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California Energy Commission

## **GUIDELINES**

## Residential Solar Permit Reporting – Senate Bill 379

(SB 379, Wiener, Chapter 356, Statutes of 2022)

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#### **DISCLAIMER**

These guidelines were formally adopted by the California Energy Commission on [Business Meeting date], pursuant to Government Code 65850.52.

#### **ABSTRACT**

These <del>proposed</del> guidelines provide an overview and explain key terms and definitions related to Senate Bill 379 (Wiener, Chapter 356, Statutes of 2022) and the California Energy Commission's required role in facilitating compliance and reporting under this bill.

Senate Bill 379 establishes requirements for California cities and counties related to the implementation of an online, automated permitting platform such as SolarAPP+. SolarAPP+ is a web-based portal that automates plan review, produces code-compliant approvals, and allows a jurisdiction to issue permits in real time for residential solar energy systems as well as solar energy systems paired with energy storage. There are reporting requirements based on population size of the jurisdiction. The bill requires non-exempt cities and counties to report to the CEC annually on the number of permits issued and other relevant permitting metrics.

These guidelines were made available for a thirty-day public comment period from December 8, 2022, to January 9, 2023.

**Keywords**: Automated solar permit processing, residential solar energy system, energy storage, SolarAPP+, permitting, CalAPP.

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## CHAPTER 1: Introduction and Background

## 1. Background

Meeting California's clean energy goals requires new renewable energy and storage resources, including residential solar and storage projects. According to the 2021 Senate Bill 100 Joint Agency Report, development of rooftop solar must increase dramatically to achieve 100 percent clean electricity in the state.

Existing law<sup>2</sup> requires a California city or county to approve applications to install solar energy systems through the issuance of a building permit or similar nondiscretionary permit, subject to specified exceptions. Cities and counties must also develop a streamlined permitting process for installing small residential rooftop solar energy systems. In many cases, nonhardware costs to solar and storage projects, such as permitting, can add substantial time and money to the adoption of additional systems. Senate Bill 379 (SB 379, Wiener, Chapter 356, Statutes of 2022) is intended to increase access and adoption of residential solar and residential energy storage projects by supporting instantaneous plan review practices and creating consistent processes at cities and counties with certain population size for the issuance of a solar energy permit.

SB 379 was approved by Governor Gavin Newsom on September 16, 2022, and requires a city, county, or city and county to implement an online, automated permitting platform. It must verify code compliance and issue permits in real time or allow the jurisdiction to issue permits in real time for a residential solar energy system, and a residential energy storage system paired with a residential solar energy system. These guidelines are being adopted pursuant to the statutory direction and exemption from the Administrative Procedure Act that is contained within Government Code section 65850.52(e)(1)-(3).

The goal of SB 379 is to streamline permitting for solar energy systems to ease the development of solar energy and storage projects in the state, thereby contributing to larger efforts that help California meet its clean energy goals.

<sup>1</sup> Liz Gill, Aleecia Gutierrez & Terra Weeks. 2021. 2021 SB 100 Joint Agency Report, Achieving 100 Percent Clean Electricity in California: An Initial Assessment. California Energy Commission. Publication Number: CEC-200-2021-001.

## 2. Keywords/Terms

**Table 1: Keywords and Terms** 

Word/Term	Definition
Authorized Representative	Authorized Representative, the person submitting information to the CEC that satisfies the reporting requirements identified in these guidelines who has authority to provide this information on behalf of the reporting city, county, or city and county.
CEC	California Energy Commission
Residential Energy Storage System	Commercially available technology, located behind a customer's residential utility meter, that is capable of absorbing electricity generated from a co-located electricity generator or from the electrical grid, storing it for a period of time, and thereafter discharging it to meet the energy or power needs of the host customer or for export. <sup>3</sup>
Residential Solar Energy System	Any configuration of solar energy devices that collects and distributes solar energy for the purpose of generating electricity and that has a single residential interconnection with the electric utility transmission or distribution network. <sup>4</sup>
SB 379	Senate Bill 379 (Wiener, Chapter 356, Statutes of 2022)
SolarAPP+	The most recent version of a web-based portal, developed by the National Renewable Energy Laboratory, that automates plan review, produces code-compliant approvals, and issues permits for residential solar energy systems and residential energy storage systems paired with residential solar energy systems.

<sup>3</sup> As defined in Government Code section 65850.52(a).

<sup>4</sup> Ibid.

## **CHAPTER 2: Requirements Identified in Statute**

The text of SB 379 requires every city, county, or city and county to implement an online, automated permitting platform. The platform must verify code compliance and issue permits in real time or allow the city, county, or city and county to issue permits in real time for a residential solar energy system and a residential energy storage system paired with a residential solar energy system. SB 379 prescribes a compliance schedule for satisfying these requirements.

