. If the project is withdrawn, then a final CRR shall be submitted to the CPM for review and approval at the same time as the withdrawal request.

Verification:

1. Within 30 days after requesting a suspension of construction activities, the project owner shall submit a draft CRR to the CPM for review and approval.
2. Within 180 days after completion of ground disturbance (including landscaping), the project owner shall submit the final CRR to the CPM for review and approval and to the BLM Palm Springs archaeologist for review and comment. If any reports have previously been sent to the CHRIS, then receipt letters from the CHRIS or other verification of receipt shall be included in an appendix.
3. Within 10 days after the CPM and the BLM Palm Springs archaeologist approve the CRR, the project owner shall provide documentation to the CPM confirming that copies of the final CRR have been provided to the SHPO, the CHRIS, the curating institution, if archaeological materials were collected, and to the tribal chairpersons of any Native American tribes requesting copies of project-related reports.

CUL-7 WORKER ENVIRONMENTAL AWARENESS PROGRAM (WEAP)

Prior to and for the duration of ground disturbance, the project owner shall provide Worker Environmental Awareness Program (WEAP) training to all new workers within their first week of employment at the project site, along the linear facilities routes, and at laydown areas, roads, and other ancillary areas. The training shall be prepared by the CRS, may be conducted by any member of the archaeological team, and may be presented in the form of a video. The CRS shall be available (by telephone or in person) to answer questions posed by employees. The training may be discontinued when ground disturbance is completed or suspended, but must be resumed when ground disturbance, such as landscaping, resumes.

The training shall include:

1. A discussion of applicable laws and penalties under the law;
2. Samples or visuals of artifacts that might be found in the project vicinity;
3. A discussion of what such artifacts may look like when partially buried, or wholly buried and then freshly exposed;
4. A discussion of what prehistoric and historical archaeological deposits look like at the surface and when exposed during construction, and the range of variation in the appearance of such deposits;
5. Instruction that the CRS, alternate CRS, and CRMs have the authority to halt ground disturbance in the area of a discovery to an extent sufficient to ensure that the resource is protected from further impacts, as determined by the CRS;
6. Instruction that employees are to halt work on their own in the vicinity of a potential cultural resources discovery and shall contact their supervisor and the CRS or CRM, and that redirection of work would be determined by the construction supervisor and the CRS;
7. An informational brochure that identifies reporting procedures in the event of a discovery;
8. An acknowledgement form signed by each worker indicating that they have received the training; and
9. A sticker that shall be placed on hard hats indicating that environmental training has been completed.
10. No ground disturbance shall occur prior to implementation of the WEAP program, unless such activities are specifically approved by the CPM.

Verification:

1. At least 30 days prior to the start of ground disturbance, the CRS shall provide the training program draft text and graphics and the informational brochure to the CPM for review and approval.
2. At least 15 days prior to the start of ground disturbance, the CPM will provide to the project owner a WEAP Training Acknowledgement form for each WEAP trained worker to sign.
3. Monthly, until ground disturbance is completed, the project owner shall provide in the Monthly Compliance Report (MCR) the WEAP Training Acknowledgement forms of workers who have completed the training in the prior month and a running total of all persons who have completed training to date.

CUL-8 CONSTRUCTION MONITORING PROGRAM

Prior to the start of construction-related ground disturbance or grading, boring, and trenching, as defined in the General Conditions for this project; or surface grading or subsurface soil work during pre- construction activities or site mobilization; or mowing activities and heavy equipment use in loose or sandy soils, at the project site, access roads, and linear facilities including environmental exclusion fencing along roadways, the project owner shall notify the CPM and all interested Native Americans of the date on which ground disturbance will ensue.

