

**DOCKETED**

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Space Conditioning Systems Ducts and Fans		(Page 1 of 9)
Project Name:	Enforcement Agency:	Permit Number:
Dwelling Address:	City:	Zip Code:

A. General Information			
01	Dwelling Unit Name		02 Climate Zone
03	Dwelling Unit Total Conditioned Floor Area (ft <sup>2</sup> )		04 Number of Space Conditioning Systems in this dwelling unit
05	Certificate of Compliance Type		06 Method used to calculate HVAC loads
07	Calculated Dwelling Unit Sensible Cooling Load (Btu/h)		08 Calculated Dwelling Unit Heating Load (Btu/h)
09	Dwelling Unit Number of Bedrooms		

**MCH-01d - Space Conditioning Systems Ducts and Fans - For use with Performance E+A+A Certificate of Compliance**

B. Design Space Conditioning (SC) System Component Specifications from CF1R											
This table reports the space conditioning system features that were specified on the registered CF1R-PRF compliance document for this project.											
01	02	03	04	05	06	07	08	09	10	11	12
SC System ID/Name from CF1R	SC System Type	Heating System Type	Cooling System Type	Central Fan Ventilation Cooling System Type	Distribution System Type	Required Thermostat Type	Cooling Zoning Type	Cooling System Compressor Speed Type	Low Leakage Air-Handling Unit Status	SC System Status	Duct System Status
Notes:											

STATE OF CALIFORNIA  
**SPACE CONDITIONING SYSTEMS DUCTS AND FANS**

CEC-CF2R-MCH-01-H (Revised 01/19)

CALIFORNIA ENERGY COMMISSION



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**C. Design Space Conditioning (SC) System Compliance Requirements from CF1R**  
 This table reports the space conditioning system features that were specified on the registered CF1R-PRF compliance document for this project.

01	02	03	04	05	06	07	08	09	10	11	12
SC System ID/Name from CF1R	Heating Efficiency Type	Minimum Heating Efficiency Value (%)	Heat Pump Heating Capacity @ 47°F	Heat Pump Heating Capacity @ 17°F	Minimum Cooling Efficiency SEER	Minimum Cooling Efficiency EER	Minimum Cooling System Airflow Rate (CFM/ton)	Maximum SC System Fan Efficacy (W/CFM)	Modeled R-Value for Ducts	Central Fan Ventilation Cooling Airflow	Central Fan Ventilation Cooling Fan Efficacy
Notes:											

**D. Installed New, Altered, and Existing Space Conditioning (SC) System Component Information**

01	02	03	04	05	06	07	08	09	10	11	12	13
SC System ID/Name from CF1R	SC System Description of Area Served	Conditioned Floor Area Served by the System (ft²)	Heating System Type	Cooling System Type	Number of Indoor Units Connected to the System's Outdoor Unit	Distribution System Type	SC System Thermostat Type	Cooling Zoning Type	Cooling System Compressor Speed Type	Number of Ducted Indoor Units	SC System Status	Duct System Status
Notes:												

**E. Space Conditioning (SC) System Alteration Type Determination**

01	02	03	04	05	06	07	08	9	10	11
SC System ID/Name from CF1R	SC System Description of Area Served	Is the SC system a ducted system?	Installing refrigerant containing component?	Installing new SC System component?	Installing more than 40 feet of ducts?	Installing entirely new duct system?	Installing entirely new SC system?	Alteration Type	Altered Heating Components	Altered Cooling Components
Notes:										

Registration Number:

Registration Date/Time:

HERS Provider:



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Project Name:	Enforcement Agency:	Permit Number:
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**F. Installed Heating System Equipment Information (not heat pumps)**

01	02	03	04	05	06	07	08	09	10	11
SC System ID/Name from CF1R	SC System Description of Area Served	Indoor Unit Name or Description of Area Served	Does Indoor Unit Provide CFI IAQ Ventilation?	Indoor Unit Duct Status	Heating Efficiency Type	Heating Efficiency Value (%)	Heating Unit Manufacturer	Heating Unit Model Number	Heating Unit Serial Number	Rated Heating Capacity, Output (Btu/h)

Notes:

**G. Installed Cooling System Outdoor Condensing Unit or Package Unit Equipment Information (not heat pumps)**

01	02	03	04	05	06	07	08	09	10
SC System ID/Name from CF1R	SC System Description of Area Served	Cooling Efficiency SEER	Cooling Efficiency EER	Condenser or Package Unit Manufacturer	Condenser or Package Unit Model Number	Condenser or Package Unit Serial Number	System Cooling Capacity at Design Conditions (Btu/h)	Condenser Nominal Cooling Capacity (ton)	Condenser Rated Cooling Capacity (Btu/h)

Notes:

**H. Installed Split System Indoor Unit Coil or Fan Coil Equipment Information - applicable to DX or hydronic, heating or cooling, coils and fan coil units.**  
 Systems with more than one indoor coil or fan coil unit (e.g. multi-split systems) shall provide information for each of the system indoor unit coils or fan coil units.

01	02	03	04	05	06	07	08	09	10
SC System ID/Name from CF1R	SC System Description of Area Served	Indoor Unit Name or Description of Area Served	Indoor Unit Type	Indoor Unit Duct Status	Does Indoor Unit Provide CFI IAQ Ventilation?	Indoor Unit Manufacturer	Indoor Unit Model Number	Indoor Unit Serial Number	Indoor Unit Nominal Cooling Capacity (ton)

Notes:



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Project Name:	Enforcement Agency:	Permit Number:
Dwelling Address:	City:	Zip Code:

I. Installed Heat Pump System – Split System Condensing Unit or Package Unit Equipment Information				
01	02	03	04	05
SC System ID/Name from CF1R	SC System Description of Area Served	Condenser or Package Unit Manufacturer	Condenser or Package Unit Model Number	Condenser or Package Unit Serial Number

Notes:

J. Installed Heat Pump System – Efficiency and Performance Compliance Information									
01	02	03	04	05	06	07	08	09	10
SC System ID/Name from CF1R	SC System Description of Area Served	Heating Efficiency Type	Heating Efficiency Value	System Rated Heating Capacity at 47°F	System Rated Heating Capacity at 17°F	System Rated Cooling Efficiency SEER	System Rated Cooling Efficiency EER	System Cooling Capacity at Design Conditions (Btu/h)	Condenser Nominal Cooling Capacity (ton)

Notes:

K. Extension of Existing Duct System, Greater Than 40 Feet			
01	02	03	03
SC System ID/Name from CF1R	SC System Description of Area Served	Indoor Unit Name or Description of Area Served	New Duct R-Value

Notes:



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Project Name:	Enforcement Agency:	Permit Number:
Dwelling Address:	City:	Zip Code:

**L. Installed New or Replacement Duct System Information**

01	02	03	04	05	06	07	08	09	10	11	12	13
SC System ID/Name from CF1R	SC System Description of Area Served	Indoor Unit Name or Description of Area Served	Indoor Unit Total Duct Length	Required New Duct R-Value	Supply Duct Location	New or Replaced Supply Duct R-Value	Return Duct Location	New or Replaced Return Duct R-Value	Exemption from Min R-Value	Method of compliance with Airflow and Fan Efficacy Req's in 150.0(m)13	Number of Air Filter Devices on Indoor Unit	Can RA3.3 Airflow Protocols be used to test this System?

Notes:

**M. Installed Air Filter Device Information**

Mandatory requirements for air filter devices are specified Section 150.0(m)12. The installer shall place a sticker in or near the filter grille displaying the filter grille/rack design airflow rate and the maximum allowed clean filter pressure drop at the design airflow rate. This will inform the occupant of the airflow vs pressure drop performance required for replacement air filters.

01	02	03	04	05	06	07	08	09	10	11	12	13
SC System ID/Name from CF1R	SC System Description of Area Served	Indoor Unit Name or Description of Area Served	Air Filter Name or Description of Location	Air Filter Device Type	Design Airflow Rate for Air Filter Device (cfm)	Air Filter Nominal Depth (inch)	Air Filter Nominal Length (inch)	Air Filter Nominal Width (inch)	Air Filter Calculated Nominal Face Area (inch <sup>2</sup> )	Air Filter Required Minimum Face Area (inch <sup>2</sup> )	Face Area Compliance	Design Allowable Pressure Drop for Air Filter Device (inch W.C.)

