

## DOCKETED

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| <b>Docket Number:</b>   | 13-ATTCP-01  |
| <b>Project Title:</b>   | Acceptance and Training Certification  |
| <b>TN #:</b>            | 214346-6   |
| <b>Document Title:</b>  | Sixth Amendment - Application for Approval of NLCAA as Lighting Controls Acceptance Test Technician Provider |
| <b>Description:</b>     | N/A  |
| <b>Filer:</b>           | Patty Paul   |
| <b>Organization:</b>    | NLCAA  |
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## **SIXTH AMENDMENT**

**NOTE:** ... to the *APPLICATION FOR APPROVAL OF NLCAA, (THE NATIONAL LIGHTING CONTRACTORS ASSOCIATION OF AMERICA), AS A LIGHTING CONTROLS ACCEPTANCE TEST TECHNICIAN PROVIDER. (Rev 04)*

... Consists of correcting the following APPLICATION Section:

Page 26, paragraph 4: Substitutes the term "Certification" with "Compliance"

§ 10-103-A (c) F. **Quality Assurance and Accountability**

Continued ...

“... accountability measures, such as ... expert review of the training curricula developed for Building Energy Efficiency Standards, Section 130.4.”

Expert review of training curricula developed (currently) by Robert A. Shearer, BSEE, for Building Energy Efficiency Standards, Section 130.4 and satisfying 10-103-A (c) B. (i), as appropriate, will be provided by directors and associates of the NLCAA. Current expert review is provided by Mr. Gary Flamm, and Mr. Rick Miller, P.E., as well as Mr. Bernardo Torres, (representing the Electrical Contractor community).

“... accountability measures, such as ... certification process evaluations ...”

~~Certification~~ Compliance process evaluation by NLCAA shall take the form of the “Form Review<sup>1</sup>”: “Audit Procedure Form Review”, “Complaint Procedure Form Review<sup>1</sup>”, “Disciplinary Form Review<sup>1</sup>”, or “Random Form Review<sup>1</sup>”, as defined for this document, (see Appendix 2, Definitions).

“Form Review(s)<sup>1</sup>” may be dictated by the Audit Procedure, Complaint Procedure, or “Randomly<sup>1</sup>”. Said Form Reviews(s) will be completed by: a computer implemented “expert system”, or by a human agent.

“Disciplinary Form Reviews<sup>1</sup>” will be completed by a human agent.

“Random Form Reviews<sup>1</sup>” will be completed by a human agent or by a computer implemented “expert system”.

The “Form Review<sup>1</sup>” process allows NLCAA directors or their agents to review Acceptance testing forms for signs of “irregularities” or “inconsistencies” as determined by NLCAA directors or their agents.

Under Audit Procedure rules, “Form Review(s)<sup>1</sup>” allow comparisons between Audit Forms submitted for a job and Acceptance testing forms submitted for the same job.

Under Complaint Procedure rules, “Form Review(s)<sup>1</sup>” allow the same comparisons as under the Audit Procedure, but additional past Audit Forms may be pulled for comparison, with applicable charges. No more than 6 “Form Review(s)<sup>1</sup>” may be invoked during a single complaint proceeding.

Under Complaint Procedure rules “Disciplinary Form Review(s)<sup>1</sup>” may be called for in any quantity up to 10 as specified by NLCAA directors. This allows the inspection of any or all Acceptance Testing Forms and/or Audit Forms previously submitted by the NLCAA Certified AT Technician(s) and/or ATT Employer(s) under scrutiny to be examined, with applicable charges.

§ 10-103-A (c) F. **Quality Assurance and Accountability**

Continued ...

“... accountability measures, such as ... expert review of the training curricula developed for Building Energy Efficiency Standards, Section 130.4.”

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“... accountability measures, such as ... certification process evaluations ...”

Compliance process evaluation by NLCAA shall take the form of the “Form Review<sup>1</sup>”: “Audit Procedure Form Review”, “Complaint Procedure Form Review<sup>1</sup>”, “Disciplinary Form Review<sup>1</sup>”, or “Random Form Review<sup>1</sup>”, as defined for this document, (see Appendix 2, Definitions).

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