The project owner shall ensure that the CRS, alternate CRS, or CRMs monitor, full, time all of the following specified ground disturbance at the project site: 1) surface grading or subsurface soil work during pre-construction activities, and site mobilization; 2) mowing activities and heavy equipment use in undisturbed loose or sandy soils defined as Zone 2 or Zone 3 of the sand transport corridor; 3) excavation and grading of the power block and common facilities areas; 4) excavation of trenches; and 5) grading of the access, perimeter, and spoke roads along the linear facilities routes, and at laydown areas, roads, and other ancillary areas, to ensure there are no impacts to undiscovered cultural resources and to ensure that known cultural resources are not affected in an unanticipated manner.

Full-time archaeological monitoring for this project shall be the archaeological monitoring of the ground-disturbing activities specified in the previous paragraph, for as long as the activities are ongoing.

Where excavation equipment is actively removing dirt and hauling the excavated material farther than 50 feet from the location of active excavation, full-time archaeological monitoring shall require at least two monitors per excavation area. In this circumstance, one monitor shall observe the location of active excavation and a second monitor shall inspect

the dumped material. For excavation areas where the excavated material is dumped no farther than 50 feet from the location of active excavation, one monitor shall both observe the location of active excavation and inspect the dumped material.

In the event that the CRS believes that the required number of monitors is not appropriate in certain locations, a letter or e-mail detailing the justification for changing the number of monitors shall be provided to the CPM for review and approval prior to any change in the number of monitors.

The project owner shall obtain the services of one or more Native American Monitors (NAM) to monitor construction-related ground disturbance in areas where Native American artifacts may be discovered. Contact lists of interested Native Americans and guidelines for monitoring shall be obtained from the NAHC. Preference in selecting an NAM shall be given to Native Americans with traditional ties to the area that shall be monitored. If efforts to obtain the services of a qualified NAM are unsuccessful, the project owner shall immediately inform the CPM. The CPM will either identify potential monitors or will allow construction-related ground disturbance to proceed without an NAM.

The research design in the CRMMP shall govern the collection, treatment, retention/disposal, and curation of any archaeological materials encountered. On forms provided by the CPM, CRMs shall keep a daily log of any monitoring and other cultural resources activities and any instances of non-compliance with the Conditions and/or applicable LORS. The daily monitoring logs shall at a minimum include the following:

- First and last name of the CRM and any accompanying NAM.
- Time in and out.
- Weather. Specify if weather conditions led to work stoppages.
- Work location (project component). Provide specifics—.e.g., transmission ROW, solar unit A, power block.
- Proximity to site location. Specify if work conducted within 1000 feet of a known cultural resource.
- Work type (machine).
- Work crew (company, operator, foreman).
- Depth of excavation.
- Description of work.
- Stratigraphy.
- Artifacts, listed with the following identifying features:
 - Field artifact #: When recording artifacts in the daily monitoring logs,

the CRS shall institute a field numbering system to reduce the likelihood of repeat artifact numbers. A typical numbering system could include a project abbreviation, monitor's initials, and a set of numbers given to that monitor: e.g., HECA-MB-123.

- Description.
 - Measurements.
 - UTM.
- Whether artifacts are likely to be isolates or components of larger resources.
 - Assessment of significance of any finds.
 - Actions taken.
 - Plan for the next work day.

A cover sheet shall be submitted with each day's monitoring logs, and shall at a minimum include the following:

- Count and list of first and last names of all CRMs and of all NAMs for that day.
- General description (in paragraph form) of that day's overall monitoring efforts, including monitor names and locations.
- Any reasons for halting work that day.
- Count and list of all artifacts found that day: include artifact #, location (i.e., grading in Unit X), measurements, UTMs, and very brief description (i.e., historic can, granitic biface, quartzite flake).
- Whether any artifacts were found out of context (i.e., in fill, caisson drilling, flood debris, spoils pile).

Copies of the daily monitoring logs and cover sheets shall be provided by email from the CRS to the CPM, as follows:

- Each day's monitoring logs and cover sheet shall be merged into one PDF document
- The PDF title and headings, and emails shall clearly indicate the date of the applicable monitoring logs.
- PDFs for any revised or resubmitted versions shall use the word "revised" in the title.