Notes:



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N. Air Filter Device Requirements	
01	The system shall be designed to ensure that all recirculated air and all outdoor air supplied to the occupiable space is filtered before passing through the system's thermal conditioning components.
02	The system shall be designed to accommodate the clean-filter pressure drop imposed by the system air filter device(s). The design airflow rate and maximum allowable clean-filter pressure drop at the design airflow rate applicable to each air filter shall be determined by the system designer. The system installer shall affix a sticker/label to each system air filter grille/rack location that discloses the filter's design airflow rate and the filter's maximum allowable clean-filter pressure drop at the design airflow rate. The sticker/label shall be permanently affixed to the air filter grille/rack, readily legible, and visible to a person replacing the air filter.
03	All system air filter devices shall be located and installed in such a manner as to allow access and regular service by the system owner.
04	The system shall be provided with air filter media having a designated efficiency equal to or greater than MERV 13 when tested in accordance with ASHRAE Standard 52.2, or a particle size efficiency rating equal to or greater than 50 percent in the 0.30-1.0 $\mu\text{m}$ range and equal to or greater than 85 percent in the 1.0-3.0 $\mu\text{m}$ range when tested in accordance with AHRI Standard 680.
05	The system shall be provided with air filters that have been labeled by the manufacturer to disclose efficiency and pressure drop ratings that conform to the efficiency and pressure drop requirements for the air filter grilles/racks.
<b>The responsible person's signature on this compliance document affirms that all applicable requirements in this table have been met.</b>	

O. HERS Verification Requirements for Duct Systems										
01	02	03	04	05	06	07	08	09	10	11
SC System ID/Name from CF1R	SC System Description of Area Served	Indoor Unit Name or Description of Area Served	Exemption from Duct Leakage Requirements	MCH-20 Duct Leakage Test	MCH-21 Duct Location Verification	MCH-22 AHU Fan Efficacy (W/cfm)	MCH-23 AHU Airflow Rate (cfm/ton)	MCH-28 Return Duct Design - Table 150.0-B or C	MCH-29 Supply Duct Surface Area R-Value Buried Ducts	MCH30 Central Fan Ventilation Cooling Credit
Notes:										



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Project Name:	Enforcement Agency:	Permit Number:
Dwelling Address:	City:	Zip Code:

**P. HERS Verification Requirements for Space Conditioning Equipment**

01	02	03	04
SC System ID/Name from CF1R	SC System Description of Area Served	MCH-25 Refrigerant Charge	MCH-26 Rated SC System Equipment Verification
Notes:			

For information and data collection only. Not valid until registered with a HERS provider





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### Q. Space Conditioning Systems, Ducts and Fans – Mandatory Requirements and Additional Measures

Note: Additional mandatory requirements from Section 150.0 that are not listed here may be applicable to some systems. These requirements may be applicable to only newly installed equipment or portions of the system that are altered. Existing equipment may be exempt from these requirements.

#### Heating Equipment

- |    |   |
|----|---|
| 01 | Equipment Efficiency: All heating equipment must meet the minimum efficiency requirements of Section 110.1 and Section 110.2(a) and the Appliance Efficiency Regulations.   |
| 02 | Controls: All unitary heating systems, including heat pumps, must be controlled by a setback thermostat. These thermostats must be capable of allowing the occupant to program the temperature set points for at least four different periods in 24 hours. See Sections 150.0(i), 110.2(b). |
| 03 | Sizing: Heating load calculations must be done on portions of the building served by new heating systems to prevent inadvertent undersizing or oversizing. See sections 150.0(h)1 and 2).   |
| 04 | Furnace Temperature Rise: Central forced-air heating furnace installations must be configured to operate at or below the furnace manufacturer's maximum inlet-to-outlet temperature rise specification. See Section 150.0(h)4.  |
| 05 | Standby Losses and Pilot Lights: Fan-type central furnaces may not have a continuously burning pilot light. Section 110.5 and Section 110.2(d).   |

