

DOCKETED

Docket Number:	16-AFC-01C
Project Title:	Stanton Energy Reliability Center - Compliance
TN #:	229492-2
Document Title:	Stanton Energy Reliability Center Monthly Compliance Report No. 2 Part 1
Description:	N/A
Filer:	Cenne Jackson
Organization:	California Energy Commission
Submitter Role:	Commission Staff
Submission Date:	8/20/2019 10:06:38 AM
Docketed Date:	8/20/2019

Stanton Energy Reliability Center

CEC Docket No. 16-AFC-01
Monthly Compliance Report No. 2
Reporting Period: March 2019



Prepared by Stanton Energy Reliability Center, LLC (SERC)
Submitted April 2019

Table of Contents

Key Events List3

1. Summary.....3

 1.1 Engineering4

 1.2 Procurement5

 1.3 Construction.....5

 1.4 Explanation of Significant Changes to the Schedule5

2. Documents Required by Specific Conditions for MCR.....5

3. Compliance Matrix6

4. Conditions Satisfied During Reporting Period6

5. Missed Deadlines.....10

6. Approved Changes to Conditions of Certification (COC)10

7. Governmental Agencies Submittals / Permits.....10

8. Compliance Activity Two Month Schedule.....10

9. On-Site Compliance File.....10

10. Incidents, Complaints, Notices of Violation, Official Warnings and Citations.....10

Attachment 1 – COM-6 Project Schedule12

Attachment 2 – COM-5 Compliance Matrix16

Attachment 3 – Air Quality.....60

Attachment 4 –Biological Resources139

Attachment 5 – CIVIL.....248

Attachment 6 – Cultural Resources.....250

Attachment 7 - Paleontology268

Attachment 8 – ELEC-1271

Attachment 9 – GEN-2 Master Drawing List298

Attachment 10 – GEN-3 CBO Payment309

Attachment 11 – GEN-6 Special Inspectors.....311

Attachment 12 – Gen-7 Discrepancy.....313

Attachment 13 – GEN-8 Final Inspections.....315

Attachment 14 – SOIL&WATER-4 Water Use.....317

Attachment 15 – SOIL&WATER-8 Encroachment Permit.....319

Attachment 16 – STRUC-1 CBO Approvals321

Attachment 17 – TRANS-1 Permits323

Attachment 18 – Safety Inspection Report325

Attachment 19 – CIVIL-3 Non-Compliance Reports339

Attachment 20 - COM-6 Filings & Permits to/by Government Agencies341

Attachment 21 - COM-11 Reporting of Complaints, Notices, and Citations343

Key Events List

PROJECT:	Stanton Energy Reliability Center	
DOCKET #:	16-AFC-01	
COMPLIANCE PROJECT MANAGER:	John Heiser	
EVENT DESCRIPTION		DATE
CEC Decision Date		November 7, 2018
Obtain Site Control		February 12, 2019
Online Date		June 1, 2020
POWR PLANT SITE ACTIVITIES		
Start Site Assessment/Pre-Construction		January 31, 2019
Start Site Mobilization/Construction		February 12, 2019
Begin Pouring Major Foundation Concrete		March 29, 2019
Begin Installing Major Equipment		TBD
Completion of Installation of Major Equipment		December 24, 2019
First Combustion of Gas Turbine		December 23, 2019
Obtain Building Occupation Permit		TBD
Start Commercial Operation		BESS June 1, 2020; LM6000 July 1, 2020
Complete All Construction		April 28, 2020
TRANSMISSION LINE ACTIITIES		
Start Transmission Line Construction		July 2019
Complete Transmission Line Construction		November 2019
Synchronization with Grid and Interconnection		March 2, 2020
FUEL SUPPLY LINE ACTIVITIES		
Start Gas Pipeline Construction and Interconnection		June 2019
Complete Gas Pipeline Construction		November 2019
WATER SUPPLY LINE ACTIVITIES		
Start Water Supply Line Construction		TBD
Complete Water Supply Line Construction		TBD

1. Summary

On November 7, 2018, the California Energy Commission (CEC) issued its Commission Decision (Docket No. 16-AFC-01) approving construction and operation of the Stanton Energy Reliability Center (SERC) Project. The CEC Compliance Project Manager (CPM) issued a Limited Notice to Proceed (LNTP) on Jan 31, 2019, allowing the start of construction activities at the power plant site. The Full Notice to Proceed (FNTP) was issued by the CEC on February 12, 2019.

Upon the CEC docket of the Final Decision, SERC made Payment of the Annual Energy Facility Compliance Fee. The next payment and all subsequent payments are due by July 1 of each year.

This document is a Monthly Compliance Report (MCR) as required by Condition of Certification (COC) COM-6. The information in this report documents the engineering, procurement, construction, and compliance activities that were performed during the reporting period: March 2019.

Stanton Energy Reliability Center, LLC (SERC) has selected ARB, Inc. as its general contractor. Power Engineers, under a separate contract is providing the project detailed design engineering. Procurement and construction management services are being provided by Wellhead Construction, Inc. Southern California Edison (SCE) will construct the transmission interconnection facilities. Southern California Gas will design, build and operate the natural gas pipeline associated with the project. Jacobs Engineering has been retained by SERC to assist with construction monitoring and environmental and CEC compliance. NV5 has been selected by the CEC as the Designated Chief Building Official (DCBO).

The site was visited by John Heiser and Paul Marshall from the CEC on March 14, 2019. Mr. Marshall witnessed the achievement of the maximum excavation depth at the ammonia sump excavation. In addition, a general site inspection was conducted, a SWPPP and safety inspection was conducted, and the CPM inspected the construction lighting in accordance with Condition of Certification VIS-3. As a result of the visit, a spill kit was relocated to the Dale Ave. parcel and the BMP at the storm drain inlet on Dale Ave. was re-instated.

A preliminary project summary schedule is included in Attachment 1.

Note: Due to the dynamic nature of a large-scale construction project, key event dates are subject to change.

The following table represents the percent complete numbers for the engineering, procurement, and construction activities as of the end of March 2019.

Activity	Percent Complete
Engineering	
Power Island	98%
CBO Support	31%
BESS Design	0%
Procurement	
Owner Supplied Equipment	65%
Contractor Supplied Equipment	26.4%
Construction	2.9%
Power Island	2.9%
BESS	0%

1.1 Engineering

Through the month of March 2019, Power Engineering (PEI) continued with plant design and supported the submittal of engineering drawings to the DCBO for review and approval. Weekly meetings are held with the CBO and CPM to review progress.

Additional weekly meetings are held with PEI, WCI and the CBO to review each discipline e.g. Electrical, Structural, Civil and Mechanical.

1.2 Procurement

The procurement of Owner Supplied Equipment (OSE) continues and is currently 65% complete.

The procurement of Contractor Supplied Equipment (CSE) continues and is currently 26.4% complete.

1.3 Construction

Conducting Daily Pre-Job Briefings and Weekly all Hands Safety Meetings.

ARB continued excavation on Parcel 1, although progress was hampered due to rain, landfill closures, and some soil being too sandy for the landfill. With only 5 days of soil exports, a large stockpile was placed on the east end of Parcel 1 to allow excavation to proceed. As of March 29, 2019, a second disposal site was identified and approved by the CEC.

Work on the bridge abutments began in March and expect to be complete in time to receive bridge sections mid-April and complete the bridge.

Work began on the foundations of the Ammonia Storage Tank Sump to allow backfill of this deep excavation to allow work to progress on remaining foundations in that area.

Craft trades started using the Bethel church parking on March 11, 2019.

Weekly coordination calls were held amongst project participants during the reporting period.

1.4 Explanation of Significant Changes to the Schedule

There have been no significant changes to the schedule during this reporting period.

2. Documents Required by Specific Conditions for MCR

The Documents required by specific conditions have been identified in Section 4 “Conditions Satisfied During Reporting Period” of this report and are also included in the in Attachments.

During this reporting period there were no Discrepancies to report as required in GEN-7. As such, Attachment 12 contains no information.

During this reporting period there were no changes to the encroachment permit as required in SOIL&WATER-8. As such, Attachment 15 contains no information.

During this reporting period there were no Discrepancies or Non-Compliance items to report as required in CIVIL-3 as indicated in Attachment 19.

The Permits by Government Agencies as required in COM-6 are included in Attachment 20.

3. Compliance Matrix

The compliance matrix was updated during the reporting period to reflect the dates that compliance submittals were provided to the CEC and DCBO and the dates of any approvals by the DCBO, CEC or other agencies having review or approval rights. The Compliance Matrix is included in Attachment 2.

4. Conditions Satisfied During Reporting Period

The Commission Decision sets forth specific conditions, many of which include reporting requirements that must be addressed in an MCR. This section of the MCR describes activities that ensure compliance is achieved with all conditions of verification in the Commission Decision for the SERC Project. The report format is designed to be comprehensive and inclusive of all Conditions of Certification that require monthly reporting.

Many Conditions of Certification are addressed in the attachments to this MCR. The following one-time and/or monthly compliance activities were completed or addressed during the report period:

AQ-SC -1: During this reporting period Mike Malsy and Jon Kimble were proposed by SERC to the CPM as additional Air Quality Construction/Demolition Mitigation Manager (AQCOMM) delegates.

AQ-SC3: 1) A summary of all actions taken to maintain compliance with this condition 2) Copies of any complaints filed with the South Coast Air Quality Management District (SCAQMD) in relation to project construction; and 3) other documentation deemed necessary to verify compliance with this condition are included in the AQCOMM's monthly report in Attachment 3.

AQ-SC4: 1) Work activities requiring dust control and a summary of all actions taken to maintain compliance with this condition; 2) copies of any complaints filed with the SCAQMD in relation to project construction; and 3) any other documentation necessary to verify compliance with this condition are included in the AQCOMM's monthly report in Attachment 3.

AQ-SC5: 1) A summary of all actions taken to maintain compliance, 2) list of heavy equipment, and 3) other documentation necessary to verify compliance during the reporting period is included in the AQCOMM's monthly report in Attachment 3.

BIO-2: A monthly Biological Resources Mitigation Implementation and Monitoring Plan (BRMIMP) provides a summary of reporting period construction activities and associated biological monitoring and is included in Attachment 4.

BIO-5: During the reporting period 41 personnel received the Worker Environmental Awareness Program (WEAP) training. The total number of personnel trained to date is 142. Documentation of worker training records for the reporting period is included in Appendix D of Attachment 4.

BIO-6: The Designated Biologist and Biological Monitor provides monthly documentation on how the biological mitigation measures defined in the BRMIMP have been implemented during the reporting period. This information is included in Attachment 4.

BIO-8: The Designated Biologist and Biological Monitors have provided documentation on pre-construction nest surveys to the CPM, California Department of Fish and Wildlife (CDFW) and U.S. Fish and Wildlife Service (USFWS) as required. The contractor began using the Bethel Church parking lot on March 11, and as such, a pre-construction nest survey was completed at the parking lot prior to the contractor's use of the lot. These activities and reports are addressed in the Monthly Biological Report included as Attachment 4. Impact avoidance and minimization measures related to nesting and breeding birds have been implemented during the reporting period. This information is included in Attachment 4.

CIVIL-1: There were no approved proposed drainage structures and the grading plan has been approved by the CBO. The erosion and sedimentation control plan has been approved by the CBO. The construction Storm Water Pollution Prevention Plan (SWPPP) has been approved by the CBO. Additionally, the related calculations and specifications have been signed and stamped by the responsible civil engineer. Finally, soils, geotechnical or foundation investigations reports required by the 2016 CBC have been conducted and submitted to the CBO

CIVIL-3: There were no, inspection non-conformance reports during the reporting period. (Attachment 5)

COM-5: An updated compliance matrix is provided as Attachment 2.

COM- 6: This MCR conforms to and satisfies the COC.

COM-7: There were no required Periodic or Annual Compliance Reports due in this reporting period.

COM-11: There were no notices, warnings, citations or fines during this reporting period. There was a complaint received from Alan Rigg, the Director of Public Works for the City of Stanton on March 4th regarding track-out at Dale Ave. A compliance submittal was made to the CPM forwarding the complaint email from Mr. Rigg with a copy of SERC's response. An additional compliance filing was made to the CPM on including the Complaint Resolution Form (Attachment A of Compliance Conditions of Certification) and an email from Mr. Rigg acknowledging SERC's corrective actions.

CUL-1: SERC provided the CPM with the resume for an Alternate CRS (Dan Woodward). The Alternate CRS was approved by the CPM.

CUL-2: Three week look ahead schedules are being provided weekly to allow the CRS to plan the CRM's monitoring work accordingly. The CPM is being copied on these schedules as well.

CUL-3: The CRMMP is being fully implemented. Specific details can be found in the daily cultural resources reports being submitted to the CPM and in the monthly Cultural Resources Report

included as Attachment 6 of this MCR. Appendix A Forms DPR-523 have been submitted separately under a request for confidentiality.

CUL-5: During the reporting period 41 personnel received the Worker Environmental Awareness Program (WEAP) training. The total number of personnel trained to date is 142. Documentation of worker training records for the reporting period is included in Appendix D of Attachment 4.

CUL-6: The Cultural Resources Specialist's monthly summary report is included as Attachment 6 to this MCR.

During this reporting period the contractor made a small excavation that was done without having monitors witness the work. The CEC was informed of the non-compliance activity as required in CUL-6 Verification #4 and the associated 5 workers repeated the WEAP training at the request of CEC Cultural Staff as required in CUL-5.

In addition, 5 workers repeated the WEAP training at the request of CEC Cultural Staff in response to a small excavation that was done without having monitors witness the work.

CUL-7: One (1) cultural resource discovery was made during the reporting period. DPR forms were filled out by the CRS. Work was stopped within a 50-foot radius and the CPM was immediately notified. Upon conferring with CEC Cultural Resources staff and some back and forth between staff and the CRS, it was ultimately determined that the discovery could be treated prescriptively as specified in the CRMMP.

CUL – 8: Due to the sand content of the soil being excavated on the Dale parcel exceeding 30%, the soil was rejected by the Olinda Alpha Landfill. SERC, working with its contractor, identified an Alternate Disposal Site and made the requisite filing as required by Condition of Certification CUL-8 with the CPM. Additionally, the Alternate CRS, Dan Woodward, conducted a survey of the Alternate Disposal Site. The site was approved for use by the CPM.

ELEC-1: Documentation of transmittal of electrical construction design review and approval by the CBO during the reporting period is included in Attachment 8.

HAZ-4: The final design drawings and specifications for the ammonia storage tank, ammonia pumps, ammonia detectors around the ammonia storage tank, secondary containment basin, and underground vault were sent to the CPM for review and approval.

GEN-2: There were no schedule updates in the reporting period to the facility design schedule, the master drawings and master specifications list. These documents are included in Attachment 9.

GEN-3: Proof of payment to the CBO during this reporting period is included in Attachment 10.

GEN-6: There were no additional special inspectors approved during the reporting period. (Attachment 11)

GEN-8: There were no final inspections during this reporting period as described in GEN-8 (Attachment 13).

PAL-2: Three week look ahead schedules are being provided weekly to allow the PRS to plan the PRM's monitoring work accordingly. The CPM is being copied on these schedules as well.

PAL-3: The PRMMP is being fully implemented. Specific details can be found in the Monthly Paleontology Resources Report included as Attachment 7.

PAL-5: During the reporting period 41 personnel received the Worker Environmental Awareness Program (WEAP) training. The total number of personnel trained to date is 142. Documentation of worker training records for the reporting period is included in Appendix D of Attachment 4.

PAL-6: A summary of the Paleontological Resource Specialist's activities during the reporting period including daily monitoring logs is included in the Monthly Paleontology Report included as Attachment 7.

SOIL & WATER-2: During this reporting period revisions (March 2019 Rev) to the Water Quality Management Plan (WQMP) were submitted to the CPM and CBO.

Soil & Water-4: The monthly water use for SERC during the reporting period was 8,729 CF. Daily water usage is provided within Attachment 14.

SOIL & WATER-5: Updated Golden State Water meter information was provided to the CPM during this reporting period making a correction to the February submittal.

STRUC-1: Documentation of CBO approval of structural plans, specifications, and calculations during the reporting period is included in Attachment 16.

TLSN-1: The requisite letter signed by a California registered electrical engineer affirming that the underground transmission line will be constructed according to the requirements within this Condition of Certification was drafted by Southern California Edison and submitted to the CPM. A copy of the letter was also submitted to the CBO for reference.

TRANS-1: Documentation that required permits were obtained during the reporting period to demonstrate project compliance with limitations of relevant jurisdictions for vehicle sizes, weights, driver licensing and truck routes is included in Attachment 17.

TRANS-2: The request made by SERC to revise the Traffic Control Plan to allow for up to 120 trucks per day to arrive and leave the Dale Ave. parcel to accommodate ARB's excavation schedule was approved by the City of Stanton and the CPM during this reporting period.

TRANS-8: The Pilot Notification Awareness letters to the FAA, the Los Alamitos Army Airfield and the Fullerton Municipal Airport were drafted and sent to the CPM for approval. Upon approval by the CPM the letters were mailed, and SERC is awaiting comments.

VIS-1: The proposed surface treatment plan was submitted to the CPM and the City of Stanton for review and approval. The plan was approved by both the CPM and the City of Stanton. The plan was also submitted to the CBO for reference.

VIS-3: ARB began using construction lighting at night as an enhancement to site security. The CPM was notified in accordance with this Condition of Certification and performed an inspection during the CPM's site visit on March 14, 2019.

WASTE-4: During this reporting period only three (3) dumpsters of construction waste left the site.

WASTE-6: SERC is keeping a copy of the hazardous waste generator identification number(s) on file at the project site (EPA ID 2-27-19-CAR000292565). Documentation of any new or revised hazardous waste generation notifications or changes in identification number are required to be provided to the CPM in the next scheduled compliance report. There have been no revisions during this reporting period.

WORKER SAFETY-3: The CSS's Monthly Compliance Report includes documentation of 1) employees trained, 2) safety management actions safety-related incidents, 3) unresolved situation and incidents that may pose a danger to life and health, 4) reports of any visits from Cal/OSHA and/or any complaints from workers to Cal/OSHA and 5) reports of accidents, injuries, and near misses during the reporting period is included in this MCR as Attachment 18.

5. Missed Deadlines

There were no missed deadlines during this reporting period.

6. Approved Changes to Conditions of Certification (COC)

No changes to the COC occurred during this reporting period.

7. Governmental Agencies Submittals / Permits

The Pilot Notification and Awareness letters required by Condition of Certification TRANS-8 were submitted to the FAA, the Los Alamitos Army Airfield and the Fullerton Municipal Airport. Copies of these letters can be found in Attachment 20.

8. Compliance Activity Two Month Schedule

- Adhere to Conditions of Certification, defined herein, that require monthly activities and/or per event submittals.
- COM-5 and 6 – Submit MCR and compliance matrix to the CEC.

9. On-Site Compliance File

SERC, LLC is maintaining electronic copies of all project files and submittals in accordance with COC COM-2 and the clarifications received from the CPM on March 21, 2019 regarding electronic record retention. At least one hard copy of the following will be kept onsite:

1. all finalized original and amended structural plans and "as-built" drawings for the entire project (later)
2. the most current versions of any plans, manuals, and training documentation required by the COC or applicable LORS

10. Incidents, Complaints, Notices of Violation, Official Warnings and Citations

There were no incidents, notices of violation, official warnings or citations received during the month of March 2019. There was one complaint received from the City of Stanton regarding

track-out at the Dale Ave parcel. The complaint and the response are discussed above under COM 11, a summary can be found in Attachment 22.

Attachment 1 – COM-6 Project Schedule

Activity ID	Activity Name	OD	% Comp	Start	Finish	TF	Fin. Var.	2019												2020							
								Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug		
SERC Baseline Project Master Schedule (w/ARB BL Sc																											
LM6000 RAPA Key Milestone																											
2	Expected Initial Delivery Date	0	0%	01-Jul-20	01-Jul-20*	0	0																				
Storage RAPA Key Milestone																											
4	Expected Initial Delivery Date	0	0%	01-Jun-20	01-Jun-20*	18	0																				
GIA Key Milestones																											
6	In-Service Date (Initial Backfeed - Liquidated Damag	0	0%	01-Feb-20	01-Feb-20*	121	0																				
7	Initial Synchronization Date/Trial Operation (No Later	0	0%	02-Mar-20	02-Mar-20*	69	0																				
8	Commercial Operation Date (No Later Than)	0	0%	01-Apr-20	01-Apr-20*	51	0																				
Pre-construction Activities																											
CEC Permitting																											
12	Presiding Members Proposed Decision (PMPD) issue	1	100%	08-Oct-18 A	08-Oct-18 A		0																				
13	Full Commission Decision for Approval	0	100%	13-Nov-18 A			0																				
14	Post-Approval 30-day appeal period	30	100%	13-Nov-18 A	13-Dec-18 A		0																				
15	CEC Decision Final (non-appealable)	0	100%		13-Dec-18 A		0																				
11	Application for Certification	782	100%	26-Oct-16 A	17-Dec-18 A		0																				
Pre-Construction Compliance (CEC)																											
SCAQMD Air Permit																											
22	SCAQMD Authority To Construct (ATC) issued	0	100%	15-Nov-18 A	15-Nov-18 A		0																				
Engineering																											
24	"Issued For Bid" Engineering Package for Contractor Pricina refresh	174	100%	31-Oct-18 A	31-Oct-18 A		0																				
25	Further Develop Engineering to Signed and Stamped Plan Set	575	100%	31-Oct-18 A	17-Dec-18 A		0																				
26	Receive Signed and Stamped Plan Set	1	100%	17-Dec-18 A	17-Dec-18 A		0																				
27	Vehicle Bridge Engineering	45	100%	29-Oct-18 A	18-Jan-19 A		0																				
28	BESS & EGT Integration Engineering	105	100%	02-Jan-19 A	22-Feb-19 A		0																				
29	Assemble Engineering into CBO submittal packages	148	42.57%	11-Dec-18 A	29-Aug-19*	169	0																				
Real Properties or Land Control																											
31	Valov Lease Agreement Executed	0	100%		06-Aug-18 A		0																				
33	Water Service Connection Permit	16	100%	31-Dec-18 A	28-Jan-19 A		0																				
34	Sewer Service Connection Permit	16	100%	31-Dec-18 A	28-Jan-19 A		0																				

█ Remaining Level of Effort
 █ Actual Work
 █ Critical Remaining Work
█ Actual Level of Effort
 █ Remaining Work
 ◆ ◆ Milestone

Activity ID	Activity Name	OD	% Comp	Start	Finish	TF	Fin. Var.	2019												2020			
								Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
35	Orange County Public Works (OCPW) Encroachment Agreement	4	100%	03-Dec-18 A	01-Feb-19 A		0																
32	SCE Easement Consent	81	100%	31-Dec-18 A	25-Feb-19 A		0																
Owner Supplied Equipment (OSE) Procurement Schedule		342	58.09%	08-Feb-18 A	11-Oct-19	145	0																
	LM6000 Packages	190	53.65%	22-Feb-18 A	01-Aug-19	185	0																
	Emissions Reduction Unit (ERU)	340	57.92%	08-Feb-18 A	11-Oct-19	145	0																
	Generator Step-Up Transformer (GSU)	194	100%	29-Jun-18 A	31-May-19	220	0																
	Vehicle Bridge	47	100%	01-Nov-18 A	22-Mar-19 A		0																
	Balance Of Plant OSE	119	100%	01-Jul-18 A	01-Apr-19	254	0																
Construction Contracting		97	100%	03-Sep-18 A	24-Jan-19 A		0																
81	Receive Initial Bids from Construction Contractors	0	100%	03-Sep-18 A			0																
82	Review Initial Bids	30	100%	04-Sep-18 A	04-Oct-18 A		0																
84	Achieve Commercial Lockdown	0	100%		26-Nov-18 A		0																
83	Short list two construction contractors and negotiate draft contracts	28	100%	04-Oct-18 A	26-Nov-18 A		0																
85	Contractor Pricing Refresh	18	100%	26-Nov-18 A	14-Dec-18 A		0																
86	Final Bids Turned In	0	100%		14-Dec-18 A		0																
87	Review Final Bids / Select Contractor	2	100%	14-Dec-18 A	20-Dec-18 A		0																
88	Execute Construction Contract	0	100%		21-Dec-18 A		0																
89	Make executed construction contract available in the SERC due diligence data room	0	100%		21-Dec-18 A		0																
90	Provide Notice To Proceed to Contractor	0	100%		24-Jan-19 A		0																
Project Finance		176	100%	16-Oct-18 A	24-Jan-19 A		0																
CEC Compliance		217	17.34%	19-Dec-18 A	19-Feb-20	75	0																
CBO Activity		217	17.34%	19-Dec-18 A	19-Feb-20	75	0																
98	CBO Contract Execution	0	100%	19-Dec-18 A			0																
99	CBO Kick off Meeting	0	100%		19-Dec-18 A		0																
CBO performance of duties		217	17.34%	26-Dec-18 A	19-Feb-20	75	0																
101	Review and approve Pre-construction submittal	1	100%	26-Dec-18 A	27-Dec-18 A		0																
103	Perform Plan Check of Submittals	148	31.08%	27-Dec-18 A	30-Sep-19	152	0																
102	Inspector On Site	390	16.67%	04-Feb-19 A	19-Feb-20	133	0																
LM6000 Construction Schedule		270	28.4%	09-Nov-18 A	13-Mar-20	61	0																
Stanton Energy Reliability Center - Baseline Schedule		270	28.4%	09-Nov-18 A	13-Mar-20	61	0																
Milestones		270	46.45%	09-Nov-18 A	13-Mar-20	61	0																

Activity ID	Activity Name	OD	% Comp	Start	Finish	TF	Fin. Var.	2019												2020				
								Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul
Contract Milestones		270	81.36%	09-Nov-18 A	13-Mar-20	61	0																	
Project Milestones		195	47.54%	14-Jan-19 A	30-Dec-19	53	0																	
Construction		215	18.59%	22-Jan-19 A	11-Feb-20	79	1																	
Mobilization		18	100%	22-Jan-19 A	22-Feb-19 A		0																	
Site Preparation		31	100%	05-Feb-19 A	29-Mar-19 A		0																	
Vehicle Bridge		64	48.75%	05-Feb-19 A	28-May-19	63	-22																	
UG Electrical		93	25.05%	19-Feb-19 A	01-Aug-19	71	-1																	
UG Piping		89	19.82%	28-Feb-19 A	05-Aug-19	60	0																	
Foundations		132	20%	13-Feb-19 A	04-Oct-19	38	0																	
U2 Equipment Installation		123	0%	23-Apr-19	02-Dec-19	7	0																	
U1 Equipment Installation		125	0%	26-Apr-19	10-Dec-19	3	0																	
BOP Equipment Installation		160	0.5%	26-Apr-19	11-Feb-20	79	1																	
Structural Steel		77	2.08%	28-Mar-19 A	12-Aug-19	51	-5																	
AG Piping		118	0%	01-Apr-19	25-Oct-19	14	0																	
Pre-Commissioning		62	0%	02-Aug-19	20-Nov-19	4	0																	
Commissioning		70	0%	09-Aug-19	14-Dec-19	0	0																	
Demobilization		8	0%	16-Dec-19	30-Dec-19	53	0																	
BESS Construction Schedule		83	0%	02-Dec-19	28-Apr-20	36	0																	

█ Remaining Level of Effort
 █ Actual Work
 █ Critical Remaining Work
█ Actual Level of Effort
 █ Remaining Work
 ◆ ◆ Milestone