Daily and/or weekly maps shall be submitted along with the monitoring logs as follows:

- The CRS shall provide daily and/or weekly maps of artifacts at the

request of the CPM. A map shall also be provided if artifact locations show complexity, high density, or other unique considerations.

- Maps shall include labeled artifacts, project boundaries, previously recorded sites and isolates, aerial imagery background, and appropriate scales.

From the daily monitoring logs, the CRS shall compile a monthly monitoring summary report to be included in the MCR. If there are no monitoring activities, the summary report shall specify why monitoring has been suspended.

- The Cultural Resources section of the MCR shall be prepared in coordination with the CRS, and shall include a monthly summary report of cultural resources-related monitoring. The summary shall:
 - List the number of CRMs and NAMs on a daily basis, as well as provide monthly monitoring-day totals.
 - Give an overview of cultural resource monitoring work for that month, and discuss any issues that arose.
 - Describe fulfillment of requirements of each cultural mitigation measure.
 - Summarize the confidential appendix to the MCR, without disclosing any specific confidential details.
 - Include the artifact concordance table (as discussed under the next bullet point), but with removal of UTMs.
- Each MCR, prepared under supervision of the CRS, shall be accompanied by a confidential appendix that contains completed DPR 523A forms for all artifacts recorded or collected in that month. For any artifact without a corresponding DPR form, the CRS shall specify why the DPR form is not applicable or pending (i.e. as part of a larger site update).
 - A concordance table that matches field artifact numbers with the artifact numbers used in the DPR forms shall be included. The sortable table shall contain each artifact's date of collection and UTM numbers, and note if an artifact has been deaccessioned or otherwise does not have a corresponding DPR form. Any post- field log recordation changes to artifact numbers shall also be noted.
 - DPR forms shall be submitted as one combined PDF.
 - The PDF shall organize DPR forms by site and/or artifact number.
 - The PDF shall include an index and bookmarks.
 - If artifacts from a given site location (in close proximity of each other or an existing site) are collected month after month, and if agreed

upon with the CPM, a final updated DPR for the site may be submitted at the completion of monitoring. The monthly concordance table shall note that the DPR form for the included artifacts is pending.

The CRS or alternate CRS shall report daily to the CPM on the status of the project's cultural resources-related activities, unless reducing or ending daily reporting is requested by the CRS and approved by the CPM.

In the event that the CRS believes that the current level of monitoring is not appropriate in certain locations, a letter or e-mail detailing the justification for changing the level of monitoring shall be provided to the CPM for review and approval prior to any change in the level of monitoring.

The CRS, at his or her discretion, or at the request of the CPM, may informally discuss cultural resources monitoring and mitigation activities with Energy Commission technical staff.

Cultural resources monitoring activities are the responsibility of the CRS. Any interference with monitoring activities, removal of a monitor from duties assigned by the CRS, or direction to a monitor to relocate monitoring activities by anyone other than the CRS shall be considered non-compliance with these Conditions.

Upon becoming aware of any incidents of non-compliance with the Conditions and/or applicable LORS, the CRS and/or the project owner shall notify the CPM. The CRS shall also recommend corrective action to resolve the problem or achieve compliance with the Conditions.

When the issue is resolved, the CRS shall write a report describing the issue, the resolution of the issue, and the effectiveness of the resolution measures. This report shall be provided in the next MCR for the review of the CPM.