#### Cooling Equipment

- |    |   |
|----|---|
| 06 | Equipment Efficiency: All cooling equipment must meet the minimum efficiency requirements of Section 110.1 and Section 110.2(a) and the Appliance Efficiency Regulations.   |
| 07 | Refrigerant Line Insulation: All refrigerant line insulation in split system air conditioners and heat pumps must meet the R-value and protection requirements of Section 150.0(j)2 and 3, and Section 150.0(m)9. |
| 08 | Condensing Unit Location: Condensing units shall not be placed within five (5) feet of a dryer vent outlet. See Section 150.0(h)3A.   |
| 09 | Sizing: Cooling load calculations must be done on portions of the building served by new cooling systems to prevent inadvertent undersizing or oversizing. See Section 150.0(h)1 and 2.                           |

#### Air Distribution System Ducts, Plenums and Fans

- |    |   |
|----|---|
| 10 | Insulation: In all cases, unless ducts are enclosed entirely in directly conditioned space, the minimum duct insulation value is R-6. Note that higher values may be required by the prescriptive or performance requirements. See Section 150.0(m)1.   |
| 11 | Connections and Closures: All installed air-distribution system ducts and plenums must be, sealed and insulated to meet the requirements of CMC Sections 601.0, 602.0, 603.0, 604.0, 605.0 and ANSI/SMACNA-006-2006: Supply-air and return-air ducts and plenums must be insulated to a minimum installed level of R-6.0 or enclosed entirely in directly conditioned space as confirmed through field verification and diagnostic testing in accordance with the requirements of Reference Residential Appendix RA3.1.4.3.8. |

#### Heat Pump Thermostat

- |    |   |
|----|---|
| 12 | A thermostat shall be installed that meets the requirements of Section 110.2(b) and Section 110.2(c).         |
| 13 | The thermostat shall be installed in accordance with the manufacturers published installation specifications. |
| 14 | First stage of heating shall be assigned to heat pump heating.  |
| 15 | Second stage back up heating shall be set to come on only when the indoor set temperature cannot be met.      |

**The responsible person's signature on this compliance document affirms that all applicable requirements in this table have been met.**



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Project Name:	Enforcement Agency:	Permit Number:
Dwelling Address:	City:	Zip Code:

<b>DOCUMENTATION AUTHOR'S DECLARATION STATEMENT</b>		
1. I certify that this Certificate of Installation documentation is accurate and complete.		
Documentation Author Name:	Documentation Author Signature:	
Documentation Author Company Name:	Date Signed:	
Address:	CEA/HERS Certification Identification (if applicable):	
City/State/Zip:	Phone:	
<b>RESPONSIBLE PERSON'S DECLARATION STATEMENT</b>		
I certify the following under penalty of perjury, under the laws of the State of California:		
<ol style="list-style-type: none"> <li>The information provided on this Certificate of Installation is true and correct.</li> <li>I am either: a) a responsible person eligible under Division 3 of the Business and Professions Code in the applicable classification to accept responsibility for the system design, construction, or installation of features, materials, components, or manufactured devices for the scope of work identified on this Certificate of Installation, and attest to the declarations in this statement, or b) I am an authorized representative of the responsible person and attest to the declarations in this statement on the responsible person's behalf.</li> <li>The constructed or installed features, materials, components or manufactured devices (the installation) identified on this Certificate of Installation conforms to all applicable codes and regulations and the installation conforms to the requirements given on the Certificate of Compliance, plans, and specifications approved by the enforcement agency.</li> <li>I will ensure that a registered copy of this Certificate of Installation shall be posted or made available with the building permit(s) issued for the building, and made available to the enforcement agency for all applicable inspections. I understand that a registered copy of this Certificate of Installation is required to be included with the documentation the builder provides to the building owner at occupancy.</li> </ol>		
Responsible Builder/Installer Name:	Responsible Builder/Installer Signature:	
Company Name: (Installing Subcontractor or General Contractor or Builder/Owner)	Position With Company (Title):	
Address:	CSLB License:	
City/State/Zip:	Phone:	Date Signed:

## CF2R-MCH-01d-E User Instructions

### Section A. General Information

- 1 This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.
- 2 This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.
- 3 This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. When the project scope includes an addition to an existing building, the value is equal to the sum of the existing conditioned floor area plus the conditioned floor area of the addition. The default value from the CF1R-PRF may be overwritten in this document. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
- 4 This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
- 5 This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.
- 6 Oversized equipment can result in reduced efficiency and capacity. Entirely new systems (see definition in Section 9.6.9 of the RCM) must be properly sized to match the heating and cooling load of the space that it serves. To do this, heating and cooling load calculations must be performed using an approved calculation methodology. These are listed here. Select the load calculation methodology used for this dwelling unit. If the project consists of a partial replacement of equipment or ducts (change-out) then load calculations are not required. Select N/A. Load calculations are always recommended, especially if the loads of the house have been changed since the original equipment has been installed (reduced via weatherization, other improvements).
- 7 Enter the total sensible cooling load for the dwelling unit described by this document. For projects involving dwelling units with more than one system, this will be a sum of the loads for the parts of the dwelling unit served by those systems. If the project consists of a partial replacement of equipment or ducts (change-out) then load calculations are not required. Select N/A.
- 8 Enter the total heating load for the dwelling unit described by this document. For projects involving dwelling units with more than one system, this will be a sum of the loads for the parts of the dwelling unit served by those systems. If the project consists of a partial replacement of equipment or ducts (change-out) then load calculations are not required. Select N/A.
- 9 Enter the number of bedrooms in the dwelling unit. This field is filled out automatically using the default value from the CF1R-PRF for performance compliance, and is user entry for prescriptive compliance. The default value from the CF1R-PRF may be overwritten in this document. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.

### Section B. Design Space Conditioning (SC) System Component Specifications from CF1R

- 1 This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.
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- 7 This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.
- 8 This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.
- 9 This field is filled out automatically. It is determined based on entries on the Certificate of Compliance (CF1R), which must be completed prior to this document.
- 10 This field is filled out automatically. It is determined based on entries on the Certificate of Compliance (CF1R), which must be completed prior to this document.
- 11 This field is filled out automatically. It is determined based on entries on the Certificate of Compliance (CF1R), which must be completed prior to this document.
- 12 This field is filled out automatically. It is determined based on entries on the Certificate of Compliance (CF1R), which must be completed prior to this document.

### **Section C. Design Space Conditioning (SC) System Compliance Requirements from CF1R**

1. This field is filled out automatically. It is referenced from the same row and column in the previous section.
2. This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.
3. This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.
4. This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.
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12. This field is filled out automatically. It is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document.

### **Section D. Installed New, Altered, and Existing Space Conditioning (SC) System Component Information**

1. Select System name from the list of systems identified in previous sections and originally specified on the CF1R.
2. Briefly describe the area served by this system. Examples: entire house, upstairs, downstairs, sleeping area, north wing, etc.
3. Enter the conditioned floor area served by the system described in this row. The total value of this column for all rows must equal the total dwelling unit conditioned floor area as shown in Section A.
4. This field is filled out automatically. It appears in Section B and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.

5. This field is filled out automatically. It appears in Section B and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
6. If the space conditioning system is a multiple-split system, then enter the number of ducted/ductless indoor units (AHU) connected to the outdoor unit.
7. This field is filled out automatically. It appears in Section B and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
8. This field is filled out automatically. It appears in Section B and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
9. This field is filled out automatically. It appears in Section B and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
10. This field is filled out automatically. It appears in Section B and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
11. This field may be filled out automatically. However for multiple split indoor units with combined ducted and ductless indoor units, enter the number of indoor units that are ducted.
12. This field is filled out automatically. It appears in Section B and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
13. This field is filled out automatically. It appears in Section B and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.

#### Section E. Space Conditioning (SC) System Alteration Type Determination

1. SC System Identification or Name: Enter a unique identifier for this system that will readily distinguish it from other systems in the dwelling unit, such as "HVAC1," "upstairs system," etc. It is recommended to mark the system with this identifier using a permanent marker for ease of identification in the field. For single-system dwelling units, enter a simple name such as "HVAC."
2. SC System Description of Area Served: Enter a unique description of the portion of dwelling unit served by this system, such as "entire second floor," "bedroom wing," etc. For single-system dwelling units, enter a simple description such as "entire house."
3. Is the altered or installed system a ducted system? Select "YES" if the system has a central air handler (package or split) that is connected to one or more supply air outlets via ducting of any shape or material. Select "NO" for nonducted systems such as ductless mini-splits, through-the-wall systems, package terminal air conditioners, etc.
4. Altering or installing a refrigerant containing component? Select "YES" if the project includes installing or replacing a component that contains refrigerant; otherwise select "NO." Refrigerant containing components include compressors, condensing coils, evaporator coils, refrigerant metering devices or refrigerating lines.