The statute requires the CEC to set guidelines, adopted through a specified public process, for cities, counties, and cities and counties to report to the CEC. Reporting focuses on the number of permits issued for residential solar energy systems and residential energy storage systems paired with residential solar energy systems and the relevant characteristics of those systems.

Details of these provisions are identified in this chapter.

### 1. Requirements

Under the compliance schedule in Section 3 (Compliance Schedule) below, a city, county, or city and county, in consultation with the local fire department, district, or authority, shall implement an online, automated permitting platform, such as SolarAPP+, that meets both of the following requirements:

- A. The platform verifies code compliance and issues permits in real time or allows the city, county, or city and county to issue permits in real time to a licensed contractor for a residential solar energy system that is no larger than 38.4 kilowatts alternating current nameplate rating and a residential energy storage system paired with a residential solar energy system that is no larger than 38.4 kilowatts alternating current nameplate rating.
- B. The platform issues permits or allows the city, county, or city and county to issue permits for residential solar energy systems and residential energy storage systems paired with residential solar energy systems that SolarAPP+ is capable of processing.

A city, county, or city and county are not required to permit an application for a residential solar energy system or a residential energy storage system paired with a residential solar energy system through the online automated permitting platform if the system configuration is not eligible for SolarAPP+ at the time the application is submitted to the jurisdiction.

## 2. Exemptions

A city with a population of fewer than 5,000 and a county with a population of fewer than 150,000, including each city within that county, is exempt from requirements in Section 1 (Requirements).

## 3. Compliance Schedule

A city with a population of 50,000 or fewer that is not exempt under Section 2 (Exemptions) shall satisfy the requirements of Section 1 (Requirements), by September 30, 2024.

A city, county, or city and county with a population of greater than 50,000 that are not exempt pursuant to Section 2 (Exemptions) shall satisfy the requirements of Section 1 (Requirements) by September 30, 2023.

## 4. Annual Reporting and CEC Requirements

A city, county, or city and county shall report to the CEC when it is in compliance with Section 1 (Requirements).

The CEC shall set guidelines for cities, counties, and cities and counties to report to the commission on the number of permits issued for residential solar energy systems and residential energy storage systems paired with residential solar energy systems and the relevant characteristics of those systems. A city, county, or city and county shall annually report to the CEC following these guidelines within one year of implementing the online, automated solar permitting system. Pursuant to the mandate, the annual reporting requirement shall become inoperative June 30, 2034.

The CEC shall adopt the guidelines required by this section through a public process that shall include, but shall not be limited to, the following requirements:

- A. The CEC shall make the proposed guidelines available for public comment for at least 30 days prior to adopting the guidelines.
- B. The CEC shall respond in writing to a public comment received during the required comment period.

## CHAPTER 3: Reporting to the CEC

Under SB 379 and as outlined in Chapter 2, Section 4 <u>of these guidelines</u>, nonexempt cities, counties, and cities and counties shall annually report to the CEC following compliance with SB 379 requirements (noted in Chapter 2, Section 1 <u>of these guidelines</u>) until this requirement is inoperative on June 30, 2034. Section 1 (Reporting Procedure) and Section 2 (Annual Data Reporting) below describe the process for reporting data to the CEC.

## 1. Reporting Procedure

An authorized representative of the nonexempt reporting city, county, or city and county shall provide information to the CEC that satisfies the reporting requirements identified in this chapter. The CEC may collect contact information of the reporting party and may verify with the city, county, or city and county that the reporting party is qualified to submit the requested information.

Reporting to the CEC will be conducted electronically through an online portal that an authorized party may use to register, input, and submit information. Information and access to this portal will be available on the <a href="Mailto:CEC's SB 379 web page">CEC's SB 379 web page</a> available at https://www.energy.ca.gov/programs-and-topics/programs/residential-solar-permit-reporting-sb-379. From this web page, an authorized representative of a city, county, or city and county shall complete and submit reporting requirements pursuant to SB 379.

Nonexempt cities, counties, and cities and counties shall submit annual reports to the CEC within one year of implementation and no later than June 30 every year thereafter following adoption of the required qualifying platform until this requirement becomes inoperative June 30, 2034. The report shall include data for the previous calendar year, being defined as January 1 through December 31. The first annual report may be a partial calendar year if compliance was attained partway into a calendar year. All annual reports thereafter shall include information covering a complete calendar year. Nonexempt cities, counties, and cities and counties are encouraged to submit an annual report as soon as possible following the end of a calendar year.

#### 2. Data Collection

Reporting shall be submitted through an online submission portal accessible through the CEC's <u>SB 379 web page</u> available at https://www.energy.ca.gov/programs-and-topics/programs/residential-solar-permit-reporting-sb-379.