Verification:

1. At least 30 days prior to the start of ground disturbance, the CPM will notify all Native Americans with whom Energy Commission staff communicated during the project review of the date on which the project's ground disturbance will begin.
2. At least 30 days prior to the start of ground disturbance, the CPM will provide to the CRS an electronic copy of a form to be used as a daily monitoring log and information to be included in the cover sheet for the daily monitoring logs.
3. While monitoring is on-going, the project owner shall submit each day's monitoring logs and cover sheet merged into one PDF document by email within 24 hours.
4. The CRS and/or project owner shall notify the CPM of any incidents of non-compliance with the Conditions and/or applicable LORS by telephone or email within 24 hours

5. The CRS shall provide daily maps of artifacts along with the daily monitoring logs if more than 10 artifacts are found per day, or as requested by the CPM.
6. The CRS shall provide weekly maps of artifacts if ~~there~~ more than 50 artifacts are found per week, or as requested by the CPM. The map shall be submitted within two business days after the end of each week.
7. Within 15 days of receiving from a local Native American group a request that a NAM be employed, the project owner shall submit a copy of the request and a copy of a response letter to the group notifying them that a NAM has been employed and identifying the NAM.
8. Monthly, while monitoring is on-going, the project owner shall submit MCRs and accompanying monthly summary reports. The project owner shall attach any new DPR 523A forms, under confidential cover, completed for finds treated prescriptively, as specified in the CRMMP.
9. Final updated DPRs with sites (where artifacts are collected month after month) can be submitted at the completion of monitoring, as agreed upon with the CPM.
10. At least 24 hours prior to implementing a proposed change in monitoring level, the project owner shall submit to the CPM, for review and approval, a letter or e-mail (or some other form of communication acceptable to the CPM) detailing the CRS's justification for changing the monitoring level.
11. At least 24 hours prior to reducing or ending daily reporting, the project owner shall submit to the CPM, for review and approval, a letter or e-mail (or some other form of communication acceptable to the CPM) detailing the CRS's justification for reducing or ending daily reporting.
12. Within 15 days of receiving them, the project owner shall submit to the CPM copies of any comments or information provided by Native Americans in response to the project owner's transmittals of information.

CUL-9 AUTHORITY TO HALT CONSTRUCTION; TREATMENT OF DISCOVERIES

The project owner shall grant authority to halt ground disturbance to the CRS, alternate CRS, PPA, PHA, and the CRMs in the event of a discovery. Redirection of ground disturbance shall be accomplished under the direction of the construction supervisor in consultation with the CRS.

In the event that a cultural resource over 50 years of age is found (or if younger, determined exceptionally significant by the CPM), or impacts to such a resource can be anticipated, ground disturbance shall be halted or

redirected in the immediate vicinity of the discovery sufficient to ensure that the resource is protected from further impacts. Monitoring and daily reporting, as provided in other Conditions, shall continue during the project's ground- disturbing activities elsewhere. The halting or redirection of ground disturbance shall remain in effect until the CRS has visited the discovery, and all of the following have occurred:

1. The CRS has notified the project owner, and the CPM has been notified within 24 hours of the discovery, or by Monday morning if the cultural resources discovery occurs between 8:00 AM on Friday and 8:00 AM on Sunday morning, including a description of the discovery (or changes in character or attributes), the action taken (i.e., work stoppage or redirection), a recommendation of CRHR eligibility, and recommendations for data recovery from any cultural resources discoveries, whether or not a determination of CRHR eligibility has been made.
2. If the discovery would be of interest to Native Americans, the CRS has notified all Native American groups that expressed a desire to be notified in the event of such a discovery.
3. The CRS has completed field notes, measurements, and photography for a DPR 523 "Primary" form. Unless the find can be treated prescriptively, as specified in the CRMMP, the "Description" entry of the DPR 523 "Primary" form shall include a recommendation on the CRHR eligibility of the discovery. The project owner shall submit completed forms to the CPM.
4. The CRS, the project owner, and the CPM have conferred, and the CPM has concurred with the recommended eligibility of the discovery and approved the CRS's proposed data recovery plan, if any, including the curation of the artifacts, or other appropriate mitigation; and any necessary data recovery and mitigation have been completed.