5. Installing new components? Select “**YES**” if new HVAC components such as a packaged unit, condensing unit, cooling/heating coil, or air-handling unit (e.g. furnace), etc. are being installed in the system; otherwise select “**NO.**”
6. Installing more than 40 linear feet of new or replacement ducts? This field may be filled out automatically. If required, Select “**YES**” if the project involves installing more than 40 linear feet of new or replacement ducts; otherwise select “**NO.**”
7. Is the entire duct system accessible for sealing and is more than 75% of the duct system new or replaced? Select “**YES**” when, upon completion of the project, more than 75% of the ducts will be new ducts and/or replaced ducts, AND if at any time during the project all of the ducts are accessible for duct sealing; otherwise select “**NO.**” “Accessible” is defined in Joint Appendix JA1 of the 2013 Reference Appendices (glossary).
8. Are all of the system's components and ducts new (entirely new system) or replaced? Select “**YES**” if the duct system meets the definition of an “Entirely New or Replacement Duct System” and all of the heating and cooling components (furnace, condenser, coil, etc.) are all new or replaced; otherwise select “**NO.**”
9. Alteration Type: This field is calculated automatically based on the information entered in previous fields. Alteration types are defined in Joint Appendix JA1 of the 2013 Reference Appendices. The alteration type will determine which of the following sections are required by this document.
10. Altered Heating Components. select all that are applicable
11. Altered Cooling Components. select all that are applicable

#### **Section F. Installed Heating System Information (not heat pumps)**

1. This field is filled out automatically. It is referenced from the same row and column in the previous section.
2. This field is filled out automatically. It is referenced from the same row and column in the previous section.
3. Enter a brief name or description of the indoor unit area served. Examples: Master Bedroom, Dining Room, Living Room, etc.
4. If the indoor unit is used to bring outdoor air into the dwelling, the system may be used to comply with the IAQ mechanical ventilation requirements. This is called central fan integrated ventilation (CFI). Systems that have only one indoor unit may use CFI ventilation if yes is selected in this field. Systems in multifamily dwellings, and systems with more than one indoor unit connected to one outdoor unit may not select yes.
5. Enter the description of the duct system on this indoor unit. The possible choices are Ductless; Ducted >10ft length, Ducted ≤10ft length
6. This field is filled out automatically. It is referenced from the same row and column in Section C.
7. Enter the certified heating efficiency of the *installed* equipment. This value is verified against the minimum value shown in Section C. The installed efficiency must be greater than or equal to the required minimum efficiency.
8. Enter the name of the *installed* Heating Unit Manufacturer as shown on the equipment nameplate.
9. Enter the name of the *installed* Heating Unit Model Number as shown on the equipment nameplate.
10. Enter the name of the *installed* Heating Unit Serial number as shown on the equipment nameplate.
11. Enter the rated heating capacity (output) of the *installed* Heating Unit in BTUs per hour.

#### **Section G. Installed Cooling System Outdoor Unit or Package Unit Equipment Information (not heat pump)**

1. This field is filled out automatically. It is referenced from the same row and column in the previous section.
2. This field is filled out automatically. It is referenced from the same row and column in the previous section.
3. Enter the certified cooling efficiency (SEER) of the *installed* equipment. This value is verified against the minimum value shown in Section C. The installed efficiency must be greater than or equal to the required minimum efficiency.
4. Enter the certified cooling efficiency (EER) of the *installed* equipment. This value is verified against the minimum value shown in Section C. The installed efficiency must be greater than or equal to the required minimum efficiency.
5. Enter the name of the *installed* Condenser or Package Unit Manufacturer as shown on the equipment nameplate.

6. Enter the name of the *installed* Condenser or Package Unit Model Number as shown on the equipment nameplate.
7. Enter the name of the *installed* Condenser or Package Unit Serial Number as shown on the equipment nameplate.
8. Enter the sensible cooling capacity at design conditions of the *installed* cooling system in BTUs per hour. This information is found in the system performance information on the manufacturer's published documentation for the installed system.
9. Enter the *installed* Condenser Nominal Cooling Capacity in tons. Note that this is based on the condenser, not the coil or air handler. This can usually be determined by the condenser model number.
10. Enter the installed Condenser Rated Cooling Capacity in BTU/h. Note that this is based on the condenser, not the coil or air handler.