The following information shall be included in each annual report:

- Name of reporting party (city, county, or city and county)
- Reporting year (date range if partial calendar year) Data submittal timeframe

- <u>Latest</u> estimated population <u>of reporting entity</u> (<u>https://dof.ca.gov/forecasting/demographics/</u>)
- Total number of permits issued for all residential solar energy systems
  - Number of permits issued for residential solar energy systems through an online, automated permitting platform <u>that complies</u> <u>with SB 379</u>.
- Total number of permits issued for all residential energy storage systems paired with residential solar energy systems
  - Number of permits issued for residential energy storage systems paired with residential solar energy systems through an online, automated permitting platform that complies with SB 379.
- Electric utility service provider(s) that covers the city, county, or city and county

The data submission portal will also collect the name and contact information of the authorized representative for verification or in case follow-up is needed.

## 3. Failure to Comply With Reporting Requirements

As noted in SB 379 statute, a city, county, or city and county shall self-certify compliance with this section when applying for funds from the CEC after the applicable date in the compliance schedule (Chapter 2, Section 3). This self-certification does not apply to the <a href="California">California</a> <a href="Automated Permit Processing">Automated Permit Processing</a> (CalAPP) Program, available at <a href="https://www.energy.ca.gov/programs-and-topics/programs/california-automated-permit-processing-program-calapp">https://www.energy.ca.gov/programs-and-topics/programs/california-automated-permit-processing-program-calapp</a>.

Failing to comply with the requirements of SB 379 could lead to legal risk and consequences to cities, counties, and cities and counties. Consult with an attorney for advice about any possible risks or consequences, as the CEC does not give legal advice to others.

In addition to any other legal risks and consequences, California cities and counties that fail to comply with SB 379, including the associated reporting requirements, may be ineligible for other funding opportunities offered by the CEC. Therefore, nonexempt jurisdictions are strongly encouraged to fulfill the requirements outlined in these guidelines.

## CHAPTER 4: Administration

### 1. Authority

Authority for these guidelines is placed upon the CEC by Senate Bill 379 (Wiener, Chapter 356, Statutes of 2022).

The Administrative Procedure Act generally governs the procedure for the adoption, amendment, or repeal of regulations by state agencies and for the review of those regulatory actions by the Office of Administrative Law. SB 379<sup>5</sup> exempts these guidelines from the Administrative Procedures Act.

## 2. Interpretation

Nothing in these guidelines shall be construed to abridge the powers or authority of the CEC or any CEC-designated committee as specified in Division 15 of the Public Resources Code, commencing with Section 25000, or Division 2 of Title 20 of the California Code of Regulations, commencing with Section 1001.

## 3. Verification and Noncompliance

The CEC reserves the right to request additional information, which the city, county, or city and county shall timely provide, to determine and verify compliance with SB 379.

Please note that legal consequences may exist for not complying with the requirements of SB 379. As an example, SB 379 requires jurisdictions to self-certify their compliance when applying for any specified funds from the CEC, meaning noncompliant entities may be ineligible for CEC funding. Consult with an attorney about any possible risks or consequences, as the CEC does not give legal advice to others.

### 4. Effective Date of Guidelines

These guidelines are not effective until adopted by the CEC at a publicly noticed Business Meeting. Once approved, the CEC will post the adopted Guidelines on its website at <a href="CEC's SB">CEC's SB</a>
<a href="Mailto:379">379</a> web page available at https://www.energy.ca.gov/programs-and-topics/programs/residential-solar-permit-reporting-sb-379</a>. <a href="These guidelines shall become effective following adoption at a regularly scheduled CEC business meeting.">These guidelines shall become effective following adoption at a regularly scheduled CEC business meeting.</a>

## 5. Guideline Changes

#### a. Substantive Changes

After adoption, substantive changes to the adopted guidelines may be made with the approval of the CEC at a publicly noticed meeting with no fewer than 10 days public notice. All substantive changes will be posted to the <a href="docket log for SB 379">docket log for SB 379</a> at <a href="https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=21-SOLAR-01">docket log for SB 379</a> at <a href="https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=21-SOLAR-01">https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=21-SOLAR-01</a> and emailed to the CalAPP email topic, available through <a href="https://www.energy.ca.gov/subscriptions">https://www.energy.ca.gov/subscriptions</a>, at least 10 days prior to approval by the CEC. For more detailed updates containing substantive changes, CEC staff may opt to provide a longer notice period and host one or more staff workshops to discuss the proposed changes.

Unless stated otherwise in the resolution approving substantive changes, substantive changes shall take effect upon adoption by the CEC.

#### **b.** Nonsubstantive Changes

If the guidelines require non-substantive changes, such as reorganization of text, the correction of grammatical issues, or changes that do not materially affect the obligations of non-exempt jurisdictions, the CEC will provide a notice of the changes to the associated listservs and the CEC website and post the amended guidelines on the webpage and docket.

# **APPENDIX A:** Reference Documents

Senate Bill SB 379 (Wiener, SB 379, Ch. 356)

https://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill\_id=202120220SB379