Verification:

1. At least 30 days prior to the start of ground disturbance, the project owner shall provide the CPM and CRS with a letter confirming that the CRS, alternate CRS, PPA, PHA, and CRMs have the authority to halt ground disturbance in the vicinity of a cultural resources discovery, and that the project owner shall ensure that the CRS notifies the CPM within 24 hours of a discovery, or by Monday morning if the cultural resources discovery occurs between 8:00 AM on Friday and 8:00 AM on Sunday morning.
2. Within 48 hours of the discovery of a resource of interest to Native Americans, the project owner shall ensure that the CRS notifies all Native American groups that expressed a desire to be notified in the event of such a discovery.

3. Unless the discovery can be treated prescriptively, as specified in the CRMMP, completed DPR 523 forms for resources newly discovered during ground disturbance shall be submitted to the CPM for review and approval no later than 24 hours following the notification of the CPM, or 48 hours following the completion of data recordation/recovery, whichever the CRS decides is more appropriate for the subject cultural resource.

CUL-10 *DISPUTED*

CUL-11 *DISPUTED*

CUL-12 *DISPUTED*

CUL-13 *DISPUTED*

CUL-14 *DISPUTED*

CUL-15 DATA RECOVERY ON HISTORIC-PERIOD ROADS

The project owner shall ensure that a qualified architectural historian (must meet the U.S. Secretary of the Interior's Professional Qualifications Standards for historian, as published in Title 36, Code of Federal Regulations, part 61) conducts research and writes a report on the age and use of SMP-H-1032.

The project owner may undertake this task prior to Energy Commission certification of the project.

Verification:

1. At least 15 days prior to ground disturbance, the project owner shall submit to the CPM the historian's report documenting the age and historical use of the road.
2. Within 15 days after the CPM approves the report, the project owner shall forward it to the DTCCCL PI-Historian.

CUL-16 *DISPUTED*

CUL-17 *DISPUTED*

CUL-18 TREATMENT OF DESERT TORTOISE EXCLUSION FENCE ALONG I-10 RIGHT-OF-WAY PROXIMATE THE PROJECT

1. To comply with Condition of Certification BIO-9 (Desert Tortoise Clearance Surveys and Fencing), permanent desert tortoise-proof fencing shall be installed along the existing Interstate 10 (I-10) right-of-way fencing. The project owner shall determine the precise location of the alignments for

the exclusion fence relative to the extant Caltrans ROW fence and verify whether said alignments are subject to the Bureau of Land Management's (BLM) or Caltrans environmental jurisdiction.

2. The project owner shall design and conduct a class III pedestrian archaeological survey, per the Energy Commission's siting regulations. The design of the class III archaeological survey shall be submitted to the CPM for review and approval prior to submittal of a request for encroachment permit to Caltrans and/or fieldwork authorization from BLM for conducting the survey.
3. If the exclusion fence is in Caltrans' jurisdiction, refer to the Caltrans Environmental Handbook, Volume 2 and determine which forms and technical reports would typically be requisite for an applicant for Caltrans ROW encroachment permit. If the exclusion fence or associated staging/construction area is in BLM's jurisdiction, refer to the BLM Manual (Section 8110, Identifying and Evaluating Cultural Resources).
4. The project owner shall prepare a technical report of the above class III pedestrian survey, for review and approval by the CPM. The report shall include a catalogue and evaluation of all cultural resources encountered in the survey, the eligibility of the resources for inclusion on the California Historic Resources Inventory. The report shall promulgate any necessary avoidance, minimization and mitigation measures to assure impacts to cultural resources resulting from the construction, installation and maintenance of the exclusion fence are less than significant. Such measures shall be consistent with the criteria and protocol for recordation and the avoidance, minimization and mitigation measures contained in CUL-10 (FLAG AND AVOID), CUL-11 (DATA RECOVERY FOR SIMPLE PREHISTORIC SITES), CUL-12 (DATA RECOVERY FOR COMPLEX PREHISTORIC SITES) and CUL-13 (DATA RECOVERY FOR HISTORIC-PERIOD REFUSE SCATTERS). The technical report prepared for the class III survey shall be incorporated into the Cultural Resources Monitoring and Mitigation Plan required in CUL- 5, either as an addendum or appendix.

