

DOCKETED

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CALIFORNIA ENERGY COMMISSION

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**IN THE MATTER OF:**

**California Schools Healthy Air, Plumbing,
and Efficiency Program**

Docket No. 20-RENEW-01

NOTICE OF FUNDING AVAILABILITY

RE: California Schools Healthy Air,
Plumbing, and Efficiency Program

Notice of Funding Availability Funding Round One California Schools Healthy Air, Plumbing, and Efficiency Ventilation Program

The California Energy Commission (CEC) is accepting applications for Funding Round One of the California Schools Healthy Air, Plumbing, and Efficiency (CalSHAPE) Ventilation Program starting at 10:00 AM PDT on September 28, 2021. The application period deadline is 5:00 PM PST on January 31, 2022.

This Notice of Funding Availability provides information applicable to Funding Round One including relevant application dates, funding restrictions, total available funds, and a breakdown of funds by funding category and application tier.

Background

The CalSHAPE Ventilation Program is one of two grant programs under the CalSHAPE Program, established by Assembly Bill (AB) 841 (Ting, Chapter 372, Statutes of 2020). The CalSHAPE Ventilation Program provides grants to local educational agencies (LEAs) as defined in Section 1601 of the Public Utilities Code to assess, maintain, and repair ventilation systems in schools.

As program administrator, the CEC has prepared the [CalSHAPE Ventilation Program Revised Commission Guidelines](#) (guidelines), which provide program structure information, application eligibility, and program requirements. Grant applicants are also required to follow all program requirements including those outlined in Public Utilities Code (PUC) Division 1, Part 1, Chapter 8.7. The guidelines are available at the link provided in the Availability of Documents section below.

Funding Restrictions

To apply for a grant, an LEA must meet the eligibility requirements as specified in Chapter 1, Section D of the guidelines. In Funding Round One, grants are awarded only for projects at sites located in an "Underserved Community" as defined in Chapter 1.E of the guidelines. A CalSHAPE

Public Geographic Information System (GIS) Webmap Tool is available to assist LEAs identify the schools that meet the underserved community requirements and qualify for funding in Funding Round One. The CalSHAPE GIS Webmap tool is provided as an information resource only and eligibility for the CalSHAPE Ventilation Program is determined by the CEC using the eligibility requirements as specified in the guidelines' sections referenced above. The CalSHAPE Public GIS Webmap Tool is available on the [CalSHAPE Program Webpage](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program), under the expandable menu labeled Webmap, at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

Availability of Funds

The total funding available for Funding Round One of the CalSHAPE Ventilation Program is \$165,006,096. The total funding allocated to the CalSHAPE Ventilation Program is 75 percent of the Proposed 2021 Stimulus Program Funding amounts provided in Table 1 of the utilities' February 1, 2021, joint advice letter to the CPUC. If additional funds become available, subsequent Notices of Funding Availability will be posted to provide updated available funding amounts.

The available funds for each funding category and application tier are provided in Table 1 below. The application tiers are comprised of a funding category as determined by the utility service territory of the eligible site, and a tier (1, 2, or 3), which is based on the total number of students enrolled in the LEA. Chapter 1, Section C of the guidelines contains the funding categories and information about the distribution of the funding for each category. Chapter 1, Section D of the guidelines provides detailed information about the application tiers.

Table 1: CalSHAPE Ventilation Program Funds for Funding Round One

	Funding Category				
	PG&E Electric	PG&E Gas	SCE	SDG&E	SCG
Tier 1	PG&E-E1: \$5,756,029.67	PG&E-G1: \$1,178,945.84	SCE1: \$7,467,396.98	SDG&E1: \$2,098,237.13	SCG1: \$0
Tier 2	PG&E-E2: \$5,756,029.67	PG&E-G2: \$1,178,945.84	SCE2: \$7,467,396.98	SDG&E2: \$2,098,237.13	SCG2: \$0
Tier 3	PG&E-E3: \$46,048,237.32	PG&E-G3: \$9,431,566.68	SCE3: \$59,739,175.80	SDG&E3: \$16,785,897.00	SCG3: \$0
Total	<u>\$57,560,296.65</u>	<u>\$11,789,458.35</u>	<u>\$74,673,969.75</u>	<u>\$20,982,371.25</u>	<u>\$0</u>

Source: California Energy Commission

Application Process

Assessment and Maintenance Grant applications must be submitted electronically using the [CalSHAPE Online System](https://calshape.energy.ca.gov) available at <https://calshape.energy.ca.gov>. An LEA must have an account established in the system before submitting an application. The CEC **will not** accept applications via email or fax.