Attachment 2 – COM-5 Compliance Matrix

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
6	AQ	AQ-A1	COM/OPS	Monthly Emissions Limits - See Decision for specific emission limits by pollutant (NOX, CO, VOC, PM10, PM2.5, SOx). See Decision AQ-A1 also for rules regarding the for commencement of operation. See Decision for rules on emissions calculations during the transition from Commissioning to Operation.		Emissions data in Quarterly Operations Report. Notify SCAQMD in writing when commissioning process for each turbine has been completed.	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR		
7	AQ	AQ-A2	OPS	Monthly Emissions Limits - See Decision for specific emission limits by pollutant (NOX, CO, VOC, PM10, PM2.5, SOx). See Decision AQ-A1 also for rules regarding the for commencement of operation. See Decision for rules on emissions calculations during the transition from Commissioning to Operation.	The project owner shall maintain records to demonstrate compliance with this condition and shall make such records available to the SCAQMD Executive Officer upon request. The records shall be maintained for a minimum of 5 years in a manner approved by SCAQMD.	Emissions data in Quarterly Operations Report.	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR		
8	AQ	AQ-A3	COM/OPS	2.5 PPMV NOx Limit Averging -The 2.5 PPMV NOx emission limit(s) is averaged over 1 hour, dry basis at 15 percent oxygen.	This limit shall not apply to turbine commissioning, startup, and shutdown periods.	Emissions data in Quarterly Operation Report.	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR		
9	AQ	AQ-A4	COM/OPS	4.0 PPMV CO Limit Averaging - The 4.0 PPMV CO emission limit(s) is averaged over 1 hour, dry basis at 15 percent oxygen.	This limit shall not apply to turbine commissioning, startup, and shutdown periods.	CEMS records demonstrating compliance with this condition as part of the Quarterly Operations Reports (AQ-SC7)	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR		
10	AQ	AQ-A5	COM/OPS	2.0 PPMV VOC Limit Averaging - The 2.0 PPMV VOC emission limit(s) is averaged over 1 hour, dry basis at 15 percent oxygen.	This limit shall not apply to turbine commissioning, startup, and shutdown periods.	Emissions data in Quarterly Operational Report.	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR		
11	AQ	AQ-A6	COM/OPS	25 PPMV NOx Limit Averaging - The 25 PPMV NOx emission limit(s) is averaged over 1 hour, dry basis at 15 percent oxygen.	This limit shall not apply to turbine commissioning, startup, and shutdown periods.	Emissions data in Quarterly Operational Report.	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR		
12	AQ	AQ-A7	COM/OPS	Combustion Contaminant Emissions - See RULE 475, 10-8-1976; RULE 475, 8-7-1978. Devices D1, D7 subject to this condition.		Emissions data in Quarterly Operations Report.	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR		
13	AQ	AQ-A8	COM/OPS	NH₃ Limit Averaging - The 5.0 PPMV NH ₃ emission limit is averaged over one hour, dry basis, at 15 percent oxygen. (Does not apply to commissioning, turbine startup, and shutdown.) See the Decision for NH ₃ calculation equation.	Install, calibrate, maintain, and the monitoring system according to a District-approved monitoring plan. Prior to the installation the project owner shall submit a monitoring plan to the CPM for review and approval. The project owner shall include exceedances of the hourly ammonia slip limit and calibration reports as part of the Quarterly Operation Reports (AQ-SC7).	Ammonia Monitoring Plan and report exceedances of hourly ammonia slip and calibration reports as part of the Quarterly Operations Report	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date		Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
14	AQ	AQ-B1	COM/OPS	H₂S Limit Averaging - Concentration limit is an annual average based on monthly samples of natural gas composition or gas supplier documentation.	The project owner shall include documentation demonstrating compliance as part of the Quarterly Operation Reports (AQ-SC7)	Compliance data in Quarterly Operation Reports. Project owner to make site available for inspection of records by representatives of the District, ARB, and the Energy Commission.	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going			Not Started							SERC	DSR	
15	AQ	AQ-C1	COM/OPS	Start-up Limitations - Owner shall limit the number of start-ups to no more than 124 in any one calendar month.	Provide records including a table documenting the type of startup, duration and date of occurrence.	Monthly reports to be included in Quarterly Operation Reports.	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going			Not Started							SERC	DSR	
16	AQ	AQ-C2	COM/OPS	Shutdown Limitations - Owner shall limit the number of shutdowns to no more than 124 in any one calendar month.	Provide records including a table documenting each shutdown, and indicating the duration and date of occurrence.	Monthly reports to be included in Quarterly Operation Reports. (AQ-SC7)	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going			Not Started							SERC	DSR	
17	AQ	AQ-C3	COM/OPS	Pressure Relief Valve Requirements - Pressure relief valve set at 2.3 psig.	Project owner shall demonstrate compliance as part of Quarterly Operation Report.	Monthly reports to be included in Quarterly Operation Reports. (AQ-SC7)	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going			Not Started							SERC	DSR	
18	AQ	AQ-D1a	COM/OPS	Initial Source Test - Owner must conduct initial commissioning air pollutant source tests. See Decision for methods, averaging times, and test location. District must approve test protocol in advance. Notify District prior to test of date and time of test. See Decision for further test specifications.	Submit test protocol to District and CPM for approval.	Proposed source test protocol.	Submit protocol 90 days before test date to CPM and Air District.	TBD			Not Started							SERC	DSR	
19	AQ	AQ-D1b	COM/OPS	Initial Source Test - Owner must conduct initial commissioning air pollutant source tests. See Decision for methods, averaging times, and test location. District must approve test protocol in advance. Notify District prior to test of date and time of test. See Decision for further test specifications.	Submit test protocol to District and CPM for approval.	Proposed source test protocol.	Notify CPM and Air District of proposed date and time 10 days prior to test date.	TBD			Not Started							SERC	DSR	
20	AQ	AQ-D2a	COM/OPS	Operations Source Test - Owner must conduct air pollutant source tests for SOX, VOC, and PM10 once every three years. See Decision for methods, averaging times, and test location. Notify District prior to test of date and time of test. See Decision for further test specifications.	Revised test protocol (if changes to the previously approved protocol are proposed) to District and CPM. Source test results to District and CPM	Revised source test protocol (if proposed), test result report	Submit protocol 45 days before test date to Notify District and CPM	TBD			Not Started							SERC	DSR	
21	AQ	AQ-D2b	COM/OPS	Operations Source Test - Owner must conduct air pollutant source tests for SOX, VOC, and PM10 once every three years. See Decision for methods, averaging times, and test location. Notify District prior to test of date and time of test. See Decision for further test specifications.	Revised test protocol (if changes to the previously approved protocol are proposed) to District and CPM. Source test results to District and CPM	Revised source test protocol (if proposed), test result report	Submit results 60 days after the test. Notify District and CPM	TBD			Not Started							SERC	DSR	
22	AQ	AQ-D2c	COM/OPS	Operations Source Test - Owner must conduct air pollutant source tests for SOX, VOC, and PM10 once every three years. See Decision for methods, averaging times, and test location. Notify District prior to test of date and time of test. See Decision for further test specifications.	Revised test protocol (if changes to the previously approved protocol are proposed) to District and CPM. Source test results to District and CPM	Revised source test protocol (if proposed), test result report	Notify District and CPM 10 days before the test of date and time. Test every three years.	TBD			Not Started							SERC	DSR	
23	AQ	AQ-D3a	COM/OPS	NH₃ Source Test - Owner must conduct air pollutant source tests for NH ₃ during first 12 months of operation and annually after that. See Decision for methods, averaging times, and test location. Notify District prior to test of date and time of test. See Decision for further test specifications.	Revised test protocol (if changes to the previously approved protocol are proposed) to District and CPM. Source test results to District and CPM	Revised source test protocol (if proposed), test result report	Submit protocol 45 days before test date to District and CPM	TBD			Not Started							SERC	DSR	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
24	AQ	AQ-D3b	COM/OPS	NH3 Source Test - Owner must conduct air pollutant source tests for NH ₃ during first 12 months of operation and annually after that. See Decision for methods, averaging times, and test location. Notify District prior to test of date and time of test. See Decision for further test specifications.	Revised test protocol (if changes to the previously approved protocol are proposed) to District and CPM. Source test results to District and CPM	Revised source test protocol (if proposed), test result report	Submit results 60 days after the test to District and CPM	TBD		Not Started							SERC	DSR		
25	AQ	AQ-D3c	COM/OPS	NH3 Source Test - Owner must conduct air pollutant source tests for NH ₃ during first 12 months of operation and annually after that. See Decision for methods, averaging times, and test location. Notify District prior to test of date and time of test. See Decision for further test specifications.	Revised test protocol (if changes to the previously approved protocol are proposed) to District and CPM. Source test results to District and CPM	Revised source test protocol (if proposed), test result report	Notify District and CPM 10 days before the test of date and time.	TBD		Not Started							SERC	DSR		
26	AQ	AQ-D3d	COM/OPS	NH3 Source Test - Owner must conduct air pollutant source tests for NH ₃ during first 12 months of operation and annually after that. See Decision for methods, averaging times, and test location. Notify District prior to test of date and time of test. See Decision for further test specifications.	Revised test protocol (if changes to the previously approved protocol are proposed) to District and CPM. Source test results to District and CPM	Revised source test protocol (if proposed), test result report	Test quarterly in first 12 months and annual thereafter.	on going		Not Started							SERC	DSR		
27	AQ	AQ-D4a	COM/OPS	CEMS for CO - Install a CEMS to measure CO concentrations, corrected to 15 percent oxygen, dry basis to demonstrate compliance with BACT limit of 4.0 ppmvd CO at 15% oxygen. See Decision for CO conversion rate formula.	Approved CEMS plan. Owner to make site available for inspection of records by District, ARB, and Commission	CEMS Plan	Submit approved CEMS plan to CPM within 90 days of SCAQMD approval.	TBD		Not Started							SERC	DSR		
28	AQ	AQ-D4b	COM/OPS	CEMS for CO - Install a CEMS to measure CO concentrations, corrected to 15 percent oxygen, dry basis to demonstrate compliance with BACT limit of 4.0 ppmvd CO at 15% oxygen. See Decision for CO conversion rate formula.	Approved CEMS plan. Owner to make site available for inspection of records by District, ARB, and Commission	CEMS Plan	Initial certification testing within 90 days of the conclusion of turbine commissioning period.	TBD		Not Started							SERC	DSR		
29	AQ	AQ-D5a	COM/OPS	CEMS for NOx - Install a CEMS to measure NOx concentrations, corrected to 15 percent oxygen, dry basis to demonstrate compliance with BACT limit of 4.0 ppmvd CO at 15% oxygen. See Decision for CO conversion rate formula.	Approved CEMS plan. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	CEMS Plan	Submit approved CEMS plan to CPM within 90 days of SCAQMD approval.	TBD		Not Started							SERC	DSR		
30	AQ	AQ-D5b	COM/OPS	CEMS for NOx - Install a CEMS to measure NOx concentrations, corrected to 15 percent oxygen, dry basis to demonstrate compliance with BACT limit of 4.0 ppmvd CO at 15% oxygen. See Decision for CO conversion rate formula.	Approved CEMS plan. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	CEMS Plan	Initial certification testing within 90 days of the conclusion of turbine commissioning period.	TBD		Not Started							SERC	DSR		
31	AQ	AQ-D6a	COM/OPS	Meter for NH₃ Flow - Install a meter to measure the total hourly flow/throughput of injected ammonia (NH ₃). The flow meter must be accurate to +/- 5 percent and calibrated annually. Maintain ammonia injection rate between 12 and 200 pounds per hour (except during startups and shutdowns).	Documentation of compliance in the Monthly Compliance Report. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	Calibrate NH3 Meter	Prior to first fire	12/14/2019		Not Started							SERC	DSR		
32	AQ	AQ-D6b	COM/OPS	Meter for NH₃ Flow - Install a meter to measure the total hourly flow/throughput of injected ammonia (NH ₃). The flow meter must be accurate to +/- 5 percent and calibrated annually. Maintain ammonia injection rate between 12 and 200 pounds per hour (except during startups and shutdowns).	Documentation of compliance in the Monthly Compliance Report. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	Documentation demonstrating compliance in Quarterly Operations Report, including table of shutdowns	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR		
33	AQ	AQ-D6c	COM/OPS	Meter for NH₃ Flow - Install a meter to measure the total hourly flow/throughput of injected ammonia (NH ₃). The flow meter must be accurate to +/- 5 percent and calibrated annually. Maintain ammonia injection rate between 12 and 200 pounds per hour (except during startups and shutdowns).	Documentation of compliance in the Monthly Compliance Report. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	Calibrate NH3 Meter	Once every 12 months	on going		Not Started							SERC	DSR		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
34	AQ	AQ-D7a	COM/OPS	SCR Temperature Gauge - Install a gauge to measure temperature of the SCR reactor inlet. Temperature should be recorded once per hour and calibrated based on the average of the continuous monitoring for that hour. The gauge should be accurate to +/- 5 percent and calibrated once per 12 months. Maintain SCR/CO catalyst inlet temperature between 460 and 855 degrees F (except during startups and shutdowns).	Documentation of compliance in the Monthly Compliance Report. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	Calibrate SCR Inlet temperature gauge	Prior to first fire	12/14/2019		Not Started							SERC	DSR		
35	AQ	AQ-D7b	COM/OPS	SCR Temperature Gauge - Install a gauge to measure temperature of the SCR reactor inlet. Temperature should be recorded once per hour and calibrated based on the average of the continuous monitoring for that hour. The gauge should be accurate to +/- 5 percent and calibrated once per 12 months. Maintain SCR/CO catalyst inlet temperature between 460 and 855 degrees F (except during startups and shutdowns).	Documentation of compliance in the Monthly Compliance Report. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	Documentation demonstrating compliance in Quarterly Operations Report, including table of shutdowns	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started								SERC	DSR	
36	AQ	AQ-D7b	COM/OPS	SCR Temperature Gauge - Install a gauge to measure temperature of the SCR reactor inlet. Temperature should be recorded once per hour and calibrated based on the average of the continuous monitoring for that hour. The gauge should be accurate to +/- 5 percent and calibrated once per 12 months. Maintain SCR/CO catalyst inlet temperature between 460 and 855 degrees F (except during startups and shutdowns).	Documentation of compliance in the Monthly Compliance Report. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	Calibrate SCR Inlet temperature gauge	Once every 12 months	on going		Not Started								SERC	DSR	
37	AQ	AQ-D8a	COM/OPS	SCR Pressure Gauge - Install a gauge to measure differential pressure across the SCR catalyst bed in inches water column. Pressure should be recorded at least once per month and calculated based on the average of the continuous monitoring for that month. The gauge should be accurate to +/- 5 percent and calibrated once per 12 months. Maintain pressure differential not to exceed between 6.0 inches water column.	Documentation of compliance in the Monthly Compliance Report. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	Calibrate DP pressure gauge	Prior to first fire	12/14/2019		Not Started								SERC	DSR	
38	AQ	AQ-D8b	COM/OPS	SCR Pressure Gauge - Install a gauge to measure differential pressure across the SCR catalyst bed in inches water column. Pressure should be recorded at least once per month and calculated based on the average of the continuous monitoring for that month. The gauge should be accurate to +/- 5 percent and calibrated once per 12 months. Maintain pressure differential not to exceed between 6.0 inches water column.	Documentation of compliance in the Monthly Compliance Report. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	Documentation demonstrating compliance in Quarterly Operations Report, including table of shutdowns	Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started								SERC	DSR	
39	AQ	AQ-D8c	COM/OPS	SCR Pressure Gauge - Install a gauge to measure differential pressure across the SCR catalyst bed in inches water column. Pressure should be recorded at least once per month and calculated based on the average of the continuous monitoring for that month. The gauge should be accurate to +/- 5 percent and calibrated once per 12 months. Maintain pressure differential not to exceed between 6.0 inches water column.	Documentation of compliance in the Monthly Compliance Report. Owner to make site available for inspection of records by District, ARB, and Commission. (See also AQ-D4).	Calibrate DP pressure gauge	Once every 12 months	on going		Not Started								SERC	DSR	
40	AQ	AQ-E1	CONS	The project owner shall upon completion of construction, operate and maintain this equipment according to the following requirements: In accordance with all air quality mitigation measures stipulated in the final California Energy Commission decision for the 16-AFC-01 project. [CA PRC CEQA, 5-12-2017] [Devices subject to this condition: D1, C3, C4, D7, C9, C10, D13]	The project owner shall make the site available for inspection by representatives of the District, ARB, U.S. EPA and the Energy Commission.	make the site available for inspection	on going	on going		Not Started								SERC	DSR	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
41	AQ	AQ-E2	CONS	Permit to Construct - The Permit to Construct shall expire one year from the Permit to Construct issuance date, unless a Permit to Construct extension has been granted by the Executive Officer or unless the equipment has been constructed and the operator has notified the District Executive Officer prior to the operation of the equipment, in which case the Permit to Construct serves as a temporary Permit to Operate.	Owner to make site available for inspection of records by District, ARB, US EPA, and the Commission.	representatives of the District, ARB, U.S. EPA and the Energy Commission.	NA	conditional		Not Started							SERC	DSR		
42	AQ	AQ-E3	COM/OPS	Commissioning Hours - Total commissioning hours shall not exceed 100 hours of fired operation for each turbine from the date of initial turbine startup. Commissioning hours without control shall not exceed 38 of the 100 commissioning hours. Two turbines may be commissioned at the same time. Turbines shall be vented to the CO Oxidation catalyst and SCR control system during any turbine operation after commissioning is completed.	Submit all records to demonstrate compliance in the Quarterly Operational Report. Owner to make site available for inspection of records by District, ARB, US EPA, and Commission.	Submit records including total commissioning hours, emission hours without control, natural gas fuel use for pre-catalyst phase and catalyst phase per turbine.	Submit compliance documentation as part of the Quarterly Operational Report, per AQ-SC7	on going		Not Started								SERC	DSR	
43	AQ	AQ-E4	COM/OPS	CO₂ Emission Limit - 120 lbs/MMBtu CO ₂ emission limit for non-base load turbines shall apply. Compliance with the 120 lbs/MMBtu CO ₂ emission limit shall be determined on a 12-operating-month rolling average basis.	Submit all emissions and emission calculations to demonstrate compliance to the CPM for approval.	Submit all emissions and emission calculations as part of the 4th Quarterly Operational Report (AQ-SC7).		on going		Not Started								SERC	DSR	
44	AQ	AQ-E5	COM/OPS	The project owner shall vent this equipment, during filling, only to the vessel from which it is being filled.	Make the site available for inspection by representatives of the District, ARB, EPA and the Energy Commission.			on going		Not Started								SERC	DSR	
45	AQ	AQ-F1	CONS/COM/OPS	Air Discharge Limits - Except for open abrasive blasting operations, the project owner shall not discharge into the atmosphere from any single source of emissions whatsoever any air contaminant for a period or periods aggregating more than three minutes in any one hour which is: (a) As dark or darker in shade as that designated No. 1 on the Ringelmann chart, as published by the United States Bureau of Mines; or (b) Of such opacity as to obscure an observer's view to a degree equal to or greater than does smoke described in subparagraph (a) of this condition.	Make the site available for inspection by representatives of the District, ARB, EPA and the Commission.	NA	Design and operation	conditional		Not Started								SERC	DSR	
46	AQ	AQ-H1	COM/OPS	NOx CEMS Performance Evaluation - Initial performance test of the turbine to demonstrate compliance of §60.4380, and §	The project owner shall make the site available for inspection by representatives of the District, ARB, U.S. EPA and the Energy Commission.		No later than 180 days after initial start-up	6/11/2020		Not Started								SERC	DSR	
47	AQ	AQ-H2	COM/OPS	Nox CEMS requirements - The Nox CEMS shall comply with the requirements of conditions D82.2 (AQD5), H23.1 (AQ-H1), and H23.2 (AQ-H2).	The project owner shall make the site available for inspection by representatives of the District, ARB, U.S. EPA and the Energy Commission.			On Going		Not Started								SERC	DSR	
48	AQ	AQ-H3	COM/OPS	Refrigerants Requirements - The equipment is subject to the applicable requirements of District Rule 1415. [Devices subject to this condition: E15]	The project owner shall make the site available for inspection by representatives of the District, ARB, U.S. EPA and the Energy Commission.			On Going		Not Started								SERC	DSR	
49	AQ	AQ-H4	COM/OPS	Refrigerants Requirements - This equipment is subject to Rule 40 CFR 82, Subpart F. [Devices subject to this condition: E15]	The project owner shall make the site available for inspection by representatives of the District, ARB, U.S. EPA and the Energy Commission.			On going		Not Started								SERC	DSR	
50	AQ	AQ-K1	COM/OPS	Source Test Results - The owner must provide source test results to the District 90 days after testing. See the Decision for detailed requirements.		Source test results	No later than 90 days following the source test date	TBD		Not Started								SERC	DSR	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
51	AQ	AQ-K2	CONS/COM/OPS	The project owner shall keep records, in a manner approved by the district, for the following parameter(s) or item(s): For architectural applications where no thinners, reducers, or other VOC containing materials are added, maintain semi-annual records for all coating consisting of (a) coating type, (b) VOC content as supplied in grams per liter (g/l) of materials for low-solids coatings, (c) VOC content as supplied in g/l of coating, less water and exempt solvent, for other coatings. For architectural applications where thinners, reducers, or other VOC containing materials are added, maintain daily records for each coating consisting of (a) coating type, (b) VOC content as applied in grams per liter (g/l) of materials used for low-solids coatings, (c) VOC content as applied in g/l of coating, less water and exempt solvent, for other coatings. [RULE 3004(a)(4) - Periodic Monitoring, 12-12-1997] [Devices subject to this condition: E14]	The project owner shall make the site available for inspection by representatives of the District, ARB, U.S. EPA and the Energy Commission.	make site available for inspection	on going	on going		Not Started							SERC	TLB		
54	AQ	AQ-SC3	CONS	Air Quality Fugitive Dust MCR - The AQMM shall submit documentation to the CPM in each Monthly Compliance Report (MCR) that demonstrates compliance with the following mitigation measures for the purposes of minimizing fugitive dust emissions created from construction activities and preventing all fugitive dust plumes from leaving the project site and linear facility routes. Any deviation from the following mitigation measures shall require prior CPM notification and approval. (See Decision for list of items (A through N).	Provide a Monthly Compliance Report to the CPM that summarizes all actions taken to maintain compliance with this condition, including complaints filed with the District and other documentation necessary.	MCR	Monthly	On going		In Progress							SERC	GAL		
55	AQ	AQ-SC4	CONS	AQ Dust Plume Monitoring - The AQMM or delegate shall monitor all construction activities for visible dust plumes. Observations of visible dust plumes that have the potential to be transported: (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner, indicate that existing mitigation measures are not resulting in effective mitigation. The AQMM or delegate shall implement the following procedures for additional mitigation measures in the event that such visible dust plumes are observed and shall include a section in the AQCMP detailing how the additional mitigation measures will be accomplished within the time limits specified: (See Decision AQ-SC4 for Steps 1 through 3 for dust plume response)	Provide a Monthly Compliance Report to the CPM that summarizes all actions taken to maintain compliance with this condition, including complaints filed with the District and other documentation necessary.	MCR	Monthly	On going		In Progress							SERC	GAL		
56	AQ	AQ-SC5	CONS	AQ Construction Mitigation Report - The AQMM shall submit to the CPM, in the MCR, a construction mitigation report that demonstrates compliance with the following mitigation measures for purposes of controlling diesel construction related emissions. Any deviation from the following mitigation measures shall require prior CPM notification and approval. (See Decision AQ-SC5 for items A through F).	Include a table in the MCR: (1) a summary of all actions taken to maintain compliance with this condition; (2) a list of all heavy equipment used on site during that month, including the owner of that equipment and a letter from each owner indicating that the equipment has been properly maintained; and (3) any other documentation deemed necessary by the CPM and AQMM to verify compliance with this condition.	MCR	Monthly	On going		In Progress							SERC	GAL		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																		
2	All Phases																		
3																			
4	Version 3/11/2019																		
	Based on Final Staff Assessment																		
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date											
									Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
57	AQ	AQ-SC6a	CONS/COM/OPS	Air Permit Modifications - The project owner shall provide the CPM copies of any District-issued project air permit for the facility. The project owner shall submit to the CPM for review and approval any modification proposed by the project owner to any project air permit. The project owner shall submit to the CPM any modification to any permit proposed by the District or U.S. EPA, and any revised permit issued by the District or U.S. EPA, for the project.	Submit any proposed air permit modification to the CPM within five working days of either: 1) submittal by the project owner to an agency, or 2) receipt of proposed modifications from an agency.	Air permit modifications (if needed)	Within 5 working days of proposing permit modification.	conditional		Conditional							SERC	GAL	
58	AQ	AQ-SC6b	CONS/COM/OPS	Submit Modified Air Permit - See AQ-SC6a	Submit modified permit to CPM	Modified permit	Within 15 days of receipt	conditional		Conditional							SERC	GAL	
59	AQ	AQ-SC7	COM/OPS	CPM Quarterly Operation Reports - Project owner shall submit to the CPM Quarterly Operation Reports, following the end of each calendar quarter. Operational and emissions information as necessary to demonstrate compliance with the Conditions of Certification herein to be included.			Quarterly, no less than 30 days after end of the quarter (See AQ-SC7)	on going		Not Started							SERC	DSR	
61	BIO	BIO-1b	PC/CONS	Designated Biologist Selection - The project owner shall assign at least one Designated Biologist to the project. The project owner shall submit the resume of the proposed Designated Biologist, with at least three references and contact information, to the Energy Commission compliance project manager (CPM) for approval. The Designated Biologist must meet the minimum qualifications (1) through (3) in this condition (BIO-1). See Decision for qualifications.	If a Designated Biologist is replaced, the specified information for the proposed replacement must be submitted to the CPM at least ten working days prior to the termination or release of the preceding Designated Biologist.	DB Resume	Notify CPM 10 working days in advance of replacing DB.	conditional		Conditional							JACOBS	GAL	
62	BIO	BIO-2a	CONS	Designated Biologist Duties - The project owner shall ensure that the Designated Biologist performs the following during any site (or related facilities) mobilization, ground disturbance, grading, construction, operation, closure, or restoration activities. The Designated Biologist may be assisted by the approved Biological Monitor(s) but remains the contact for the project owner and CPM. The Designated Biologist duties shall include the following: (See Decision for Items 1-10)	Submit in the monthly compliance report to the CPM copies of all written reports and summaries that document construction activities that have the potential to affect biological resources.	Reports and summaries in the MCR and Annual Compliance Report.	Monthly/Annually	On going		In Progress							SERC	GAL	
63	BIO	BIO-2b	OPS	Designated Biologist Duties - The project owner shall ensure that the Designated Biologist performs the following during any site (or related facilities) mobilization, ground disturbance, grading, construction, operation, closure, or restoration activities. The Designated Biologist may be assisted by the approved Biological Monitor(s) but remains the contact for the project owner and CPM. The Designated Biologist duties shall include the following: (See Decision for Items 1-10)	Submit in the monthly compliance report to the CPM copies of all written reports and summaries that document construction activities that have the potential to affect biological resources.	MCR's and ACR's	Monthly/Annually	on going		In Progress							SERC	GAL	
65	BIO	BIO-3b	CONS/COM/OPS	Biological Monitor Selection - The project owner's Designated Biologist shall submit the resumes, at least 3 references and contact information, of the proposed Biological Monitors to the CPM for approval.	Submit the specified information to the CPM for approval no less than 30 days prior to the start of any pre-construction site mobilization. The Designated Biologist shall submit a written statement to the CPM confirming that the individual Biological Monitor(s) have been trained including the date when training was completed.	If Additional BMs are needed during construction	Approval from CPM at least 10 days prior to their first day of monitoring activities.	conditional		Conditional							JACOBS	GAL	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
66	BIO	BIO-4a	CONS/COM/OPS	Designated Biologist and Biological Monitor Authority - The project owner's construction/operation manager shall act on the advice of the Designated Biologist and Biological Monitor(s) to ensure conformance with the biological resources conditions of certification. If required by the Designated Biologist and/or Biological Monitor(s) the project owner's construction/operation manager shall halt all site mobilization, ground disturbance, grading, construction, and operation activities in areas specified by the Designated Biologist. The Designated Biologist shall (paraphrase) have the authority to stop construction and notify the CPM of the work stoppage.	Ensure that the DB or BM notify the CPM of any non-compliance or halt of construction.	BM Notify CPM	Morning following the incident (or Monday morning in case of a weekend)	conditional		Conditional							JACOBS	GAL		
67	BIO	BIO-4b	CONS/COM/OPS	Designated Biologist and Biological Monitor Authority - The project owner's construction/operation manager shall act on the advice of the Designated Biologist and Biological Monitor(s) to ensure conformance with the biological resources conditions of certification. If required by the Designated Biologist and/or Biological Monitor(s) the project owner's construction/operation manager shall halt all site mobilization, ground disturbance, grading, construction, and operation activities in areas specified by the Designated Biologist. The Designated Biologist shall (paraphrase) have the authority to stop construction and notify the CPM of the work stoppage.	Ensure that the DB or BM notify the CPM of any non-compliance or halt of construction.	Project Owner Notify CPM of circumstances and actions being taken to resolve the problem	Morning following the incident (or Monday morning in case of a weekend)	conditional		Conditional							SERC	GAL		
70	BIO	BIO-5c	CONS/OPS	WEAP Training Acknowledgement Forms on File - See BIO-5a	Workers sign training acknowledgement forms and receive a hardhat sticker indicating they have received training. Training acknowledgement forms to be kept on file for six months after commercial operation and made available to the CPM on request.	Training acknowledgement forms and issue hard hat stickers	Kept on file for six months after commercial operation begins	11/28/2020		In Progress							ARB	GAL		
71	BIO	BIO-5d	CONS/OPS	WEAP Training Acknowledgement Forms on File - See BIO-5a	Workers sign training acknowledgement forms and receive a hardhat sticker indicating they have received training. Training acknowledgement forms to be kept on file for six months after commercial operation and made available to the CPM on request.	Provide monthly compliance report of number of persons who have completed the training in the prior month and a running total of all persons who have completed the training to date	Monthly	On going		In Progress							ARB	GAL		
72	BIO	BIO-5e	CONS/COM/OPS	WEAP Training Acknowledgement Forms on File - See BIO-5a	Workers sign training acknowledgement forms and receive a hardhat sticker indicating they have received training. Training acknowledgement forms to be kept on file for six months after commercial operation and made available to the CPM on request.	Provide annual WEAP training to permanent employees and WEAP training for new employees	Annually for permanent employees, training within 1 week for new employees	annual training and new employee training		Not Started							SERC	DSR		
74	BIO	BIO-6b	PC/CONS/OPS	Additional Permits (BRMIMP) - See BIO-6a If additional permits are received after the BRMIMP is first submitted, provide these to the CPM and submit a revised BRMIMP.	Submit permits not received before the draft BRMIMP is submitted to the CPM. Revised and re-submit the BRMIMP to include discussion of such permits.	Revised BRMIMP	Submit copies to CPM with 5 days of receipt. Provide revised BRMIMP within 10 days of permit receipt	conditional		Conditional							JACOBS	GAL		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
75	BIO	BIO-6c	PC/CONS	Modifying the BRMIMP - The project owner shall notify the CPM no less than 5 working days before implementing any modifications to the approved BRMIMP to obtain CPM approval.	Notify the CPM in 5 working days. Any changes to the approved BRMIMP must also be approved by the CPM in consultation with appropriate agencies to ensure no conflicts exist.	Modifications to approved BRMIMP	Notify CPM no less than 5 working days before implementing the modifications	conditional		Conditional							SERC	GAL		
76	BIO	BIO-6d	CONS	BRMIMP Monthly Compliance Report - See BIO-6a. Implementation of BRMIMP measures shall be reported in the monthly compliance reports by the Designated Biologist (i.e., survey results, construction activities that were monitored, species observed).	Document compliance in MCR	MCR	Monthly	On going		In Progress							SERC	GAL		
77	BIO	BIO-6e	CONS	BRMIMP Construction Closure Report - See BIO-6a. Provide a written Construction Closure Report identifying which items of the BRMIMP have been completed, a summary of all modifications to the mitigation measure made during the project's site mobilization, and ground disturbance, grading, and construction phases, and which mitigation and monitoring items are still outstanding.	Submit Construction Closure Report to CPM	Construction Closure Report	Within 30 days of construction completion	TBD		Not Started							JACOBS	GAL		
78	BIO	BIO-7a	CONS	General Impact Avoidance and Mitigation Measures - Implement the following measures during mobilization and construction to avoid and minimize impacts to biological resources: (See Decision for 12 specific measures).	All mitigation measures and their implementation methods shall be included in the BRMIMP.	Monthly Compliance Report	Monthly	On going		In Progress							SERC	GAL		
79	BIO	BIO-7b	CONS	General Impact Avoidance and Mitigation Measures - Implement the following measures during mobilization and construction to avoid and minimize impacts to biological resources: (See Decision for 12 specific measures).	All mitigation measures and their implementation methods shall be included in the BRMIMP.	Construction Closure Report (See BIO-6c)	Within 30 days of the completion of construction (CCR), implementation of measures ongoing during construction.	TBD		Not Started							JACOBS	GAL		
80	BIO	BIO-8a1	PC/CONS	Pre-Construction Nest Surveys and Impact Avoidance and Minimization Measures for Breeding Birds - Field Notes - Pre-construction nest surveys shall be conducted if construction work will occur from February 15 through August 31 The term "work" shall be defined as all site assessment, pre-construction activities, site mobilization, and ground disturbing construction activities. The Designated Biologist or Biological Monitor shall perform surveys in accordance with the following guidelines: (See Decision for 8 specific guideline items - the following is a brief summary). These include survey within 500 feet of the project boundary. Two pre-construction surveys, separated by a 10-day interval. Conduct surveys no more than 14 days before construction start. One survey within 3 days before construction start. Establish buffer zones for active nests. Inform the CPM of nest finds.	Notify to the CPM, CDFW, and USFWS at least 2 weeks prior to initiating surveys; notification shall include the name and resume of the biologist(s) conducting the surveys and the timing of the surveys.	Provide field notes to CPM and CDFW within 24 hours of survey.	Notify CPM, CDFW, and USFWS 2 weeks before survey.	2/1/2019 or 2/4/2019	1/22/2019	In Progress				CDFW, USFWS	22-Jan-19		JACOBS	GAL		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																		
2	All Phases																		
3																			
4	Version 3/11/2019																		
5	Based on Final Staff Assessment																		
	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
81	BIO	BIO-8a2	CONS	Pre-Construction Nest Surveys and Impact Avoidance and Minimization Measures for Breeding Birds - Field Notes - Pre-construction nest surveys shall be conducted if construction work will occur from February 15 through August 31. The term "work" shall be defined as all site assessment, pre-construction activities, site mobilization, and ground disturbing construction activities. The Designated Biologist or Biological Monitor shall perform surveys in accordance with the following guidelines: (See Decision for 8 specific guideline items - the following is a brief summary). These include survey within 500 feet of the project boundary. Two pre-construction surveys, separated by a 10-day interval. Conduct surveys no more than 14 days before construction start. Once survey within 3 days before construction start. Establish buffer zones for active nests. Inform the CPM of nest finds.	Notify to the CPM, CDFW, and USFWS at least 2 weeks prior to initiating surveys; notification shall include the name and resume of the biologist(s) conducting the surveys and the timing of the surveys.	Provide field notes to CPM and CDFW within 24 hours of survey.	Provide field notes within 24 hours of survey	1/21/2019, 2/1/2019, 2/4/2019 2/11/2019	1/22/2019 2/1/2019	In Progress				CDFW, USFWS			JACOBS	GAL	
82	BIO	BIO-8b	CONS	Preconstruction Nest Survey Letter Report - (See Decision BIO-8a for specific guideline items)	Letter-report to CPM, CDFW, and USFWS describing the findings of the preconstruction nest surveys	Letter report of preconstruction survey findings	Prior to the start of pre-construction mobilization	1/22/2019, 2/2/2019, 2/5/2019 (optional) 2/12/2019	1/28/2019 2/8/2019 2/27/2019	Completed	NA			CDFW,USFWS			JACOBS	GAL	
83	BIO	BIO-8c	CONS	Implementation of Nest Surveys and Inclusion in BRMIMP - (See Decision BIO-8a for specific guideline items)	All impact avoidance and minimization measures related to nesting birds shall be included in the BRMIMP and implemented.	Revised BRMIMP (BIO-6)	After pre-construction nesting surveys	On-going	NA	On-going	NA						JACOBS	GAL	
84	BIO	BIO-8d	CONS	Monthly Reporting for Preconstruction Nest Surveys - (See Decision BIO-8 for 8 specific guideline items)	Implementation of the measures shall be reported in the MCRs by the Designated Biologist.	MCR	Monthly	On going		In Progress							JACOBS	GAL	
85	BIO	BIO-9a	CONS	Jack and Bore Drilling Best Management Practices - During construction using jack and bore drilling techniques the Designated Biologist or Biological Monitor must be present at all times. The Designated Biologist or Biological Monitor must be allowed to monitor all activities pertaining to drilling under Carbon Creek Channel and the Anaheim-Barber Channel, and shall be given authority to do the following, including but not limited to: (See Decision for 6 items)	Notify the CPM and CDFW in the event of a frac-out, non-compliance, or halt of jack-and-bore operations.	Notification of a frac-out to CPM and CDFW	No later than the following morning of the incident or Monday morning in case of a weekend	conditional		Not Started							SERC	GAL	
86	BIO	BIO-9b	CONS	Jack and Bore Drilling Best Management Practices - During construction using jack and bore drilling techniques the Designated Biologist or Biological Monitor must be present at all times. The Designated Biologist or Biological Monitor must be allowed to monitor all activities pertaining to drilling under Carbon Creek Channel and the Anaheim-Barber Channel, and shall be given authority to do the following, including but not limited to: (See Decision for 6 items)	Notify the CPM and CDFW in the event of a frac-out, non-compliance, or halt of jack-and-bore operations.	Notification of any non-compliance or a halt of any jack and bore drilling operations to CPM and CDFW and actions being taken to resolve the problem	No later than the following morning of the incident or Monday morning in case of a weekend	conditional		Not Started							SERC	GAL	
87	CIVIL	CIVIL-1a	PC/CONS	Drainage Structure Design and Grading Plan - Submit to the CBO for review and approval the design of the proposed drainage structures and the grading plan; an erosion and sedimentation control plan; a construction storm water pollution prevention plan; related calculations and specifications, signed and stamped by the responsible civil engineer; and soils, geotechnical, or foundation investigations reports required by the 2016 CBC.	At least 15 days (or project owner- and CBO-approved alternative time frame) prior to the start of site grading, submit the documents described in this condition to the CBO for design review and approval.	Drainage & grading design /erosion and sediment control plan / construction SWPPP / related calcs & specs / soils, geotechnical, or foundation reports	At least 15 days prior to the start of site grading	12/18/2018	1/17/2019	Completed				1.1: PC1 1/17/2019 1.1 PC2 1.2: 1/18/19			SERC	TAT	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
93	CIVIL	CIVIL-2a	CONS	Adverse Soil/Geologic Conditions - The resident engineer shall, if appropriate, stop all earthwork and construction in the affected areas when the responsible soils engineer, geotechnical engineer, or the civil engineer experienced and knowledgeable in the practice of soils engineering, identifies unforeseen adverse soil or geologic conditions. The project owner shall submit modified plans, specifications, and calculations to the CBO based on these new conditions. The project ownershall obtain approval from the CBO before resuming earthwork and construction in the affected area.	The project owner shall submit modified plans, specifications, and calculations to the CBO based on these new conditions.	Submit modified plans, specifications, and calculations to CBO	when unforeseen adverse soil or geologic conditions are identified by RE	conditional		Conditional							SERC	GAL		
94	CIVIL	CIVIL-2b	CONS	Adverse Soil/Geologic Conditions - The resident engineer shall, if appropriate, stop all earthwork and construction in the affected areas when the responsible soils engineer, geotechnical engineer, or the civil engineer experienced and knowledgeable in the practice of soils engineering, identifies unforeseen adverse soil or geologic conditions. The project owner shall submit modified plans, specifications, and calculations to the CBO based on these new conditions. The project ownershall obtain approval from the CBO before resuming earthwork and construction in the affected area.	The project owner shall notify the CPM within 24 hours when earthwork and construction is stopped as a result of unforeseen adverse geologic/soil conditions.	Notify CPM of a work stoppage	Notify within 24 hours	conditional		Conditional							SERC	GAL		
95	CIVIL	CIVIL-2c	CONS	Adverse Soil/Geologic Conditions - The resident engineer shall, if appropriate, stop all earthwork and construction in the affected areas when the responsible soils engineer, geotechnical engineer, or the civil engineer experienced and knowledgeable in the practice of soils engineering, identifies unforeseen adverse soil or geologic conditions. The project owner shall submit modified plans, specifications, and calculations to the CBO based on these new conditions. The project ownershall obtain approval from the CBO before resuming earthwork and construction in the affected area.	Within 24 hours of the CBO's approval to resume earthwork and construction in the affected areas, the project owner shall provide to the CPM a copy of the CBO's approval	Copy of CBO's approval letter to CPM	Within 24 hours of the CBO's approval to resume work	conditional		Conditional							SERC	GAL		
96	CIVIL	CIVIL-3a	CONS	Inspections and Discrepancy Reporting - The project owner shall perform inspections in accordance with the 2016 CBC. All plant site-grading operations, for which a grading permit is required, shall be subject to inspection by the CBO. If, in the course of inspection, it is discovered that the work is not being performed in accordance with the approved plans, the discrepancies shall be reported immediately to the resident engineer, the CBO, and the CPM. The project owner shall prepare a written report, with copies to the CBO and the CPM, detailing all discrepancies, non-compliance items, and the proposed corrective action.	Within five days of the discovery of any discrepancies, the resident engineer shall transmit to the CBO a non-conformance report (NCR), and the proposed corrective action for review and approval.	RE will submit non-conformance report to CBO and proposed corrective action	Non-conformance report within 5 days of the discovery of any discrepancies	conditional		Conditional							SERC	TLB/TAT		
97	CIVIL	CIVIL-3b	CONS	Inspections and Discrepancy Reporting - The project owner shall perform inspections in accordance with the 2016 CBC. All plant site-grading operations, for which a grading permit is required, shall be subject to inspection by the CBO. If, in the course of inspection, it is discovered that the work is not being performed in accordance with the approved plans, the discrepancies shall be reported immediately to the resident engineer, the CBO, and the CPM. The project owner shall prepare a written report, with copies to the CBO and the CPM, detailing all discrepancies, non-compliance items, and the proposed corrective action.	Within five days of the discovery of any discrepancies, the resident engineer shall transmit to the CPM a non-conformance report (NCR), and the proposed corrective action for review and approval.	RE will submit non-conformance report to CPM and proposed corrective action	Non-conformance report within 5 days of the discovery of any discrepancies	conditional		Conditional							SERC	TLB/TAT		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
98	CIVIL	CIVIL-3c	CONS	Inspections and Discrepancy Reporting - The project owner shall perform inspections in accordance with the 2016 CBC. All plant site-grading operations, for which a grading permit is required, shall be subject to inspection by the CBO. If, in the course of inspection, it is discovered that the work is not being performed in accordance with the approved plans, the discrepancies shall be reported immediately to the resident engineer, the CBO, and the CPM. The project owner shall prepare a written report, with copies to the CBO and the CPM, detailing all discrepancies, non-compliance items, and the proposed corrective action.	Within five days of resolution of the NCR, the project owner shall submit the details of the corrective action to the CBO	Project owner shall submit details of corrective action to CBO	within 5 days of resolution of non-compliance report	conditional		Conditional							SERC	TLB/TAT		
99	CIVIL	CIVIL-3d	CONS	Inspections and Discrepancy Reporting - The project owner shall perform inspections in accordance with the 2016 CBC. All plant site-grading operations, for which a grading permit is required, shall be subject to inspection by the CBO. If, in the course of inspection, it is discovered that the work is not being performed in accordance with the approved plans, the discrepancies shall be reported immediately to the resident engineer, the CBO, and the CPM. The project owner shall prepare a written report, with copies to the CBO and the CPM, detailing all discrepancies, non-compliance items, and the proposed corrective action.	Within five days of resolution of the NCR, the project owner shall submit the details of the corrective action to the CPM	Project owner shall submit details of corrective action to CBO	within 5 days of resolution of non-compliance report	conditional		Conditional							SERC	TLB/TAT		
100	CIVIL	CIVIL-3e	CONS	Inspections and Discrepancy Reporting - The project owner shall perform inspections in accordance with the 2016 CBC. All plant site-grading operations, for which a grading permit is required, shall be subject to inspection by the CBO. If, in the course of inspection, it is discovered that the work is not being performed in accordance with the approved plans, the discrepancies shall be reported immediately to the resident engineer, the CBO, and the CPM. The project owner shall prepare a written report, with copies to the CBO and the CPM, detailing all discrepancies, non-compliance items, and the proposed corrective action.	A list of NCRs for the reporting month shall also be included in the following monthly compliance report.	MCR	Monthly	On going		In Progress							SERC	TLB		
101	CIVIL	CIVIL-4a	CONS	Final Grading Plan Approval - After completion of finished grading and erosion and sedimentation control and drainage work, the project owner shall obtain the CBO's approval of the final grading plans (including final changes) for the erosion and sedimentation control work. The civil engineer shall state that the work within his/her area of responsibility was done in accordance with the final approved plans.	CBO's approval of final erosion and sedimentation control and drainage work.	Final grading and drainage plans with engineer's signed statement (See Decision wording).	Within 30 days of the completion of the erosion and sediment control mitigation and drainage work (or CBO-approved alternative time frame)	On going		In Progress							POWER	TAT		
102	CIVIL	CIVIL-4b	CONS	Final Grading Plan Approval - After completion of finished grading and erosion and sedimentation control and drainage work, the project owner shall obtain the CBO's approval of the final grading plans (including final changes) for the erosion and sedimentation control work. The civil engineer shall state that the work within his/her area of responsibility was done in accordance with the final approved plans.	CBO's approval of final erosion and sedimentation control and drainage work.	Project owner shall submit copy of CBO's approval to CPM in next monthly compliance report	Upon CBO approval in next monthly compliance report	Monthly Compliance Report	9/14/2018	Completed	10/19/2018						SERC	GAL		
103	COM	COM-1	CONS/COM/OPS	Unrestricted Access - The project owner shall take all steps necessary to ensure that the CPM, responsible Energy Commission staff, and delegate agencies or consultants, have unrestricted access to the facility site, related facilities, project-related staff, and the records maintained on-site for the purpose of conducting audits, surveys, inspections, or general or closure-related site visits.	Although the CPM will normally schedule site visits on dates and times agreeable to the project owner, the CPM reserves the right to make unannounced visits at any time, whether such visits are by the CPM in person or through representatives from Energy Commission staff, delegated agencies, or consultants.	NA	Life of the project	conditional		In Progress							SERC	TLB		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
104	COM	COM-2	PC/CONS/COM/OPS	Compliance Record - The project owner shall maintain electronic copies of all project files and submittals on-site, or at an alternative site approved by the CPM, for the operational life and closure of the project.	Energy Commission staff and delegate agencies shall, upon request to the project owner, be given unrestricted access to the files maintained pursuant to this condition. Files include Final Decision; Petitions, Amendments and Energy Commission Orders; environmental impact and survey documentation; appraisals, assessments and studies; original and amended structural plans and "as-built" drawings; citations, warnings, violations and corrective actions; required plans, manuals, and training documentation.	NA	Life of the project	on going		In Progress							SERC	TLB		
105	COM	COM-3	PC/CONS/COM/OPS	Compliance Verification Submittals - Verification lead times associated with the start of construction may require the project owner to file submittals during AFC or amendment processing, particularly if construction is planned to commence shortly after certification. The verification procedures, unlike the conditions, may be modified as necessary by the CPM after notice to the project owner.	A cover letter from the project owner or an authorized agent is required for all compliance submittals and correspondence pertaining to compliance matters. (See Decision COM-3 for additional specifications).	Verification submittals	Life of the project	on going		In Progress							SERC	GAL		
108	COM	COM-5	PC/CONS/OPS	Compliance Matrix - The project owner shall submit a compliance matrix to the CPM with each MCR and ACR.	The compliance matrix shall identify the technical area; Condition number; description of the required action or submittal; date required; expected or actual submittal date; compliance status; updated condition language, if amended, and date amended.	Compliance Matrix with MCR and ACR	Monthly with MCR and annually with ACR	On going		In Progress							SERC	GAL		
109	COM	COM-6	PC/CONS	Monthly Compliance Report - The first MCR is due one month following the docketing of the project's Decision unless otherwise agreed to by the CPM. (See Decision COM-6 for specifications).	During pre-construction, construction, or closure, the project owner or authorized agent shall submit an electronic searchable version of the MCR to the CPM. MCRs shall be submitted each month until construction is complete and the final certificate of occupancy is issued by the DCBO.	MCR	Monthly, within 10 business days after the end of each reporting month.	On going		In Progress							SERC	GAL		
110	COM	COM-7	CONS/COM/OPS	Annual Compliance Report - After construction is complete, the project must submit searchable electronic ACRs to the CPM, as well as other periodic compliance reports (PCRs) required by the various technical disciplines. ACRs shall be completed for each year of commercial operation and are due each year on a date agreed to by the CPM. Other PCRs (e.g. quarterly reports or decommissioning reports to monitor closure compliance), may be specified by the CPM. The searchable electronic copies may be filed on an electronic storage medium or by e-mail, subject to CPM approval. Each ACR must include the AFC number, identify the reporting period, and contain the following: Include all 10 items from the COC	After construction is complete, submit annual compliance reports (ACR) and periodic compliance reports (PCR)	Submit searchable electronic ACR to CPM, submit PCRs required by the various technical disciplines	After construction is complete	On going		Not started							SERC	DSR		
111	COM	COM-8	PC/CONS/COM/OPS	Confidential Information - Any information that the project owner designates as confidential shall be submitted to the Energy Commission's Executive Director with an application for confidentiality, pursuant to Title 20, California Code of Regulations, section 2505(a).	Any information deemed confidential pursuant to the regulations will remain undisclosed, as provided in Title 20, California Code of Regulations, section 2501 et seq.	Request for confidentiality	Life of the project	On going		In Progress							SERC	SAG		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																		
2	All Phases																		
3																			
4	Version 3/11/2019																		
	Based on Final Staff Assessment																		
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date											
									Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
112	COM	COM-9	PC/CONS/COM/OPS	Annual Energy Facility Compliance Fee - Pursuant to the provisions of section 25806(b) of the Public Resources Code, the project owner is required to pay an annually adjusted compliance fee.	The initial payment is due on the date the Energy Commission docket its Final Decision. All subsequent payments are due by July 1 of each year in which the facility retains its certification.	Annual Compliance Fee: See http://www.energy.ca.gov/siting/filing_fees.html	Annually, July 1	On going	11/8/2018	In Progress	11/9/2018						SERC	GAL	JM/RRF
113	COM	COM-10	PC/CONS/COM/OPS	Amendments, Staff-Approved Project Modifications, Ownership Changes, and Verification Changes - The project owner shall petition the Energy Commission, pursuant to Title 20, California Code of Regulations, section 1769, to modify the design, operation, or performance requirements of the project or linear facilities, or to transfer ownership or operational control of the facility. The CPM will determine whether staff approval will be sufficient, or whether Commission approval will be necessary. It is the project owner's responsibility to contact the CPM to determine if a proposed project change triggers the requirements of section 1769. Section 1769 details the required contents for a Petition to Amend an Energy Commission Decision. The only change that can be requested by means of a letter to the CPM is a request to change the verification method of a condition of certification.	A project owner is required to submit a \$5,000 dollar fee for every petition to amend a previously certified facility, pursuant to Public Resources Code section 25806(e). If the actual amendment processing costs exceed \$5,000.00, the total Petition to Amend reimbursement fees owed by a project owner will not exceed \$830,336, adjusted annually. Current amendment fee information is available on the Energy Commission's website at http://www.energy.ca.gov/siting/filing_fees.html .	Petition to amend, fees	Life of the project	conditional		Conditional							SERC	PZC	
114	COM	COM-11	PC/CONS/COM/OPS	Reporting of Complaints, Notices, and Citations - Prior to the start of construction or closure, the project owner shall send a letter to property owners within one mile of the project, notifying them of a telephone number to contact project representatives with questions, complaints or concerns. If the telephone is not staffed 24 hours per day, it must include automatic answering with date and time stamp recording. (See Decision COM-11 for specifications).	The project owner shall respond to all recorded complaints within 24 hours or the next business day. The project owner shall post the telephone number onsite and make it easily visible to passersby during construction, operation, and closure. The project owner shall provide the contact information to the CPM and promptly report any disruption to the contact system or telephone number change to the CPM, who will provide it to any persons contacting him or her with a complaint.	Reports of complaints	Within 5 business days of complaint receipt, and MCR, ACR, or PCR.	10/18/2018	12/17/2018	Completed	1/17/2019						SERC	GAL	
115	COM	COM-12a	PC/CONS	Emergency Response Site Contingency Plan - No less than 60 days prior to the start of construction (or other CPM-approved) date, the project owner shall submit, for CPM review and approval, an Emergency Response Site Contingency Plan. The Contingency Plan shall evidence a facility's coordinated emergency response and recovery preparedness for a series of reasonably foreseeable emergency events.	See Decision COM-12 for specifications	Emergency Response Site Contingency Plan	60 days before start of construction	1/21/2019	1/25/2019	Completed	1/29/2019						SERC	TLB	
116	COM	COM-12b	COM/OPS	Emergency Response Site Contingency Plan - Subsequently, no less than 60 days prior to the start of commercial operation, the project owner shall update (as necessary) and resubmit the Contingency Plan for CPM review and approval. The Contingency Plan shall evidence a facility's coordinated emergency response and recovery preparedness for a series of reasonably foreseeable emergency events.	See Decision COM-12 for specifications	Updated Emergency Response Site Contingency Plan	60 prior to COD	4/2/2020		Not Started							SERC	DSR	
117	COM	COM-13a	CONS/COM/OPS	Incident-Reporting Requirements - The project owner shall notify the CPM within one hour after it is safe and feasible, of any incident at the facility that results in (See Decision COM-13 for incident types that apply).	In case of forced outage, fire suppression; chemical, gas, or hazmat release; odorous material release; emergency response incident.	Detailed Incident Report	Within 6 business days of the incident	conditional		Conditional							SERC	GAL	TLB
118	COM	COM-13b	CONS/COM/OPS	Incident-Reporting Requirements - The project owner	After the initial 6-day report, the	monthly status	monthly after	conditional		Conditional							SERC	GAL	TLB