Verification:

1. The design for the class III survey shall be submitted to the CPM for review and approval at least 30 days prior to submitting a request for encroachment permit to Caltrans and/or fieldwork authorization from BLM for conducting the survey.
2. The technical report of the class III survey shall be submitted to the CPM for review and approval at least 30 days prior to submitting a request to Caltrans for an encroachment permit and/or BLM for right-of-way grant prior to construction and installation of the exclusion fence.

3. Monitoring of exclusion fence construction shall be in accordance with Condition of Certification CUL-8, and treatment of any unanticipated discoveries of cultural resources during construction shall be in accordance with the provisions of Conditions of Certification CUL-9 through CUL-13.

PROPOSED CONDITIONS OF CERTIFICATIONS

TSE-1 The project owner shall furnish to the CPM and to the CBO a schedule of transmission facility design submittals, a Master Drawing List, a Master Specifications List, and a Major Equipment and Structure List. The schedule shall contain a description and list of proposed submittal packages for design, calculations, and specifications for major structures and equipment^{nt}. To facilitate audits by Energy Commission staff, the project owner shall provide designated packages to the CPM when requested.

Verification: Prior to the start of construction of the transmission facilities, the project owner shall submit the schedule, a Master Drawing List, and a Master Specifications List to the CBO and to the CPM. The schedule shall contain a description and list of proposed submittal packages for design, calculations, and specifications for major structures and equipment (see a list of major equipment below). Additions and deletions shall be made to the table only with CPM and CBO approval. The project owner shall provide schedule updates in the Monthly Compliance Report.

| |
|-----------------------------|
| Breakers |
| Step-up transformer |
| Switchyard |
| Busses |
| Surge arrestors |
| Disconnects |
| Take-off facilities |
| Electrical control building |
| Switchyard control building |
| Transmission pole/tower |
| Grounding system |

TSE-2 Before the start of construction, the project owner shall assign to the project an electrical engineer and at least one of each of the following:

- a) a civil engineer;
- b) a geotechnical engineer or a civil engineer experienced and knowledgeable in the practice of soils engineering;
- c) a design engineer who is either a structural engineer or a civil engineer and fully competent and proficient in the design of power plant structures and equipment supports; or
- d) a mechanical engineer (Business and Professions Code Sections 6704 et seq. require state registration to practice as either a civil engineer or a structural engineer in California).

The tasks performed by the civil, mechanical, electrical, or design engineers may be divided between two or more engineers as long as each engineer is responsible for a particular segment of the project, e.g., proposed earthwork, civil structures, power plant structures, or equipment support. No segment of the project shall have more than one responsible engineer. The transmission line may be the responsibility of a separate California registered electrical engineer. The civil, geotechnical, or civil and design engineer, assigned as required by **Facility Design Condition GEN-5**, may be responsible for design and review of the TSE facilities.

The project owner shall submit to the CBO, for review and approval, the names, qualifications, and registration numbers of all engineers assigned to the project. If any one of the designated engineers is subsequently reassigned or replaced, the project owner shall submit the name, qualifications, and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify the CPM of the CBO's approval of the new engineer. This engineer shall be authorized to halt earth work and require changes; if site conditions are unsafe or do not conform to the predicted conditions used as the basis for design of earth work or foundations.

The electrical engineer shall:

1. be responsible for the electrical design of the power plant switchyard, outlet, and termination facilities; and
2. sign and stamp electrical design drawings, plans, specifications, and calculations.

Verification: Prior to the start of rough grading, the project owner shall submit to the CBO for review and approval, the names, qualifications, and registration numbers of all the responsible engineers assigned to the project. The project owner shall notify the CPM of the CBO's approvals of the engineers within five days of the approval.