The information requested in the application is described in Chapter 3, Section B and Appendix A of the guidelines, and includes:

- Applicant information.
- Site-specific information, including:
 - Project estimated start and end date.
 - Information to identify the grant pathway of the project, as described in Chapter 2, Section A of the guidelines.
 - Project costs based on the details provided in the contractor estimate, which may include the cost of the assessment and maintenance, assessment report, and filter and carbon dioxide monitor installation.
- Attestation to self-certifications, which are provided in Chapter 3, Section B of the guidelines.

The supporting documentation required by the LEA that must be uploaded into the system with the application includes:

- Proof of ownership or complying lease for sites included in the application.
- Certificate of Good Standing for Charter Schools.
- Letter of authorization for third-party applicants.
- Site-specific contractor estimate.
- Documentation confirming site meets qualification criteria for the Scheduled for Replacement or Limited or No Mechanical Ventilation grant pathways.

Written instructions and a training video to assist with completing and submitting the application in the CalSHAPE Online System are available on the [CalSHAPE Program webpage](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program) at <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

Application Deadline

The application deadline for CalSHAPE Ventilation Program Funding Round One is 5:00 PM PST on January 31, 2022. All applications submitted by the deadline will be reviewed in the order received.

CEC staff will notify an LEA if there are any errors or inconsistencies in the application and the LEA will have 10 calendar days from notification to resolve the errors or inconsistencies and resubmit the application. The application will be rejected if it is not resubmitted with corrections within the 10 calendar days. If the application is rejected, the CEC will notify the LEA of this determination and the LEA may reapply as described in Chapter 3 of the guidelines.

The CEC will send a Notice of Proposed Award (NOPA) to those LEAs with approved applications instructing the LEA of next steps necessary to complete the grant agreement. The grant award

funds will be reserved for the LEA after the required documents are received and approved by the CEC and the grant agreement is fully executed.

Grant funds will only be reserved for an LEA if funds are available in the applicable funding category and application tier when the required application documents are received and approved by the CEC. If an insufficient amount of funds is available in the LEA's funding category or application tier to fulfill the total grant award, the LEA will be placed on a priority list for funding in order of the date and time the submitted application documents are approved by the CEC. The CEC will execute the grant agreement and reserve the grant award funds for the LEA when sufficient funding is available again in the relevant funding category or application tier.

Public Advisor and Other CEC Contacts

The CEC's Public Advisor's Office provides the public with assistance in participating in CEC proceedings. For information on participation or to request interpreting services or reasonable accommodations reach out via email at publicadvisor@energy.ca.gov, by phone at (916) 654-4489, or toll free at (800) 822-6228.

Direct media inquiries to mediaoffice@energy.ca.gov or (916) 654-4989.

Direct general inquiries regarding the CalSHAPE Program to CalSHAPE@energy.ca.gov.

Availability of Documents

The [CalSHAPE Ventilation Program Revised Commission Guidelines](https://www.energy.ca.gov/publications/2021/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program) are available at <https://www.energy.ca.gov/publications/2021/california-schools-healthy-air-plumbing-and-efficiency-ventilation-program>.

Notices and documents for this proceeding are available in the [20-RENEW-01 docket](https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=20-RENEW-01), <https://efiling.energy.ca.gov/Lists/DocketLog.aspx?docketnumber=20-RENEW-01>.

When new information is posted, an email will be sent to those on the calshape list server. To receive these notices, subscribe at the [CalSHAPE Program page](https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program), <https://www.energy.ca.gov/programs-and-topics/programs/california-schools-healthy-air-plumbing-and-efficiency-program>.

Manage existing list servers or sign up for others at [CEC List Servers](https://ww2.energy.ca.gov/listservers/index cms.html), <https://ww2.energy.ca.gov/listservers/index cms.html>.

Dated: September 13, 2021, at Sacramento, California

Approved via Email
Armand Angulo
Assistant Deputy Director

List Servers: calshape