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
119	COM	COM-14	OPS	Non-Operation and Repair/Restoration Plan -No later than two weeks prior to a facility's planned non-operation, or no later than one week after the start of unplanned non-operation, the project owner shall notify the CPM, interested agencies, and nearby property owners of this status. During non-operation, the project owner shall provide written updates to the CPM.			No later than two weeks prior to facility's planned non-operation.	TBD		Conditional							SERC	DSR		
120	COM	COM-15	OPS	Facility Closure Planning -No less than one year prior to closing, or upon an order compelling permanent closure, the owner shall submit a Final Closure Plan and Cost Estimate.			No less than one year prior to closing, or upon an order compelling permanent closure.	TBD		Not Started							SERC	DSR		
122	CUL	CUL-1b	CONS	Replacement CRS - See CUL-1a (CUL-1 Section D.2)	The project owner may replace a CRS. In an emergency, the project owner shall immediately notify the CPM to discuss the qualifications and approval of a short-term replacement while a permanent CRS is proposed to the CPM for consideration.	Resume, references, and contact information of CRS	At least 10 days working days before termination or release of the CRS	conditional		Conditional							JACOBS	GAL		
125	CUL	CUL-1e	PC/CONS	Additional Cultural Resources and Native American monitors - See CUL-1a (CUL-1 Section D.5)	The owner may submit qualifications for additional CRMS or NAMS as needed.	Submit qualifications to the CPM for review and approval	At least 5 days prior to the CRMs or NAMS beginning on-site duties	conditional		conditional							JACOBS	GAL		
126	CUL	CUL-1f	PC/CONS	Additional Cultural Resources Specialists - See CUL-1a (CUL-1 Section D.5)	The owner may submit qualifications for cultural resources specialists.	Submit qualifications to the CPM for review and approval	At least 5 days prior to the specialists beginning on-site duties	conditional	3/6/2019	conditional	3/11/2019						JACOBS	GAL		
130	CUL	CUL-1j	CONS	Discharge the CRS, after receiving approval from the CPM. - See CUL-1a - (CUL-1 Section A.1.2)	After all ground disturbances are completed and the CRS has fulfilled all responsibilities specified in these cultural resources conditions, the project owner may discharge the CRS, after receiving approval from the CPM.	Submit to request to the CPM to discharge the CRS	After all ground disturbances are completed and the CRS has fulfilled all responsibilities specified in these cultural resources conditions	TBD		Not Started							JACOBS	GAL		
132	CUL	CUL-2b	PC/CONS	Revised Maps and Drawings - Prior to the start of construction-related ground disturbance, the start of each phase, and weekly, provide the CRS with the materials described in this condition (CUL-2). No construction-related ground disturbance shall occur prior to CPM approval of maps and drawings, unless such activities are specifically approved by the CPM.	At least 15 days prior to the start of construction-related ground disturbance, if there are changes to any construction-related footprint, provide revised maps and drawings for the changes to the CRS and CPM.	Updated maps and drawings	At least 15 days prior to start of construction-related ground disturbance	Conditional		In Progress							JACOBS	GAL		
133	CUL	CUL-2c	CONS	Construction Phasing - Prior to the start of construction-related ground disturbance, the start of each phase, and weekly, provide the CRS with the materials described in this condition (See Decision CUL-2). No construction-related ground disturbance shall occur prior to CPM approval of maps and drawings, unless such activities are specifically approved by the CPM.	At least 15 days prior to the start of each phase of a phased project, the project owner shall submit the appropriate maps and drawings, if not previously provided, to the CRS and CPM.	Maps and drawings	At least 15 days prior to the start of a construction phase	conditional		In Progress							JACOBS	GAL		
134	CUL	CUL-2d	CONS	Construction Schedule - Prior to the start of construction-related ground disturbance, the start of each phase, and weekly, provide the CRS with the materials described in this condition (See Decision CUL-2). No construction-related ground disturbance shall occur prior to CPM approval of maps and drawings, unless such activities are specifically approved by the CPM.	Provide a schedule of the next week's project activity to the CRS and CPM	Schedule of next week's activities by e-mail, letter, or fax	Weekly during ground disturbance	weekly		In Progress							ARB	GAL		
135	CUL	CUL-2e	CONS	Revised Construction Schedule - Prior to the start of construction-related ground disturbance, the start of each phase, and weekly, provide the CRS with the materials described in this condition (See Decision CUL-2). No construction-related ground disturbance shall occur prior to CPM approval of maps and drawings, unless such activities are specifically approved by the CPM.	Within 5 days of changing the schedule of phases of a phased project, provide written notice of project changes to the CRS and CPM.	Description of changes in phased project	Within 5 days of changing the scheduling of phases	conditional		Conditional							ARB	GAL		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																		
2	All Phases																		
3																			
4	Version 3/11/2019				Based on Final Staff Assessment														
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date											
									Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
136	CUL	CUL-2f	CONS	Replacement CRS - Prior to the start of construction-related ground disturbance, the start of each phase, and weekly, provide the CRS with the materials described in this condition (See Decision CUL-2). No construction-related ground disturbance shall occur prior to CPM approval of maps and drawings, unless such activities are specifically approved by the CPM.	If a new CRS is appointed, provide maps and drawings (see CUL-2) to the new CRS.	Documents, maps and drawings	Within 10 days of the approval of the new CRS	conditional		Conditional							JACOBS	GAL	
139	CUL	CUL-3c	CONS/COM/OPS	Written Agreement with Curation Facility - If cultural materials requiring curation were generated or collected, the project owner shall provide to the CPM a copy of an agreement with, or other written commitment from, a curation facility that meets the standards stated in the State Historic Resources Commission's (SHRC) Guidelines for the Curation of Archaeological Collections (1993, or future updated guidelines from SHRC), to accept the cultural materials from this project. Any agreements concerning curation will be retained and available for audit for the life of the project.	Provide a copy of a written agreement with a qualified curation facility.	Written agreement with curation facility	90 days after completion of ground disturbance (including landscaping)	conditional		Conditional							JACOBS	GAL	
140	CUL	CUL-4a	CONS/COM/OPS	Final Cultural Resources Report - The project owner shall submit the final CRR to the CPM for approval. The final CRR shall be written by, or under the direction of, the CRS and shall be provided in the Archaeological Resource Management Report (ARMR) format. The final CRR shall report on all field activities including dates, times and locations, results, samplings, and analyses. All survey reports, DPR 523 forms, data recovery reports, and any additional research reports not previously submitted to the California Historical Resources Information System (CHRIS) shall be included as appendices to the final CRR.	Submit the CRR to the CPM for review and approval.	Cultural Resource Report	Within 30 days of suspension of construction activities (suspended project)	TBD		Not Started							JACOBS	GAL	
141	CUL	CUL-4b	CONS/COM/OPS	Final Cultural Resources Report - The project owner shall submit the final CRR to the CPM for approval. The final CRR shall be written by, or under the direction of, the CRS and shall be provided in the Archaeological Resource Management Report (ARMR) format. The final CRR shall report on all field activities including dates, times and locations, results, samplings, and analyses. All survey reports, DPR 523 forms, data recovery reports, and any additional research reports not previously submitted to the California Historical Resources Information System (CHRIS) shall be included as appendices to the final CRR.	Submit the CRR to the CPM for review and approval.	Cultural Resource Report	Within 90 days of the completion of ground disturbance (completed project)	TBD		Not Started							JACOBS	GAL	
142	CUL	CUL-4c	CONS/COM/OPS	Documentation sent to CHRIS - See Cul-4a	Provide final CRR to the California Historical Resources Information System and curation institution (if artifacts curated) and tribes requesting copies.	Cultural Resource Report	Within 10 days after approval of CRR	conditional		Conditional							JACOBS	GAL	
145	CUL	CUL-5c	CONS/COM/OPS	WEAP Training Records in MCR - See Condition CUL-5a	Provide in the MCR the WEAP Training Acknowledgement forms of the workers who have completed training in the prior month.	Training Acknowledgement forms for prior month in MCR and running total of all persons who have completed the training.	Monthly until ground disturbance is completed	monthly		In Progress							SERC	GAL	
148	CUL	CUL-6c	CONS/COM	Cultural Resources Monitoring, Daily Monitoring Log Submittal - See Decision CUL-6 for specifications on monitors and daily monitoring logs.	The project owner shall submit each day's monitoring logs and cover sheet merged into one PDF document by email within 24 hours.	Daily monitoring logs	Within 24 hours of previous day's monitoring	daily		In Progress							JACOBS	GAL	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
149	CUL	CUL-6d	CONS/COM	Cultural Resources Monitoring, Notification of Non-compliance Incidents - See Decision CUL-6a for specifications on monitors and daily monitoring logs.	The CRS and/or project owner shall notify the CPM of any incidents of non-compliance with the conditions and/or applicable LORS by telephone or email within 24 hours.	Notification of non-compliance incident	Within 24 hours of previous day's monitoring	conditional		Conditional							JACOBS	GAL		
150	CUL	CUL-6e	CONS/COM	Cultural Resources Monitoring, Daily Maps of Artifacts Found - See Decision CUL-6 for specifications on monitors and daily monitoring logs.	The CRS shall provide daily maps of artifacts along with the daily monitoring logs if more than 10 artifacts are found per day, or as requested by the CPM.	Map of artifact finds (if more than 10 artifacts found)	Daily or as requested by the CPM	conditional		Conditional							JACOBS	GAL		
151	CUL	CUL-6f	CONS/COM	Cultural Resources Monitoring, Weekly Maps of Artifacts Found: See Decision CUL-6 for specifications on monitors and daily monitoring logs.	The CRS shall provide weekly maps of artifacts along with the daily monitoring logs if more than 50 artifacts are found per week or as requested by the CPM.	Map of artifact finds (if more than 50 artifacts found or as requested by the CPM)	Within two business days after the end of the week	conditional		Conditional							JACOBS	GAL		
152	CUL	CUL-6g	CONS/COM	Cultural Resources Monitoring Native American Monitor Employment - See Decision for specifications on monitors and daily monitoring logs.	The project owner shall submit a copy of a request from a Native American group that a Native American Monitor (NAM) be employed.	Copy of a request by a Native American Group's request that a Native American be employed and copy of the response letter identifying the Native American monitor.	Within 15 days of receiving a request from a Native American group that a NAM be employed	conditional		Conditional							JACOBS	GAL		
153	CUL	CUL-6h	CONS/COM	Cultural Resources Monitoring, Monthly Reports - See Decision CUL-6 for specifications on monitors and daily monitoring logs.	The project owner shall submit monthly MCRs and accompanying weekly summary reports.	Monthly Status Reports of Monitoring, including any new DPR 523A forms, under confidential cover, completed for finds treated prescriptively, as specified in the CRMMMP.	Monthly, while monitoring occurs	monthly		In Progress							JACOBS	GAL		
154	CUL	CUL-6i	CONS/COM	Cultural Resources Monitoring, Monthly Reports - See Decision CUL-6 for specifications on monitors and daily monitoring logs.	The project owner shall submit monthly MCRs and accompanying weekly summary reports.	Monthly Status Reports of Monitoring, including any new DPR 523A forms, under confidential cover, completed for finds treated prescriptively, as specified in the CRMMMP.	Weekly, while monitoring occurs	weekly		In Progress							SERC	GAL		
155	CUL	CUL-6j	CONS/COM	Cultural Resources Monitoring, Final Updated DPR Forms - See Decision CUL-6 for specifications on monitors and daily monitoring logs.	For sites for which artifacts are collected month after month, final updated DPR forms may be submitted at the completion of monitoring	Final updated DPR forms	At completion of monitoring	conditional		Conditional							JACOBS	GAL		
156	CUL	CUL-6k	CONS/COM	Cultural Resources Monitoring, Change in Monitoring Level - See Decision CUL-6 for specifications on monitors and daily monitoring logs.	The project owner shall submit to the CPM, for review and approval, a letter or email (or some other form of communication acceptable to the CPM) detailing the CRS's justification for a change in the monitoring level.	Letter or e-mail with justification for changing the monitoring level	At least 24 hours prior to implementing a proposed change in monitoring level	conditional		Conditional							JACOBS	GAL		
157	CUL	CUL-6l	CONS/COM	Cultural Resources Monitoring, Change in Daily Reporting - See Decision CUL-6 for specifications on monitors and daily monitoring logs.	The project owner shall submit to the CPM, for review and approval, a letter or email (or some other form of communication acceptable to the CPM) detailing the CRS's justification for reducing or ending daily reporting.	Letter or e-mail with justification for changing or ending daily reporting	At least 24 hours prior to reducing or ending daily reporting	conditional		Conditional							JACOBS	GAL		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
158	CUL	CUL-6m	CONS/COM	Cultural Resources Monitoring, Comments of Native Americans - See Decision CUL-6 for specifications on monitors and daily monitoring logs.	The project owner shall submit to the CPM copies of any comments or information provided by Native Americans in response to the project owner's transmittals of information.	Copies of comments or information provided by Native Americans	Within 15 days of receiving comments from Native Americans	conditional	2/5/2019, 2/15/2019	Conditional	N/A						JACOBS	GAL		
160	CUL	CUL-7b	CONS/COM	DPR-523 Forms (See Decision CUL-7 for specifications).	Unless the discovery can be treated prescriptively, as specified in the CRMMP, completed DPR 523 forms for resources newly discovered during ground disturbance shall be submitted to the CPM for review and approval.	Forms DPR 523	No later than 24 hours following the notification of the CPM, or 48 hours following the completion of data recordation/recovery, whichever the CRS decides is more appropriate for the subject cultural resource.	conditional		Conditional							JACOBS	GAL		
161	CUL	CUL-7c	CONS/COM	Inform Native American Groups (See Decision CUL-7 for specifications).	The project owner shall ensure that the CRS notifies all Native American groups that expressed a desire to be notified in the event of a discovery of interest to Native Americans, and the CRS must inform the CPM when the notifications are complete.	Letter to Native Americans and notification to CPM when notifications are complete	Within 48 hours of the discovery of a resource of interest to Native Americans	conditional		Conditional							JACOBS	GAL		
162	CUL	CUL-7d	CONS/COM	Provide Reports and Records to Native American Groups (See Decision CUL-7 for specifications).	The project owner shall submit to the CPM copies of the information transmittal letters sent to the chairpersons of the Native American tribes or groups who requested the information. Additionally, the project owner shall submit to the CPM copies of letters of transmittal for all subsequent responses to Native American requests for notification, consultation, and reports and records.	Copies of transmittal letters to Native American tribes and copies of letters of subsequent responses to Native American requests	No later than 30 days following the discovery of any Native American cultural materials	conditional		Conditional							JACOBS	GAL		
163	CUL	CUL-7e	CONS/COM	Comments or Information Provided by Native Americans (See Decision CUL-7 for specifications).	The project owner shall submit to the CPM copies of any comments or information provided by Native Americans in response to the project owner's transmittals of information.	Copies of Native American comments and information in response to owner transmittals of information.	Within 15 days of receiving comments from Native Americans	conditional		Conditional							JACOBS	GAL		
164	CUL	CUL-8a	CONS	Fill Soils, Borrow or Fill Site Documentation - If fill soils must be acquired from a non-commercial borrow site or disposed of to a non-commercial disposal site, unless less-than-five-year-old surveys of these sites for archaeological resources are provided to and approved by the CPM, the CRS shall survey the borrow or disposal site(s) for cultural resources and record on DPR 523 forms any that are identified. When the survey is completed, the CRS shall convey the results and recommendations for further action to the project owner and the CPM, who will determine what, if any, further action is required. If the CPM determines that significant archaeological resources that cannot be avoided are present at the borrow site, the project owner must either select another borrow or disposal site or implement CUL-7 prior to any use of the site. The CRS shall report on the methods and results of these surveys in the final CRR.	The owner shall notify the CRS and CPM and provide documentation of previous archaeological survey, if any, dating within the past five years, for CPM approval.	Notification to the CPM of the use of a non-commercial borrow site and documentation of previous archaeological survey.	As soon as the project owner knows that a non-commercial borrow site will be used	3/28/2019	3/28/2019	Approved	3/29/2018						JACOBS	GAL		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019																			
	Based on Final Staff Assessment																			
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date												
									Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
165	CUL	CUL-8b	CONS	Fill Soils, Cultural Resources Survey - In the absence of documentation of recent archaeological survey, at least 30 days prior to any soil borrow or disposal activities on the non-commercial borrow and/or disposal sites, the CRS shall survey the site(s) for archaeological resources.	The CRS shall notify the project owner and the CPM of the results of the cultural resources survey, with recommendations, if any, for further action.	Results of the cultural resources survey and CRS recommendations for further action, if needed.	At least 30 days before any soil borrow or disposal activities take place on the non-commercial borrow/disposal site	3/29/2019	3/29/2019	Approved	3/29/2019						JACOBS	GAL		
166	ELEC	ELEC-1a	CONS	Electrical Systems Design Plans and Specifications - Prior to the start of any increment of electrical construction for all electrical equipment and systems 110 Volts or higher (see a representative list, below) the project owner shall submit, for CBO design review and approval, the proposed final design, specifications, and calculations. Upon approval, the above listed plans, together with design changes and design change notices, shall remain on the site or at another accessible location for the operating life of the project. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS. (See Decision ELEC-1 for specifications)	The project owner shall submit to the CBO for design review and approval the above listed documents. The project owner shall include in this submittal a copy of the signed and stamped statement from the responsible electrical engineer attesting compliance with the applicable LORS, and shall send the CPM a copy of the transmittal letter in the next monthly compliance report.	Design plans, specifications, and calculations and compliance statement to CBO with copy to CPM	At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of each increment of electrical construction	TBD		In Progress		1-1.0: 1/23/19 1-2.0: 2/4/2019 1-3.0: 1/23/19 1-4.0: 1/29/19 1-5.0: 3/4/19 1-6.0: 3/22/19 1-7.0: 3/6/19 1-10.0: 3/29/19	1-1.0: PC 1 conditionally approved 2/5/19 1-3.0: 2/6/2019 1-4.0: 2/8/19 1-2.0: 2/15/19 1-5.0: 3/14/19 1-7.0: 3/20/19 1-10.0				SERC	TAT		
167	ELEC	ELEC-1b	CONS/COM	Electrical Systems Design Plans and Specifications - Prior to the start of any increment of electrical construction for all electrical equipment and systems 110 Volts or higher (see a representative list, below) the project owner shall submit, for CBO design review and approval, the proposed final design, specifications, and calculations. Upon approval, the above listed plans, together with design changes and design change notices, shall remain on the site or at another accessible location for the operating life of the project. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS. (See Decision ELEC-1 for specifications)	The project owner shall submit to the CBO for design review and approval the above listed documents. The project owner shall include in this submittal a copy of the signed and stamped statement from the responsible electrical engineer attesting compliance with the applicable LORS, and shall send the CPM a copy of the transmittal letter in the next monthly compliance report.	Monthly Compliance Report, include: receipt or delay of major equipment, testing or energizing of major electrical equipment, and signed statement by registered electrical engineer certifying that the proposed final design plans and specifications conform to requirements set forth by CEC decision	Monthly	monthly		In Progress								SERC	GAL	
168	GEN	GEN-1a	CONS/COM	Certificate of Occupancy - The project owner shall design, construct, and inspect the project in accordance with the 2016 California Building Standards Code (CBSC), also known as Title 24, California Code of Regulations, which encompasses the (see Decision for list of codes) and all other applicable engineering LORS in effect at the time initial design plans are submitted to the CBO for review and approval. The project owner shall ensure that all the provisions of the above applicable codes are enforced during the construction, addition, alteration, moving (onsite), demolition, repair, or maintenance of the completed facility. In the event that the initial engineering designs are submitted to the CBO when the successor to the 2016 CBSC is in effect, the 2016 CBSC provisions shall be replaced with the applicable successor provisions. Where, in any specific case, different sections of the code specify different materials, methods of construction or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall govern. The project owner shall ensure that all contracts with contractors, subcontractors, and suppliers clearly specify that all work performed and materials supplied comply with the codes listed above.	The project owner shall submit to the CPM a statement of verification, signed by the responsible design engineer, attesting that all designs, construction, installation, and inspection requirements of the applicable LORS and the Energy Commission's decision have been met in the area of facility design.	Statement of verification signed by the responsible design engineer, attesting that all designs, construction, installation, and inspection requirements of the applicable LORS and the Energy Commission's decision have been met in the area of facility design to CPM	Within 30 days following receipt of the certificate of occupancy from CBO	TBD		Not started							POWER	TAT		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
169	GEN	GEN-1b	CONS/COM	Certificate of Occupancy - The project owner shall design, construct, and inspect the project in accordance with the 2016 California Building Standards Code (CBSC), also known as Title 24, California Code of Regulations, which encompasses the (see Decision for list of codes) and all other applicable engineering LORS in effect at the time initial design plans are submitted to the CBO for review and approval. The project owner shall ensure that all the provisions of the above applicable codes are enforced during the construction, addition, alteration, moving (onsite), demolition, repair, or maintenance of the completed facility. In the event that the initial engineering designs are submitted to the CBO when the successor to the 2016 CBSC is in effect, the 2016 CBSC provisions shall be replaced with the applicable successor provisions. Where, in any specific case, different sections of the code specify different materials, methods of construction or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall govern. The project owner shall ensure that all contracts with contractors, subcontractors, and suppliers clearly specify that all work performed and materials supplied comply with the codes listed above.	The project owner shall submit to the CPM a statement of verification, signed by the responsible design engineer, attesting that all designs, construction, installation, and inspection requirements of the applicable LORS and the Energy Commission's decision have been met in the area of facility design.	A copy of the Certificate of Occupancy to CPM	Within 30 days following receipt of the certificate of occupancy from CBO	TBD		Not Started							SERC	GAL		
170	GEN	GEN-1c	OPS	Certificate of Occupancy - The project owner shall design, construct, and inspect the project in accordance with the 2016 California Building Standards Code (CBSC), also known as Title 24, California Code of Regulations, which encompasses the (see Decision for list of codes) and all other applicable engineering LORS in effect at the time initial design plans are submitted to the CBO for review and approval. The project owner shall ensure that all the provisions of the above applicable codes are enforced during the construction, addition, alteration, moving (onsite), demolition, repair, or maintenance of the completed facility. In the event that the initial engineering designs are submitted to the CBO when the successor to the 2016 CBSC is in effect, the 2016 CBSC provisions shall be replaced with the applicable successor provisions. Where, in any specific case, different sections of the code specify different materials, methods of construction or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall govern. The project owner shall ensure that all contracts with contractors, subcontractors, and suppliers clearly specify that all work performed and materials supplied comply with the codes listed above.	Once certificate of occupancy has been issued, the project owner shall inform the CPM at least 30 days prior to any construction, addition, alteration, moving, demolition, repair, or maintenance of any portion(s) of the completed facility that requires CBO approval for compliance with the above codes. The CPM will then determine if the CBO needs to approve the work.	Notice of construction, addition, alteration, moving, demolition, repair, or maintenance of completed facility	Within 30 days prior to any construction, addition, alteration, moving, demolition, repair, or maintenance of completed facility	TBD		Not Started							SERC	DSR		
172	GEN	GEN-2b	PC/CONS	Updates to Drawings and Lists - See GEN-2a	Provide Updates to Schedule of Drawings and Specification Lists updates in the MCR	Schedule updates	Monthly	Monthly Compliance Report		In Progress							SERC	GAL		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
173	GEN	GEN-3a	PC/CONS/COM	Payment of CBO - Make payments to the CBO (made to the Energy Commission) for design review, plan checks, and construction inspections and other applicable CBO activities, based on a reasonable fee schedule to be negotiated between the project owner and the CBO. If the Energy Commission delegates the CBO function to a third party or local agency, the project owner, at the Energy Commission's direction, shall make payments directly to the DCBO based upon a fee schedule negotiated between the Energy Commission and the DCBO. These fees may be consistent with the fees listed in the 2016 CBC, adjusted for inflation and other appropriate adjustments; may be based on the value of the facilities reviewed; may be based on hourly rates; or may be otherwise agreed upon by the project owner and the CBO.	The project owner shall make the required payments to the CBO in accordance with the agreement. The project owner shall send a copy of the CBO's receipt of payment to the CPM in the next monthly compliance report indicating that applicable fees have been paid.	CBO monthly payments	Monthly	monthly		In Progress							SERC	RRF/IJ	TLB	
174	GEN	GEN-3b	PC/CONS/COM	Payment of CBO - Make payments to the CBO (made to the Energy Commission) for design review, plan checks, and construction inspections and other applicable CBO activities, based on a reasonable fee schedule to be negotiated between the project owner and the CBO. If the Energy Commission delegates the CBO function to a third party or local agency, the project owner, at the Energy Commission's direction, shall make payments directly to the DCBO based upon a fee schedule negotiated between the Energy Commission and the DCBO. These fees may be consistent with the fees listed in the 2016 CBC, adjusted for inflation and other appropriate adjustments; may be based on the value of the facilities reviewed; may be based on hourly rates; or may be otherwise agreed upon by the project owner and the CBO.	The project owner shall make the required payments to the CBO in accordance with the agreement. The project owner shall send a copy of the CBO's receipt of payment to the CPM in the next monthly compliance report indicating that applicable fees have been paid.	Copy of CBO's Receipt of Payment with the MCR	Monthly	monthly		In Progress							SERC	GAL		
176	GEN	GEN-4b	PC/CONS	Approval of RE - See GEN-4a	Notify the CPM of the CBO's approvals of the RE and other delegated engineer(s) within 5 days of the approval.	Notification to CPM	Within 5 days of receiving the approval	12/8/2018	1/18/2019	Completed	NA						SERC	TAT		
177	GEN	GEN-4c	PC/CONS	Approval of Newly Assigned RE - See GEN-4a	Submit new resume and registration number CBO for review and approval	Notification to CBO	Within 5 days of receiving the new resume and registration number	conditional		Conditional	NA	2/6/2019	2/12/2019				SERC	TAT		
178	GEN	GEN-4d	PC/CONS	Notification of Newly Assigned RE - See GEN-4a	Notify the CPM of the CBO's approvals of the RE and other delegated engineer(s) within 5 days of the approval.	Notification to CPM	Within 5 days of receiving the approval	conditional	2/6/2019	Conditional	NA						SERC	GAL		
183	GEN	GEN-5e	CONS	Reassignment of Designated Engineer - See GEN-5a	Notify the CPM and CBO if a designated responsible engineer is reassigned or replaced.	Engineer Resumes and registration number	Within 5 days of re-assignment	conditional		Conditional							SERC	GAL/TAT		
184	GEN	GEN-5f	CONS	Approval of Replacement Engineers - See GEN-5a	Notify the CPM of the CBO's approvals of the reassigned engineers within five days of the approval.	Notification to CPM	Within 5 days of the approval	conditional		Conditional							SERC	GAL		
185	GEN	GEN-6a	CONS	Special Inspector Assignment - Prior to the start of an activity requiring special inspection, including prefabricated assemblies, the project owner shall assign to the project, qualified and certified special inspector(s) who shall be responsible for the special inspections required by the 2016 CBC. A certified weld inspector, certified by the American Welding Society (AWS), and/or American Society of Mechanical Engineers (ASME) as applicable, shall inspect welding performed on-site requiring special inspection (including structural, piping, tanks and pressure vessels). (See Decision GEN-6 for additional specifications)	Assign certified and qualified special inspectors for special inspections required by the 2016 CBC.	Names and qualifications of certified special inspectors	At least 15 days before start of an activity requiring special inspectors	TBD		Not Started		PC1: 1/16/19 PC2: 1/28/19	PC1: 1/17/19 PC2: 1/29/19				ARB	TLB		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
186	GEN	GEN-6b	CONS	Approval of Inspectors - See GEN-6a	Submit a copy of the CBO's approval of inspectors	Copies of CBO approvals in the MCR	Monthly	monthly		Not Started							ARB	TLB		
187	GEN	GEN-6c	CONS	Reassignment of Inspectors - See GEN-6a	Notify the CPM and CBO if a designated special inspector is reassigned or replaced.	Names and qualifications of certified special inspectors	Within 5 days of re-assignment	conditional		Conditional							ARB	TLB		
188	GEN	GEN-6d	CONS	Approval of Replacement Inspectors -See GEN-6a	Notify the CPM of the CBO's approvals of the new special inspectors within five days of the approval.	Notification to CPM	Within 5 days of the approval	conditional		Conditional							ARB	TLB		
189	GEN	GEN-7a	CONS/COM	Design Discrepancy Correction - If any discrepancy in design and/or construction is discovered in any engineering work that has undergone CBO design review and approval, the project owner shall document the discrepancy and recommend required corrective actions. The discrepancy documentation shall be submitted to the CBO for review and approval. The discrepancy documentation shall reference this condition of certification and, if appropriate, applicable sections of the CBC and/or other LORS.	Transmit a copy of the CBO's approval of any corrective action taken to resolve a discrepancy to the CPM in the monthly compliance report.	Copy of CBO's approval in the MCR	Monthly	Monthly Compliance Report		Conditional							SERC	GAL	TAT	
190	GEN	GEN-7b	CONS/COM	Notification of Correction Disapproval - See GEN-7a	If any corrective action is disapproved, the project owner shall advise the CPM, within five days, of the reason for disapproval and the revised corrective action to obtain CBO's approval.	Notify CPM and provide revised corrective action	Within 5 days of CBO disapproval of corrective action	conditional		Conditional							SERC	GAL	TAT	
191	GEN	GEN-8a	CONS	CBO Inspection and Approval - The project owner shall obtain the CBO's final approval of all completed work that has undergone CBO design review and approval. The project owner shall request the CBO to inspect the completed structure and review the submitted documents. The project owner shall notify the CPM after obtaining the CBO's final approval. The project owner shall retain one set of approved engineering plans, specifications, and calculations (including all approved changes) at the project site, or at another accessible location, during the operating life of the project. Electronic copies of the approved plans, specifications, calculations, and marked-up as-built shall be provided to the CBO for retention by the CPM.	The project owner shall submit to the CBO, with a copy to the CPM in the next monthly compliance report, After storing the final approved engineering plans, specifications, and calculations described above, the project owner shall submit to the CPM a letter stating both that the above documents have been stored and the storage location of those documents.	A written notice that the completed work is ready for final inspection, and a signed statement that the work conforms to the final approved plans.	Within 15 days of the completion of any work	on going		In Progress							SERC	GAL	TAT	
192	GEN	GEN-8b	CONS	Plan and Specification Storage - See GEN-8a	After storing the final approved engineering plans, specifications, and calculations described above, submit a letter to the CPM .	Letter stating both that the documents have been stored and the storage location of those	After storage is in place	TBD		Not started							SERC	GAL	TAT	
193	GEN	GEN-8c	CONS	Plan and Specification Archive Copies - See GEN-8a	The project owner shall provide to the CBO three sets of electronic copies of the engineering plans, specifications, and calculations at the project owner's expense.	"Read only" (Adobe .pdf 6.0 or newer version) files, with restricted (password-protected) printing privileges, on archive quality	Within 90 days of the completion of construction	TBD		Not started							SERC	TAT		
196	HAZ	HAZ-1	OPS	Hazardous Materials Management - The project owner shall not use any hazardous materials not listed in Appendix B, below, or in greater quantities or strengths than those identified by chemical name in	The project owner shall provide to the COM, in the Annual Compliance Report, the Hazardous Materials Business	Submit Hazardous Materials Business Plan in the Annual Compliance Report.		12/31/2020		Not started							SERC	DSR		
197	HAZ	HAZ-2a	CONS	Final HMBP and SPCC - The project owner shall concurrently provide a Hazardous Materials Business Plan (HMBP), a Spill Prevention Control and Countermeasure Plan (SPCC), and a Risk Management Plan (RMP) to the Orange County Environmental	At least 30 days prior to receiving any hazardous material on the site for commissioning or operations, the project owner shall provide a copy of a final HMBP and SPCC to	Final HMBP and SPCC to CPM	At least 30 days before receiving hazardous materials on site	TBD		Not started							SERC	DSR		
198	HAZ	HAZ-2b	CONS	Final Risk Management Plan - See HAZ-2a	At least 30 days prior to delivery of aqueous ammonia to the site, the project owner shall provide	Final RMP to Certified Unified Program Agency	At least 30 days before aqueous ammonia on site	TBD		Not started							SERC	DSR		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date		Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
199	HAZ	HAZ-2c	CONS	Final Risk Management Plan - See HAZ-2a	At least 30 days prior to delivery of aqueous ammonia to the site, the project owner shall provide the final RMP to the Certified	Final RMP to CPM	At least 30 days before aqueous ammonia on site	TBD			Not started							SERC	DSR	
200	HAZ	HAZ-3	CONS/COM	Aqueous Ammonia Safety Management Plan - The project owner shall develop and implement a Safety Management Plan for delivery of aqueous ammonia and other liquid hazardous materials by tanker truck. The plan shall include procedures, protective	At least 30 days prior to the delivery of any liquid hazardous material to the facility, the project owner shall provide a Safety Management Plan as described	Safety Management Plan to CPM	At least 30 days before delivery of any liquid hazardous material to the facility	TBD			Not started							SERC	DSR	
201	HAZ	HAZ-4	CONS	Ammonia Storage Tank Design - The aqueous ammonia storage facility shall be designed to the ASME Code for Unfired Pressure Vessels, Section VIII, Division 1. The storage tank shall be protected by a secondary containment that drains to an underground vault via (3) 1.25 square foot openings capable of holding precipitation from a 24-hour, 25-year storm event plus 100 percent of the capacity of the largest tank within its boundary. The storage tank shall have ammonia detectors positioned to detect an ammonia leak or loss of containment. The final design drawings and specifications for the ammonia storage tank, secondary containment basin, and underground vault shall be submitted to the CPM.	The project owner shall submit final design drawings and specifications for the ammonia storage tank, ammonia pumps, ammonia detectors around the ammonia storage tank, secondary containment basin, and underground vault to the CPM for review and approval (copy CBO)	Final design drawings for the ammonia storage and transfer facility	At least 30 days before construction of the ammonia storage and transfer facility	3/15/2019	3/15/2019	Pending	Pending	3/14/2019						POWER	GAL	TAT
202	HAZ	HAZ-5	CONS	Transport Vehicle Specifications - The project owner shall direct all vendors delivering aqueous ammonia to the site to use only tanker truck transport vehicles that meet or exceed the specifications of MC-307/DOT-407.	The project owner shall submit copies of the notification letter to supply vendors indicating the transport vehicle specifications to the CPM for review and approval.	Copies of notification letter to supply vendors	At least 30 days prior to receipt of aqueous ammonia on site	TBD			Not Started							SERC	GAL	DSR
203	HAZ	HAZ-6a	CONS	HazMat Transport Route Restrictions - Prior to initial delivery, the project owner shall direct vendors delivering bulk quantities (>800 gallons per delivery) of	The project owner shall submit a copy of the letter containing the route restriction directions that	Copy of the letter containing route restriction directions	At least 60 days prior to initial receipt of bulk quantities (>800	TBD			Not started							SERC	GAL	DSR
204	HAZ	HAZ-6b	CONS/OPS	Route Restrictions, New Vendor - See HAZ-6a	The project owner shall submit a copy of the letter containing the route restriction directions that were provided to any newly designated hazardous materials	Copy of the letter containing route restriction directions for the new hazardous materials	At least 10 days prior to a new vendor delivery of bulk quantities (>800 gallons per delivery)	TBD			Not Started							SERC	GAL	DSR
206	HAZ	HAZ-8a	CONS/OPS	Operations Site Security Plan - The project owner shall also prepare a site-specific security plan for the commissioning and operational phases that would be available to the CPM for review and approval. The project owner shall implement site security measures that address physical site security and hazardous materials storage. The level of security to be implemented shall not be less than that described below (as per NERC Security Guideline for the Electricity Sector: Physical Security v2.0). See Decision HAZ-8 for nine items/specifications.	The project owner shall notify the CPM that a site-specific operations site security plan is available for review and approval.	Operations Security Plan	At least 30 days prior to the initial receipt of hazardous materials on site	TBD			Not Started							SERC	GAL	DSR

