

**DOCKETED**

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**CALIFORNIA ENERGY COMMISSION**

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*IN THE MATTER OF:*

*California Schools Healthy Air, Plumbing,  
and Efficiency Program*

Docket No. 20-RENEW-01

NOTICE OF FUNDING AVAILABILITY

RE: California Schools Healthy Air,  
Plumbing, and Efficiency Program

## **Notice of Funding Availability**

### **Funding Round Three**

### **California Schools Healthy Air, Plumbing, and Efficiency (CalSHAPE)**

### **Plumbing Program**

The California Energy Commission (CEC) will begin accepting applications for Funding Round Three of the CalSHAPE Plumbing Program starting at:

- 10:00 a.m. on June 21, 2022, for a priority application period with limited site eligibility, as described under Funding Restrictions below.
- 10:00 a.m. on August 2, 2022, for all sites in a funding category.

The application deadline for CalSHAPE Plumbing Program Funding Round Three is 5:00 p.m. on October 31, 2022.

This Notice of Funding Availability provides Funding Round Three information including relevant application dates, funding restrictions, total available funds, and a breakdown of funds by funding category and application tier.

#### **Background**

The CalSHAPE Plumbing Program is one of two grant programs under the CalSHAPE Program, established by Assembly Bill (AB) 841 (Ting, Chapter 372, Statutes of 2020). The CalSHAPE Plumbing Program provides grants to local educational agencies (LEAs) and state agencies to replace aging and water inefficient plumbing fixtures and appliances with water-conserving plumbing fixtures and appliances.

As program administrator, the CEC has prepared the [CalSHAPE Plumbing Program Guidelines, Second Edition](https://www.energy.ca.gov/publications/2022/california-schools-healthy-air-plumbing-and-efficiency-plumbing-program), <https://www.energy.ca.gov/publications/2022/california-schools-healthy-air-plumbing-and-efficiency-plumbing-program>, which provide program structure information,

application eligibility, and program requirements for grant applicants. Grant applicants are required to follow all program requirements including those outlined in Public Utilities Code (PUC) Division 1, Part 1, Chapter 8.7.

### **Funding Restrictions**

LEAs and sites must meet the eligibility requirements as specified in Chapter 1, Section D of the guidelines in order to apply for or be included in a grant.

Funding Round Three will begin at 10:00 a.m. on June 21, 2022, with a priority eligibility application period. Sites must be located in an “Underserved Community,” as defined in Chapter 1, Section E of the guidelines, or near a Title V facility or busy roadway, as described in PUC Section 1612, to be included in an application during the priority application period.

Beginning at 10:00 a.m. on August 2, 2022, all sites in a funding category will be eligible to be included in an application.

A publicly accessible Webmap tool tailored to CalSHAPE is available to assist LEAs in identifying the schools that meet the eligibility and priority requirements described above. The CalSHAPE Webmap tool is available to LEAs solely as an informational resource because confirmation of eligibility for the CalSHAPE Plumbing Program is ultimately determined by the CEC. The CalSHAPE Webmap Tool is available under the expandable menu labeled *Webmap* on the [CalSHAPE program page](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program), <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

### **Availability of Funds**

The total funding available for Funding Round Three of the CalSHAPE Plumbing Program is \$65,079,000. If additional funds become available, subsequent Notices of Funding Availability will be posted and include available funding amounts.

The available funds for each funding category and application tier are provided in Table 1 below. The application tiers are comprised of a funding category as determined by the utility service territory location of the eligible site, and an application tier (1, 2, or 3), which is based on LEA student enrollment. Chapter 1, Section C of the guidelines provides the funding categories and information about the distribution of the funding for each category. Chapter 1, Section D of the guidelines provides detailed information on the application tiers.

**Table 1: CalSHAPE Plumbing Program Funds for Funding Round Three Funding Categories and Application Tiers (M = million, K = thousand)**

	<b>PG&amp;E Electric</b>	<b>PG&amp;E Gas</b>	<b>SCE</b>	<b>SDG&amp;E</b>	<b>SCG</b>
<b>Tier 1</b>	PG&E-E1: \$2 M	PG&E-G1: \$1 M	SCE1: \$3 M	SDG&E1: \$2 M	SCG1: \$8 K
<b>Tier 2</b>	PG&E-E2: \$2 M	PG&E-G2: \$1 M	SCE2: \$3 M	SDG&E2: \$2 M	SCG2: \$8 K
<b>Tier 3</b>	PG&E-E3: \$16 M	PG&E-G3: \$3 M	SCE3: \$21 M	SDG&E3: \$9 M	SCG3: \$63 K
<b>Total</b>	<b><u>\$20 M</u></b>	<b><u>\$5 M</u></b>	<b><u>\$27 M</u></b>	<b><u>\$13 M</u></b>	<b><u>\$79K</u></b>

Source: California Energy Commission

### Application Process

CalSHAPE Plumbing Program School Plumbing Fixture and Appliance Replacement Grant applications must be submitted electronically using the CalSHAPE Online System (system). The [system](https://calshape.energy.ca.gov) is available at <https://calshape.energy.ca.gov>. An LEA must have an account established in the system before they can apply. The CEC **will not** accept applications via email or fax.