#### **Section H. Installed Split System Indoor Unit Coil or Fan Coil Equipment information - applicable to DX or hydronic, heating or cooling, coils or fan coil units)**

1. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
2. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
3. Enter a brief name or description of the indoor unit area served. Examples: Master Bedroom, Dining Room, Living Room, etc..
4. Enter the type of indoor unit or air handling unit installed by selecting one of the choices from the list.
5. Enter the description of the ducts system on this indoor unit. The possible choices are Ductless; Ducted >10ft length, Ducted ≤10ft length.
6. If the indoor unit is used to bring outdoor air into the dwelling, the system may be used to comply with the IAQ mechanical ventilation requirements. This is called central fan integrated ventilation (CFI). Systems that have only one indoor unit may use CFI ventilation if yes is selected in this field. Systems in multifamily dwellings, and systems with more than one indoor unit connected to one outdoor unit may not select yes
7. Enter the name of the *installed* Indoor Coil or Fan Coil Unit Manufacturer as shown on the equipment nameplate.
8. Enter the name of the *installed* Indoor Coil or Fan Coil Unit Model Number as shown on the equipment nameplate.
9. Enter the name of the *installed* Indoor Coil or Fan Coil Unit Serial Number as shown on the equipment nameplate.
10. Enter the indoor unit cooling capacity if the indoor unit is one of the ducted variable capacity heat pumps types, otherwise this field is not needed

#### **Section I. Installed Heat Pump System – Split System Condensing Unit or Package Unit Equipment Information**

1. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
2. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
3. Enter the name of the *installed* Heat Pump Condenser or Package Unit Manufacturer as shown on the equipment nameplate.
4. Enter the name of the *installed* Heat Pump Condenser or Package Unit Model Number as shown on the equipment nameplate.
5. Enter the name of the *installed* Heat Pump Condenser or Package Unit Serial Number as shown on the equipment nameplate.

#### **Section J. Installed Heat Pump System – Efficiency and Performance Compliance Information**

1. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
2. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
3. This field is filled out automatically. It is referenced from the same row in Section C.
4. Enter the certified heating efficiency of the *installed* equipment. This value is verified against the minimum value shown in Section C. The installed efficiency must be greater than or equal to the required minimum efficiency.

5. Enter the certified heating capacity at 47F of the *installed* equipment. This value is verified against the minimum value shown in Section C. The installed capacity must be greater than or equal to the required minimum capacity.
6. Enter the certified heating capacity at 17F of the *installed* equipment. This value is verified against the minimum value shown in Section C. The installed capacity must be greater than or equal to the required minimum capacity.
7. Enter the certified cooling efficiency (SEER) of the *installed* equipment. This value is verified against the minimum value shown in Section C. The installed efficiency must be greater than or equal to the required minimum efficiency.
8. Enter the certified cooling efficiency (EER) of the *installed* equipment. This value is verified against the minimum value shown in Section C. The installed efficiency must be greater than or equal to the required minimum efficiency.
9. Enter the sensible cooling capacity at design conditions of the *installed* cooling system in BTUs per hour.
10. Enter the *installed* Condenser Nominal Cooling Capacity in tons. Note that this is based on the condenser, not the coil or air handler. Can usually be determined by the condenser model number.

#### Section K. Extension of Existing Duct System, Greater Than 40 Feet

1. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
2. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
3. Enter a brief name or description of the indoor unit area served. Examples: Master Bedroom, Dining Room, Living Room, etc..
4. Enter the R-value of the *installed* supply ducts. This value is verified against the minimum value shown in Section C. The installed R-value must be greater than or equal to the required minimum R-value.