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4																				
	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
207	HAZ	HAZ-8b	OPS	Operations Site Security Plan - The project owner shall also prepare a site-specific security plan for the commissioning and operational phases that would be available to the CPM for review and approval. The project owner shall implement site security measures that address physical site security and hazardous materials storage. The level of security to be implemented shall not be less than that described below (as per NERC Security Guideline for the Electricity Sector: Physical Security v2.0). See Decision HAZ-8 for nine items/specifications.	Project Owner shall include signed statements similar to Attachment A and Attachment B that all current project employee and appropriate contractor background investigations have been performed, and that updated certification statements have been appended to the operations security plan in Annual Compliance Report. Project Owner shall include a signed statement similar to Attachment C that the operations security plan includes all current hazardous materials transport vendor certifications for security plans and employee background investigations	Signed statements similar to Attachment A, Attachment B, and Attachment C	Annual Compliance Report	12/31/2020		Not Started							SERC	GAL	LS	
208	HAZ	HAZ-9	CONS/OPS	Fuel Gas Pipe Cleaning - The project owner shall not allow any fuel gas pipe cleaning activities on site, either before placing the pipe into service or at any time during the lifetime of the facility, that involve "flammable gas blows" where natural (or flammable) gas is used to blow out debris from piping and then vented to atmosphere. Instead, an inherently safer method involving a non-flammable gas (e.g. air, nitrogen, steam) or mechanical pigging, shall be used as per the latest edition of NFPA 56, Standard for Fire and Explosion Prevention during Cleaning and Purging of Flammable Gas Piping Systems. A written procedure shall be developed and implemented as per NFPA 56, section 4.4.1.	The project owner shall submit a copy of the Fuel Gas Pipe Cleaning Work Plan (as described in the 2014 NFPA 56, section 4.4.1) which shall indicate the method of cleaning to be used, what gas will be used, the source of pressurization, and whether a mechanical PIG will be used, to the CBO for information and to the CPM for review and approval.	Fuel Gas Pipe Cleaning Work Plan	At least 30 days before any fuel gas pipe cleaning activities begin	TBD		Not started							SERC	DSR		
209	MECH	MECH-1a	CONS	Plant Piping and Plumbing System Plans - The project owner shall submit, for CBO design review and approval, the proposed final design, specifications, and calculations for each plant major piping and plumbing system listed in the CBO-approved master drawing and master specifications list. The submittal shall also include the applicable quality assurance/ quality control (QA/QC) procedures. Upon completion of construction of any such major piping or plumbing system, the project owner shall request the CBO's inspection approval of that construction. The responsible mechanical engineer shall stamp and sign all plans, drawings, and calculations for the major piping and plumbing systems, subject to CBO design review and approval, and submit a signed statement to the CBO when the proposed piping and plumbing systems have been designed, fabricated, and installed in accordance with all of the applicable laws, ordinances, regulations and industry standards. (See Decision MECH-1 for specifications)	The project owner shall submit to the CBO for design review and approval the final plans, specifications, and calculations, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with applicable LORS, and shall send the CPM a copy of the transmittal letter in the next monthly compliance report.	Final plans, specifications, and calculations and certification of compliance to CBO for review and approval	At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of any increment of major piping or plumbing construction listed in the CBO-approved master drawing and master specifications list	TBD		In Progress		1.1 PC1: 2/8/2019 1.2: 2/8/19 1.3: 2/11/19 1.4: 3/1/19	1.1 : 2/26/19 1.2: 2/27/19 conditional 1.3: 2/127/19 conditional 1.4: 3/11/19 conditional				Power	TAT		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
210	MECH	MECH-1b	CONS	Plant Piping and Plumbing System Plans - The project owner shall submit, for CBO design review and approval, the proposed final design, specifications, and calculations for each plant major piping and plumbing system listed in the CBO-approved master drawing and master specifications list. The submittal shall also include the applicable quality assurance/ quality control (QA/QC) procedures. Upon completion of construction of any such major piping or plumbing system, the project owner shall request the CBO's inspection approval of that construction. The responsible mechanical engineer shall stamp and sign all plans, drawings, and calculations for the major piping and plumbing systems, subject to CBO design review and approval, and submit a signed statement to the CBO when the proposed piping and plumbing systems have been designed, fabricated, and installed in accordance with all of the applicable laws, ordinances, regulations and industry standards. (See Decision MECH-1 for specifications)	The project owner shall submit to the CBO for design review and approval the final plans, specifications, and calculations, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with applicable LORS, and shall send the CPM a copy of the transmittal letter in the next monthly compliance report.	Send the CPM a copy of the transmittal letter in the next monthly compliance report.	Monthly Compliance Report (one time)	Monthly Compliance Report (one time)		Not Started			1.2: 2/8/19				SERC	GAL	TAT	
211	MECH	MECH-1c	CONS	CBO Approvals, Piping and Plumbing - See MECH-1a	The project owner shall transmit to the CPM, in the monthly compliance report following completion of any inspection, a copy of the transmittal letter conveying the CBO's inspection approvals.	Copy of transmittal letters and copies of CBO inspection approvals in MCR.	Monthly	monthly		In Progress			1.3: 2/11/19				SERC	GAL	TAT	
212	MECH	MECH-2a	CONS	Pressure Vessel Installation - For all pressure vessels installed in the plant, the project owner shall submit to the CBO and California Occupational Safety and Health Administration (Cal-OSHA), prior to operation, the code certification papers and other documents required by applicable LORS. Upon completion of the installation of any pressure vessel, the project owner shall request the appropriate CBO and/or Cal-OSHA inspection of that installation. (See Decision MECH-2 for additional specifications).	The project owner shall submit to the CBO for design review and approval, the above listed documents, including a copy of the signed and stamped engineer's certification, with a copy of the transmittal letter to the CPM.	Design documents to CBO	At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of on-site fabrication or installation of any pressure vessel	TBD		Not Started			1.4: 3/1/19				Power	TAT		
213	MECH	MECH-2b	CONS	Pressure Vessel Installation - For all pressure vessels installed in the plant, the project owner shall submit to the CBO and California Occupational Safety and Health Administration (Cal-OSHA), prior to operation, the code certification papers and other documents required by applicable LORS. Upon completion of the installation of any pressure vessel, the project owner shall request the appropriate CBO and/or Cal-OSHA inspection of that installation. (See Decision MECH-2 for additional specifications).	The project owner shall submit to the CBO for design review and approval, the above listed documents, including a copy of the signed and stamped engineer's certification, with a copy of the transmittal letter to the CPM.	Design documents to CBO with copy of transmittal to CPM	Monthly Compliance Report (one time)	Monthly Compliance Report (one time)		Not Started							SERC	GAL	TAT	
214	MECH	MECH-2c	CONS	CBO and Cal-OSHA Inspections and Approvals, Pressure Vessels, MCR - See MECH-2a	The project owner shall transmit to the CPM, in the monthly compliance report following completion of any inspection, a copy of the transmittal letter conveying the CBO's and/or Cal-OSHA inspection approvals.	Letters documenting CBO and Cal-OSHA inspection approvals in MCR	Monthly	Monthly		Not Started							SERC	GAL	TAT	
215	MECH	MECH-3a	PC/CONS	HVAC Plans - The project owner shall submit to the CBO for design review and approval the design plans, specifications, calculations, and quality control procedures for any heating, ventilating, air conditioning (HVAC) or refrigeration system. Packaged HVAC systems, where used, shall be identified with the appropriate manufacturer's data sheets. (See Decision MECH-3 for additional specifications).	The project owner shall submit to the CBO the required HVAC and refrigeration calculations, plans, and specifications, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with the CBC and other applicable codes, with a copy of the transmittal letter to the CPM.	Calculations, plans, and specification, and statement of compliance to CBO	At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of construction of any HVAC or refrigeration system	TBD		Not started							SERC	JBM	TAT	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																		
2	All Phases																		
3																			
4	Version 3/11/2019				Based on Final Staff Assessment														
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
216	MECH	MECH-3b	PC/CONS	HVAC Plans - The project owner shall submit to the CBO for design review and approval the design plans, specifications, calculations, and quality control procedures for any heating, ventilating, air conditioning (HVAC) or refrigeration system. Packaged HVAC systems, where used, shall be identified with the appropriate manufacturer's data sheets. (See Decision MECH-3 for additional specifications).	The project owner shall submit to the CBO the required HVAC and refrigeration calculations, plans, and specifications, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with the CBC and other applicable codes, with a copy of the transmittal letter to the CPM.	Calculations, plans, and specification, and statement of compliance to CPM	At least 30 days (or project owner- and SPM-approved alternative time frame) prior to the start of construction of any HVAC or refrigeration system	TBD		Not started							SERC	JBM	TAT
219	NOISE	NOISE-2a	CONS/COM/OPS	Noise Complaint Process - Throughout the construction and the full term of operation, including facility closure, the project owner shall document, investigate, evaluate, and attempt to resolve all project related noise complaints. See Decision NOISE-2 for specifications.	File with the CPM a Noise Complaint Resolution Form that documents the resolution of the complaint.	Noise Complaint Resolution Form	Within five days of receiving a noise complaint	conditional		Conditional							SERC	GAL	
220	NOISE	NOISE-2b	CONS/COM/OPS	Noise Complaint Resolution - See NOISE-2a	If mitigation is required to resolve the complaint, and the complaint is not resolved within three business days, the project owner shall submit an updated Noise Complaint Resolution Form when the mitigation is implemented.	Updated Noise Complaint Form	When the mitigation is implemented	conditional		Conditional							SERC	GAL	
222	NOISE	NOISE-4a	COM/OPS	Operational Noise Survey - The project design and implementation shall include appropriate noise mitigation measures adequate to ensure that the noise levels due to the project operation alone do not exceed an hourly average exterior noise level of 49 dBA measured at monitoring location LT1 and 43 dBA measured at monitoring location LT2. See Decision NOISE-4 for further specifications.	Conduct the operational noise survey	Conduct the operational noise survey	Within 30 days of achieving a sustained output of 85 percent of rated capacity	TBD		Not Started							Innova	DSR	
223	NOISE	NOISE-4b	COM/OPS	Noise Survey Summary Report - See NOISE-4a	Prepare a summary report of the operational noise survey for submittal to the CPM. Included in the survey report shall be a description of any additional mitigation measures necessary to achieve compliance with the above listed noise limits, and a schedule, subject to CPM approval, for implementing these measures.	Summary report of the operational noise survey	Within 15 days after the survey	TBD		Not Started							Innova	DSR	
224	NOISE	NOISE-4c	COM/OPS	Revised Noise Survey Summary - See NOISE-4a	When the additional mitigation measures are implemented and in place, the project owner shall repeat and prepare a new summary report of the new survey.	Summary report of the new noise survey	Within 15 days of completing a new survey	TBD		Not Started							Innova	DSR	
225	NOISE	NOISE-5	COM/OPS	Occupational Noise Survey - Following the project's attainment of a sustained output of 85 percent or greater of its rated capacity, the project owner shall conduct an occupational noise survey to identify any noise hazardous areas within the power plant. The survey shall be conducted by a qualified person in accordance with the provisions of Title 8, California Code of Regulations, Sections 5095-5099 (Article 105) and Title 29, Code of Federal Regulations, Section 1910.95. The survey results shall be used to determine the magnitude of employee noise exposure. (See Decision NOISE-5 for further information).	The project owner shall submit the noise survey report to the CPM. The project owner shall make the report available to OSHA and Cal-OSHA upon request from OSHA and Cal-OSHA.	Noise Survey Report	Within 30 days after completing each survey	TBD		Not Started							Innova	DSR	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
227	NOISE	NOISE-7a	CONS	Pile Driving Technique - The project owner shall perform pile driving in a manner to reduce the potential for any project-related noise and vibration complaints. The project owner shall notify the residents in the vicinity of pile driving prior to start of pile driving activities.	The project owner shall submit to the CPM a description of the pile driving technique to be employed, including calculations showing its projected noise impacts at monitoring location LT1.	Description of the pile driving technique to be used	At least 15 days prior to first pile driving	Conditional		Not Started							SERC	GAF		
228	NOISE	NOISE-7b	CONS	Notify Residents, Pile Driving - See NOISE-7a	The project owner shall notify the residents within one mile of the pile driving. In this notification, the project owner shall state that it will perform this activity in a manner to reduce the potential for any project-related noise and vibration complaints as much as practicable. The project owner shall submit a copy of this notification to the CPM prior to the start of pile driving.	Notification to residents within one mile of the project with copy to CPM	At least 10 days prior to first pile driving	Conditional		Not Started							JACOBS	GAL	TAT	
231	PAL	PAL-1c	PC/CONS	Certify additional PRMs (See PAL-1)	PRs shall provide additional letters and resumes to the CPM if needed.	PRM Resumes & Quals	No later than one week before beginning site duties.	conditional		Conditional							JACOBS	GAL		
232	PAL	PAL-1d	PC/CONS	Replacement PRS (See PAL-1)	Prior to any change of the PRS, project owner shall submit resume of proposed new PRS to CPM for review and approval	PRM Resumes & Quals	No time specified.	conditional	2/27/2019	Completed	2/27/2019						JACOBS	GAL		
235	PAL	PAL-2c	PC/CONS	Schedule Changes - Before work commences on affected phases, the project owner shall notify the PRS and CPM of any construction phase scheduling changes.	If there are changes to the scheduling of the construction phases, submit a letter to the CPM within 5 days of identifying the changes.	Schedule information	Within 5 days of identifying the changes	conditional		Conditional							SERC	GAL		
240	PAL	PAL-5a	CONS/COM	WEAP Training Documentation/MCR - No worker shall excavate or perform any ground disturbance activity prior to receiving CPM-approved WEAP training by the PRS, unless specifically approved by the CPM. (See Decision PAL-5 for further specifications).	In the Monthly Compliance Report (MCR), the project owner shall provide copies of the WEAP certification of completion forms with the names of those trained, trainer identification, and type of training (in-person and/or video) offered that month. The MCR shall also include a running total of all persons who have completed the training to date.	Names of trainees in MCR, number of personnel trained during the reporting period, and total number of personnel trained to date.	Monthly	Monthly		In Progress							ARB	GAL		
241	PAL	PAL-5b	CONS/COM	Alternate WEAP Trainer - See PAL-5a	If the project owner requests an alternate paleontological WEAP trainer, the resume and qualifications of the trainer shall be submitted to the CPM for review and approval prior to installation of an alternate trainer. Alternate trainers shall not conduct WEAP training prior to CPM authorization.	Resume and qualifications of WEAP trainer	Before installation of the alternate trainer	conditional		Conditional							ARB	GAL		
242	PAL	PAL-6a	CONS	Paleontological Monitoring - The project owner shall ensure that the PRS and PRM(s) monitor, consistent with the PRMMP, all construction-related grading and excavation in areas where potential fossil-bearing materials have been identified, both at the site and along any constructed linear facilities associated with the project. In the event that the PRS determines full-time monitoring is not necessary in locations that were identified as potentially fossil-bearing in the PRMMP, the project owner shall notify and seek the concurrence of the CPM. The PRS may not further delegate the responsibility for determining whether full time monitoring is necessary. (See Decision PAL-6 for specifications)	A copy of the daily monitoring log of paleontological resource activities shall be included in the monthly compliance report (MCR).	Daily monitoring log and summary of monitoring activities with MCR	Monthly	Monthly		In Progress							JACOBS	GAL		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
243	PAL	PAL-6b	CONS	Notification of Change in Monitoring - See PAL-6a	The project owner shall ensure that the PRS submits the summary of monitoring and paleontological activities in the MCR. When feasible, the CPM shall be notified 15 days in advance of any proposed changes in monitoring different from that identified in the PRMMP, which will require concurrence between the PRS and CPM. If there is any unforeseen change in monitoring, the notice shall be given as soon as possible prior to implementation of the change.	Notification of proposed change in monitoring	Notify CPM 15 days in advance of changes in monitoring when feasible	conditional		Conditional							JACOBS	GAL		
244	PAL	PAL-7	CONS/COM/OPS	Paleontological Resources Report - The project owner shall ensure preparation of a Paleontological Resources Report (PRR) by the designated PRS. The PRR shall be prepared following completion of ground-disturbing activities. The PRR shall include an analysis of the collected fossil materials and related information, and shall be submitted to the CPM for approval.	The project owner shall submit the PRR under confidential cover to the CPM.	Paleontological Resources Report	Within 90 days after completion of ground-disturbing activities, including landscaping	TBD		Not started							JACOBS	GAL		
245	PAL	PAL-8	CONS/COM/OPS	Curator Entity/Curation Fees - The project owner, through the designated PRS, shall ensure that all components of the PRMMP are adequately performed, including collection of fossil material, preparation of fossil material for analysis, analysis of fossils, identification and inventory of fossils, preparation of fossils for curation, and delivery for curation of all significant paleontological resource materials encountered and collected during project construction. The project owner shall pay all curation fees charged by the museum for fossil material collected and curated as a result of paleontological mitigation. The project owner shall also provide the curator with documentation showing the project owner irrevocably and unconditionally donates, gives, and assigns permanent, absolute, and unconditional ownership of the fossil material.	Within 60 days after the submittal of the PRR, the project owner shall submit documentation to the CPM identifying the entity that will be responsible for curating collected specimens. This documentation shall also show that fees have been paid for curation and the owner relinquishes control and ownership of all fossil material.	Documentation of the entity responsible for curation and that curation fees have been paid	Within 60 days of submittal of the PRR	TBD		Not Started							JACOBS	GAL		
248	S&W	SOIL & WATER-1c	PC/CONS	Correspondence with SARWQCB - See SOIL & WATER 1a	The project owner shall submit to the CPM any correspondence between the project owner and the SWRCB or the Santa Ana Regional Water Quality Control Board (SARWQCB) about the general NPDES permit for discharge of storm water associated with this activity. This information shall include the notice of intent, the notice of termination, and any updates to the construction SWPPP.	Correspondence between the owner and SARWQCB	Within ten (10) days of its mailing or receipt	conditional		Conditional							SERC	GAL	GAF	
251	S&W	SOIL & WATER-2c	PC/CONS	Correspondence with County Re: Stormwater - See SOIL & WATER 2a	The project owner shall submit to the CPM all copies of any relevant correspondence between the project owner and the county	Copies of correspondence with the County regarding storm	Within 10 days of its mailing or receipt	conditional		Conditional							SERC	GAL	GAF	
252	S&W	SOIL & WATER-3a	PC/CONS	Hydrostatic and Dewatering Water Discharge Permit Requirements - Prior to initiation of discharge to surface water from hydrostatic testing water or groundwater from dewatering, the project owner shall obtain a National Pollutant Discharge Elimination System permit for discharge when applicable. The project owner shall comply with the requirements of	The project owner shall submit to the CPM documentation that all necessary NPDES permits were obtained from the SARWQCB or SWRCB at least 30 days prior to construction.	Documentation that NPDES permits are obtained	Thirty (30) days prior to the first scheduled hydrostatic testing event or discharge of groundwater dewatering water	12/3/2018	12/4/2018	In Progress	12/13/2018						SERC	GAL	GAF	
254	S&W	SOIL & WATER-3c	PC/CONS/OPS	Correspondence with SWRCB - See SOIL&WATER-3a	The project owner shall submit to the CPM all copies of any relevant correspondence between the project owner and the SWRCB	Copies of correspondence	Annual Compliance Report	12/31/2020		Not Started							SERC	GAL	GAF	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
255	S&W	SOIL & WATER-4a	CONS	Water Use and Reporting - Water supply for project construction and operation shall be potable water supplied by Golden State Water Company. Project water use for construction shall not exceed 5.6 acre-feet. project operation water use shall not exceed 34 AFY. The project owner shall record daily water use for the project's construction and operation. The project	During project construction, the monthly compliance report shall include a monthly summary of daily water use. After construction is complete, the project's annual compliance report shall include a monthly summary of daily water	Summary of daily water use	Monthly Compliance Report	Monthly Compliance Report		In progress							ARB	GAL	TLB	
256	S&W	SOIL & WATER-4b	COM/OPS	Water Use and Reporting - Water supply for project construction and operation shall be potable water supplied by Golden State Water Company. Project water use for construction shall not exceed 5.6 acre-feet. project operation water use shall not exceed 34 AFY. The project owner shall record daily water use for the project's construction and operation. The project owner shall comply with the water use limits and reporting requirements described below.	During project construction, the monthly compliance report shall include a monthly summary of daily water use. After construction is complete, the project's annual compliance report shall include a monthly summary of daily water use.	Monthly and annual summary of water use	Annual Compliance Report	12/31/2020		In Progress							SERC	DSR		
257	S&W	SOIL & WATER-5a	PC/CONS/OPS	Water Metering - The water supply for project construction and operation shall be the potable water supply from Golden State Water Company. Prior to the use of water during commercial operation, the project owner shall install and maintain metering devices as part of the water supply and distribution system to monitor and record in gallons per day the total volume(s) of water supplied from Golden State Water Company. Those metering devices shall be operational for the life of the project.	The project owner shall submit to the CPM evidence that metering devices have been installed and are operational.	Evidence of requirements and necessary fees paid for connection to CPM	At least thirty (30) days prior to use of the Golden State Water Company potable water supply.	12/3/2018	11/29/2018	Completed	12/1/2/18						ARB	GAL	TLB	
258	S&W	SOIL & WATER-5b	PC/CONS/COM/OPS	Water Metering - The water supply for project construction and operation shall be the potable water supply from Golden State Water Company. Prior to the use of water during commercial operation, the project owner shall install and maintain metering devices as part of the water supply and distribution system to monitor and record in gallons per day the total volume(s) of water supplied from Golden State Water Company. Those metering devices shall be operational for the life of the project.	The project owner shall submit to the CPM evidence that metering devices have been installed and are operational.	Evidence that metering devices have been installed and are operational	At least thirty (30) days prior to use of the Golden State Water Company potable water supply.	Complete	2/22/2019 3/21/2019 (update)	Completed	2/28/2019						SERC	GAL	TLB	
259	S&W	SOIL & WATER-5c	COM/OPS	Water Metering - The water supply for project construction and operation shall be the potable water supply from Golden State Water Company. Prior to the use of water during commercial operation, the project owner shall install and maintain metering devices as part of the water supply and distribution system to monitor and record in gallons per day the total volume(s) of water supplied from Golden State Water Company. Those metering devices shall be operational for the life of the project.	Provide a report on the servicing, testing, and calibration of the metering devices in the ACR. Fees paid to Golden State Water Company shall be reported in the ACR for the life of the project.	Provide a report on the servicing, testing, and calibration of the metering devices in the ACR	Annual Compliance Report	12/31/2020		Not Started							SERC	DSR		
260	S&W	SOIL & WATER-6a	PC/CONS	Sewer Connections - The project owner shall pay the city of Stanton all fees normally associated with connections to the city's sanitary sewer or water supply system as defined in the city's code, Title 14 Water and Sewers.	The owner shall provide the CPM documentation indicating that the city has accepted the project's connections to the sewer system.	Documentation that the City accepts the SERC's sewer connection.	Prior to the use of the city's sewer system	TBD		Not Started							ARB	GAL	TLB	
261	S&W	SOIL & WATER-6b	CONS/COM/OPS	Sewer Connections - The project owner shall pay the city of Stanton all fees normally associated with connections to the city's sanitary sewer or water supply system as defined in the city's code, Title 14 Water and Sewers.	Monthly and annual summary of waste water discharge and fees paid to the city shall be reported in the ACR.	Monthly and annual summary of waste water discharge and fees paid to the city shall be reported in the ACR.	Annual Compliance Report	12/31/2020		Not Started							SERC	DSR		
262	S&W	SOIL & WATER-7	PC/CONS	Jack and Bore Permits - Prior to the initiation of any Carbon Creek jack and bore activities for the natural gas pipeline, the project owner shall apply for coverage under the following permits: (see Decision SOIL&WATER-7 for list) - Section 401, Section 404, Section 408, Streambed Alteration Agreement,	The project owner shall provide the CPM with copies of the applicable permits or agreements.	Permits or agreement documents	No later than thirty (30) days prior to any construction-related activities that could affect water quality in Carbon Creek	TBD		Not Started							SoCalGas	GAL	GAF	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																		
2	All Phases																		
3																			
4	Version 3/11/2019				Based on Final Staff Assessment														
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
266	STRUC	STRUC-1a	PC/CONS	Project Structures Plans and Specifications - Prior to the start of any increment of construction, the project owner shall submit plans, calculations, and other supporting documentation to the CBO for design review and acceptance for all project structures and equipment identified in the CBO-approved master drawing and master specifications list. The design plans and calculations shall include the lateral force procedures and details as well as vertical calculations. Construction of any structure or component shall not begin until the CBO has approved the lateral force procedures to be employed in designing that structure or component. (See Decision STRUC-1 for specifications).	The project owner shall submit to the CBO the above final design plans, specifications and calculations, with a copy of the transmittal letter to the CPM.	Final design plans, specifications, and calculations and transmittal letter to CPM	At least 30 days (or project owner- and CBO-approved alternative time frame) prior to the start of any increment of construction of any structure or component listed in the CBO-approved master drawing and master specifications list	1.0: 1/17/2019 2.0: 1/23/2019 3.0: 1/31/2019 4.0: 2/7/2019 5.0: 2/7/2019 6.0: 2/7/2019 7.0: 2/14/2019 8.0: 2/14/2019 9.0: 2/21/2019 10.0: 2/28/2019 12.0: 3/11/2019 13.0: 2/20/2019		In Progress	NA	1.0: 1/17/2019 2.0: 1/23/2019 3.0: 1/31/2019 4.0: 2/6/2019 6.0: 2/7/2019 7.0: 3/28/2019 8.0: 2/12/2019 9.0: 3/22/2019 10.0: 2/28/2019 12.0: 3/29/2019 13.0: 2/20/2019	1.0: 2/22/2019 2.0: 2/18/2019 3.0: 3/18/2019 (conditional) 4.0: 6.0: 3/21/2019 (conditional) 8.0: 3/27/19 (conditional) 7.0: 9.0: 10.0: 13.0: 3/11/2019				Power	GAL	TAT
267	STRUC	STRUC-1b	PC/CONS	CBO Approvals Reported in MCR - See STRUC-1a	The project owner shall submit to the CPM, in the next monthly compliance report, a copy of a statement from the CBO that the proposed structural plans, specifications, and calculations have been approved and comply with the requirements set forth in applicable engineering LORS.	Statement from CBO	Monthly	Monthly Compliance Report		In Progress							SERC	GAL	TAT
268	STRUC	STRUC-1c	PC/CONS	CBO Approvals Reported in MCR - See STRUC-1a	The project owner shall submit to the CPM, in the next monthly compliance report, a copy of a statement from the CBO that the proposed structural plans, specifications, and calculations have been approved and comply with the requirements set forth in applicable engineering LORS.	Monthly Compliance Report list of approved plans, specifications, and calculations	Monthly	Monthly Compliance Report		In Progress							SERC	GAL	TAT
269	STRUC	STRUC-2a	CONS	Non-Compliance Procedures - The project owner shall submit to the CBO the required number of sets of the following documents related to work that has undergone CBO design review and approval (see Decision STRUC-2 for specifications).	If a discrepancy is discovered in any of the above data, the project owner shall prepare and submit a Non-Compliance Report (NCR) describing the nature of the discrepancies and the proposed corrective action to the CBO, with a copy of the transmittal letter to the CPM. The NCR shall reference the condition(s) of certification and the applicable CBC chapter and section.	NCR describing the discrepancy and corrective action, and transmittal letter	Within five days of discovering a discrepancy	conditional		Conditional							SERC	GAL	TAT
270	STRUC	STRUC-2b	CONS	Corrective Action Documentation - See STRUC-2a	Within five days of resolution of the NCR, the project owner shall submit a copy of the corrective action to the CBO and the CPM.	Copy of the corrective action to the CBO and CPM	Within 5 days of the resolution of the NCR	conditional		Conditional							SERC	GAL	TAT
271	STRUC	STRUC-2c	CONS	Corrective Action Documentation - See STRUC-2a	Project owner shall transmit copy of CBO's approval or disapproval of the corrective action to the CPM within 15 days	CBO approval or disapproval of corrective action	Within 15 days of the resolution of the NCR	conditional		Conditional							SERC	GAL	TAT
272	STRUC	STRUC-2d	CONS	Corrective Action Documentation - See STRUC-2a	If disapproved, the project owner shall advise the CPM, within 5 days, of the reason for disapproval, and the revised corrective action to obtain CBO's approval	Advise CPM of CBO's disapproval and revised corrective action	Within 5 days after receiving CBO disapproval	conditional		Conditional							SERC	GAL	TAT

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4																				
	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
273	STRUC	STRUC-3a	PC/CONS	Final Design Changes - The project owner shall submit to the CBO design changes to the final plans required by the 2016 CBC, including the revised drawings, specifications, calculations, and a complete description of, and supporting rationale for, the proposed changes, and shall give to the CBO prior notice of the intended filing.	The project owner shall notify the CBO of the intended filing of design changes, and shall submit the required number of sets of revised drawings and the required number of copies of the other abovementioned documents to the CBO, with a copy of the transmittal letter to the CPM.	Revised drawings to CBO and transmittal to CPM	Schedule suitable to the CBO	TBD		Conditional							SERC	GAL	TAT	
274	STRUC	STRUC-3b	PC/CONS	Plan Approval Notification in MCR - See STRUC-3a	The project owner shall notify the CPM, via the monthly compliance report, when the CBO has approved the revised plans.	Notification of CBO Plan approval in MCR	Monthly	Monthly Compliance Report		In Progress							SERC	GAL	TAT	
275	STRUC	STRUC-4a	CONS	Tank and HazMat Vessel Design - Tanks and vessels containing quantities of toxic or hazardous materials exceeding amounts specified in the 2016 CBC shall, at a minimum, be designed to comply with the requirements of that chapter.	The project owner shall submit to the CBO for design review and approval final design plans, specifications, and calculations, including a copy of the signed and stamped engineer's certification.	Final design plans, specifications, and calculations	At least 30 days (or project owner- and CBO-approved alternate time frame) prior to the start of installation of the tanks or vessels containing the above specified quantities of toxic or hazardous materials	TBD		Not Started							SERC	TAT		
276	STRUC	STRUC-4b	CONS	CBO Approvals in MCR - See STRUC-4a	The project owner shall send copies of the CBO approvals of plan checks to the CPM in the monthly compliance report following receipt of such approvals. The project owner shall also transmit a copy of the CBO's inspection approvals to the CPM in the monthly compliance report following completion of any inspection.	Copies of CBO approvals in MCR	Monthly	Monthly		In Progress							SERC	GAL	TAT	
277	TLSN	TLSN-1	CONS	66 kV Line Requirements - The project owner shall construct the proposed 66-kV transmission line according to the requirements of California Public Utility Commission's GO-95, GO-128, GO-52, GO-131-D, Title 8, and Group 2, High Voltage Electrical Safety Orders, sections 2700 through 2974 of the California Code of Regulations, and Southern California Edison's EMF reduction guidelines.	The project owner shall submit to the compliance project manager (CPM) a letter signed by a California registered electrical engineer affirming that the line will be constructed according to the requirements stated in the condition.	Letter affirming construction in accordance with requirements	At least 30 days prior to start of construction of the transmission line or related structures and facilities	6/1/2019	3/15/2019	Complete	4/4/2019	3/15/2019	3/18/2019				SCE	GAL	GAF	
278	TLSN	TLSN-2	CONS	Metallic Objects Grounded - The project owner shall ensure that all permanent metallic objects within the proposed route are grounded according to industry standards.	The project owner shall submit to the compliance project manager (CPM) a letter signed by a California registered electrical engineer affirming compliance with this condition.	Letter affirming compliance	At least 30 days before the line is energized	11/1//2019		Not Started							SCE	GAF	GAF	
279	TRANS	TRANS-1a	CONS	Roadway Use Permits and Regulations - The project owner shall comply with limitations imposed by the Department of Transportation (Caltrans) and other relevant jurisdictions, including the cities of Stanton, Anaheim, Buena Park, Garden Grove, and Westminster, and the county of Orange, on vehicle sizes and weights, driver licensing, and truck routes.	The project owner shall identify the permits received during that reporting period (copies of actual permits are not required in the MCR) to demonstrate project compliance with limitations of relevant jurisdictions for vehicle sizes, weights, driver licensing, and truck routes.	List of permits received in MCR	Monthly	Monthly		In Progress							ARB	GAL	TLB	
280	TRANS	TRANS-1b	CONS	Copies of Permits - See TRANS-1a	The project owner shall retain copies of permits and supporting documentation on-site for compliance project manager (CPM) inspection if requested.	Copies of permits and documentation	During construction	on going		In Progress							SERC	TLB		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																		
2	All Phases																		
3																			
4	Version 3/11/2019				Based on Final Staff Assessment														
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
286	TRANS	TRANS-3b	CONS	Roadway Repair Acceptance - See TRANS-3a	If damage to any public road, easement, or right-of-way occurs during construction, the project owner shall notify the CPM and the affected agency/agencies to identify the sections to be repaired. At that time, the project owner and CPM shall establish a schedule for completion of the repairs with which the project owner must comply, unless approval for a schedule change is provided by the CPM. Following completion of any repairs, the project owner shall provide the CPM with letters signed by the affected agency/ agencies stating their satisfaction with the repairs.	Notify CPM and affected agencies to identify sections to be repaired. Establish schedule for completion of repairs with CPM	After road damage has been identified	conditional		Conditional							SERC	GAL	TLB
287	TRANS	TRANS-3c	CONS	Roadway Repair Acceptance - See TRANS-3a	If damage to any public road, easement, or right-of-way occurs during construction, the project owner shall notify the CPM and the affected agency/agencies to identify the sections to be repaired. At that time, the project owner and CPM shall establish a schedule for completion of the repairs with which the project owner must comply, unless approval for a schedule change is provided by the CPM. Following completion of any repairs, the project owner shall provide the CPM with letters signed by the affected agency/ agencies stating their satisfaction with the repairs.	Letters signed by the agency accepting the repairs	Following completion of repairs	conditional		Conditional							SERC	GAL	TLB
289	TRANS	TRANS-4b	CONS/OPS	Copies of Permits - See TRANS-4b	The project owner shall retain copies of the issued permits and supporting documentation in its compliance file.	Copies of the issued permits	Minimum of 180 calendar days after the start of commercial operation.	2/4/2019		In Progress							SERC	TLB	
290	TRANS	TRANS-5a	CONS	Transportation of Hazardous Materials -The project owner shall contract with licensed hazardous materials delivery and waste hauler companies for the transportation of hazardous materials and wastes. The project owner shall ensure compliance with all applicable regulations and implementation of the proper procedures.	The owner shall provide the names of the contracted hazardous materials delivery and waste hauler companies used, as well as licensing verification. Licensing verification only needs to be included in the MCRs when a new company is used. If a company's licensing verification has already been submitted in an MCR, it is not necessary to submit it again.	Names of hazardous materials haulers and licensing verification in MCRs	Monthly during construction	Monthly Compliance Report		In Progress							SERC	GAL	TLB
291	TRANS	TRANS-5b	OPS	Transportation of Hazardous Materials -The project owner shall contract with licensed hazardous materials delivery and waste hauler companies for the transportation of hazardous materials and wastes. The project owner shall ensure compliance with all applicable regulations and implementation of the proper procedures.	The owner shall provide the names of the contracted hazardous materials delivery and waste hauler companies used, as well as licensing verification. Licensing verification only needs to be included in the MCRs when a new company is used. If a company's licensing verification has already been submitted in an MCR, it is not necessary to submit it again.	Names of hazardous materials haulers and licensing verification in ACR	Annual Compliance Report	12/31/2020		Not started							SERC	DSR	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																		
2	All Phases																		
3																			
4	Version 3/11/2019				Based on Final Staff Assessment														
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
297	TRANS	TRANS-7	CONS	FAA Notification for Construction Equipment at or Exceeding 153 Feet AGL - The project owner or its contractor(s) shall file Federal Aviation Administration (FAA) Form 7460-1, Notice of Proposed Construction or Alteration, with the FAA for any construction equipment 153 feet above ground level (AGL) or taller. The project owner shall comply with any conditions imposed by the FAA as part of their hazard determination, such as marking and lighting requirements.	The project owner shall submit to the CPM a copy of the FAA's hazard determination.	FAA Form 7460-2, Notice of Actual Construction or Alteration	At least 30 days prior to the presence onsite of any construction equipment 153 feet AGL or taller	TBD		Not Started							JACOBS	GAL	TLB
298	TRANS	TRANS-8a	CONS	Pilot Notification and Awareness - The project owner shall initiate the following actions to ensure pilots are aware of the project location and potential hazards to aviation. (See Decision TRANS-8 for specifications).	The project owner shall submit to the CPM for review and approval draft language for the letters of request to the FAA, the LAAA Manager, and the FMA Manager. The letters should request a response within 30 days that includes a timeline for implementing the required actions.	Draft letters to the FAA, LAAA Manager, and FMA Manager	Within 60 days following the start of construction	4/19/2019	3/20/2019	Complete	3/22/2019						JACOBS	GAL	TLB
299	TRANS	TRANS-8b	CONS	Final Letters to FAA, LAAA, and FMA - See TRANS-8a	The project owner shall submit the required letters of request to the FAA, the LAAA Manager, and the FMA Manager. The project owner shall submit copies of these requests to the CPM. A copy of any resulting correspondence shall be submitted to the CPM within 10 days of receipt. If the FAA, the LAAA Manager, or the FMA Manager does not respond within 30 days, the project owner shall contact the CPM.	Final letters to the FAA, LAAA Manager, and FMA Manager	Within 60 days after CPM approval of the draft language	5/21/2019		Pending			Los Alamitos Army Airfield, FAA, Fullerton Municipal Airport	3/27/2019			JACOBS	GAL	TLB
300	TRANS	TRANS-8c	CONS	Correspondence from FAA, LAAA, or FMA - See TRANS-8a	A copy of any resulting correspondence shall be submitted to the CPM within 10 days of receipt. If the FAA, the LAAA Manager, or the FMA Manager does not respond within 30 days, the project owner shall contact the CPM.	Copy of correspondence from FAA, LAA or FMA	Within 10 days of receipt	Conditional	FMA - 04/02/2019	Pending							SERC	GAL	TLB
301	TRANS	TRANS-8d	CONS	Correspondence from FAA, LAAA, or FMA - See TRANS-8a	A copy of any resulting correspondence shall be submitted to the CPM within 10 days of receipt. If the FAA, the LAAA Manager, or the FMA Manager does not respond within 30 days, the project owner shall contact the CPM.	Contact CPM if FAA, LAA Manager or FMA manager does not respond	Within 30 days after submittal	Conditional		Not started							SERC	GAL	TLB
302	TSE	TSE-1	CONS	Schedule of Designs, Master Drawing List, Specification Lists - Furnish to the CPM and to the CBO a schedule of transmission facility design submittals, as described in this condition (See Decision TSE-1), a Master Drawing List, a Master Specifications List, and a Major Equipment and Structure List. Provide designated packages to the CPM when requested.	Prior to the start of construction, submit the schedule, a Master Drawing List, and a Master Specifications List to the CBO and to the CPM. The schedule shall contain the elements listed in this condition. Additions and deletions shall be made to the table only with CPM and CBO approval.	Schedule, Master Drawing and Specifications Lists	Prior to the start of construction of transmission facilities	7/1/2019		Not started							Power	GAL	TAT