If the designated responsible engineer is subsequently reassigned or replaced, the project owner has five days in which to submit the name, qualifications, and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify the CPM of the CBO's approval of the new engineer within five days of the approval.

TSE-3 If any discrepancy in design and/or construction is discovered in any engineering work that has undergone CBO design review and approval, the project owner shall document the discrepancy and recommend corrective action (2001 California Building Code, Chapter 1, section 108.4, approval required; Chapter 17, section 1701.3, *Duties and Responsibilities of the Special Inspector*; Appendix Chapter 33, section 3317.7, *Notification of Noncompliance*). The discrepancy documentation shall become a controlled

document and shall be submitted to the CBO for review and approval and refer to this condition of certification.

Verification: The project owner shall submit a copy of the CBO's approval or disapproval of any corrective action taken to resolve a discrepancy to the CPM within 15 days of receipt. If disapproved, the project owner shall advise the CPM, within five days, the reason for the disapproval, along with the revised corrective action required to obtain the CBO's approval.

TSE-4 For the power plant switchyard, outlet line and termination, the project owner shall not begin any construction until plans for that increment of construction have been approved by the CBO. These plans, together with design changes and design change notices, shall remain on the site for one year after completion of construction. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS. The following activities shall be reported in the monthly compliance report:

- a) receipt or delay of major electrical equipment;
- b) testing or energization of major electrical equipment; and
- c) the number of electrical drawings approved, submitted for approval, and still to be submitted.

Verification: Prior to the start of each increment of construction, the project owner shall submit to the CBO for review and approval the final design plans, specifications and calculations for equipment and systems of the power plant switchyard, and outlet line and termination, including a copy of the signed and stamped statement from the responsible electrical engineer verifying compliance with all applicable LORS, and send the CPM a copy of the transmittal letter in the next monthly compliance report.

TSE-5 The project owner shall ensure that the design, construction, and operation of the proposed transmission facilities will conform to all applicable LORS, and the requirements listed below. The project owner shall submit the required number of copies of the design drawings and calculations, as determined by the CBO. Once approved, the project owner shall inform the CPM and CBO of any anticipated changes to the design, and shall submit a detailed description of the proposed change and complete engineering, environmental, and economic rationale for the change to the CPM and CBO for review and approval.

- a) The power plant outlet line shall meet or exceed the electrical, mechanical, civil, and structural requirements of CPUC General Order 95 or National Electric Safety Code (NESC); Title 8 of the California Code and Regulations (Title 8); Articles 35, 36 and 37 of the *High Voltage Electric Safety Orders*, California ISO standards, National Electric Code (NEC) and related industry standards.

- b) Breakers and busses in the power plant switchyard and other switchyards, where applicable, shall be sized to comply with a short-circuit analysis.
- c) Outlet line crossings and line parallels with transmission and distribution facilities shall be coordinated with the transmission line owner and comply with the owner's standards.
- d) The project conductors shall be sized to accommodate the full output of the project.
- e) Termination facilities shall comply with applicable SCE interconnection standards.
- f) The project owner shall provide to the CPM:
 - i) The Special Protection System (SPS) sequencing and timing if applicable,
 - ii) A letter stating that the mitigation measures or projects selected by the transmission owners for each reliability criteria violation, for which the project is responsible, are acceptable, and
 - iii) A copy of the executed LGIA signed by the California ISO and the project owner.