#### Section L. Installed Duct System Information

1. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
2. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
3. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
4. This field may be filled out automatically. If required, select the description of the duct length. Choices are >10ft and ≤10ft.
5. This field is filled out automatically.
6. This field is filled out automatically. It appears in Section B and D, and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
7. Enter the R-value of the *installed* supply ducts. This value is verified against the minimum value shown in field L05. The installed R-value must be greater than or equal to the required minimum R-value.
8. This field is filled out automatically. It appears in Section B and D, and is referenced from the Certificate of Compliance (CF1R), which must be completed prior to this document. This value may be overwritten in this document but valid discrepancies with the CF1R are atypical. Overwriting the default value will automatically flag this entry and subject it to additional scrutiny by QA and enforcement personnel.
9. Enter the R-value of the *installed* return ducts. This value is verified against the minimum value shown in field L05. The installed R-value must be greater than or equal to the required minimum R-value.



10. The duct system may be qualified for exemptions from the minimum R-value requirement if all of the ducts are located entirely within conditioned space. There are also exemptions for ducts located in interior wall cavities, and for ducts located entirely in conditioned space. The user may select from available choices to indicate the exemption. Note: Selecting Ducts  $\geq R4.2$  entirely in conditioned space will subject the duct system to additional HERS verification.
11. For entirely new duct systems taking the performance credit for better than default air flow or fan efficacy, field verification of these criteria is required and this field is filled out automatically. Otherwise, the user may pick the appropriate choice. Refer to section 150.0(m)13 and Residential Compliance Manual Chapter 4.4 for more information.
12. Specify the number of air filter devices installed on this indoor unit. Air filter devices installed in completely new duct systems must be properly sized, as documented in the next section. The value entered here will determine the number of rows needed in the following section.
13. If the system is of a type that can use one of the Reference Residential Appendix RA3.3 protocols for testing the airflow rate, then enter yes. Otherwise enter no. Most ducted split systems and package systems are of the type that minimum airflow can be verified using an approved measurement procedure. Examples of systems that do not meet this description are ductless systems. A "No" response here may subject the project to additional scrutiny by enforcement personnel. Note: that the protocol in RA3.3.3.1.5 (Alternative to Compliance with Minimum System Airflow Requirements for Altered Systems) is not one of the protocols that is allowed to be used to justify a "yes" to this question.

#### Section M. Installed Air Filter Device Information

1. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
2. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
3. This field is filled out automatically. It is referenced from the same row and column in the previous sections.
4. Enter a descriptive name of each air filter device so that it may be distinguished from others in the same system. Examples: FG1, filter2, etc.
5. Select the appropriate type of filter device from the list.
6. Enter the design flow in CFM of the filter device. The total for all filter devices in a single system should be greater than or equal to the total system design CFM in cooling mode (or heating mode for heat-only systems).
7. Enter the nominal depth of the filter in inches. This is the dimension that is parallel to the airflow. many filters available for sale are 1-inch depth. The 2019 standards encourages use of 2-inch depth filters.
8. Enter the nominal length of the filter. for example, if the filter is 20" x 30", enter 30.
9. Enter the nominal width of the filter, for example, if the filter is a 20" x 30", enter 20.
10. This field is calculated automatically based on your entries in 8 and 9.
11. This value is calculated automatically for 1-inch depth filters. 2-inch depth or greater filters may use a value determined by the system designer.
12. This field determines whether a 1-inch depth filter complies with the sizing requirements in section 150.0(m)12. A 2-inch depth or greater filter may use the face area determined by the system designer, however most systems have to meet airflow rate and fan efficacy requirements.
13. Enter the design static pressure drop determined by the system designer if 2-inch or greater filters are used. For 1-inch depth filters, the maximum pressure drop is mandatory 0.1 inch W.C.. Filters installed in the filter grille/rack must be capable of meeting this maximum pressure drop at the design airflow rate, as shown on the manufacturer's filter label. Not accounting for higher filter pressure drops will result in poor system airflow characteristics, reduced capacity and reduced efficiency. This may result in not passing field verification.

#### Section N. Air Filter Device Requirements

This table is a list of requirements for air filter devices.

#### **Section O. HERS Verification Requirements**

1. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
2. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
3. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
4. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
5. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
6. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
7. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
8. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
9. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
10. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
11. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
12. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.

#### **Section P. HERS Verification Requirements for Space Conditioning Equipment**

1. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
2. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
3. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.
4. This field is filled out automatically. It is calculated based on data from the CF1R and from previous sections in this document.

#### **Section Q. Space Conditioning Systems, Ducts and Fans – Mandatory Requirements and Additional Measures**

This table is a list of requirements for space conditioning systems.