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
303	TSE	TSE-2a	CONS	Final Switchyard Design- For the power plant switchyard, outlet line, and termination, the project owner shall not begin any construction until plans for that increment of construction have been approved by the CBO. These plans, together with design changes, and design change notices, shall remain on the site for one year after completion of construction. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS.	The project owner shall submit to the CBO for review and approval the final design plans, specifications, and calculations for equipment and systems of the power plant switchyard, outlet line, and termination, including a copy of the signed and stamped statement from the responsible electrical engineer verifying compliance with all applicable LORS.	Approval of Final design plans, specifications, and calculations for the power plant switchyard, outlet line, and termination with compliance certification letter by CBO	Prior to the start of each increment of construction	7/1/2019		Not started							Power / SCE	GAL	TAT/GAF	
304	TSE	TSE-2b	CONS/COM/OPS	Final Switchyard Design- For the power plant switchyard, outlet line, and termination, the project owner shall not begin any construction until plans for that increment of construction have been approved by the CBO. These plans, together with design changes, and design change notices, shall remain on the site for one year after completion of construction. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS.	The project owner shall submit to the CBO for review and approval the final design plans, specifications, and calculations for equipment and systems of the power plant switchyard, outlet line, and termination, including a copy of the signed and stamped statement from the responsible electrical engineer verifying compliance with all applicable LORS.	Maintain Final design plans, specifications, and calculations for the power plant switchyard, outlet line, and termination with compliance certification letter	For 1 year after completion of construction	6/1/2020		Not Started							SERC	DSR		
305	TSE	TSE-2c	CONS	Final Switchyard Design- For the power plant switchyard, outlet line, and termination, the project owner shall not begin any construction until plans for that increment of construction have been approved by the CBO. These plans, together with design changes, and design change notices, shall remain on the site for one year after completion of construction. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS.	The project owner shall submit to the CBO for review and approval the final design plans, specifications, and calculations for equipment and systems of the power plant switchyard, outlet line, and termination, including a copy of the signed and stamped statement from the responsible electrical engineer verifying compliance with all applicable LORS.	Make request for CBO inspection of insallation applicable to LORS	During construction	7/1/2019		Not Started							SERC	TLB	TAT/GAF	
306	TSE	TSE-2d	CONS/COM/OPS	Transmittal Letter in MCR - See TSE-2a	Send the CPM a copy of the transmittal letter to the CBO in the next monthly compliance report.	Transmittal in MCR	Monthly if needed	On Going		Not Started							SERC	GAL	GAF/TAT	
307	TSE	TSE-3	CONS/COM/OPS	Design, Construction, and Operation of Transmission Facilities - The design, construction, and operation of the proposed transmission facilities will conform to all applicable LORS, and requirements (a) through (f) listed in this condition (See Decision TSE-3 for further specifications).	Prior to the start of construction of transmission facilities, submit to the CBO for approval the elements (a) through (f) listed in this condition.	See condition text for document list	Prior to the start of construction or modification of transmission facilities	7/1/2019		Not Started		1/31/2019					SERC	GAF		
308	TSE	TSE-4a	CONS	Notice to CAISO - The project owner shall provide the following notice to the California Independent System Operator (California ISO) prior to synchronizing the facility with the California Transmission system: 1. At least one week prior to synchronizing the facility with the grid for testing, provide the California ISO a letter stating the proposed date of synchronization; and 2. At least one business day prior to synchronizing the facility with the grid for testing, provide telephone notification to the California ISO Outage Coordination Department.	The project owner shall provide copies of the California ISO letter to the CPM when it is sent to the California ISO one week prior to initial synchronization with the grid. The project owner shall contact the California ISO Outage Coordination Department, Monday through Friday, between the hours of 0700 and 1530 at (916) 351-2300 at least one business day prior to synchronizing the facility with the grid for testing. A report of conversation with the California ISO shall be provided electronically to the CPM one day before synchronizing the facility with the California transmission system for the first time.	CAISO letter and report of conversation with CAISO	Letter one week prior and report of conversation one day before initial synchronization with the grid	2/24/2020		Not Started							SERC	DSR		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
309	TSE	TSE-4b	CONS	Notice to CAISO - The project owner shall provide the following notice to the California Independent System Operator (California ISO) prior to synchronizing the facility with the California Transmission system: 1. At least one week prior to synchronizing the facility with the grid for testing, provide the California ISO a letter stating the proposed date of synchronization; and 2. At least one business day prior to synchronizing the facility with the grid for testing, provide telephone notification to the California ISO Outage Coordination Department.	The project owner shall provide copies of the California ISO letter to the CPM when it is sent to the California ISO one week prior to initial synchronization with the grid. The project owner shall contact the California ISO Outage Coordination Department, Monday through Friday, between the hours of 0700 and 1530 at (916) 351-2300 at least one business day prior to synchronizing the facility with the grid for testing. A report of conversation with the California ISO shall be provided electronically to the CPM one day before synchronizing the facility with the California transmission system for the first time.	Telephone notification to CAISO Outage Coordination department Note: use recorded line at 24hr desk	Letter one business day prior and report of conversation one day before initial synchronization with the grid	3/1/2020		Not Started							SERC	DSR		
310	TSE	TSE-5a	COM/OPS	As-Built Drawings - The project owner shall be responsible for the inspection of the transmission facilities during and after project construction, and any subsequent CPM and CBO approved changes thereto, to ensure conformance with CPUC General Order (GO) 95, CPUC GO 128, or NESC, Title 8, CCR, Articles 35, 36 and 37 of the "High Voltage Electric Safety Orders", applicable interconnection standards, as well as NEC and related industry standards. In case of nonconformance, the project owner shall inform the CPM and CBO in writing, within 10 days of discovering such non-conformance, and describe the corrective actions to be taken.	Within 60 days after first synchronization of the project, the project owner shall transmit to the CPM and CBO "as built engineering descriptions" and inspection summaries (see Decision TSE-5 Verification for specifications)	Inspect transmission facilities during and after project construction. Contact CBO in writing with non-conformance of the transmission facility.	Within 10 days of discovering non-conformance	Conditional		Not Started							SERC	TLB	GAF/TAT	
311	TSE	TSE-5b	COM/OPS	As-Built Drawings - The project owner shall be responsible for the inspection of the transmission facilities during and after project construction, and any subsequent CPM and CBO approved changes thereto, to ensure conformance with CPUC General Order (GO) 95, CPUC GO 128, or NESC, Title 8, CCR, Articles 35, 36 and 37 of the "High Voltage Electric Safety Orders", applicable interconnection standards, as well as NEC and related industry standards. In case of nonconformance, the project owner shall inform the CPM and CBO in writing, within 10 days of discovering such non-conformance, and describe the corrective actions to be taken.	Within 60 days after first synchronization of the project, the project owner shall transmit to the CPM and CBO "as built engineering descriptions" and inspection summaries (see Decision TSE-5 Verification for specifications)	"As built" engineering descriptions and one line drawings of electrical portion of facility, signed and sealed by Electrical Engineer in charge and a statement attesting conformance	Within 60 days after first synchronization of the project	5/1/2020		Not Started							SERC	GAF		
312	TSE	TSE-5c	COM/OPS	As-Built Drawings - The project owner shall be responsible for the inspection of the transmission facilities during and after project construction, and any subsequent CPM and CBO approved changes thereto, to ensure conformance with CPUC General Order (GO) 95, CPUC GO 128, or NESC, Title 8, CCR, Articles 35, 36 and 37 of the "High Voltage Electric Safety Orders", applicable interconnection standards, as well as NEC and related industry standards. In case of nonconformance, the project owner shall inform the CPM and CBO in writing, within 10 days of discovering such non-conformance, and describe the corrective actions to be taken.	Within 60 days after first synchronization of the project, the project owner shall transmit to the CPM and CBO "as built engineering descriptions" and inspection summaries (see Decision TSE-5 Verification for specifications)	"As built" engineering descriptions of mechanical structure and civil portion of transmission facilities signed and sealed by Registered Engineer and maintain records at plant	Within 60 days after first synchronization of the project	5/1/2020		Not Started							SERC	GAF		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
313	TSE	TSE-5d	COM/OPS	As-Built Drawings - The project owner shall be responsible for the inspection of the transmission facilities during and after project construction, and any subsequent CPM and CBO approved changes thereto, to ensure conformance with CPUC General Order (GO) 95, CPUC GO 128, or NESC, Title 8, CCR, Articles 35, 36 and 37 of the "High Voltage Electric Safety Orders", applicable interconnection standards, as well as NEC and related industry standards. In case of nonconformance, the project owner shall inform the CPM and CBO in writing, within 10 days of discovering such non-conformance, and describe the corrective actions to be taken.	Within 60 days after first synchronization of the project, the project owner shall transmit to the CPM and CBO "as built engineering descriptions" and inspection summaries (see Decision TSE-5 Verification for specifications)	Summary of inspections of the completed transmission facilities and identification of any nonconforming work and corrective actions taken, signed and sealed by registered engineer submitted to CPM and CBO	Within 60 days after first synchronization of the project or completed transmission facilities	5/1/2020		Not Started							SERC	GAF		
315	VIS	VIS-1b	PC/CONS	Revised Surface Treatment Plan - See VIS-1a	If the CPM determines that the plan requires revision, the project owner shall provide to the CPM a plan with the specified revision(s) for review and approval by the CPM before any treatment is applied. Any modifications to the treatment plan must be submitted to the CPM for review and approval.	Revised Surface Treatment Plan	Before any treatment is applied	conditional		Conditional							SERC	GAL	GAF	
316	VIS	VIS-1c	CONS	Notification that Treatment Completed - See VIS-1a	The project owner shall notify the CPM that surface treatment of all listed structures and buildings has been completed and is ready for inspection and shall submit one set of electronic color photographs from the same Key Observation Points (KOP) 1 and 2.	Notification that surface treatment is completed and color photographs	Prior to the start of commercial operation	6/1/2020	2/26/2018	In Progress							SERC	GAL	GAF	
317	VIS	VIS-1d	OPS	Surface Treatment Maintenance - See VIS-1a	Project owner shall provide status report regarding surface treatment maintenance in the ACR. The report shall specify a): the condition of the surfaces of all structures and buildings at the end of the reporting year; b) maintenance activities that occurred during the reporting year; and c) the schedule of maintenance activities for the next year	Status Report	Annual Compliance Report	12/31/2020		Not Started							SERC	DSR		
318	VIS	VIS-2a	CONS	Screening Landscaping Plan - The project owner shall also submit to the CPM for review and approval, and simultaneously to the city of Stanton for review and comment, a detailed landscape plan and irrigation plan for the power plant site in fulfillment of requirements of applicable laws, ordinances, regulations, and standards, including water efficiency irrigation standards as required by the city of Stanton. See Decision VIS-2 for specifications.	The landscaping plans and irrigation plans shall be submitted to the CPM for review and approval and simultaneously to the city of Stanton for review and comment at least 90 days prior to installation.	Landscaping and irrigation plans	At the earliest feasible time during or prior to construction and at least 90 days prior to installation	2/1/2020		Not Started							SERC	GAL	GAF	
319	VIS	VIS-2b	CONS	Revised Landscaping and Irrigation Plans - See VIS-2a	If the CPM determines that the plans require revision, the project owner shall provide to the CPM and simultaneously to the city of Stanton a revised plan for review and approval by the CPM.	Revised landscaping and irrigation plans	No specific time frame	conditional		Conditional							SERC	GAL	GAF	
320	VIS	VIS-2c	COM/OPS	Landscape Installation Timing - See VIS-2a	The planting must occur during the first optimal planting season following completion of site construction	Landscape and irrigation installation	First optimal planting season following construction	5/1/2020		Not Started							ARB	GAF		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
321	VIS	VIS-2d	COM/OPS	Landscaping Ready for Inspection - See VIS-2a	The project owner shall simultaneously notify the CPM and the city of Stanton within seven days after completing installation of the landscaping, that the landscaping is ready for inspection.	Notification that landscape is ready for inspection	Within seven days of completing the landscaping	6/7/2020		Not Started							SERC	GAL	GAF	
322	VIS	VIS-2e	COM/OPS	Landscaping Ready for Inspection - See VIS-2a	The project owner shall report landscaping maintenance activities, including replacement or dead or dying vegetation, for the previous year of operation in each ACR. The CPM shall have authority to require replacement planting of dead or dying vegetation through the life of the project	Status Report	Annual Compliance Report	12/31/2020		Not Started							SERC	DSR		
323	VIS	VIS-3a	CONS	Site Lighting, Project Construction and Commissioning -Consistent with applicable worker safety regulations, the project owner shall ensure that lighting of on-site construction areas, and construction worker parking lots, minimizes potential night lighting impacts. (See Decision VIS-3 for specifications).	The project owner shall notify the CPM that the lighting is ready for inspection.	Notification that lighting is ready for inspection	Within seven calendar days after the first use of construction lighting	3/8/2019	3/4/2019	Completed	3/7/2019						ARB	GAL		
324	VIS	VIS-3b	CONS	Lighting Modifications Corrections - See VIS-3a	If the CPM determines that modifications to the lighting are needed for any construction milestone, project owner shall correct the lighting and notify the CPM that modifications have been completed.	Lighting modifications/ corrections, notification to CPM	Within 14 calendar days of receiving notification	conditional		Conditional							ARB	GAL		
325	VIS	VIS-3c	CONS	Complaint Reporting - See VIS-3a	The project owner shall provide to the CPM a copy of any complaint reports and resolution form, including a schedule for implementing corrective measures to resolve the complaint.	Complaint report and resolution form, schedule for corrective measures	Within 48 hours of receiving a lighting complaint for any construction activity	conditional		Conditional							SERC	GAL		
326	VIS	VIS-3d	CONS	Summary of Complaints in MCR - See VIS-3a	The project owner shall report any lighting complaints and document their resolution in the monthly compliance report for the project, accompanied by copies of completed complaint report and resolution forms for that month.	Summary of complaints and resolution in MCR, including report and forms	Monthly	Monthly		In Progress							SERC	GAL		
327	VIS	VIS-4a	PC/CONS	Lighting Management Plan, Project Operation - The project owner shall prepare and implement a comprehensive Lighting Management Plan. The comprehensive Lighting Management Plan shall be submitted to the CPM, and the Planning Director of the city of Stanton for simultaneous review and comment. Any comments on the plan from the city shall be provided to the CPM. The project owner shall not purchase or order any lighting fixtures or apparatus until written approval of the final plan is received from the CPM. Modifications to the Lighting Management Plan are prohibited without the CPM's approval. Consistent with applicable worker safety regulations, the project owner shall design, install, and maintain all permanent exterior lighting such that light sources are not directly visible from areas beyond the project site, glare is avoided, and night lighting impacts are minimized or avoided to the maximum extent feasible. All lighting fixtures shall be selected to achieve high energy efficiency for the facility. (See Decision VIS-4 for specifications).	The project owner shall submit the comprehensive Lighting Management Plan simultaneously to the Planning Director of the city of Stanton for review and comment and the CPM for review and approval. The project owner shall provide the CPM with a copy of the transmittal letters submitted to the city requesting their review of the Lighting Management Plan. The CPM shall deem the Lighting Management Plan acceptable to the city of Stanton if comments are not provided to the CPM within 45 calendar days of receipt of said plan.	Lighting Management Plan and transmittal letters to Planning Director of City of Stanton for review and comment	At least 90 calendar days before ordering any permanent lighting equipment for the project	12/3/2018		Completed			Stanton	11/26/18	27-Nov-18	POWER	GAL	TAT		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
328	VIS	VIS-4b	PC/CONS	Lighting Management Plan, Project Operation - The project owner shall prepare and implement a comprehensive Lighting Management Plan. The comprehensive Lighting Management Plan shall be submitted to the CPM, and the Planning Director of the city of Stanton for simultaneous review and comment. Any comments on the plan from the city shall be provided to the CPM. The project owner shall not purchase or order any lighting fixtures or apparatus until written approval of the final plan is received from the CPM. Modifications to the Lighting Management Plan are prohibited without the CPM's approval. Consistent with applicable worker safety regulations, the project owner shall design, install, and maintain all permanent exterior lighting such that light sources are not directly visible from areas beyond the project site, glare is avoided, and night lighting impacts are minimized or avoided to the maximum extent feasible. All lighting fixtures shall be selected to achieve high energy efficiency for the facility. (See Decision VIS-4 for specifications).	The project owner shall submit the comprehensive Lighting Management Plan simultaneously to the Planning Director of the city of Stanton for review and comment and the CPM for review and approval. The project owner shall provide the CPM with a copy of the transmittal letters submitted to the city requesting their review of the Lighting Management Plan. The CPM shall deem the Lighting Management Plan acceptable to the city of Stanton if comments are not provided to the CPM within 45 calendar days of receipt of said plan.	Provide CPM with transmittal letter submitted to city and the Lighting Management Plan	At least 90 calendar days before ordering any permanent lighting equipment for the project	12/3/2018	11/26/2018	Completed	11/27/2018						SERC	GAL	TAT	
329	VIS	VIS-4c	CONS/COM/OPS	Revised Lighting Plan - See VIS-4a	If the CPM determines that the plan requires revision, the project owner shall provide a plan with the specified revision(s) for review and approval by the CPM. A courtesy copy of the revised plan shall be provided to the Planning Director of the city of Stanton for review and comment and the CPM from review and approval. No work to implement the plan (e.g., purchasing of fixtures) shall begin until final plan approval is received from the CPM.	Revised Lighting Plan	No specific time frame	conditional		Conditional							POWER	GAL	TAT	
330	VIS	VIS-4d	CONS/COM	Lighting Inspection Ready, Notification - See VIS-4a	The project owner shall notify the CPM that installation of permanent lighting for the project has been completed and that the lighting is ready for inspection.	Notification that lighting is ready for inspection	Prior to the start of commercial operation of the project	6/1/2020		Not Started							SERC	GAL	TLB	
331	VIS	VIS-4e	COM/OPS	Changes to Lighting System - See VIS-4a	If the CPM notifies the project owner that modifications to the lighting system are required, within 30 days of receiving that notification, the project owner shall implement all specified changes and notify the CPM that the modified lighting system(s) is ready for inspection.	Changes to the lighting system	30 days after receiving the notification	conditional		Not Started							SERC	GAL	TLB	
332	VIS	VIS-4f	COM/OPS	Lighting System Complaint - See VIS-4a	Within 48 hours of receiving a complaint about permanent project lighting, the project owner shall provide to the CPM a copy of the complaint report and resolution form, including a schedule for implementing corrective measures to resolve the complaint	Notice to CPM	Within 48 hours of receiving a complaint permanent project lighting	conditional		Conditional							SERC	GAL		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																		
2	All Phases																		
3																			
4	Version 3/11/2019				Based on Final Staff Assessment														
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person
333	VIS	VIS-4g	COM/OPS	Status Report in ACR - Lighting System - See VIS-4a	Project owner shall report any complaints about permanent lighting and document their resolution in the ACR, accompanied by copies of completed complaint report and resolution forms for that year. The project owner shall not order any exterior lighting until receiving CPM approval of the lighting mitigation plan	Status Report	Annual Compliance Report	12/31/2020		Not Started							SERC	DSR	
334	VIS	VIS-4h	COM/OPS	Pre-COD Inspection - Lighting System - See VIS-4a	Prior to COD, project owner shall notify CPM that installation of the lighting has been completed and is ready for inspection.	Notification to CPM	Prior to COD	6/1/2020		Not Started							SERC	GAL	
335	VIS	VIS-4i	COM/OPS	Pre-COD Inspection - Lighting System - See VIS-4a	If after inspection the CPM notifies the project owner that modifications to the lighting are needed, within 30 days of receiving that notification the project owner shall implement the modifications and notify the CPM that the modifications have been completed and are ready for inspection	Notification to CPM	Within 30 days of receiving notification	conditional		Not Started							SERC	GAL	TAT
337	WASTE	WASTE-1b	CONS	SMP Summary - See WASTE-1a	An SMP summary shall be submitted to the CPM within 25 days of completion of any earthwork.	Soil Management Plan Summary	Within 25 days of completion of any earthwork	11/29/2019		Not Started							JACOBS	GAL	GAF
339	WASTE	WASTE-3a	CONS	Final Engineer/Geologist Report - If seemingly contaminated soil is identified during site characterization, demolition, excavation, or grading at either the proposed site or linear facilities (as evidenced by discoloration, odor, detection by handheld instruments, or other signs), the professional engineer or geologist shall inspect the site, determine the need for sampling to confirm the nature and extent of contamination, and provide a written report to the project owner, representatives of Department of Toxic Substances Control, and the CPM stating the recommended course of action. (See Decision WASTE-3 for specifications).	The project owner shall submit any final reports filed by the professional engineer or geologist to the CPM within five days of their receipt.	Final reports by the engineer or geologist	Within 5 days of receipt	Conditional		Not Started							JACOBS	GAL	GAF
340	WASTE	WASTE-3b	CONS	Construction Halt Notification - See WASTE-3a	The project owner shall notify the CPM within 24 hours of any orders issued to halt construction due to contaminated soil.	Notify the CPM	Within 24 hours of orders to halt construction	conditional		Conditional							SERC	GAL	
343	WASTE	WASTE-4c	CONS	Waste Volumes Reported in MCR - See WASTE-4a	The project owner shall also document in each monthly compliance report (MCR) the actual volume of wastes generated and the waste management methods used during the year; provide a comparison of the actual waste generation and management methods used to those proposed in the original Construction and Demolition Waste Management Plan; and update the Construction and Demolition Waste Management Plan as necessary to address current waste generation and management practices.	Waste volumes and waste management methods in Monthly Compliance Reports	Monthly	Monthly		In Progress							ARB	GAL	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
344	WASTE	WASTE-5a	PC/CONS	Asbestos-Containing Materials - Prior to demolition of pipelines, buildings, and associated structures, the project owner shall survey for asbestos-containing material (ACM) and notify the CPM of the results. In the case of a need to remove such material, the project owner shall complete and submit a copy of a South Coast Air Quality Management District Notification of Demolition or Renovation Form to the CPM as related to asbestos and other materials.	Prior to demolition of pipelines, buildings, and associated structures, project owner shall survey for asbestos-containing material (ACM) and notify the CPM of the results	Notify CPM of ACM survey results	Prior to demolition of pipelines, buildings, and associated structures	12/6/2018	2/13/2019	Completed	2/22/2019	Asbestos Survey: 2/13/2019 Garage Demo Plan: 2/20/2019	Asbestos Survey: 2/14/2019 Garage Demo Plan: 2/25/2019				AEC	GAL	GAF	
345	WASTE	WASTE-5b	PC/CONS	Asbestos-Containing Materials - Prior to demolition of pipelines, buildings, and associated structures, the project owner shall survey for asbestos-containing material (ACM) and notify the CPM of the results. In the case of a need to remove such material, the project owner shall complete and submit a copy of a South Coast Air Quality Management District Notification of Demolition or Renovation Form to the CPM as related to asbestos and other materials.	The project owner shall provide the Notification of Demolition or Renovation Form to the CPM for review.	Notification Demolition or Renovation Form to CPM	No less than 60 days prior to commencement of structure demolition	12/6/2018	2/13/2019	Completed	2/22/2019						AEC	GAL	GAF	
346	WASTE	WASTE-5c	PC/CONS	Asbestos-Containing Materials - Prior to demolition of pipelines, buildings, and associated structures, the project owner shall survey for asbestos-containing material (ACM) and notify the CPM of the results. In the case of a need to remove such material, the project owner shall complete and submit a copy of a South Coast Air Quality Management District Notification of Demolition or Renovation Form to the CPM as related to asbestos and other materials.	In the case of asbestos removal, the project owner shall inform the CPM, via the Monthly Compliance Report of the date when all ACM is removed from the site.	ACM removal description in Monthly Compliance Reports	Monthly Compliance Report	Monthly Compliance Report		Completed							SERC	GAL		
347	WASTE	WASTE-6	CONS/COM/OPS	Hazardous Waste Generator ID - The project owner shall report new or temporary hazardous waste generator identification numbers from the United States Environmental Protection Agency prior to generating any hazardous waste during demolition, construction, or operations.	The project owner shall keep a copy of the identification number(s) on file at the project site and provide documentation of the hazardous waste generation and notification and receipt of the number to the CPM in the next scheduled Monthly Compliance Report after receipt of the number. Submittal of the notification and issued number documentation to the CPM is only needed once, unless there is a change in ownership, operation, waste generation, or waste characteristics that requires a new notification to USEPA. Documentation of any new or revised hazardous waste generation notifications or changes in identification number shall be provided to the CPM in the next scheduled compliance report.	Report new or temporary Hazardous waste generator ID numbers in Monthly Compliance Report	Monthly Compliance Report	Monthly Compliance Report		In Progress							SERC	GAL	TLB	
348	WASTE	WASTE-7	CONS/OPS	Enforcement Action Notification - Upon becoming aware of any impending waste management-related enforcement action by any local, state, or federal authority, the project owner shall notify the CPM of any such action taken, or proposed to be taken, against the project itself, or against any waste hauler or disposal facility or treatment operator with which the owner contracts.	The project owner shall notify the CPM in writing within ten days of becoming aware of an impending enforcement action. The CPM shall notify the project owner of any changes that will be required in the way project-related wastes are managed.	Notify CPM	Within 10 days of becoming aware of an impending enforcement action.	conditional		Conditional							SERC	GAL	TLB	
349	WASTE	WASTE-8a	COM/OPS	Operation Waste Management Plan - The project owner shall prepare an Operation Waste Management Plan for all wastes generated during operation of the facility and shall submit the plan to the CPM for review and approval. See Decision WASTE-8 for specifications.	The project owner shall submit the Operation Waste Management Plan to the CPM for approval.	Operation Waste Management Plan	No less than 30 days prior to the start of project operation	5/1/2020		Not Started							SERC	DSR		
350	WASTE	WASTE-8b	COM/OPS	Revised OWMP - See WASTE-8a	The project owner shall submit any required revisions of the Waste Management Plan to the CPM.	Revised Operation Waste Management Plan	Within 20 days of notification from the CPM that revisions are necessary.	Conditional		Not Started							SERC	DSR		

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
351	WASTE	WASTE-8c	OPS	OWMP Report in ACR - See WASTE-8a	Project owner shall also document in each ACR the actual volume of wastes generated and the waste management methods used during the year; provide a comparison of the actual waste generated and management methods used to those proposed in the original Operation Waste Management Plan; and update the Operation Waste Management Plan as necessary to address current waste generation and management practices	Status Report	Annual Compliance Report	12/31/2020		Not Started							SERC	DSR		
352	WASTE	WASTE-9	CONS/OPS	Unauthorized Release Response - The project owner shall ensure that all spills or releases of hazardous substances, materials, or waste are reported, cleaned up, and remediated as necessary, in accordance with all applicable federal, state, and local requirements.	The project owner shall document all unauthorized releases and spills of hazardous substances, materials, or wastes that occur on the project property or related pipeline and transmission corridors to the CPM. Information including the location of release; date and time of release; reason for release; volume released; amount of contaminated soil/material generated; how release was managed and material cleaned up; if the release was reported; to whom the release was reported; release corrective action and cleanup requirements placed by regulating agencies; level of cleanup achieved and actions taken to prevent a similar release or spill; and disposition of any hazardous wastes and/or contaminated soils and materials that may have been generated by the release.	Information about unauthorized release or spill	Within 48 hours of the date the release was discovered	conditional		Conditional							SERC	GAL	TLB	
353	WASTE	WASTE-10a	CONS/COM	Prior to transportation of soils for disposal at the Olinda Alpha Landfill, the project owner shall obtain approval to dispose of soils at the Olinda Alpha Landfill from Orange County Waste and Recycling.	At least 30 days prior to transportation of soils for disposal to the Olinda Alpha Landfill, the project owner shall submit a Soils Information Form to Orange County Waste and Recycling and the CPM	Obtain approval letter from Orange County Waste and Recycling	30 days prior to transportation of soils for disposal to Olinda Alpha Landfill	1/19/2019	2/5/2019	Completed	2/12/2019			Orange County Waste and Recycling	2/5/18	2/12/18	SERC	GAL	GAF	
354	WASTE	WASTE-10b	CONS/COM	Prior to transportation of soils for disposal at the Olinda Alpha Landfill, the project owner shall obtain approval to dispose of soils at the Olinda Alpha Landfill from Orange County Waste and Recycling.	At least 5 days prior to transportation of soils for disposal to the Olinda Alpha Landfill, the project owner shall submit to the CPM Orange County Waste and Recycling's correspondence documenting its ability to accept the soils for disposal.	Approval letter/correspondence from Orange County Waste and Recycling	5 days prior to transportation of soils for disposal to Olinda Alpha Landfill	2/13/2019	2/14/2019	Completed	2/22/2019						SERC	GAL	GAF	

	A	B	C	D	E	F	G	H	I	J	K	O	P	Q	R	S	T	U	V	
1	Stanton Energy Reliability Center Compliance Matrix (16-AFC-01)																			
2	All Phases																			
3																				
4	Version 3/11/2019				Based on Final Staff Assessment															
5	Technical Resource	Cond. #	Phase	Description	Verification/Action/Submittal	Submittal	Date Submittal is Required	Due Date	Date Submitted to CPM	Compliance Status for CPM (Not started, in progress, completed (with date))	Date Approved by CPM	Date Submitted to CBO	Date Approved by CBO	Other Agencies to submit to?	Date Submitted to Other agencies	Date Approved by Other Agencies	Responsible Party	SERC Project Manager	Knowledgeable Person	
357	WORKER SAFETY	WORKER SAFETY-2a	COM/OPS	Operations H&S Program - The project owner shall submit to the CPM a copy of the Project Operations and Maintenance Safety and Health Program (See Decision WORKER SAFETY-2 for specifications). The Operation Injury and Illness Prevention Plan, Hazardous Materials Management Program, Emergency Action Plan, Fire Prevention Plan, Fire Protection System Impairment Program, and Personal Protective Equipment Program shall be submitted to the CPM for review and approval concerning compliance of the programs with all applicable safety orders. The Fire Prevention Plan, Fire Protection System Impairment Program, and the Emergency Action Plan shall also be submitted to the Orange County Fire Authority for review and comment.	The project owner shall submit to the CPM for approval a copy of the Project Operations and Maintenance Safety and Health Program.	Operations and Maintenance Safety and Health Program w/ comments of OCFA	At least 30 days prior to the start of first-fire or commissioning	11/14/2019		Not Started							SERC	DSR		
358	WORKER SAFETY	WORKER SAFETY-2b	COM/OPS	Operations H&S Program - The project owner shall submit to the CPM a copy of the Project Operations and Maintenance Safety and Health Program (See Decision WORKER SAFETY-2 for specifications). The Operation Injury and Illness Prevention Plan, Hazardous Materials Management Program, Emergency Action Plan, Fire Prevention Plan, Fire Protection System Impairment Program, and Personal Protective Equipment Program shall be submitted to the CPM for review and approval concerning compliance of the programs with all applicable safety orders. The Fire Prevention Plan, Fire Protection System Impairment Program, and the Emergency Action Plan shall also be submitted to the Orange County Fire Authority for review and comment.	The project owner shall provide a copy to the CPM of a letter from the Orange County Fire Authority stating the fire department's timely comments on the Operations Fire Prevention Plan, Fire Protection System Impairment Program, and Emergency Action Plan.	Operations and Maintenance Safety and Health Program w/ comments of OCFA	At least 30 days prior to the start of first-fire or commissioning	11/14/2019		Not Started							SERC	DSR		
360	WORKER SAFETY	WORKER SAFETY-3b	PC/CONS	Replacement CSS - See WORKERSAFETY-3a	The contact information of any replacement CSS shall be submitted to the CPM within one business day.	Replacement CSS Name/Contact	Within one business day	conditional		Conditional							ARB	GAL		
361	WORKER SAFETY	WORKER SAFETY-3c	CONS	H&S Information Reported in MCR - See WORKERSAFETY-3a	The CSS shall submit health and safety information in the Monthly Compliance Report (See Decision WORKERSAFETY 3 Verification for specifications)	Health and safety information for MCR	Monthly	Monthly Compliance Report		In Progress							ARB	GAL		
367	WORKER SAFETY	WORKER SAFETY-6c	PC/CONS	Emergency Access Plan, Revised - See WORKERSAFETY-6a	If a change to the secondary access is proposed by the project owner, the project owner must submit the proposed change, with an updated Emergency Access Plan that shows the new proposed location/ arrangement for the secondary emergency access road, to the Orange County Fire Authority for review and timely comment	Emergency Access Plan showing the secondary emergency access road	90 days before a change to the secondary access would occur	conditional		Conditional							JACOBS	GAL	TLB	
368	WORKER SAFETY	WORKER SAFETY-6d	PC/CONS	Emergency Access Plan, Revised - See WORKERSAFETY-6a	If a change to the secondary access is proposed by the project owner, the project owner must submit the proposed change, with an updated Emergency Access Plan that shows the new proposed location/ arrangement for the secondary emergency access road, to the CPM for review and approval.	Emergency Access Plan showing the secondary emergency access road	91 days before a change to the secondary access would occur	conditional		Conditional							JACOBS	GAL	TLB	

Attachment 3 – Air Quality

2600 Michelson Drive, Suite 500
Irvine, CA 92612
United States
www.jacobs.com

Subject **Stanton Energy Reliability Center (16-AFC-1)**
 Air Quality Monthly Compliance Report
 March 2019

To Tim Bofman, SERC, LLC

From Hong Zhuang, Jacobs
 SERC CEC Designated Air Quality Mitigation Manager

Date April 2, 2019

Copies to Greg Lamberg, WPower, LLC
 Sharon Stureman, SERC, LLC
 Doug Davy, Jacobs
 Karen Parker, Jacobs

This Monthly Compliance Report summarizes the activities conducted at the Stanton Energy Reliability Center (SERC) in March 2019 to demonstrate compliance with Conditions of Certification (COCs) for air quality AQ-SC3, AQ-SC4, and AQ-SC5. The required documentation for these COCs is provided in the sections below.

1. AQ-SC3 Construction Fugitive Dust Control

AQ-SC3 requires control measures to mitigate fugitive dust created by project construction activities. AQ-SC3 also requires that the Monthly Compliance Report (MCR) include the following:

- A summary of all actions taken to maintain compliance with this condition (including sweeping log entries)
- Copies of any complaints filed with the South Coast Air Quality Management District (SCAQMD or District)
- Any other documentation deemed necessary by the Compliance Project Manager (CPM), District, or Air Quality Construction Mitigation Manager (AQCMM) to verify compliance with this condition. Such information may be provided via electronic format or disk at the project owner's discretion

During construction in March 2019, fugitive dust was controlled primarily by maintaining vehicle speeds of 10 miles per hour or less on unpaved areas and applying water during soil disturbing and demolition activities. Signs have been posted at the two entrances to the construction site, limiting vehicle speeds to 10 miles per hour. To verify compliance with AQ-SC3, a fugitive dust control checklist was completed each day. The daily field checklists for fugitive dust control and the sweeping logs are provided in Attachment A and summarized in Table 1 below.

On March 4, 2019, SERC received an email from the City of Stanton indicating a track-out problem on Dale Avenue. Actions were taken immediately to clean up the track-out. In addition, SERC has assigned additional laborers to the Dale Avenue entrance to scrape and sweep any track-out and to clean the rumble plates when build-up occurs. The City’s email and SERC’s correspondence are included in the COC COM-11 in the Monthly Compliance Report for March, 2019.

Table 1. Fugitive Dust Control Measures, AQ-SC3

Implementation Measure	Out of Compliance-Trigger	In Compliance-Trigger ^a	Results During Compliance Period
All Main Access Roads Onsite Are Paved or Stabilized	No – Dust Plumes Originating from Access Roads	Yes – No Dust Plumes Originating from Access Roads	Yes – In Compliance
All Unpaved Roads of the Construction Site Are Watered as Frequently as Necessary to Prevent Dust Plume	No – Dust Plumes Originating from Unpaved Roads	Yes – No Dust Plumes Originating from Unpaved Roads	Yes – In Compliance
All Disturbed Areas of the Construction Site Are Watered as Frequently as Necessary to Prevent Dust Plume	No – Dust Plumes Originating from Disturbed Areas	Yes – No Dust Plumes Originating from Disturbed Areas	Yes – In Compliance
Maximum Speed Limit of 10 Miles Per Hour on Unpaved Surfaces	No – Vehicles Exceeding 10 Miles Per Hour on Unpaved Areas	Yes – Vehicles Travel 10 Miles Per Hour or Less on Unpaved Areas	Yes – In Compliance
Visible Speed Limit Signs Posted at Construction Site Entrances	No – No Signs Posted	Yes – Signs Posted	Yes – In Compliance. Ten miles per hour speed limit is posted.
Wheel Inspection or Wash Stations in Place	No – Track-Out into Roadways Not Managed	Yes – No Track-Out Observed or Track-outs were cleaned up immediately.	Yes – In Compliance. Additional measures were implemented to clean up track-out immediately. Tire cleaning to be conducted if needed.
At Least 20-Foot-Long Gravel Ramps at Wheel Inspection / Wash Stations	No – 20-Foot-Long Gravel Ramps Not Present	Yes – 20-Foot-Long Gravel Ramps Present	Not Applicable (NA) – Shaker plates installed. Gravel ramps to be installed as needed.
All Unpaved Exits Are Graveled or Treated	No – Dirt Entering Roadways	Yes – No Dirt Entering Roadways	Yes – In Compliance. Currently, shaker plates were installed at the unpaved exit.
Entrance Limited to Treated Roadways	No – Entrance Not Limited	Yes – Entrance Limited	Yes – In Compliance
Storm Water Pollution Prevention Plan (SWPPP) Control Measures Implemented	No – Contaminated Storm Water Runoff Found in Roadways	Yes – No Contaminated Storm Water Runoff found in Roadways	Yes – In Compliance. Best Management Practices (BMPs) are installed.
Paved Roads within the Site Swept As Needed	No – Dirt / Debris Accumulated	Yes – Site Clean	Yes – In Compliance
At Least 500 Feet of Any Paved Roadway Exiting Site Swept As Needed	No – Visible Dirt within 500 Feet of Roadway Entrance	Yes – No Dirt Observed	Yes – In Compliance

Table 1. Fugitive Dust Control Measures, AQ-SC3

Implementation Measure	Out of Compliance-Trigger	In Compliance-Trigger ^a	Results During Compliance Period
Soil Storage Piles and Disturbed Areas Inactive for More Than 10 Days Are Covered or Treated	No – Dust Plumes Originating from Storage Piles and Disturbed Areas	Yes – No Dust Plumes from Storage Piles and Disturbed Areas	Yes – In Compliance
Bulk Material Transport Offsite is Covered or Treated and Loaded With at Least Two Feet of Freeboard	No – Visible Emissions from Bulk Material Transport	Yes – No Visible Emissions from Bulk Material Transport	Yes – In Compliance
Wind Erosion Control Techniques Used for Disturbed, Unstabilized Construction Areas	No – Visible Dust from Disturbed, Unstabilized Construction Areas	Yes – No Visible Dust from Disturbed, Unstabilized Construction Areas	Yes – In Compliance, Wind breaks installed as needed

^aSite is noted as in compliance if the activity did not occur during the compliance period.

2. AQ-SC4 Dust Plume Response Requirement

AQ-SC4 requires that all construction activities be monitored for visible dust plumes. This condition also requires that additional dust mitigation measures be implemented if visible dust plumes that have the potential to be transported off the project site and within 100 feet upwind of any regularly occupied structure are observed. AQ-SC4 requires that the MCR include the following:

- A summary of all actions taken to maintain compliance with this condition
- Copies of any complaints filed with the District in relation to project construction; and any other documentation deemed necessary by the CPM and AQCMM to verify compliance with this condition. Such information may be provided via electronic format or disk at the project owner’s discretion.

Visible dust plumes with the potential to be transported offsite were not observed in March 2019. No air quality-related complaints were received during this reporting period.

3. AQ-SC5 Diesel-Fueled Engine Control

AQ-SC5 requires that all off-road diesel construction equipment used on the project be powered by the cleanest engines available that also comply with California Air Resources Board’s (CARB) Regulation for In-Use Off-Road Diesel Fleets. AQ-SC5 requires that the MCR include the following:

- A summary of all actions taken to control diesel construction related emissions;
- A list of all heavy equipment used on site during that month, including the owner of the equipment and a letter from each owner indicating that the equipment has been properly maintained
- Any other documentation deemed necessary by the CPM and AQCMM to verify compliance with this condition. Such information may be provided via electronic format or disk at the project owner’s discretion.

Table 2 shows the off-road diesel equipment used at the site in March 2019 and tagged to indicate compliance with AQ-SC5:

Table 2. Off-road Diesel Equipment Used and Tagged This Month

Manufacturer	Equipment Name	EIN
CASE	580 SN - BackHoe	BX3T54
CAT	Cat 966M wheel loader	UG9N98
CAT	56S - 84" roller	YS5A98
CAT	450F - Backhoe	SK8574
CAT	Rough Terrain Forklift	SF7A56
CAT	966K Wheel Loader	RG5N99
CAT	Rough Terrain Forklift	SF7A56
Genie	Forklift - Variable Reach	KT3V94
Genie	Aerial Lift	LG4L96
JLG	Forklift - 54'	YJ4K66
John Deere	210L Skip Loader	JG9B74
Link-Belt	490X4	DL9A58
Multiquip	DCA70SSIU4F - Generator	NA
Volvo	ECR2353I - Excavator	YV7D79
Xtreme	XR1255 Forklift	VC6G63

Attachment B provides a table summarizing information about the engines, including the CARB Engine Identification Number (EIN), tier, and the dates the equipment was used on the project site. Attachment B also contains the AQ-SC5 daily field checklists for off-road diesel engines and letters from the equipment owners indicating the equipment has been properly maintained.

Attachment A
Documentation of AQ-SC3 Compliance

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: _____

Form: SERC-CAQ-001

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:02:54
-0700

Date: 3/1/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?		
Are speed limit signs posted at the main entrances?		
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?		
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?		
Are unpaved exits graveled or treated to prevent track-out?		
Are equipment and vehicles using designated onsite roads?		
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*		
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?		
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?		
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?		
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?		
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).		