As described in Chapter 1, Section D of the guidelines, an LEA may submit up to three applications and each site can be included in only one application in each funding round. If a site was included in a prior funding round, the site may be added to an application in Funding Round Three if the application is for the replacement of different noncompliant plumbing fixtures or appliances at the site.

The information requested in the application is described in Chapter 3 and Appendix A of the guidelines, and includes:

- Applicant information
- Site-specific information, including:
  - Project estimated start and end date
  - Information to identify and confirm existence of noncompliant plumbing fixtures and appliances, as described in Chapter 2, Section C of the guidelines
  - Cost of replacement, based on the details provided in the contractor estimate
- Attestation to self-certifications, which are provided in Chapter 3, Section B of the guidelines

The supporting documentation required by the LEA must be uploaded into the system with the application, and includes:

- Proof of ownership or complying lease for sites included in the application
- Certificate of good standing for charter schools
- Letter of authorization for third-party applicants

- Site-specific contractor estimate
- Documentation identifying noncompliant plumbing fixtures and appliances

Written instructions and a training video to assist with completing and submitting the application in the CalSHAPE Online System are available on the [CalSHAPE Program webpage](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program) at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

### **Application Deadline**

The application deadline for CalSHAPE Plumbing Program Funding Round Three is 5:00 p.m. on October 31, 2022. All applications submitted by the application deadline will be reviewed in the order received.

CEC staff will notify an LEA if there are any errors or inconsistencies in the application and the LEA will have 15 business days, excluding state and federal holidays, from date of notification to resolve the errors or inconsistencies and resubmit the application. The application will be rejected if it is not resubmitted with corrections within the 15 business days. If the application is rejected, the CEC will notify the LEA of this determination and the LEA may reapply as described in Chapter 3 of the guidelines.

The CEC will send a Notice of Proposed Award (NOPA) to those LEAs with approved applications instructing the LEA of next steps necessary to complete the grant agreement. The grant award funds will be reserved for the LEA after the required documents are received and approved by the CEC and the grant agreement is fully executed.

**Grant funds will only be reserved for an LEA if funds are available in the applicable funding category and application tier when the required documents are received, approved, and the grant agreement is executed by the CEC.** If the amount of funds in the LEA's funding category or application tier is insufficient to fulfill the total grant award, the LEA will be placed on a priority list for funding in order of the date and time the submitted executed grant agreement and required documents are approved by the CEC. The CEC will execute the grant agreement and the grant award funds will be reserved for the LEA when sufficient funding is available again in the funding category or application tier.

### **Public Advisor and Other CEC Contacts**

The CEC's Public Advisor assists the public with participating in CEC proceedings. To request interpreting services or other reasonable accommodations, and modifications, reach out via email [publicadvisor@energy.ca.gov](mailto:publicadvisor@energy.ca.gov) or by phone at (916) 957-7910. Requests should be made for interpreting services, reasonable accommodations, and modifications as soon as possible but at least five days in advance. However, the CEC will work diligently to meet all requests based on the availability of service or resource needed.

**Direct media inquiries** to [mediaoffice@energy.ca.gov](mailto:mediaoffice@energy.ca.gov) or (916) 654-4989.

**Direct general inquiries** regarding the CalSHAPE Program to [CalSHAPE@energy.ca.gov](mailto:CalSHAPE@energy.ca.gov).

**Availability of Documents**

The [CalSHAPE Plumbing Program Guidelines, Second Edition](#) are available at <https://www.energy.ca.gov/publications/2022/california-schools-healthy-air-plumbing-and-efficiency-plumbing-program>.

Documents and public comments submitted for the CalSHAPE Programs are available on the [CalSHAPE Program page](#), at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>, or at [20-RENEW-01 docket](#), <https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=20-RENEW-01>.

When new information is posted, an email will be sent to those subscribed to the CalSHAPE subscription topic. To receive these notices, or sign up for other email subscription topics, visit [Subscriptions](#), at <https://www.energy.ca.gov/subscriptions>.

Dated: June 14, 2022, at Sacramento, California

*Deana Carrillo*

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Deputy Director

Subscription List:  
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