Verification: Prior to the start of construction or start of modification of transmission facilities, the project owner shall submit to the CBO for approval:

1. Design drawings, specifications, and calculations conforming with CPUC General Order 95 or National Electric Safety Code (NESC); Title 8 of the California Code and Regulations (Title 8); Articles 35, 36 and 37 of the *High Voltage Electric Safety Orders*, California ISO standards, National Electric Code (NEC) and related industry standards, for the poles/towers, foundations, anchor bolts, conductors, grounding systems, and major switchyard equipment;
2. For each element of the transmission facilities identified above, the submittal package to the CBO shall contain the design criteria, a discussion of the calculation method(s), a sample calculation based on "worst case conditions"¹ and a statement signed and sealed by the registered engineer in responsible charge, or other acceptable alternative verification, that the transmission element(s) will conform with CPUC General Order 95 or National Electric Safety Code (NESC); Title 8 of the California Code and Regulations (Title 8); Articles 35, 36 and 37 of the *High Voltage Electric Safety Orders*, California ISO standards, National Electric Code (NEC), and related industry standards;

¹ Worst-case conditions for the foundations would include for instance, a dead-end or angle pole.

3. Electrical one-line diagrams signed and sealed by the registered professional electrical engineer in charge, a route map, and an engineering description of the equipment and configurations covered by requirements **TSE-5** a) through f);
4. The Special Protection System (SPS) sequencing and timing if applicable shall be provided concurrently to the CPM.
5. A letter stating that the mitigation measures or projects selected by the transmission owners for each reliability criteria violation, for which the project is responsible, are acceptable, and
6. A copy of the executed LGIA signed by the California ISO and the project owner.

Prior to the start of construction of or modification of transmission facilities, the project owner shall inform the CBO and the CPM of any anticipated changes to the design that are different from the design previously submitted and approved and shall submit a detailed description of the proposed change and complete engineering, environmental, and economic rationale for the change to the CPM and CBO for review and approval.

TSE-6 The project owner shall provide the following Notice to the California Independent System Operator (California ISO) prior to synchronizing the facility with the California Transmission system:

1. At least one week prior to synchronizing the facility with the grid for testing, provide the California ISO a letter stating the proposed date of synchronization; and
2. At least one business day prior to synchronizing the facility with the grid for testing, provide telephone notification to the California ISO Outage Coordination Department.

Verification: The project owner shall provide copies of the California ISO letter to the CPM when it is sent to the California ISO one week prior to initial synchronization with the grid. The project owner shall contact the California ISO Outage Coordination Department, Monday through Friday, between the hours of 0700 and 1530 at (916) 351-2300 at least one business day prior to synchronizing the facility with the grid for testing. A report of conversation with the California ISO shall be provided electronically to the CPM one day before synchronizing the facility with the California transmission system for the first time.

TSE-7 The project owner shall be responsible for the inspection of the transmission facilities during and after project construction, and any subsequent CPM and CBO approved changes thereto, to ensure conformance with CPUC GO-95 or NESC, Title 8, CCR, Articles 35, 36 and 37 of the, "High Voltage Electric Safety Orders", applicable interconnection standards, NEC and related industry standards. In case of non-conformance, the project owner shall inform the CPM and CBO in writing, within 10 days of discovering such non-conformance and describe the corrective actions to be taken.

Verification: Within 60 days after first synchronization of the project, the project owner shall transmit to the CPM and CBO:

1. "As built" engineering description(s) and one-line drawings of the electrical portion of the facilities signed and sealed by the registered electrical engineer in responsible charge. A statement attesting to conformance with CPUC GO-95 or NESC, Title 8, California Code of Regulations, Articles 35, 36 and 37 of the "High Voltage Electric Safety Orders", and applicable interconnection standards, NEC, related industry standards.
2. An "as built" engineering description of the mechanical, structural, and civil portion of the transmission facilities signed and sealed by the registered engineer in responsible charge or acceptable alternative verification. "As built" drawings of the electrical, mechanical, structural, and civil portion of the transmission facilities shall be maintained at the power plant and made available, if requested, for CPM audit as set forth in the "Compliance Monitoring Plan".
3. A summary of inspections of the completed transmission facilities, and identification of any nonconforming work and corrective actions taken, signed and sealed by the registered engineer in charge.