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: GREG LAMBERG

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=greg.lamberg@vpower.com, c=US
Date: 2019.03.04 16:35:45 -0800

Date: 3/4/19

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	N	SERC has requested additional diligence from contractor in this regard
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	N	Complaint from City received this morning re: track-out on Parcel 1. Contractor was notified and addressed. SERC has requested additional diligence from contractor going forward.
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	n/a	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=glamberg@vpower.com, c=US
Date: 2019.03.05 16:51:33 -0800

Date: 03/05/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	n/a	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=greg.lamberg@vpower.com, c=US
Date: 2019.03.06 11:24:24 -0800

Date: 3/6/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	n/a	Heavy rain today, no work being done on site
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	n/a	Heavy rain today, no work being done on site
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	SWPPP inspection conducted this morning by Jacobs
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	n/a	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	n/a	Heavy rain today, no work being done on site
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Heavy rains today - no earth work was done on site

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=glamberg@vpower.com, c=US
Date: 2019.03.07 14:38:55 -0800

Date: 3/7/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	n/a	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Tim Bofman

Form: SERC-CAQ-001

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:04:29
-0700

Date: 3/8/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=glamberg@vpower.com, c=US
Date: 2019.03.11 15:44:29 -0700

Date: 3/11/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	n/a	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=glamberg@vpower.com, c=US
Date: 2019.03.12 14:58:00 -0700

Date: 3/12/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	n/a	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=SEI Power, ou,
email=glamberg@seipwr.com, c=US
Date: 2019.03.13 15:31:20 -0700

Date: 3/13/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	n/a	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=glamberg@vpower.com, c=US
Date: 2019.03.14 15:04:39 -0700

Date: 3/14/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Tim Bofman

Form: SERC-CAQ-001

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:05:54
-0700

Date: 3/15/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=SEI Power, ou,
email=glamberg@seipwr.com, c=US
Date: 2019.03.18 15:13:53 -0700

Date: 3/18/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=glamberg@vpower.com, c=US
Date: 2019.03.19 15:22:00 -0700

Date: 3/19/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=greg.lamberg@vpower.com, c=US
Date: 2019.03.20 15:45:34 -0700

Date: 3/20/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=greg.lamberg@vpower.com, c=US
Date: 2019.03.21 14:52:46 -0700

Date: 3/21/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Tim Bofman

Form: SERC-CAQ-001

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:07:59
-0700

Date: 3/22/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=glamberg@vpower.com, c=US
Date: 2019.03.25 16:16:04 -0700

Date: 3/25/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=glamberg@vpower.com, c=US
Date: 2019.03.26 16:39:07 -0700

Date: 3/26/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=SEI Power, ou,
email=greg.lamberg@seipacific.com, c=US
Date: 2019.03.27 15:49:29 -0700

Date: 3/27/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-001

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=V Power, ou,
email=glamberg@vpower.com, c=US
Date: 2019.03.28 15:01:16 -0700

Date: 03/28/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Tim Bofman

Form: SERC-CAQ-001

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:08:38
-0700

Date: 3/29/2019

Construction Fugitive Dust Control (AQ-SC3) Checklist Item	Response (yes/no)	If no, describe corrective action required and/or in progress
Are all unpaved roads and disturbed areas watered as frequently as necessary?	Y	
Are speed limit signs posted at the main entrances?	Y	
Are vehicle tires inspected and washed as necessary? Are gravel ramps installed at tire washing station?	Y	
Are construction equipment vehicle tires inspected and washed as necessary before entering paved road?	Y	
Are unpaved exits graveled or treated to prevent track-out?	Y	
Are equipment and vehicles using designated onsite roads?	Y	
Are onsite paved roads swept at least twice daily, and paved public roadways within 500 feet of exits swept as needed?*	Y	
Are Storm Water Pollution Prevention Plan (SWPPP) sandbags or other erosion control measures in place?	Y	
Are all soil piles and disturbed areas that are inactive for longer than 10 days covered or treated with dust suppressant compounds?	N/A	
Are trucks carrying bulk materials covered and/or sufficiently wetted and loaded to achieve at least 2 feet of freeboard prior to leaving the project site?	Y	
Are wind erosion control techniques (such as windbreaks, water, chemical suppressants, etc.) used on construction areas that may be disturbed?	Y	
Are dust plumes visible with the potential to be transported (1) off the project site, (2) 200 feet beyond the centerline of the construction of linear facilities, or (3) within 100 feet upwind of any regularly occupied structures not owned by the project owner? If yes, implement the dust plume response outlined in AQ-SC4 and complete the Visible Dust Plume Response Form (Form SERC-CAQ-003).	N	

* The use of dry rotary brushes is expressly prohibited except where preceded or accompanied by sufficient wetting to limit the visible dust emissions. Use of blower devices is expressly forbidden.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: MARCH 2019		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
3/1/19	2:15 PM	X	X	X		H. [Signature]	
3/4/19	1:30	X	X	X		[Signature]	
3/5-19	2:30	X	X	X		[Signature]	
3/7/19	2:30	X	X	X		[Signature]	
3/8/19	2:30	X	X	X		J. Botello	
3-9-19	2:00	X	X	X		max Hernandez	
3/10/19	11:30	X	X	X		Adrian [Signature]	
3-11-19	12:30		X	X		SINTA	
3-12-19	7:00 AM	X	X	X		Dave	
3-13-19	12:45	X	X	X		[Signature]	
3/14/19	1:43 PM	X	X	X		J. Botello	
3-15-19	2:15 PM	X	X	X		Juan Sanchez	
3-18-19	8:40 AM	X	X	X		max Hernandez	
3-27-19	11:40	X	X	X		max Hernandez	
3-28-19	10:30	X	X	X		max Hernandez	
3-29-19	11 am	X	X			[Signature]	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: MARCH 2019		Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
3/1/19	8:15 AM				X	M. St	
3/1/19	12:45 PM				X	M. St	
3/1/19	2:38 PM				X	M. St	
3/4/19	7:45 AM				X	L. BREWER	
3/4/19	11:15 PM				X	J. SANCHEZ	
3.4.19	12:50 PM				X	J. TINAJERO	
3.4.19	2:40 PM				X	J. TINAJERO	
3.5.19	7 AM				X	J. TINAJERO	
3/5/19	7:15				X	[Signature]	
3/5/19	7:30				X	[Signature]	
3/5/19	7:45				X	[Signature]	
3/5/19	8:00				X	[Signature]	
3/5/19	8:15				X	[Signature]	
3/5/19	8:30				X	[Signature]	
3/5/19	8:45				X	[Signature]	
	9:00				X	[Signature]	
	9:15				X	[Signature]	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: MARCH 19		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
3-5-19	9:30				X	<i>[Signature]</i>	
3-5-19	9:45				X	<i>[Signature]</i>	
3-5-19	10:00				X	<i>[Signature]</i>	
3-5-19	10:15				X	<i>[Signature]</i>	
3-5-19	10:30				X	<i>[Signature]</i>	
3-5-19	10:45				X	<i>[Signature]</i>	
3-5-19	11:00				X	<i>[Signature]</i>	
3-5-19	11:15				X	<i>[Signature]</i>	
3-5-19	11:30				X	<i>[Signature]</i>	
3-5-19	11:45				X	<i>[Signature]</i>	
3-5-19	12:00				X		
3-5-19	12:30				X	<i>[Signature]</i>	
3-5-19	12:45				X	<i>[Signature]</i>	
3-5-19	1:00				X	<i>[Signature]</i>	
3-5-19	1:15				X	<i>[Signature]</i>	
3-5-19	1:30				X	<i>[Signature]</i>	
3-5-19	1:45				X	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-8-19</i>	<i>107</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-8-19</i>	<i>115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-8-19</i>	<i>130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-8-19</i>	<i>145</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-8-19</i>	<i>2:00</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-8-19</i>	<i>215</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-8-19</i>	<i>230</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>730</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>745</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>800</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>8:15</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>830</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>845</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>900</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>9:15</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>930</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>945</i>				<i>X</i>	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-11-19</i>	<i>1000</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1015</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1030</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1045</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1143</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1200</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1215</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1230</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>1245</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>145</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-11-19</i>	<i>2:00</i>				<i>X</i>	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
3-13-19	715				X	<i>Raul R</i>	
3-13-19	730				X	<i>Raul R</i>	
3-13-19	745				X	<i>Raul R</i>	
3-13-19	800				X	<i>Raul R</i>	
3-13-19	815				X	<i>Raul R</i>	
3-13-19	1000				X	<i>Raul R</i>	
3-13-19	1015				X	<i>Raul R</i>	
3-13-19	1030				X	<i>Raul R</i>	
3-13-19	1045				X	<i>Raul R</i>	
3-13-19	1100				X	<i>Raul R</i>	
3-13-19	1245				X	<i>Raul R</i>	
3-13-19	100				X	<i>Raul R</i>	
3-13-19	115				X	<i>Raul R</i>	
3-13-19	130				X	<i>Raul R</i>	
3-14-19	700				X	<i>Raul R</i>	
3-14-19	715				X	<i>Raul R</i>	
3-14-19	730				X	<i>Raul R</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-14-19</i>	<i>950</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-14-19</i>	<i>1000</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-14-19</i>	<i>1015</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-14-19</i>	<i>1030</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-14-19</i>	<i>1245</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-14-19</i>	<i>100</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-14-19</i>	<i>115</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>700</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>715</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>730</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>745</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>800</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>9:15</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>930</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>945</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>1000</i>				<i>X</i>	<i>Kendall K</i>	
<i>3-15-19</i>	<i>1015</i>				<i>X</i>	<i>Kendall K</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-14-19</i>	<i>950</i>				<i>X</i>	<i>Kendall</i>	
<i>3-14-19</i>	<i>1000</i>				<i>X</i>	<i>Kendall</i>	
<i>3-14-19</i>	<i>1015</i>				<i>X</i>	<i>Kendall</i>	
<i>3-14-19</i>	<i>1030</i>				<i>X</i>	<i>Kendall</i>	
<i>3-14-19</i>	<i>1245</i>				<i>X</i>	<i>Kendall</i>	
<i>3-14-19</i>	<i>100</i>				<i>X</i>	<i>Kendall</i>	
<i>1-14-19</i>	<i>115</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>700</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>715</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>730</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>745</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>800</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>9:15</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>930</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>945</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>1000</i>				<i>X</i>	<i>Kendall</i>	
<i>3-15-19</i>	<i>1015</i>				<i>X</i>	<i>Kendall</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-14-19</i>	<i>950</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-14-19</i>	<i>1000</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-14-19</i>	<i>1015</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-14-19</i>	<i>1030</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-14-19</i>	<i>1245</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-14-19</i>	<i>100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-14-19</i>	<i>115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>700</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>715</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>730</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>745</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>800</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>9:15</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>930</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>945</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>1000</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>1015</i>				<i>X</i>	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH 19</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-15-19</i>	<i>1030</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>1100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>1115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>1130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>1230</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-15-19</i>	<i>115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18-19</i>	<i>700</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>715</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>730</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>745</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>800</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>815</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>830</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>845</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>900</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>915</i>				<i>X</i>	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH 19</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-18-19</i>	<i>930</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18-19</i>	<i>945</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>1000</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>1015</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>1030</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>1045</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>1100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>1115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>1130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>1230</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>1245</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>145</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-18</i>	<i>222</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-19</i>	<i>706</i>				<i>X</i>	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: MARCH 19		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
3-19-19	715				X	[Signature]	
3-19-19	730				X	[Signature]	
3-19-19	745				X	[Signature]	
3-19-19	8:00				X	[Signature]	
3-19-19	845				X	[Signature]	
3-19-19	915				X	[Signature]	
3-19-19	930				X	[Signature]	
3-19-19	945				X	[Signature]	
3-19-19	1000				X	[Signature]	
3-19-19	1015				X	[Signature]	
3-19-19	1030				X	[Signature]	
3-19-19	1045				X	[Signature]	
3-19-19	1130				X	[Signature]	
3-19-19	1210				X	[Signature]	
3-19-19	1215				X	[Signature]	
3-19-19	1230				X	[Signature]	
3-19-19	1245				X	[Signature]	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH 19</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-26-19</i>	<i>645</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>700</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>715</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>845</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>900</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>915</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>930</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>945</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1000</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1015</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1030</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1045</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1210</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1230</i>				<i>X</i>	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH 19</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-26-19</i>	<i>645</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>700</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>715</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>845</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>900</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>915</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>930</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>945</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1000</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1015</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1030</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1045</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1210</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1230</i>				<i>X</i>	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH 19</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-26-19</i>	<i>1245</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>1415</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>200</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>215</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>230</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-26-19</i>	<i>243</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>730</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>745</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>800</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>815</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>900</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>915</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>930</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>945</i>				<i>X</i>	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH 19</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-27-19</i>	<i>1000</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>1015</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>1030</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>1045</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>1100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>1130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>1210</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>1230</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>1245</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>145</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>200</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-27-19</i>	<i>223</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-28-19</i>	<i>7:00 am</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-28-19</i>	<i>7:15</i>				<i>X</i>	<i>[Signature]</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH 19</i>		Sweeping Area Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-28-19</i>	<i>7:30</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>7:45</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>8:00 am</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>8:15 am</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>8:30 am</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>8:45 am</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>9:00 am</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>9:15 am</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>9:30</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>9:45</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>10:00</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>10:15</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>10:30</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>10:45</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>11:00</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>11:15</i>				<i>X</i>	<i>Richard Card</i>	
<i>3-28-19</i>	<i>11:30</i>				<i>X</i>	<i>Richard Card</i>	

Sweeping Log

Month/Year: <i>MARCH 19</i>		Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-26-19</i>	<i>12:15</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-28-19</i>	<i>12:30</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-26-19</i>	<i>12:45</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-28-19</i>	<i>1:00 pm</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-28-19</i>	<i>1:15</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-28-19</i>	<i>1:30 pm</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-28-19</i>	<i>1:45</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-28-19</i>	<i>2:00 pm</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-28-19</i>	<i>2:15</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-28-19</i>	<i>2:30</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-28-19</i>	<i>2:45</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-29-19</i>	<i>7:00</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-29-19</i>	<i>7:15</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-29-19</i>	<i>7:30</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-29-19</i>	<i>7:45</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-29-19</i>	<i>8:00</i>				<i>X</i>	<i>Richard Lewis</i>	
<i>3-29-19</i>	<i>8:15</i>				<i>X</i>	<i>Richard Lewis</i>	

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project (16-AFC-01C)

Sweeping Log

Month/Year: <i>MARCH 19</i>		Sweeping Area (Check if Swept)				Operator Signature	Notes
Date	Time	Onsite	Fern	Pacific	Dale		
<i>3-29-19</i>	<i>830</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>845</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>900</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>915</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>930</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>945</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1000</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1015</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1030</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1045</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1100</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1115</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1130</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1145</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1210</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1230</i>				<i>X</i>	<i>[Signature]</i>	
<i>3-29-19</i>	<i>1245</i>				<i>X</i>	<i>[Signature]</i>	

Appendix B
Documentation of AQ-SC5 Compliance

SERC Offroad Diesel Equipment Inventory March 2019

				Equipment						Engine										
Date Arrived	Date Removed	CARB ID 6 digit (EIN)	SERC ID	Manufacturer	Model/Description	Model Year	Serial Number	Owner	Renter	Manufacturer	Engine Family	Engine Model	Displacement (L)	Model Year	Serial Number	Diesel (hp)	Tier	Engine Certification on File	Compliance Tag	Notes
2/4/2019	onsite	VC6G63	SERC_001	Xtreme	XR1255 Forklift	2016	XR1255031693102	ARB	N/A	FPT Industrial S.P.A	FFPK03.4FSD	854E-E34TA	3.4	2015	JU82679-L025417	122	T4	u-r-015-0283	Green tag issued 02/04/2019	
2/20/2019	3/21/2019	NA	SERC_002	Multiquip	DCA705SIU4F - Generator	2015	NA	United Rentals	ARB	Isuzu	JCEXL04.5AAJ	BR-4JJ1x	2.9	2015	74402993	95.2	T4	NA	Green tag issued 02/19/2019	EO not available. Tier 4 verified based in engine specs.
2/20/2019	onsite	BX3T54	SERC_003	CASE	580 SN - BackHoe	2014	JJ6NS85NLECT05659	D+S BACKHOE SERVICE	N/A	FPT INDUSTRIAL	EFPX034DD	FSHFL4ADD	207 CU IN	2014	215914	97	T4	u-r-015-0283	Green tag issued 02/19/2019	
2/20/2019	onsite	UG9N98	SERC_005	CAT	Cat 966M wheel loader	2014	KJP000570	Ortiz	Ortiz	CAT	ECPLY09.3HTF	C9.3	9.3	2014	SYE01292	303	4F	u-r-001-0479	Green tag issued 02/27/2019	
2/20/2019	onsite	Y55A98	SERC_006	CAT	565 - 84" roller	2014	L8H00587	Ortiz	Ortiz	CAT	DPKXL04.4MI1	C4.4	NA	2013	C7N11131	156.9	4I	NA	Green tag issued 02/27/2019	on EPA NRCI data https://www.epa.gov/compliance-and-
2/25/2019	3/8/2019	YV7D79	SERC_007	Volvo	ECR2353I - Excavator	2017	310653	Lalonde	Ortiz	Deutz	GDZXL05.7053	D6J	5.702	2016	11974476	173	4	u-r-013-0523	Green tag issued 02/27/2019	
2/27/2019	onsite	DL9A58	SERC_009	Link-Belt	490X4	2017	LBX490Q7NGHEX1139	Lalonde	Ortiz	Isuzu Motors Limited	GSZXL09.8QXA	6UZ1	NA	2016	527667	362	4	u-r-006-0421	Green tag issued 02/27/2019	
2/26/2019	3/1/2019	SK8574	SERC_010	CAT	450F - Backhoe	2016	HJR00594	Lalonde	Ortiz	Perkins Engine Company	EPKXL04.4MK1	C4.4	4.4	2014	C7N36796	127	4	u-r-022-0191	Green tag issued 02/27/2019	
2/27/2019	onsite	JG9B74	SERC_011	John Deere	210L Skip Loader	2017	1T8210LXPHF894289	Ortiz	Ortiz	John Deere	HJDXL04.5315	404HT096	4.5	2017	PE4045U052929	93	4F	u-r-004-0537	Green tag issued 02/27/2019	
3/6/2019	3/19/2019	SF7A56	SERC_012	CAT	Rough Terrain Forklift	2012	KDE00312	ARB	ARB	Perkins Engine Company	CPKXL04.4MK1	C4.4	4.4	2012	44800893	125	4I	u-r-022-0176-1	Green Tag issued on 3/7/2019	
3/12/2019	3/18/2019	RG5N99	SERC_013	CAT	966K Wheel Loader	2011	TFS00270	Ortiz	Ortiz	CAT	BXPXL09.3HPA	C9.3	9.3	2011	MME03431	274	4I	u-r-001-0409	Green Tag issued on 3/15/2019	
3/20/2019	3/25/2019	YJ4K66	SERC_014	JLG	Forklift - 54'	2014	160057617	Sunstate	ARB	Cummins	DCEXL04.5AAE	QSB5.5	4.5	2014	73617640	130	4I	u-r-002-0586	Green Tag issued on 3/22/2019	Was on site for a few days while SERC ID: SERC_012 is offsite for repairs
3/21/2019	onsite	KT3V94	SERC_015	Genie	Forklift - Variabe Reach	2014	BR2596	United Rentals	Newtron	Deutz	EDZXL02.9020	TD2.9L4	2.9	2014	11731188	74	4	u-r-013-0472-1	Green Tag issued on 3/22/2019	
3/22/2019	onsite	SF7A56	SERC_016	CAT	Rough Terrain Forklift	2012	KDE00312	ARB	ARB	Perkins Engine Company	CPKXL04.4MK1	C4.4	4.4	2012	44800893	125	4I	u-r-022-0176-1	Green Tag issued on 3/22/2019	Formerly SERC_012 (was removed on 3/19 for repairs and returned on 3/22)
3/28/2019	onsite	LG4L96	SERC_017	Genie	Aerial Lift	2001	50845	United Rentals	Newtron	Deutz AG	DDZXL02.9021	D2.9L4	2.925	2014	11511469	49	T4	u-r-013-0443	Green Tag issued on 4/1/2019	



April 2, 2019

W Power, LLC – Stanton Energy Reliability Center
10711 Dale Avenue
Stanton, Ca 90680

Attn: Greg Lamberg
Project Compliance

RE: Maintenance and Inspection of Equipment

Dear Mr. Lamberg:

This letter confirms that ARB performs daily inspections and required maintenance at the regularly scheduled intervals for the previous month for all on-site equipment. See attached *AQCMPEquipment Log* for ARB equipment currently on-site.

Arrived	Removed	Eqpt No	Manufacturer	Model/Description
2/4/2019	onsite	SERC_001	Xtreme	XR1255 Forklift
2/20/2019	3/21/2019	SERC_002	Multiquip	DCA70SSIU4F - Generator
3/6/2019	3/19/2019	SERC_012	CAT	Rough Terrain Forklift
3/20/2019	3/25/2019	SERC_014	JLG	Forklift - 54'
3/22/2019	onsite	SERC_016	CAT	Rough Terrain Forklift

Respectfully,

Steven Fischer
ARB, Inc.
Project Manager



April 1, 2019

Lalonde Equipment Rental

2508 N. Palm Drive #200

Signal Hill, CA 90755

ATTN: John Britt

Project Manager

Ortiz Enterprises

RE: Ortiz-Stanton Job #210

Equipment Maintenance Order-March 2019

Dear Mr. Britt,

This letter serves to inform you that the following units are being serviced and maintained on a daily basis.

1. (1x) LinkBelt 490 100k# Excavator #2059 DL9A58
2. (1x) Cat 450 Backhoe #1011 SK0547
3. (1x) Volvo 235 55k# Excavator #2166 YVTD79

Sincerely,

A handwritten signature in black ink, appearing to read 'Brent Lalonde', is written over a horizontal line.

Brent Lalonde

Rental Coordinator

Lalonde Equipment Rental



ENTERPRISES, INC.

6 Cushing, Suite 200, Irvine, CA 92618
Phone (949) 753-1414 Fax (949) 753-1477

April 5, 2019

Via e-mail

ARB Inc.
27000 Commercentre Drive
Lake Forest, CA 92630

ATTN: Nick Tasich

RE: Stanton Energy Reliability Center (SERC)
Subcontract No. 14261421-07

Subject: **Equipment Maintenance – March**

Dear Mr. Tasich,

This letter serves to inform you that the following equipment is being serviced and maintained on a daily basis.

1. 2 ea. CAT 966 Loaders;
 - a. EIN UG9N98
 - b. EIN RG5N99
2. Cat CS56 Vibratory Roller
 - a. EIN YS5A98
3. John Deere 210 Skiploader
 - a. EIN JG9B74

If you have any questions or concerns, please do not hesitate to contact me at (949) 753-1414 ext. 104.

Sincerely,
Ortiz Enterprises, Inc.

John J. Britt

John J. Britt
Project Manager



1301 SOUTH STATE COLLEGE BLVD

Fullerton, CA. 92831

Office : 714-871-5712

Fax : 714-871-1107

From: United Rentals, Inc.

To: ARB/Newtron LLC.

Subject: LETTER OF MAINTENANCE VERIFICATION

The intention of this letter is to verify that all preventative maintenance and/or service bulletins are current in accordance with the manufacturer's and ARB's / Newtron's recommendations during the month of April 2019.

This is for the equipment listed below at:

10711 DALE ST

STANTON, CA. 90680

<u>DESCRIPTION</u>	<u>EIN NUMBER</u>	<u>SERIAL NUMBER</u>
GENIE VARIABLE REACH FORKLIFT	JW5N58	10366180

All info verified by: United Rentals, Inc.

Sergio Gonzalez

Territory Manager



King Equipment LLC
 12624 Rosecrans Ave
 Santa Fe Springs, CA 90670
 Phone No: (562) 371-0999 Fax No: (562) 229-0046

Rel/Ver 6.0.1/2020.E
 evalenzuela
WO125429



Order Date
 SVO Contract
 Pay Terms INT
 PO Number

Bill-to No. RENTAL
 Internal - Rental Department

Customer No. SERVICE
 King Equipment - Service Department

Contact Enrique Email Phone
 Service Date 3/26/2019
 Make Genie Serial No. Z452514A-50845 Customer Equipment 144525023
 Model Z-45/25 Meter Reading 2291 Unit No. 144525023

TYPE	NO	DESCRIPTION	QTY
Segment No.	10000	200 Hour Oil Service Equipment	
PART		Filter, Fuel/Water Seperator	1.0
PART		Filter, Fuel	1.0
PART		Filter, Oil Eng	1.0
PART		Filter, Air (Outer)	1.0
PART		Manual Box w/Decals	1.0
PART		Base	1.0
PART		E-Stop Button	1.0
Segment Note		Checked all functions	
Segment Note		Safety inspection	
Segment Note		Replaced power track	
Segment Note		Repacked main lift cylinder	
Segment Note		200 hours engine serviced	

Email

Powered By:

<https://ur-designs.com/solutions/delivery-and-warehouse-solutions/>



Area	Description	Okay	Monitor	Adjusted	Repair	N/A	Tech Suggestion	Cust Request
Drive System	1. Tires, wheels, studs & nuts	✓						
Drive System	2. Brakes	✓						
Electrical	3. Tilt sensor	✓						
Electrical	4. Batteries	✓						
Electrical	5. Audible alarms	✓						
Electrical	6. Power to platform	✓						
Electrical	7. Aux. power	✓						
Electrical	8. Charger					✓		
Electrical	9. Key switch	✓						
Engine	10. Belts	✓						
Engine	11. Alternator output	✓						
Engine	12. Inspect air filter	✓						
Engine	13. RPM Setting	✓						
Engine	14. LP fuel lines (LP only)					✓		
Engine	15. Oil Level	✓						
Engine	16. Crankcase Filter (Diesel Only)					✓		
Frame/Structural	17. Entry gate	✓						
Frame/Structural	18. Spindles & steer bushings	✓						
Frame/Structural	19. Wear pads	✓						
Frame/Structural	20. Inspect boom chains & cables	✓						
Frame/Structural	21. Basket (cracks & damage)	✓						
Frame/Structural	22. Powertrack/Pull tube	✓						
Functionality	23. Overall Functions	✓						
Functionality	24. Footswitch	✓						
Functionality	25. Spare Key	✓						
Functionality	26. Locks	✓						
Hydraulic System	27. Hydraulic Level	✓						
Safety	28. Safety & cut-out switches	✓						



Area	Description	Okay	Monitor	Adjusted	Repair	N/A	Tech Suggestion	Cust Request
Safety	29. Current annual inspection					✓		
Safety	30. Elevated & Stowed Drive Function	✓						
Safety	31. Factory/Service Updtaes					✓		
Visual	32. Overall appearance	✓						
Visual	33. Wring, cables & hoses	✓						
Visual	34. Manuals	✓						
Visual	35. Decals	✓						
Visual	36. Hydraulic/Engine Leaks	✓						
Visual	37. Controlllers & boots	✓						
Visual	38. EIN Number (Diesel Only)	✓						

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Tim Bofman

Form: SERC-CAQ-003

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:09:34 -0700

Date: 3/1/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: GREG IAMBERG

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=SRP Power, ou=
email=greglamberg@srpwr.com, c=US
Date: 2019.03.04 16:27:24 -0800

Date: 03/04/19

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	N	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epacwells.com, o=US
Date: 2019.03.05 16:53:07 -0800

Date: 03/05/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@sterc.com, o=US
Date: 2019.03.06 11:28:34 -0800

Date: 3/06/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	Y	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:
Equipment list was updated and blue tag was placed on forklift that arrived today.

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epacorp.com, ou=epacorp

Date: 3/7/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Tim Bofman

Form: SERC-CAQ-003

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:10:23 -0700

Date: 3/8/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epa.gov, ou=epa

Date: 3/11/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epri.com, ou=epri, o=EPRI

Date: 3/12/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	Y	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:
Awaiting Tier info on new piece of equipment to blue tag it and add to on-site inventory. Should have tomorrow.

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epri.com, ou=epri, o=EPRI

Date: 3/13/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=SPR Power, ou=
email=greglamberg@sprpower.com, c=US
Date: 2019.03.14 15:08:22 -0700

Date: 3/14/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Tim Bofman

Form: SERC-CAQ-003

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:12:16 -0700

Date: 3/15/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epa.gov, ou=epa

Date: 3/18/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	Y	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epa.gov, ou=epa, o=US EPA, cn=Greg Lamberg

Date: 3/19/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	Y	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epacorp.com, ou=epacorp

Date: 3/20/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	Y	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epri.com, ou=epri

Date: 3/21/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Tim Bofman

Form: SERC-CAQ-003

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:12:58 -0700

Date: 3/22/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epri.com, ou=EPRI, o=EPRI

Date: 3/25/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	Y	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	Y	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epa.gov, ou=

Date: 3/26/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: cn=Greg Lamberg, o=EPRI Power, ou=
email=greg.lamberg@epri.com, c=US
Date: 2019.03.27 15:52:38 -0700

Date: 3/27/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Greg Lamberg

Form: SERC-CAQ-003

AQCMM or Delegate signature: Greg Lamberg Digitally signed by Greg Lamberg
DN: c=US, email=greg.lamberg@epa.gov, ou=epa

Date: 03/28/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	Y	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Air Quality Construction Mitigation Plan for the Stanton Energy Reliability Center Project
(16-AFC-01C)

AQCMM or Delegate name: Tim Bofman

Form: SERC-CAQ-003

AQCMM or Delegate signature: Tim Bofman Digitally signed by Tim Bofman
Date: 2019.04.05 13:13:47 -0700

Date: 3/29/2019

Diesel-Fueled Engine Control Checklist Item (AQ-SC5)	Response (yes/no)	Action
Has any off-road diesel equipment been delivered to the site today?	N	If yes, the onsite Delegate shall: 1.) Contact the equipment owner and request the required equipment/engine data, 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM and 3.) Attach equipment verification tag to equipment.
Has any off-road diesel equipment been removed from the site today?	N	If yes, the onsite Delegate shall: 1.) Collect verification tag and 2.) Update the Off-Road Diesel Equipment Inventory and submit it to the AQCMM.
Are AQCMM equipment tags visible for diesel off-road engines greater than 50 hp operating onsite?	Y	If no, the onsite Delegate shall: 1.) Verify equipment is included on the Off-Road Diesel Equipment Inventory. 2.) Fill out tag and attach to equipment.
Are heavy duty diesel engines idling less than 5 minutes, to the extent practical?	Y	If no, the onsite Delegate shall notify the equipment owner and/or operator of the requirement to limit idling to the extent practical.
Are off-road engine fluid leaks visible?	N	If yes, the onsite Delegate shall notify equipment owner immediately about the need for maintenance.

ADDITIONAL NOTES:

Attachment 4 – Biological Resources

2600 Michelson Drive, Suite 500
Irvine, CA 92612
United States
www.jacobs.com

**Subject Stanton Energy Reliability Center (16-AFC-1)
 Biological Resources Monthly Compliance Report
 March 2019**

To: Tim Bofman, SERC, LLC

From: Ava Edens, Jacobs
 SERC CEC Designated Biologist

Date: April 2, 2019

Copies: Greg Lamberg, WPower, LLC
 Sharon Stureman, SERC, LLC
 Doug Davy, Jacobs
 Karen Parker, Jacobs

1. Introduction

This March 2019 Monthly Compliance Report (MCR) summarizes biological resources monitoring activities conducted and documentation prepared from March 1 through March 31, 2019 at the Stanton Energy Reliability Center (SERC) (16-AFC-1C) site located at 10711 Dale Avenue, Stanton, Orange County, California. The MCR is in accordance with the current (October 2018) Biological Resources Mitigation Implementation and Monitoring Plan (BRMIMP). The following biological resources Conditions of Certification (COCs) pertaining to monitoring activities covered by this MCR include, but are not limited to:

- BIO-2: Designated Biologist Duties
- BIO-5: Worker Environmental Awareness Program (WEAP)
- BIO-6: Biological Resources Mitigation Implementation and Monitoring Plan (BRMIMP)
- BIO-7: General Impact Avoidance Mitigation Measures
- BIO-8: Pre-construction Nest Surveys and Impact Avoidance and Minimization Measures for Breeding Birds

2. Monitoring Summary

This section summarizes biological monitoring activities conducted during the March 2019 reporting period. Construction started on February 19, 2019 after the Energy Commission issued the Notice to Proceed.

Biological monitoring was conducted daily. There were no active nests within the SERC site. A Nest Survey Report for the off-site parking area is provided in Appendix A. Daily Biological Resources

Compliance Monitoring Logs are provided in Appendix B. A list of wildlife species observed during the nest survey and monitoring events is included in Appendix C.

2.1 Activities Monitored

SERC construction activities from March 1 through March 31, 2019 included construction of bridges (pedestrian and utility) across Stanton Storm Channel and a water treatment basin, and the demolition of a garage. These construction activities included excavation, trenching, and pouring concrete. Additional project parking at the Bethel Romanian Pentecostal Church began on March 12, 2019 after the completion of a nesting bird survey (Appendix A).

2.2 Nesting Birds

No active nests were observed within the SERC site during the March 2019 reporting period. A nest survey was performed within the additional project parking area (at the Bethel Romanian Pentecostal Apostolic Church) and within 500 feet of the project site on March 11, 2019 in accordance with BIO-8. The Nest Survey Report is provided in Appendix A. Nesting behaviors observed during monitoring at the SERC site are described in further detail in the Biological Resources Compliance Monitoring Logs, which are provided in Appendix B.

2.3 Special-Status Species

No special status species were observed in the project vicinity or on the project site during March 2019. A list of wildlife species observed during nest surveys and monitoring in March 2019 is included in Appendix C.

2.4 Wildlife Injuries and Mortalities

No injured or dead wildlife species were observed within the SERC boundary. A list of wildlife species observed during the nest surveys and monitoring events are included in Appendix C.

2.5 Hazardous Material Spills

No hazardous material spills occurred at the project site during the March 2019 reporting period.

2.6 Non-Compliance Report

No formal non-compliance notifications or incident reports were issued during the March 2019 reporting period.

3. WEAP Training

All on-site staff received WEAP training prior to starting work on site. A total of 41 persons completed the SERC WEAP training in March 2019. The hardcopy sign-in training logs for the March 2019 reporting period are included in Appendix D.

Appendix A
Nest Survey Report

2600 Michelson Drive, Suite 500
Irvine, CA 92612
United States
www.jacobs.com

Subject **Stanton Energy Reliability Center (16-AFC-1) Nest Survey
(BIO-8) Report**

Project Name Stanton Energy Reliability Center (SERC)

Attention John Heiser, CPM
 Andrew Valand, CDFW
 Christine Medak, USFWS

From Ava Edens, Jacobs
 SERC CEC Designated Biologist

Date March 11, 2019

Copies to Tim Bofman, Wellhead Inc.
 Greg Lamberg, SERC, LLC
 Doug Davy, Jacobs
 Karen Parker, Jacobs
 Ken Levenstein, Jacobs

1. Introduction

This memorandum documents the findings of a nest survey of the Stanton Energy Reliability Center (SERC, the Project) (16-AFC-1) parking lot for the Eastern Parcel. The parking lot is owned by the Bethel Romanian Pentecostal Apostolic Church (Church), located at 10801 Dale Avenue, Stanton, Orange County, California. Prior to March 11, 2019, the Church parking lot has not been used by the Project. The Church is a large structure that stands adjacent to several smaller buildings associated with the Church. The parking lot surrounds the Church to the north, west, and south; and is located approximately 100 meters south of the SERC Eastern Parcel (Parcel 1). The parking lot is used by parishioners throughout the week and on the weekend and it is used as an employee parking lot for a neighboring business. The nest survey and this report are provided in compliance with the California Energy Commission (CEC) Condition of Certification BIO-8, Pre-Construction Nest Surveys and Impact Avoidance and Minimization Measures for Breeding Birds.

2. Methods

A nest survey was completed by Dr. Ken Levenstein, a senior biologist (specializing in avian ecology) with Jacobs and approved biological monitor for SERC. The nest survey was conducted on March 11, 2019 between 7:00 am and 8:53 am. Weather conditions were partly cloudy with temperatures around 50°F and light winds (1-3 mph NW). Pedestrian surveys were completed for the parking lot and publicly-accessible areas within 500 feet of the parking lot. Meandering transects were walked with specific

attention focused on trees, shrubs, and structures that could serve as a suitable substrate for nesting birds. Habitat areas not publicly accessible were surveyed with binoculars (Leica 10 x 42).

3. Results

No active avian nests or special status species were observed within the parking lot or within 500 feet of the parking lot. Bird species observed during the survey are listed in Table 1. Descriptions of the survey locations are provided below. Several photographs of the parking lot taken during the survey, in addition to a Google Earth image from an aerial perspective, are included in Attachment A.

Parking Lot

The parking lot is paved and surrounded by a small border planted with low ornamental shrubs and several small trees (*Ficus sp.*, *Magnolia sp.*, and *Plumeria sp.*). The entire parking lot was walked, and each small tree was examined for nests, but none were found. In addition, the surrounding area was scanned with binoculars and no nest structures were detected. No sensitive species were observed and there was very little bird activity in general.

500-Foot Buffer

The search area contained very few trees large enough to serve as suitable substrate for a raptor nest. However, there are power poles and transmission line towers within the search area of several types that could support a raptor nest. No nests were observed, and no raptors were observed.

Table 1. Avian Species Observed During the March 11, 2019 Nest Survey for leased SERC parking lot owned by and adjacent to the Bethel Romanian Pentecostal Apostolic Church, Stanton, CA.		
Common Name	Scientific Name	Notes
Western gull	<i>Larus occidentalis</i>	Observed flying over the 500-foot buffer.
Eurasian collared dove	<i>Streptopelia decaocto</i>	Observed perched within the 500-foot buffer.
Mourning dove	<i>Zenaida macroura</i>	Observed perched within the 500-foot buffer.
Rock pigeon	<i>Columba livia</i>	Observed flying over the 500-foot buffer.
Common raven	<i>Corvus corax</i>	Observed flying over the 500-foot buffer.
European starling	<i>Sturnus vulgaris</i>	Observed perched within the 500-foot buffer.
House sparrow	<i>Passer domesticus</i>	Observed perched within the 500-foot buffer.

Attachment A
Survey Photos

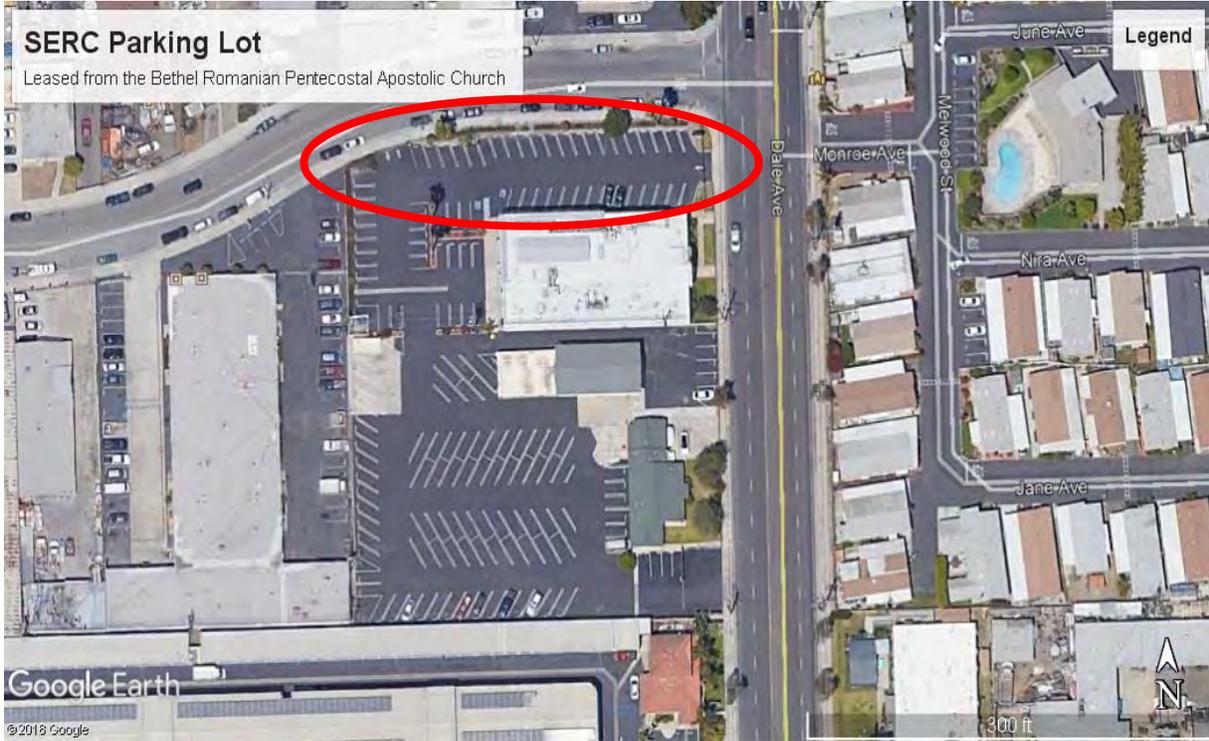


Photo 1. Google Earth image of the Bethel Romanian Pentecostal Apostolic Church parking lot located at 10801 Dale Avenue, Stanton, California. The portion of the lot to be used by Project personnel is circled in red.



Photo 2. View northeast from the northwestern portion of the Church parking lot. The entrance gate to be used by Project personnel is visible at right. March 11, 2019.



Photo 3. View northwest from the northwestern portion of the Church parking lot. The exit gate to be used by Project personnel is visible at center of photo. March 11, 2019.



Photo 4. Longer view northwest from the northwestern portion of the Church parking lot. The exit gate to be used by Project personnel is visible at left of photo. March 11, 2019.

Appendix B
Biological Resources Compliance
Monitoring Logs

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

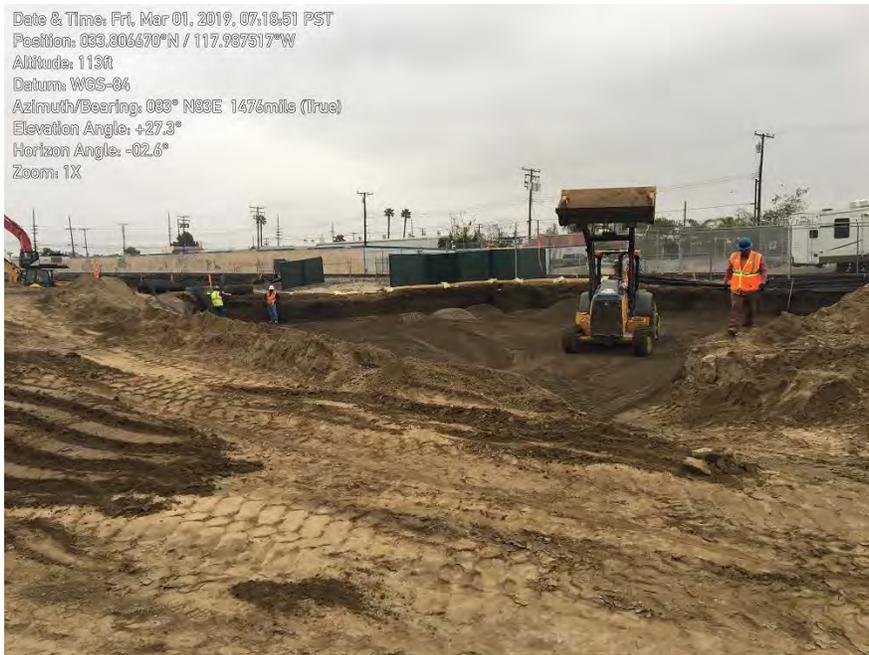
Date	Monitor			Time (Begin-End)
March 1, 2019	Ken Levenstein			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
58 - 68	0 – 5 SW	N	Good	Mostly cloudy.
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored before and during staging, continued delivery of equipment and materials, removal of old 3-bay garage structure following demolition, and work on excavation and shoring for vehicle, pedestrian, and utility bridge abutment foundations and water treatment basin (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored before and during staging of bottom-dump trucks, and work on excavation for vehicle, pedestrian, and utility bridge abutment foundations (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) still “on territory,” Eastern and Western Parcels. killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk (<i>Buteo jamaicensis</i>), American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, Cassin’s kingbird, American crow (<i>Corvus brachyrhynchos</i>), common raven (<i>Corvus corax</i>), European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View east from western end of Eastern Parcel at bottom-dump trucks lined up and ready to be filled with spoils from the bridge abutment foundation excavations at the western end of the Parcel.
-----------------	-----------------------	--------------------	--

Photo 2



Location	SERC – Western Parcel	Description	View southeast from eastern end of Western Parcel at structural filler being added to utility bridge abutment foundation and water treatment basin excavations in southeastern portion of the Western Parcel.
-----------------	-----------------------	--------------------	---

Photo 3



Location	SERC – Western Parcel	Description	View south from eastern portion of Western Parcel at structural filler being added to utility bridge abutment foundation and water treatment basin excavations in southeastern portion of the Western Parcel.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Western Parcel	Description	View southeast from northeast portion of the Western Parcel at shoring being added for the vehicle bridge abutment foundation excavation work in northeast corner of the Parcel. Ongoing excavation work on the Eastern Parcel visible in background across the Stanton Storm Channel.
-----------------	-----------------------	--------------------	--

Photo 5



Location	SERC – Western Parcel	Description	View east-southeast from center of Western Parcel at final cleanup of an old 3-bay garage structure.
-----------------	-----------------------	--------------------	--

Photo 6



Location	SERC – Western Parcel	Description	View southeast from northeast portion of the Western Parcel at shoring being added for the vehicle bridge abutment foundation excavation work in northeast corner of the Parcel. Ongoing excavation work on the Eastern Parcel visible in background across the Stanton Storm Channel.
-----------------	-----------------------	--------------------	--

Photo 7



Location	SERC – Western Parcel	Description	Another view (south) from northeast portion of the Western Parcel at shoring added for the vehicle bridge abutment foundation excavation work in northeast corner of the Parcel.
-----------------	-----------------------	--------------------	--

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 4, 2019	Ken Levenstein			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
55 - 63	0 – 7 SW	N	Good	Mostly cloudy in morning, sunny in afternoon.
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored before and during continued delivery of equipment and materials, work on structural fill for excavation and shoring for vehicle, pedestrian, and utility bridge abutment foundations and water treatment basin (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored before and during staging of dump trucks, filling of standing water, and work on excavation for vehicle, pedestrian, and utility bridge abutment foundations (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) still “on territory,” Eastern and Western Parcels. killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, Cassin’s kingbird, common raven (<i>Corvus corax</i>), European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View of canid tracks that appeared over the weekend in western end of Eastern Parcel. Tracks exhibited qualities that indicated they may have been made by a coyote.
-----------------	-----------------------	--------------------	--

Photo 2



Location	SERC – Eastern Parcel	Description	View southwest from eastern portion of Eastern Parcel at standing water following heavy rain over the weekend.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Eastern Parcel	Description	View west from eastern end of Eastern Parcel at area where standing water (see Photo 2) has been filled.
-----------------	-----------------------	--------------------	--

Photo 4



Location	SERC – Western Parcel	Description	View southeast from northeast portion of the Western Parcel at ongoing addition of structural fill to utility bridge abutment foundation and water treatment basin excavation work in eastern portion of the Parcel.
-----------------	-----------------------	--------------------	--

Photo 5



Location	SERC – Western Parcel	Description	View southeast from northeast portion of the Western Parcel at shoring and structural fill work to vehicle bridge abutment foundation in northeast corner of the Parcel.
-----------------	-----------------------	--------------------	--

Photo 6



Location	SERC – Eastern Parcel	Description	View southeast across the Stanton Storm Channel from northeast portion of the Western Parcel at shoring being added to the vehicle bridge abutment foundation excavation in northwest corner of the Eastern Parcel.
-----------------	-----------------------	--------------------	---

Photo 7



<p>Location</p>	<p>SERC – Western Parcel</p>	<p>Description</p>	<p>View south from northeast corner of the Western Parcel at shoring work for the vehicle bridge abutment foundation in northeast corner of the Parcel. Wood ramps were added to the trenching (at left and on far side of worker in white hard hat) to enable wildlife escape.</p>
------------------------	------------------------------	---------------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 5, 2019	Ken Levenstein			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
47 - 66	0 - 5 E to SW	N	Good	Mostly sunny in morning, clouds in afternoon.
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored before and during continued delivery of equipment and materials, work on structural fill for excavation and shoring for vehicle, pedestrian, and utility bridge abutment foundations and water treatment basin (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored before and during staging of bottom-dump trucks, work on excavation for vehicle, pedestrian, and utility bridge abutment foundations, and shoring for vehicle bridge foundation (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) still “on territory,” Eastern and Western Parcels. killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, Cassin’s kingbird, common raven (<i>Corvus corax</i>), European starling (<i>Sturnus vulgaris</i>), western meadowlark (<i>Sturnella neglecta</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Western Parcel	Description	View south from northeast corner of the Western Parcel at shoring and structural fill work for vehicle bridge abutment foundation in northeast corner of the Parcel. Note ramps left in trenching overnight for wildlife escape.
-----------------	-----------------------	--------------------	--

Photo 2



Location	SERC – Eastern Parcel	Description	View west from eastern portion of the Eastern Parcel at bottom-dump trucks waiting to be filled with spoils from excavation work at western end of Parcel.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Eastern Parcel	Description	View west from eastern end of the Eastern Parcel at shoring work underway for vehicle bridge abutment foundation. In the background across the Stanton Storm Channel, shoring and fill work for the western terminus of the bridge is underway.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Eastern Parcel	Description	View northwest from western portion of the Eastern Parcel at ongoing excavation work. The entire Parcel will be brought down 6 feet and then built back up.
-----------------	-----------------------	--------------------	---

Photo 5



Date & Time: Tue, Mar 05, 2019, 09:54:10 PST
 Position: 033.806780°N / 117.986493°W
 Altitude: 62ft
 Datum: WGS-84
 Azimuth/Bearing: 326° N37W 5742mils (True)
 Elevation Angle: +27.2°
 Horizon Angle: -02.5°
 Zoom: 1X

Location	SERC – Eastern Parcel	Description	Similar view (see Photo 4) 2 hours later, northwest from western portion of the Eastern Parcel, at ongoing excavation and shoring work for the vehicle bridge abutment foundation.
-----------------	-----------------------	--------------------	--

Photo 6



Date & Time: Tue, Mar 05, 2019, 10:18:21 PST
 Position: 033.806809°N / 117.986978°W
 Altitude: 75ft
 Datum: WGS-84
 Azimuth/Bearing: 025° N25E 0444mils (True)
 Elevation Angle: +25.4°
 Horizon Angle: -02.8°
 Zoom: 1X

Location	SERC – Eastern Parcel	Description	View north from western end of the Eastern Parcel at ongoing excavation and shoring work for the vehicle bridge abutment foundation in the northwest corner of the Eastern Parcel.
-----------------	-----------------------	--------------------	--

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 6, 2019	Ken Levenstein			06:30-10:30
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
56 - 60	0 – 2 E	Y	Good	Rain
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) still “on territory,” Eastern and Western Parcels. killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, Cassin’s kingbird, common raven (<i>Corvus corax</i>), European starling (<i>Sturnus vulgaris</i>), western meadowlark (<i>Sturnella neglecta</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Western Parcel	Description	View south from center portion of the Western Parcel at BMPs in place including ERTEC drain guard with sand bags, silt fence in background.
-----------------	-----------------------	--------------------	---

Photo 2



Location	SERC – Western Parcel	Description	View southwest from center portion of the Western Parcel at front end loader with drip pans underneath to prevent machine fluids from leaking onto the Project.
-----------------	-----------------------	--------------------	---

Photo 3



Location	SERC – Western Parcel	Description	View southwest from center portion of the Western Parcel at security light generator unit with drip pans underneath to prevent machine fluids from leaking onto the Project.
-----------------	-----------------------	--------------------	--

Photo 4



Location	SERC – Western Parcel	Description	View northwest from center portion of the Western Parcel at cement mixer with drip pans underneath to prevent machine fluids from leaking onto the Project.
-----------------	-----------------------	--------------------	---

Photo 5



Location	SERC – Western Parcel	Description	View southeast from center portion of the Western Parcel at trash dumpster covered to prevent trash from blowing out and to prevent access by wildlife.
-----------------	-----------------------	--------------------	---

Photo 6



Location	SERC – Eastern Parcel	Description	View northeast from eastern portion of the Eastern Parcel at trash dumpster covered to prevent trash from blowing out and to prevent access by wildlife.
-----------------	-----------------------	--------------------	--

Photo 7



Date & Time: Wed, Mar 06, 2019, 07:06:49 PST
 Position: 033.816732° N / 117.984933° W
 Altitude: 70 ft
 Datum: WGS 84
 Azimuth/Bearing: 053° N53E 109° (True)
 Elevation Angle: +23.3°
 Horizon Angle: 02.3°
 Zoom: 1X

Location	SERC – Eastern Parcel	Description	View east from Eastern end of the Eastern Parcel at track-out prevention measures including rock and rumble plates at Project access point and new rock drive visible at right in photo.
-----------------	-----------------------	--------------------	--

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 7, 2019	Ken Levenstein			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
52 - 64	0 – 8 SW	Y	Good	Partly cloudy
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, receiving of construction materials, build-out of forms for vehicle bridge construction, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, construction of the vehicle bridge including build-up and compaction of base and addition of shoring, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) still “on territory,” Eastern and Western Parcels. killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, Cassin’s kingbird, common raven (<i>Corvus corax</i>), European starling (<i>Sturnus vulgaris</i>), western meadowlark (<i>Sturnella neglecta</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Western Parcel	Description	View west from center portion of the Western Parcel at construction materials being received for continued build-out of Project infrastructure.
-----------------	-----------------------	--------------------	---

Photo 2



Location	SERC – Eastern Parcel	Description	View west from center portion of the Eastern Parcel at ongoing construction of vehicle bridge.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Western Parcel	Description	View east-southeast from eastern portion of the Western Parcel at ongoing build-out of forms for construction of vehicle bridge.
-----------------	-----------------------	--------------------	--

Photo 4



Location	SERC – Eastern Parcel	Description	View east across the Stanton Storm Channel from western end of the Western Parcel at ongoing construction of the vehicle bridge including build-up and compaction of base and addition of shoring.
-----------------	-----------------------	--------------------	--

Photo 5



Location	SERC – Eastern Parcel	Description	View northwest from western end of the Eastern Parcel at ongoing construction of the vehicle bridge including build-up and compaction of base and addition of shoring.
-----------------	-----------------------	--------------------	--

Photo 6



Location	SERC – Western Parcel	Description	View east-southeast from eastern portion of the Western Parcel at ongoing build-out of forms for construction of vehicle bridge.
-----------------	-----------------------	--------------------	--

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 8, 2019	Ken Levenstein			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
48 - 60	0 – 12 NW to SW	Y	Good	Mostly sunny early, partly sunny afternoon
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, receiving of construction related materials, construction of vehicle bridge components, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, ongoing activities related to construction of the vehicle bridge including surveying and build-up and compaction of base, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) still “on territory,” Eastern and Western Parcels. killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, Cassin’s kingbird, European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View west from western portion of the Eastern Parcel at ongoing activities related to vehicle bridge construction.
-----------------	-----------------------	--------------------	--

Photo 2



Location	SERC – Eastern Parcel	Description	View north from west end of the Eastern Parcel at ongoing activities related to vehicle bridge construction.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Eastern Parcel	Description	View east from eastern portion of the Eastern Parcel at entrance roadway improvements underway following saturation by recent rains.
-----------------	-----------------------	--------------------	--

Photo 4



Location	SERC – Western Parcel	Description	View east-northeast from center portion of the Western Parcel at ongoing activity related to construction of the vehicle bridge including assembly of bridge components.
-----------------	-----------------------	--------------------	--

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 11, 2019	Ken Levenstein			06:00-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
47 - 66	1 – 8 NW	Y	Good	Partly to mostly cloudy
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, movement of CONEX containers, build-out of forms for vehicle bridge construction, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, ongoing activities related to construction of the vehicle bridge and Parcel excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) still “on territory,” Eastern and Western Parcels. killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, Cassin’s kingbird, European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View east from eastern portion of the Eastern Parcel at ongoing activities related to Parcel entryway improvements.
-----------------	-----------------------	--------------------	---

Photo 2



Location	SERC – Eastern Parcel	Description	View west from center portion of the Eastern Parcel at ongoing activities related to vehicle bridge construction.
-----------------	-----------------------	--------------------	---

Photo 3



Location	SERC – Eastern Parcel	Description	View west from western portion of the Eastern Parcel at ongoing activities related to bridge construction and Parcel excavation.
-----------------	-----------------------	--------------------	--

Photo 4



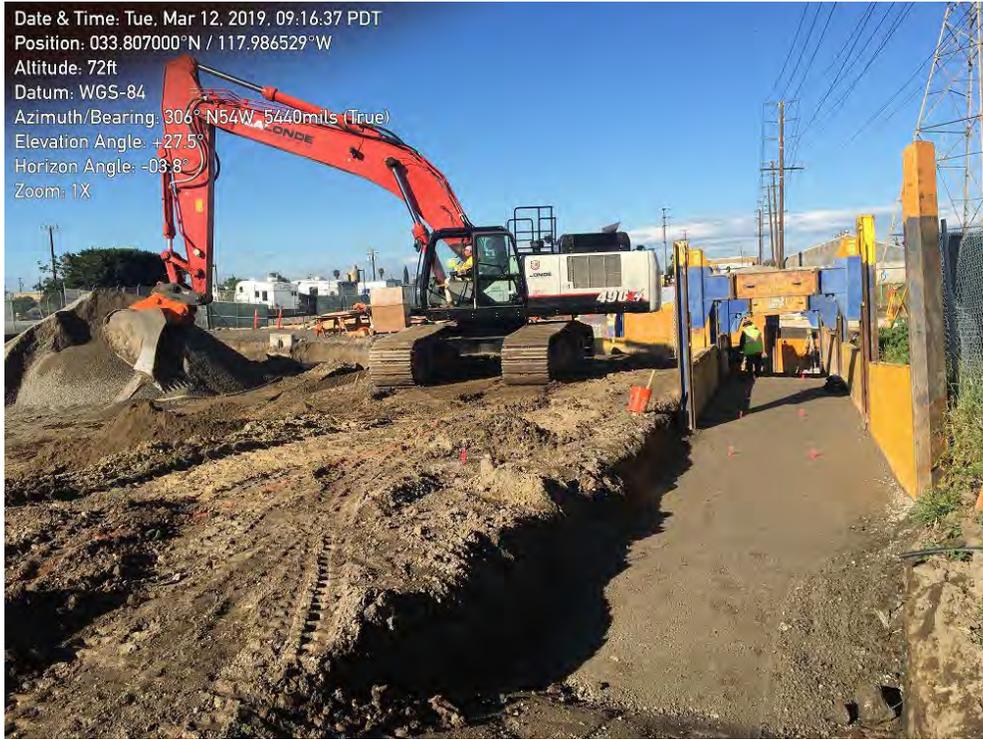
Location	SERC – Western Parcel	Description	View east from eastern portion of the Western Parcel at ongoing build-out of forms for vehicle bridge construction.
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 12, 2019	Ken Levenstein			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
52 - 63	0 – 3 ENE	Y	Good	Sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, minor excavations work to repair roadway surface, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, ongoing activities related to construction of the vehicle bridge and Parcel excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • Killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Did not see American kestrels (<i>Falco sparverius</i>) or Cassin’s kingbirds (<i>Tyrannus vociferans</i>) today. • Many painted lady butterflies (<i>Vanessa cardui</i>) migrating north through the Project today. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk (<i>Buteo jamaicensis</i>), western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, western meadowlark (<i>Sturnella neglecta</i>), European starling (<i>Sturnus vulgaris</i>), white-crowned sparrow (<i>Zonotrichia leucophrys</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View west from western portion of the Eastern Parcel at ongoing activities related to vehicle bridge construction.
-----------------	-----------------------	--------------------	--

Photo 2



Location	SERC – Eastern Parcel	Description	View west from center portion of the Eastern Parcel at large spoils pile scheduled for removal tomorrow (March 13).
-----------------	-----------------------	--------------------	---

Photo 3



Location	SERC – Eastern Parcel	Description	View south-southeast from eastern end of the Western Parcel across Stanton Storm Channel at workers building forms for bridge construction.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Western Parcel	Description	View southwest from eastern end of the Western Parcel at forms under construction for vehicle bridge construction. A tarp was placed over vehicle bridge shoring to protect the compacted base from rain.
-----------------	-----------------------	--------------------	---

Photo 5



Date & Time: Tue, Mar 12, 2019, 11:28:38 PDT
 Position: 033.806713°N / 117.986077°W
 Altitude: 75ft
 Datum: WGS-84
 Azimuth/Bearing: 325° N35W 5776mils (True)
 Elevation Angle: +26.2°
 Horizon Angle: -01.8°
 Zoom: 1X

Location	SERC – Eastern Parcel	Description	View west from western portion of the Eastern Parcel at ongoing activities related to excavation of the Parcel (paleontological, archeological, and Native American monitors in foreground).
-----------------	-----------------------	--------------------	--

Photo 6



Date & Time: Tue, Mar 12, 2019, 11:33:22 PDT
 Position: 033.811484°N / 117.991425°W
 Altitude: 63ft
 Datum: WGS-84
 Azimuth/Bearing: 058° N58E 1031mils (True)
 Elevation Angle: +26.2°
 Horizon Angle: -02.7°
 Zoom: 1X

Location	SERC – Eastern Parcel	Description	View east from center portion of the Eastern Parcel towards Dale Avenue entrance gate. At right is a large spoils pile scheduled for removal tomorrow (March 13).
-----------------	-----------------------	--------------------	---

Photo 7



Date & Time: Tue, Mar 12, 2019, 13:12:41 PDT
 Position: 033.813653°N / 117.993932°W
 Altitude: 67ft
 Datum: WGS-84
 Azimuth/Bearing: 064° N64E 1138mils (True)
 Elevation Angle: +29.4°
 Horizon Angle: -02.4°
 Zoom: 1X

Location	SERC – Eastern Parcel	Description	View east from western portion of the Eastern Parcel at ongoing excavation of the Parcel.
-----------------	-----------------------	--------------------	---

Photo 8



Date & Time: Tue, Mar 12, 2019, 13:13:05 PDT
 Position: 033.806796°N / 117.986648°W
 Altitude: 84ft
 Datum: WGS-84
 Azimuth/Bearing: 014° N14E 0249mils (True)
 Elevation Angle: +30.1°
 Horizon Angle: -00.9°
 Zoom: 1X

Location	SERC – Eastern Parcel	Description	View north from western portion of the Eastern Parcel towards perimeter fence in background and contractors working on shoring for the vehicle bridge foundation.
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 13, 2019	Ken Levenstein			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
51 - 64	0 – 8 SW	N	Good	Sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, receiving of materials for bridge construction, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, ongoing activities related to construction of the vehicle bridge and Parcel excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) still “on territory,” Eastern and Western Parcels. killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. • Many painted lady butterflies (<i>Vanessa cardui</i>) migrating north through the Project yesterday and today. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk (<i>Buteo jamaicensis</i>), American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, Cassin’s kingbird, western meadowlark (<i>Sturnella neglecta</i>), European starling (<i>Sturnus vulgaris</i>), white-crowned sparrow (<i>Zonotrichia leucophrys</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Western Parcel	Description	View west from center portion of the Western Parcel at receiving of materials (shoring, rebar, etc.) for bridge construction.
-----------------	-----------------------	--------------------	---

Photo 2



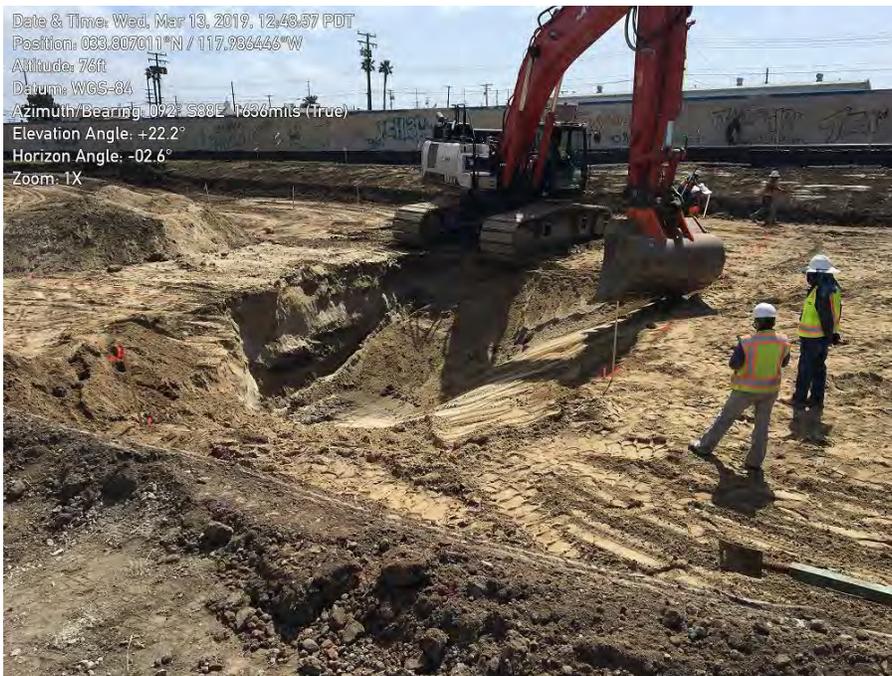
Location	SERC – Eastern Parcel	Description	View south-southwest from center portion of the Eastern Parcel at flatbed truck loaded with rebar for bridge construction.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Eastern Parcel	Description	View west from western end of the Western Parcel at ongoing bridge construction activities.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Eastern Parcel	Description	View southeast at excavation of deep foundation for ammonia tank. This is as deep as excavation will go onsite.
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

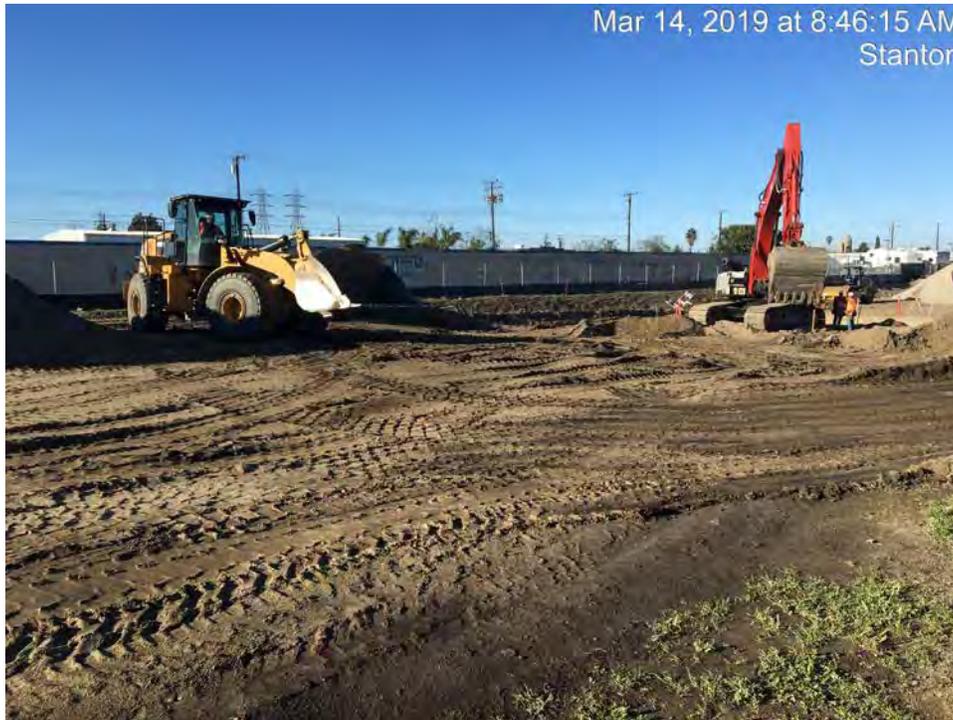
Date	Monitor			Time (Begin-End)
March 14, 2019	Jake Ashford			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
56 - 72	3 – 13 W	N	Good	Sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, receiving of materials for bridge construction, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, ongoing activities related to construction of the vehicle bridge and Parcel excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) still “on territory,” Eastern and Western Parcels. Killdeers (<i>Charadrius vociferus</i>) present adjacent to and just north of Eastern Parcel on SCE lot. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs not observed together, likely due to high winds. • Painted lady butterflies (<i>Vanessa cardui</i>) migrating north through the Project site. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk (<i>Buteo jamaicensis</i>), American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), mockingbird, Cassin’s kingbird, western meadowlark (<i>Sturnella neglecta</i>), European starling (<i>Sturnus vulgaris</i>), white-crowned sparrow (<i>Zonotrichia leucophrys</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>), Allen’s hummingbird (<i>Selasphorus sasin</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View west from eastern portion of the Eastern Parcel at loading and hauling of fill material.
-----------------	-----------------------	--------------------	---

Photo 2



Location	SERC – Eastern Parcel	Description	View southwest from center portion of the Eastern Parcel at continued excavation activities.
-----------------	-----------------------	--------------------	--

Photo 3



Mar 14, 2019 at 10:58:50 AM
Stanton

Location	SERC – Western Parcel	Description	View south from eastern end of the Western Parcel at ongoing bridge construction activities.
----------	-----------------------	-------------	--

Photo 4



Mar 14, 2019 at 2:05:35 PM
Stanton

Location	SERC – Eastern Parcel	Description	View southeast at continued excavation and grading activities.
----------	-----------------------	-------------	--

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

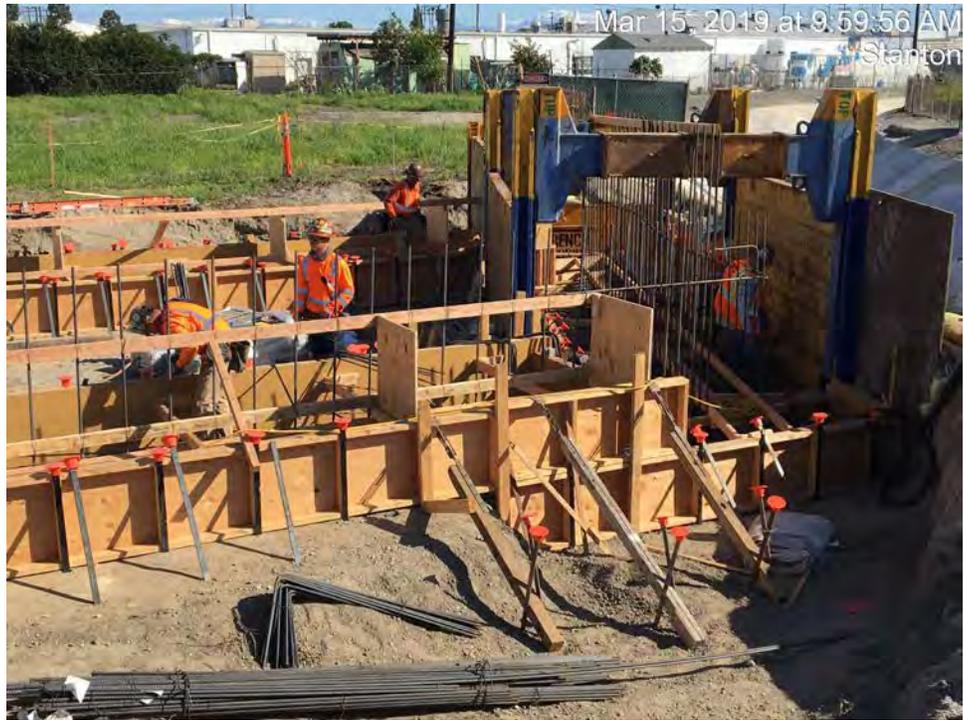
Date	Monitor			Time (Begin-End)
March 15, 2019	Jake Ashford			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
58 - 76	3 – 8 W	N	Good	Sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, receiving of materials for bridge construction, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, ongoing activities related to construction of the vehicle bridge and Parcel excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrels (<i>Falco sparverius</i>) not observed on site today. Killdeers (<i>Charadrius vociferus</i>) present north and south of Eastern Parcel on adjacent property. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs not observed together, likely due to high winds. • Painted lady butterflies (<i>Vanessa cardui</i>) migrating north through the Project site. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk (<i>Buteo jamaicensis</i>), western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), mockingbird, Cassin’s kingbird, western meadowlark (<i>Sturnella neglecta</i>), European starling (<i>Sturnus vulgaris</i>), white-crowned sparrow (<i>Zonotrichia leucophrys</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View south from northwest portion of the Eastern Parcel at continued excavation activities.
-----------------	-----------------------	--------------------	---

Photo 2



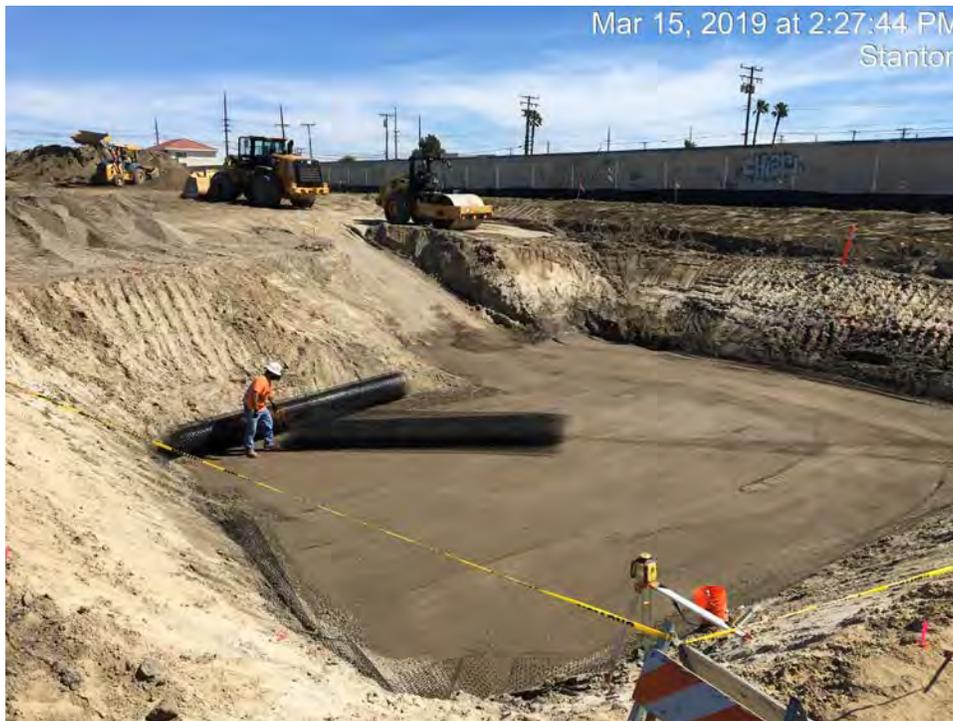
Location	SERC – Western Parcel	Description	View north from eastern portion of the western Parcel at continued bridge construction activities.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Eastern Parcel	Description	View south from western portion of the Eastern Parcel at excavation and grading activities.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Eastern Parcel	Description	View southeast from northwest portion of Eastern Parcel at continued excavation and grading activities.
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 18, 2019	Ken Levenstein			06:30-15:15
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
55 - 76	0 – 6 SSW	N	Good	Sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, receiving of materials for bridge construction, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, ongoing activities related to construction of the vehicle bridge and Parcel excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • First neotropical migrant songbird arrived onsite, western kingbird (<i>Tyrannus verticalis</i>). • Killdeers (<i>Charadrius vociferus</i>) present adjacent to and north of Eastern Parcel on SCE lot as well as along railroad tracks adjacent to and south of the Eastern Parcel. Northern mockingbird (<i>Mimus polyglottos</i>) seen carrying nest building material on far side of the SCE lot adjacent to and north of Western Parcel. The nest is not visible from the Project. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. American kestrels (<i>Falco sparverius</i>) may have been wintering birds and have not been seen for a number of days. • Painted lady butterflies (<i>Vanessa cardui</i>) migrating north through the Project and being preyed upon by Cassin’s kingbirds. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: Canada goose (<i>Branta canadensis</i>), killdeer, red-tailed hawk (<i>Buteo jamaicensis</i>), western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), northern mockingbird, western kingbird, Cassin’s kingbird, barn swallow (<i>Hirundo rustica</i>), European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), lesser goldfinch (<i>Carduelis psaltria</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View southeast from center portion of the Eastern Parcel at excavator loading dump truck from spoils pile.
-----------------	-----------------------	--------------------	--

Photo 2



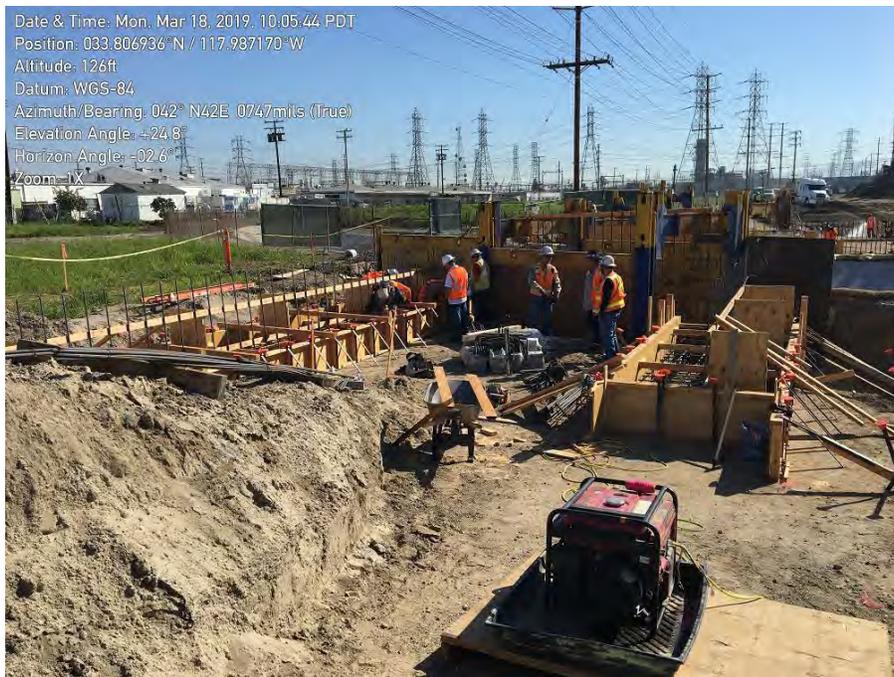
Location	SERC – Eastern Parcel	Description	View west-southwest from center portion of the Eastern Parcel at ongoing work on bed of ammonia pit in center of photo and on vehicle bridge construction in right rear of photo.
-----------------	-----------------------	--------------------	---

Photo 3



Location	SERC – Eastern Parcel	Description	View south from center portion of the Eastern Parcel at ongoing work on bed of ammonia pit.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Western Parcel	Description	View northeast from northeastern portion of Western Parcel at ongoing vehicle bridge construction work (building of forms, installation of rebar).
-----------------	-----------------------	--------------------	--

Photo 5



Location	SERC – Western Parcel	Description	Another view (southwest) from northeastern portion of Western Parcel at ongoing vehicle bridge construction work (building of forms, installation of rebar).
-----------------	-----------------------	--------------------	--

Photo 6



Location	SERC – Eastern Parcel	Description	View north from northwestern portion of Eastern Parcel at ongoing vehicle bridge construction work (building of forms, installation of rebar).
-----------------	-----------------------	--------------------	--

Photo 7



Location	SERC – Eastern Parcel	Description	View east from western end of the Eastern Parcel at ongoing excavation activities.
-----------------	-----------------------	--------------------	--

Photo 8



Location	SERC – Eastern Parcel	Description	View northeast from center portion of the Eastern Parcel at excavator loading dump truck from spoils pile. Spoils pile has been significantly reduced in size by a nearly constant parade of dump trucks during today's activities.
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 19, 2019	Jake Ashford			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
58 - 68	1 – 3 S	N	Fair/Good	Foggy/Partly Cloudy
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, receiving of materials for bridge construction, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, ongoing activities related to construction of the vehicle bridge and Parcel excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrel (<i>Falco sparverius</i>) observed foraging on SCE property adjacent to Project. Killdeers (<i>Charadrius vociferus</i>) present north and south of Eastern Parcel on adjacent property. Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots. Cassin’s kingbird (<i>Tyrannus vociferans</i>) observed on SCE property adjacent to Project. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk (<i>Buteo jamaicensis</i>), western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), mockingbird, Cassin’s kingbird, American Kestrel, western meadowlark (<i>Sturnella neglecta</i>), European starling (<i>Sturnus vulgaris</i>), white-crowned sparrow (<i>Zonotrichia leucophrys</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View southwest from north portion of the Eastern Parcel at continued dirt moving and hauling activities.
-----------------	-----------------------	--------------------	--

Photo 2



Location	SERC – Eastern Parcel	Description	View southeast from northern portion of the eastern Parcel at concrete pouring activities.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Eastern Parcel	Description	View west from northwest portion of the Eastern Parcel at continued vehicle bridge foundation pouring.
-----------------	-----------------------	--------------------	--

Photo 4



Location	SERC – Eastern Parcel	Description	View southwest from north portion of Eastern Parcel at continued excavation and grading activities.
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor	Time (Begin-End)		
March 20, 2019	Ken Levenstein	06:30 - 15:00		
Temperature (°F)	Wind (mph)	Precipitation (Y/N)	Visibility	Weather Comment
58 - 66	0 - 7 SE	N	Good	Partly cloudy

Location(s) of Work Site Activities Monitored

SERC – Bio-monitoring during Project construction.

Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, receiving of materials for bridge construction, reporting (see Photos in Photo Log).

Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing activities related to construction of the vehicle bridge and Parcel excavation, reporting (see Photos in Photo Log).

Summary of Biological Resources Monitoring Observations

Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.

Special-Status Species Observed:

- None

Nesting Bird Observations:

- None

Other Biological Resources Observations:

- Killdeers (*Charadrius vociferus*) present adjacent to and north of Eastern Parcel on SCE lot as well as along railroad tracks adjacent to and south of the Eastern Parcel. Cassin's kingbird (*Tyrannus vociferans*) pairs on and around Eastern and Western Parcels and adjacent SCE lots. American kestrels (*Falco sparverius*) may have initiated nesting nearby, they are much less visible.
- Painted lady butterflies (*Vanessa cardui*) still migrating north through the Project and being preyed upon by Cassin's kingbirds.

Other Observations/Comments:

- No project personnel/equipment-wildlife interactions occurred.

Items Requiring Action/Follow-up

- No specific items to follow up on. Monitoring of work will continue during Project construction activities.

Wildlife Species Observed:

Birds: killdeer, red-tailed hawk (*Buteo jamaicensis*), western gull (*Larus occidentalis*), Eurasian collared dove (*Streptopelia decaocto*), mourning dove (*Zenaida macroura*), rock pigeon (*Columba livia*), northern mockingbird (*Mimus polyglottos*), western kingbird (*Tyrannus verticalis*), Cassin's kingbird, black phoebe (*Sayornis nigricans*), barn swallow (*Hirundo rustica*), European starling (*Sturnus vulgaris*), house finch (*Haemorhous mexicanus*), house sparrow (*Passer domesticus*).

Photo 1



Location	SERC – Eastern Parcel	Description	View southeast from western portion of the Eastern Parcel at ongoing excavation work. Ammonia tank foundation visible at right of photo.
-----------------	-----------------------	--------------------	--

Photo 2



Location	SERC – Eastern Parcel	Description	View west from western portion of the Eastern Parcel at beginning of work to remove shoring from vehicle bridge foundation concrete.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Eastern Parcel	Description	View west-southwest from western portion of the Eastern Parcel at work to remove shoring from vehicle bridge foundation concrete.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Eastern Parcel	Description	Closer view west from western portion of the Eastern Parcel at final piece of shoring being moved by forklift following removal from vehicle bridge foundation concrete.
-----------------	-----------------------	--------------------	--

Photo 5

Date & Time: Wed, Mar 20, 2019, 11:21:07 PDT
 Position: 033.806997°N / 117.986633°W
 Altitude: 71ft
 Datum: WGS-84
 Azimuth/Bearing: 309° N51W 5493mils (True)
 Elevation Angle: +26.0°
 Horizon Angle: -05.0°
 Zoom: 1X



Location	SERC – Eastern Parcel	Description	Another view (southwest) from northwestern portion of Eastern Parcel at vehicle bridge foundation concrete following removal of shoring. Shoring visible in background on Western Parcel portion of vehicle bridge.
-----------------	-----------------------	--------------------	---

Photo 6

Date & Time: Wed, Mar 20, 2019, 11:21:25 PDT
 Position: 033.806985°N / 117.986623°W
 Altitude: 67ft
 Datum: WGS-84
 Azimuth/Bearing: 071° N71E 1262mils (True)
 Elevation Angle: +27.2°
 Horizon Angle: -04.9°
 Zoom: 1X



Location	SERC – Eastern Parcel	Description	View southeast from northwestern portion of Eastern Parcel at ongoing excavation work.
-----------------	-----------------------	--------------------	--

Photo 7



Location	SERC – Western Parcel	Description	View east from eastern end of the Western Parcel at poured concrete of vehicle bridge foundation with shoring and forms still in place.
-----------------	-----------------------	--------------------	---

Photo 8



Location	SERC – Eastern Parcel	Description	View southeast from northwestern portion of Eastern Parcel at ongoing excavation work.
-----------------	-----------------------	--------------------	--

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 21, 2019	Jake Ashford			06:30-15:00
Temperature (°F)	Wind (mph)	Precipitation (depth)	Visibility	Weather Comment
50 - 65	1 – 3 S	0.5 inch	Good	Partly Cloudy
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, receiving of materials for bridge construction, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, ongoing activities related to construction of the vehicle bridge and Parcel excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • Mourning dove (<i>Zenaida macroura</i>) pairs observed adjacent to the property showing interest in potential nesting locations. • Northern mockingbird (<i>Mimus polyglottos</i>) pair still present adjacent to and just north of Western and Eastern Parcels on SCE lots, possibly nesting in the adjacent property. No nest observed. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • American kestrel (<i>Falco sparverius</i>) observed foraging on SCE property adjacent to Project. Killdeers (<i>Charadrius vociferus</i>) present north and south of Eastern Parcel on adjacent property. Cassin’s kingbird (<i>Tyrannus vociferans</i>) observed on SCE property adjacent to Project. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decacto</i>), mourning dove, rock pigeon (<i>Columba livia</i>), mockingbird, Cassin’s kingbird, American Kestrel, western meadowlark (<i>Sturnella neglecta</i>), European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), house sparrow (<i>Passer domesticus</i>) black phoebe (<i>Sayornis nigricans</i>).</p>				

Photo 1



Location	SERC – Western Parcel	Description	View northeast from Eastern Parcel at power washing of concrete foundation.
-----------------	-----------------------	--------------------	---

Photo 2



Location	SERC – Western Parcel	Description	View north from the Western Parcel at shore piling removal from vehicle bridge foundation.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Eastern Parcel	Description	View southeast from north portion of Western Parcel at removal and implementation of temporary fencing.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Eastern Parcel	Description	View west of Western Parcel at clearing of vehicle bridge foundation.
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

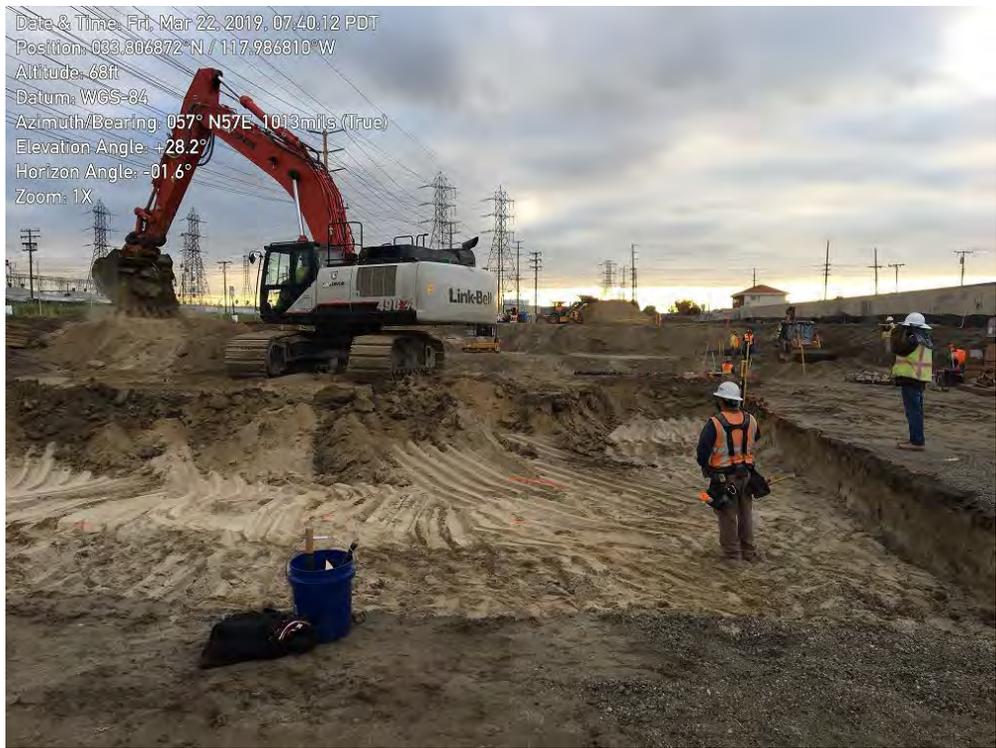
Date	Monitor			Time (Begin-End)
March 22, 2019	Ken Levenstein			06:30 - 15:25
Temperature (°F)	Wind (mph)	Precipitation amount	Visibility	Weather Comment
50 - 65	0 – 3 NW	0.0 inches	Good	Partly cloudy
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing bridge construction activities, movement and installation of wall plates, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing activities related to construction of the vehicle bridge and Parcel excavation, movement and installation of wall plates, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • Killdeers (<i>Charadrius vociferus</i>) present adjacent to and north of Eastern Parcel on SCE lot as well as along railroad tracks adjacent to and south of the Eastern Parcel. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. American kestrels (<i>Falco sparverius</i>) may have initiated nesting nearby, they are much less visible. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk (<i>Buteo jamaicensis</i>), western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), black phoebe (<i>Sayornis nigricans</i>), Cassin’s kingbird, western kingbird (<i>Tyrannus verticalis</i>), barn swallow (<i>Hirundo rustica</i>), northern mockingbird (<i>Mimus polyglottos</i>), European starling (<i>Sturnus vulgaris</i>), yellow-rumped warbler (<i>Setophaga coronata</i>), house finch (<i>Haemorhous mexicanus</i>), western meadowlark (<i>Sturnella neglecta</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View northeast from western end of the Eastern Parcel at ongoing vehicle bridge foundation work.
-----------------	-----------------------	--------------------	--

Photo 2



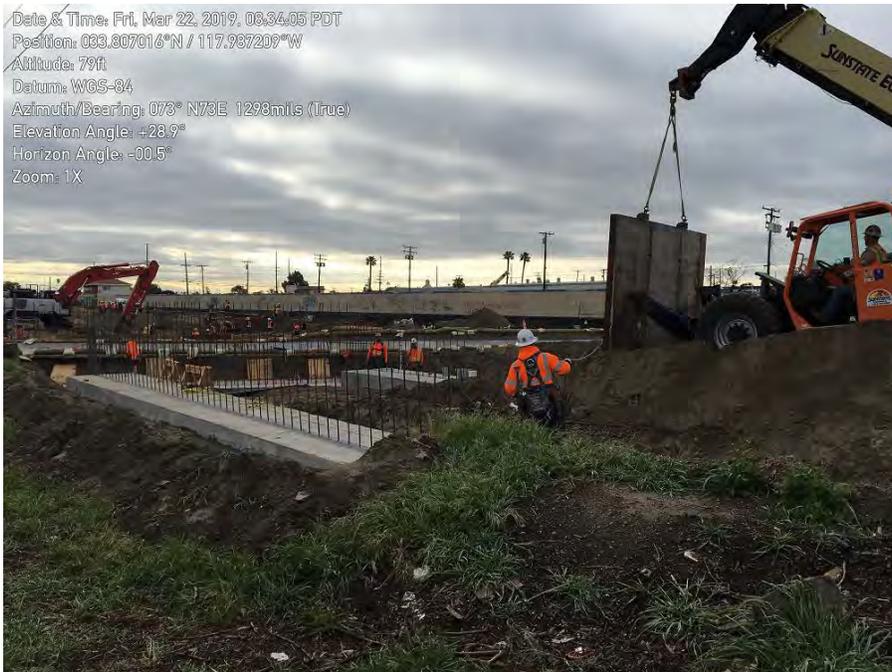
Location	SERC – Eastern Parcel	Description	View east from western portion of the Eastern Parcel at ongoing Parcel excavation work.
-----------------	-----------------------	--------------------	---

Photo 3



Location	SERC – Eastern Parcel	Description	View west from eastern portion of the Eastern Parcel at spoils pile that has increased in size again (following removal to landfill) as a result of ongoing Parcel excavation work.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Western Parcel	Description	View southeast from northeastern portion of the Western Parcel at material being maneuvered into place for ongoing construction of the vehicle bridge foundation.
-----------------	-----------------------	--------------------	---

Photo 5



Location	SERC – Western Parcel	Description	View east from eastern end of the Western Parcel at ongoing construction of the vehicle bridge foundation.
-----------------	-----------------------	--------------------	--

Photo 6



Location	SERC – Eastern Parcel	Description	View west from center portion of Eastern Parcel at ongoing Parcel excavation work and addition of forms for construction of the ammonia storage tank (visible at left-center of photo).
-----------------	-----------------------	--------------------	---

Photo 7



Location	SERC – Eastern Parcel	Description	View east from western portion of the Eastern Parcel at work on construction of the ammonia storage tank foundation.
-----------------	-----------------------	--------------------	--

Photo 8



Location	SERC – Eastern Parcel	Description	View southeast from northwestern portion of Eastern Parcel at ongoing excavation work.
-----------------	-----------------------	--------------------	--

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 25, 2019	Ken Levenstein			06:30 - 15:25
Temperature (°F)	Wind (mph)	Precipitation amount	Visibility	Weather Comment
52 - 76	0 – 6 SE - SW	0.0 inches	Good	Sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing bridge construction activities, pouring concrete, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing activities related to construction of the vehicle bridge and Parcel excavation, pouring concrete, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • Killdeers (<i>Charadrius vociferus</i>) present adjacent to and north of Eastern Parcel on SCE lot as well as along railroad tracks adjacent to and south of the Eastern Parcel. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), black phoebe (<i>Sayornis nigricans</i>), Cassin’s kingbird, barn swallow (<i>Hirundo rustica</i>), northern mockingbird (<i>Mimus polyglottos</i>), European starling (<i>Sturnus vulgaris</i>), , house finch (<i>Haemorhous mexicanus</i>), western meadowlark (<i>Sturnella neglecta</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1

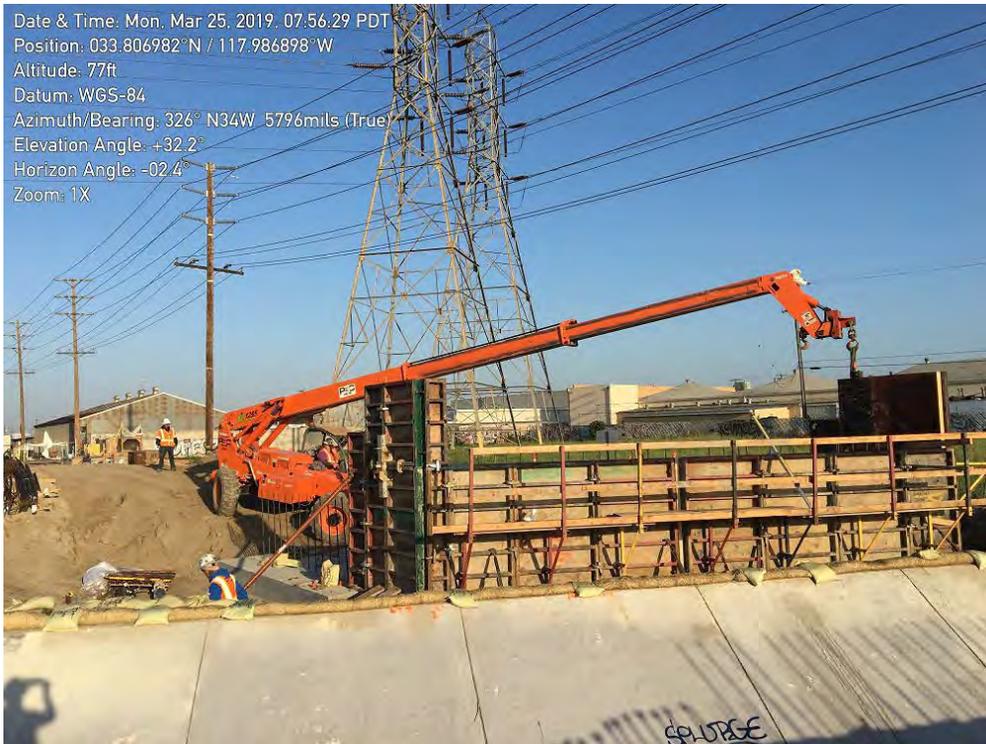
Date & Time: Mon, Mar 25, 2019, 07:48:03 PDT
 Position: 033.806726°N / 117.986004°W
 Altitude: 70ft
 Datum: WGS-84
 Azimuth/Bearing: 327° N33W 5813mils (True)
 Elevation Angle: +29.5°
 Horizon Angle: -03.1°
 Zoom: 1X



Location	SERC – Eastern Parcel	Description	View west from central portion of the Eastern Parcel at ongoing Parcel excavation related work.
-----------------	-----------------------	--------------------	---

Photo 2

Date & Time: Mon, Mar 25, 2019, 07:56:29 PDT
 Position: 033.806982°N / 117.986898°W
 Altitude: 77ft
 Datum: WGS-84
 Azimuth/Bearing: 326° N34W 5796mils (True)
 Elevation Angle: +32.2°
 Horizon Angle: -02.4°
 Zoom: 1X



Location	SERC – Western Parcel	Description	View northwest across Stanton Storm Channel from west end of the Eastern Parcel at forklift and man on the ground (not visible) maneuvering wall plate form into place prior to pouring concrete.
-----------------	-----------------------	--------------------	---

Photo 3



Location	SERC – Eastern Parcel	Description	View west from central portion of the Eastern Parcel at surveyors delineating area where concrete will be poured. Worker in foreground is tamping the base prior to pouring of concrete.
-----------------	-----------------------	--------------------	--

Photo 4



Location	SERC – Eastern Parcel	Description	View west from central portion of the Eastern Parcel at concrete pump truck operator readying booms for pouring.
-----------------	-----------------------	--------------------	--

Photo 5

Date & Time: Mon, Mar 25, 2019, 08:29:37 PDT
 Position: 033.806939°N / 117.984828°W
 Altitude: 70ft
 Datum: WGS-84
 Azimuth/Bearing: 288° N72W 5120mils (True)
 Elevation Angle: +31.5°
 Horizon Angle: -02 0°
 Zoom: 1X



Location	SERC – Eastern Parcel	Description	View southwest from eastern portion of the Eastern Parcel at gravel and spoils piles.
-----------------	-----------------------	--------------------	---

Photo 6

Date & Time: Mon, Mar 25, 2019, 10:39:07 PDT
 Position: 033.806846°N / 117.986128°W
 Altitude: 79ft
 Datum: WGS-84
 Azimuth/Bearing: 321° N39W 5707mils (True)
 Elevation Angle: +24.9°
 Horizon Angle: -02.1°
 Zoom: 1X



Location	SERC – Eastern Parcel	Description	View southwest from center portion of Eastern Parcel at ongoing Parcel excavation work. Newly poured concrete visible at left of photo. Trench visible in right foreground had a wildlife exit ramp installed as it was not filled or covered by end of workday.
-----------------	-----------------------	--------------------	--

Photo 7



Location	SERC – Western Parcel	Description	View west across Stanton Storm Channel from west end of the Eastern Parcel at concrete pump truck operator readying booms for pouring.
-----------------	-----------------------	--------------------	--

Photo 8



Location	SERC – Western Parcel	Description	View east from eastern portion of the Western Parcel at concrete pump truck booms ready for pouring .
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

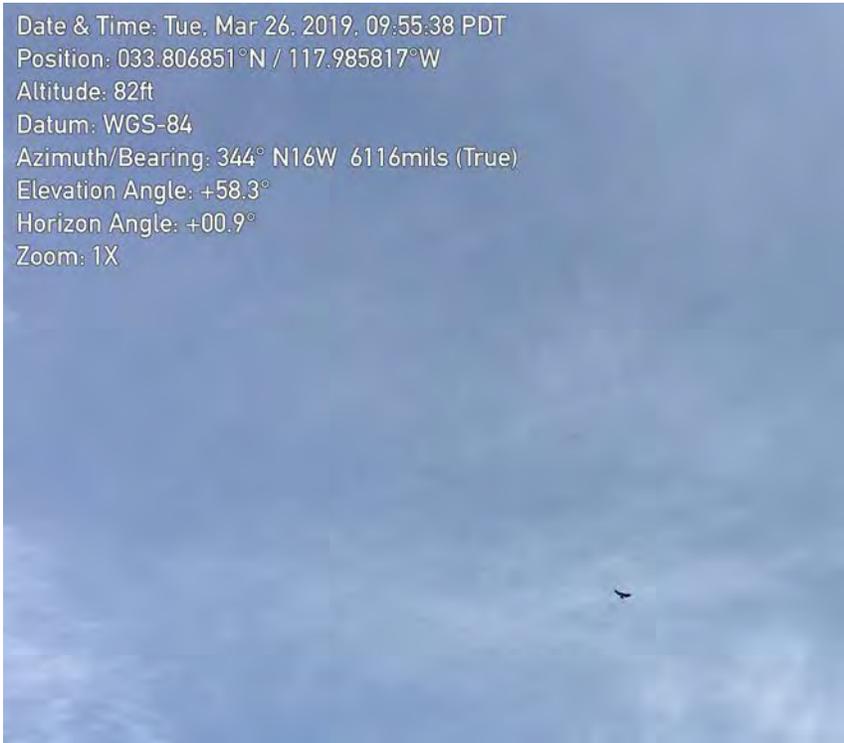
Date	Monitor			Time (Begin-End)
March 26, 2019	Ken Levenstein			06:30 - 15:00
Temperature (°F)	Wind (mph)	Precipitation amount	Visibility	Weather Comment
51 - 73	0 – 4 SE - SW	0.0 inches	Good	Partly cloudy to mostly sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing vehicle bridge construction activities, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing activities related to construction of the vehicle bridge, building of forms for south wall of Parcel foundation, excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • Killdeers (<i>Charadrius vociferus</i>) present adjacent to and north of Eastern Parcel on building roofs, SCE lot, and along railroad tracks and building roofs adjacent to and south of the Eastern Parcel. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. Have only seen the male American kestrel (<i>Falco sparverius</i>) within the last week. Red-tailed hawk (<i>Buteo jamaicensis</i>) pair still present; seen circling overhead and perched on transmission towers east of Project. Northern mockingbird (<i>Mimus polyglottos</i>) pairs nesting nearby; nests not visible. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: Canada goose (<i>Branta canadensis</i>), killdeer, red-tailed hawk, American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), Cassin’s kingbird, common raven (<i>Corvus corax</i>), barn swallow (<i>Hirundo rustica</i>), northern rough-winged swallow (<i>Stelgidopteryx serripennis</i>), northern mockingbird, European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), western meadowlark (<i>Sturnella neglecta</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View west-southwest from central portion of the Eastern Parcel at ongoing Parcel excavation related work. At right in photo are workers repairing silt fence.
-----------------	-----------------------	--------------------	---

Photo 2



Location	SERC – Eastern Parcel	Description	View above from central portion of the Eastern Parcel at one of a pair of resident red-tailed hawks.
-----------------	-----------------------	--------------------	--

Photo 3



Location	SERC – Eastern Parcel	Description	View southwest from western portion of the Eastern Parcel at work to build up base after over-excavating. The process creates a more stable foundation for structural components and infrastructure added later. The water truck is engaged in dust suppression.
-----------------	-----------------------	--------------------	--

Photo 4



Location	SERC – Eastern Parcel	Description	View east from southwest corner of the Eastern Parcel at ongoing work related to excavation.
-----------------	-----------------------	--------------------	--

Photo 5



Location	SERC – Western Parcel	Description	View north from eastern end of the Western Parcel at workers tamping down the base layer that was just added.
-----------------	-----------------------	--------------------	---

Photo 6



Location	SERC – Eastern Parcel	Description	View southwest from western portion of the Eastern Parcel at the ongoing process of building up the foundation after over-excavating. Another layer of base (visible in foreground) is being added on top of geogrid (or geo-mat).
-----------------	-----------------------	--------------------	--

Photo 7



Date & Time: Tue, Mar 26, 2019, 13:28:50 PDT
 Position: 033.806799°N / 117.987029°W
 Altitude: -31ft
 Datum: WGS-84
 Azimuth/Bearing: 063° N63E 1120mils (True)
 Elevation Angle: +27.8°
 Horizon Angle: -03.1°
 Zoom: 1X

Location	SERC – Eastern Parcel	Description	Another view (east in this photo) from western end of the Eastern Parcel at another layer of base being added by front end loader to top of geogrid (or geo-mat).
-----------------	-----------------------	--------------------	---

Photo 8



Date & Time: Tue, Mar 26, 2019, 13:31:49 PDT
 Position: 033.806800°N / 117.987077°W
 Altitude: 81ft
 Datum: WGS-84
 Azimuth/Bearing: 026° N26E 0462mils (True)
 Elevation Angle: +27.9°
 Horizon Angle: -03.3°
 Zoom: 1X

Location	SERC – Western Parcel	Description	View north-northeast from eastern end of the Western Parcel at workers engaged in construction of forms for pouring of concrete.
-----------------	-----------------------	--------------------	--

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 27, 2019	Ken Levenstein			06:30 - 15:00
Temperature (°F)	Wind (mph)	Precipitation amount	Visibility	Weather Comment
56 - 69	0 – 8 SW	0.0 inches	Good	Partly cloudy to sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing vehicle bridge construction activities, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing activities related to construction of the vehicle bridge, building of forms for south wall of Parcel foundation and ductwork, excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • None <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • Killdeers (<i>Charadrius vociferus</i>) present adjacent to and north of Eastern Parcel on building roofs, SCE lot, and along railroad tracks and building roofs adjacent to and south of the Eastern Parcel. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. American kestrel (<i>Falco sparverius</i>) male and female harassed one of the red-tailed hawk (<i>Buteo jamaicensis</i>) pair when it landed on a transmission tower just north of the Western Parcel; they succeeded in chasing it away to the northeast. Northern mockingbird (<i>Mimus polyglottos</i>) pairs nesting nearby; nests not visible. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk, American kestrel, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), Cassin’s kingbird, common raven (<i>Corvus corax</i>), barn swallow (<i>Hirundo rustica</i>), northern mockingbird, European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), western meadowlark (<i>Sturnella neglecta</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Eastern Parcel	Description	View east from western end of the Eastern Parcel at ongoing Parcel excavation and foundation stabilization related work. Workers at right in background are building forms for concrete pouring.
-----------------	-----------------------	--------------------	--

Photo 2



Location	SERC – Eastern Parcel	Description	View east-northeast from western end of the Eastern Parcel at surveyor checking elevation for loader adding a layer of base for the Parcel infrastructure foundation.
-----------------	-----------------------	--------------------	---

Photo 3



Location	SERC – Eastern Parcel	Description	View north-northeast from western end of the Eastern Parcel at inspectors checking on the vehicle bridge foundation and forms work.
-----------------	-----------------------	--------------------	---

Photo 4



Location	SERC – Western Parcel	Description	View northwest from southeast corner of the Western Parcel at loader adding a layer of base for the Parcel infrastructure foundation.
-----------------	-----------------------	--------------------	---

Photo 5

Date & Time: Wed, Mar 27, 2019, 08:07:49 PDT
 Position: 033.806799°N / 117.987117°W
 Altitude: 64ft
 Datum: WGS-84
 Azimuth/Bearing: 036° N36E, 0676mils (True)
 Elevation Angle: +26.0°
 Horizon Angle: -02.9°
 Zoom: 1X



Location	SERC – Western Parcel	Description	View north-northeast from eastern end of the Western Parcel at workers constructing concrete forms for the vehicle bridge foundation.
-----------------	-----------------------	--------------------	---

Photo 6

Date & Time: Wed, Mar 27, 2019, 10:21:11 PDT
 Position: 033.806693°N / 117.985993°W
 Altitude: 78ft
 Datum: WGS-84
 Azimuth/Bearing: 319° N41W, 5671mils (True)
 Elevation Angle: +23.9°
 Horizon Angle: -01.6°
 Zoom: 1X



Location	SERC – Eastern Parcel	Description	View west-northwest from central portion of the Eastern Parcel at workers building concrete forms and ductwork infrastructure. Trench in foreground still has wildlife exit ramp (at right) put in place at the end of work on the previous day.
-----------------	-----------------------	--------------------	--

Photo 7

Date & Time: Wed, Mar 27, 2019, 10:31:35 PDT
 Position: 033.807095°N / 117.985742°W
 Altitude: 82ft
 Datum: WGS-84
 Azimuth/Bearing: 111° S69E 1973mils (True)
 Elevation Angle: +29.8°
 Horizon Angle: -02.9°
 Zoom: 1X



Location	SERC – Eastern Parcel	Description	View south from central portion of the Eastern Parcel at water truck operator engaged in dust suppression work.
-----------------	-----------------------	--------------------	---

Photo 8

Date & Time: Wed, Mar 27, 2019, 13:53:00 PDT
 Position: 033.806653°N / 117.987177°W
 Altitude: 46ft
 Datum: WGS-84
 Azimuth/Bearing: 043° N43E 0764mils (True)
 Elevation Angle: +30.4°
 Horizon Angle: -02.8°
 Zoom: 1X



Location	SERC – Western Parcel	Description	View northeast from eastern end of the Western Parcel at workers constructing concrete forms for the vehicle bridge foundation. Visible across the Stanton Storm Channel, a forklift is lifting a large section of the vehicle bridge foundation concrete forms into place.
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

Date	Monitor			Time (Begin-End)
March 28, 2019	Jake Ashford			06:30 - 15:00
Temperature (°F)	Wind (mph)	Precipitation amount	Visibility	Weather Comment
56 - 70	3 – 7 SW	0.0 inches	Good	Partly cloudy to sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing vehicle bridge construction activities, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing activities related to construction of the vehicle bridge, building of forms for south wall of Parcel foundation and ductwork, excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • Red-tailed hawk (<i>Buteo jamaicensis</i>) observed carrying nesting material but continued away from project site. • Northern mockingbird (<i>Mimus polyglottos</i>) pairs nesting nearby; nests not visible. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • Killdeers (<i>Charadrius vociferus</i>) present adjacent to and north of Eastern Parcel on building roofs, SCE lot, and along railroad tracks and building roofs adjacent to and south of the Eastern Parcel. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), Cassin’s kingbird, common raven (<i>Corvus corax</i>), barn swallow (<i>Hirundo rustica</i>), northern mockingbird (<i>Mimus polyglottos</i>), European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), western meadowlark (<i>Sturnella neglecta</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



Location	SERC – Western Parcel	Description	View of vegetation removal activities along the northern boundary of the Western Parcel.
-----------------	-----------------------	--------------------	--

Photo 2



Location	SERC – Eastern Parcel	Description	View south of ground disturbing activities in the middle portion of the Eastern Parcel.
-----------------	-----------------------	--------------------	---

Photo 3



Location	SERC – Western Parcel	Description	View northwest of foundation laying activities in the eastern portion of the Western Parcel.
-----------------	-----------------------	--------------------	--

Photo 4



Location	SERC – Eastern Parcel	Description	View southwest of foundation laying activities in the southwest corner of the Eastern Parcel.
-----------------	-----------------------	--------------------	---

Photo 5



Location	SERC – Eastern Parcel	Description	View north of construction activities preparing for foundation laying along the western border of the Eastern Parcel.
-----------------	-----------------------	--------------------	---

Photo 6



Location	SERC – Western Parcel	Description	View north of concrete pouring and leveling activities in the eastern portion of the Western Parcel.
-----------------	-----------------------	--------------------	--

Photo 7



Location	SERC – Eastern Parcel	Description	View east at water truck operator engaged in dust suppression work.
-----------------	-----------------------	--------------------	---

Stanton Energy Reliability Center (SERC)

BIOLOGICAL RESOURCES COMPLIANCE MONITORING LOG

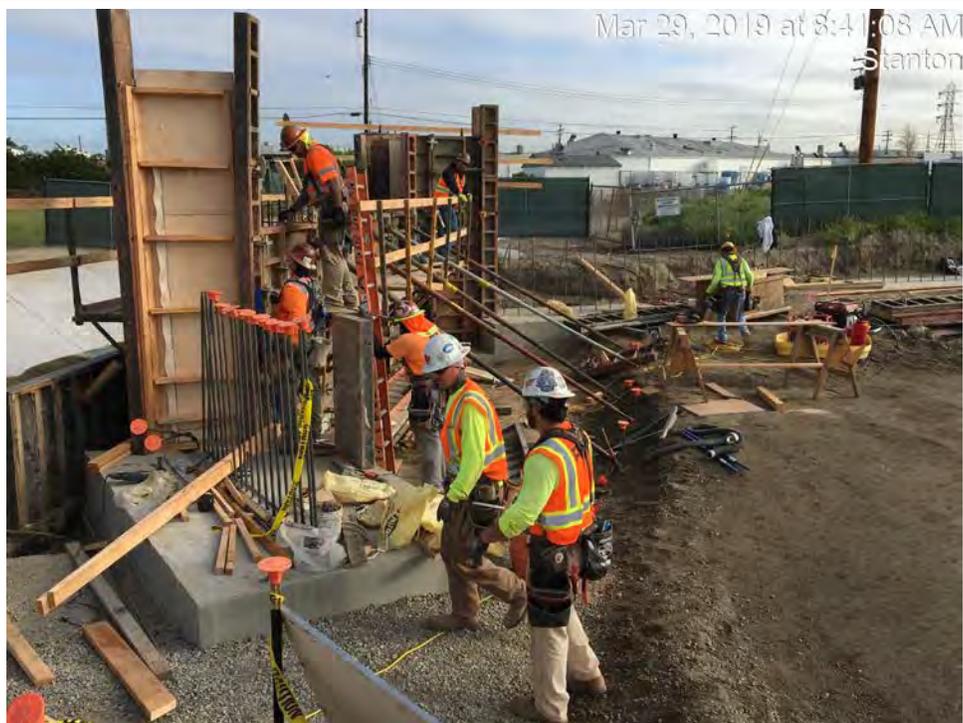
Date	Monitor			Time (Begin-End)
March 29, 2019	Jake Ashford			06:30 - 16:45
Temperature (°F)	Wind (mph)	Precipitation amount	Visibility	Weather Comment
56 - 78	0 – 5 SW	0.0 inches	Good	Partly cloudy to sunny
Location(s) of Work Site Activities Monitored				
<p>SERC – Bio-monitoring during Project construction.</p> <p>Western Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing vehicle bridge construction activities, reporting (see Photos in Photo Log).</p> <p>Eastern Parcel – Bio-monitored. Checked for potential bird/wildlife/Project interactions, compliance with COCs, SWPPP, surveyed church parking lot and surrounding area for nesting activity, ongoing activities related to construction of the vehicle bridge, building of forms for south wall of Parcel foundation and ductwork, excavation, reporting (see Photos in Photo Log).</p>				
Summary of Biological Resources Monitoring Observations				
<p>Bio-monitoring for special status species, nesting birds, fossorial mammals, and other wildlife.</p> <p>Special-Status Species Observed:</p> <ul style="list-style-type: none"> • None <p>Nesting Bird Observations:</p> <ul style="list-style-type: none"> • Northern mockingbird (<i>Mimus polyglottos</i>) pairs nesting nearby; nests not visible. • Killdeer (<i>Charadrius vociferus</i>) showing interest in SCE Parcel north of Parcel 1 (Eastern Parcel). • Various species observed collecting nesting material from project vicinity. <p>Other Biological Resources Observations:</p> <ul style="list-style-type: none"> • Killdeers present adjacent to and north of Eastern Parcel on building roofs, SCE lot, and along railroad tracks and building roofs adjacent to and south of the Eastern Parcel. Cassin’s kingbird (<i>Tyrannus vociferans</i>) pairs on and around Eastern and Western Parcels and adjacent SCE lots. <p>Other Observations/Comments:</p> <ul style="list-style-type: none"> • No project personnel/equipment-wildlife interactions occurred. 				
Items Requiring Action/Follow-up				
<ul style="list-style-type: none"> • No specific items to follow up on. Monitoring of work will continue during Project construction activities. 				
Wildlife Species Observed:				
<p>Birds: killdeer, red-tailed hawk, western gull (<i>Larus occidentalis</i>), Eurasian collared dove (<i>Streptopelia decaocto</i>), mourning dove (<i>Zenaida macroura</i>), rock pigeon (<i>Columba livia</i>), Cassin’s kingbird, barn swallow (<i>Hirundo rustica</i>), northern mockingbird (<i>Mimus polyglottos</i>), European starling (<i>Sturnus vulgaris</i>), house finch (<i>Haemorhous mexicanus</i>), western meadowlark (<i>Sturnella neglecta</i>), house sparrow (<i>Passer domesticus</i>).</p>				

Photo 1



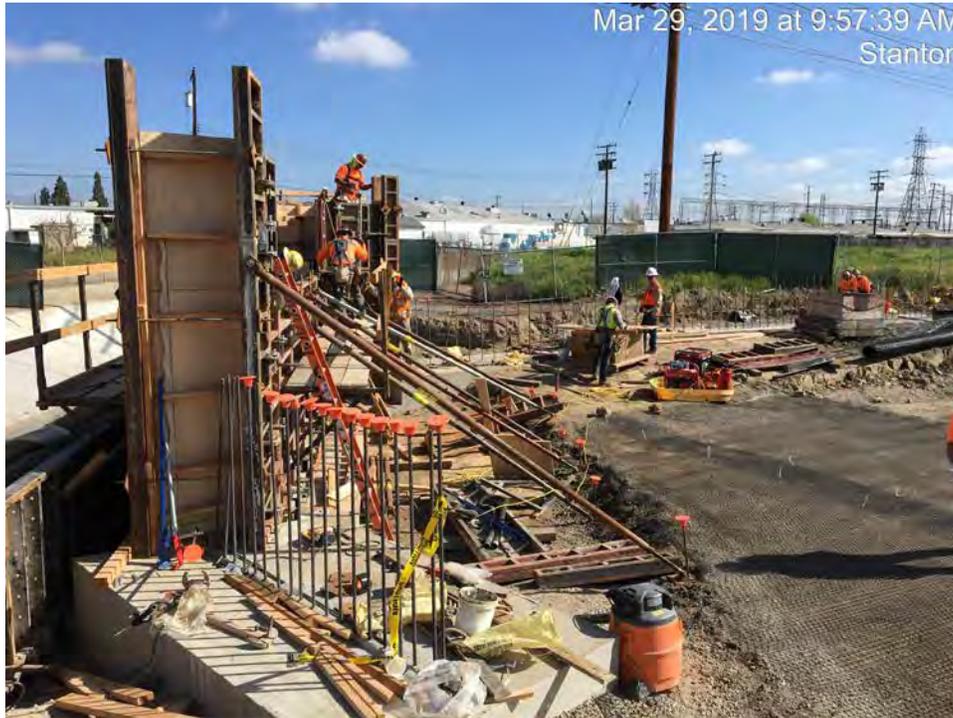
Location	SERC – Eastern Parcel (Parcel 1)	Description	View east at water truck operator engaged in dust suppression work.
-----------------	----------------------------------	--------------------	---

Photo 2



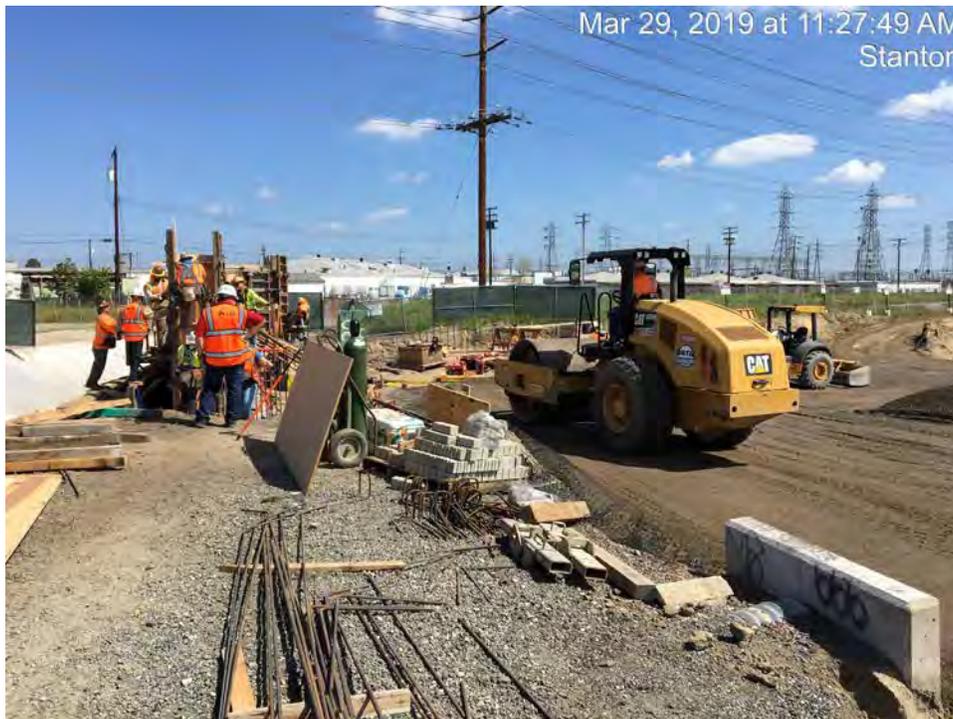
Location	SERC – Eastern Parcel (Parcel 1)	Description	View north of placement of shoring in preparation for concrete pouring.
-----------------	----------------------------------	--------------------	---

Photo 3



Location	SERC – Eastern Parcel (Parcel 1)	Description	View north of placement of shoring in preparation for concrete pouring.
-----------------	----------------------------------	--------------------	---

Photo 4



Location	SERC – Eastern Parcel (Parcel 1)	Description	View north of gravel mix compaction and concrete pouring preparation activities.
-----------------	----------------------------------	--------------------	--

Photo 5



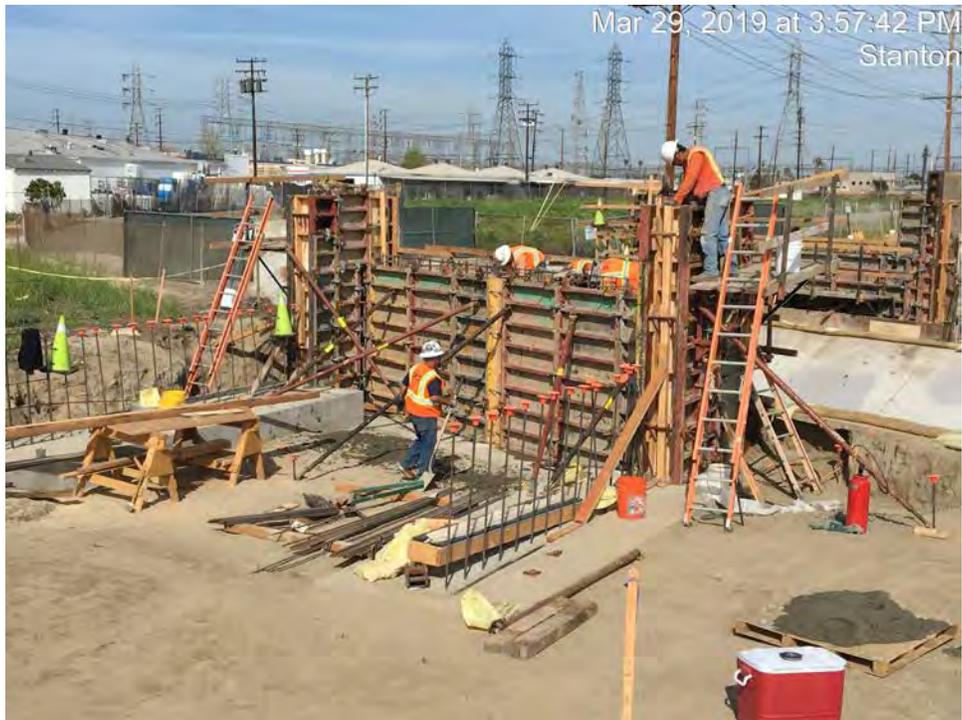
Location	SERC – Eastern Parcel	Description	View north of concrete pouring activities for vehicle bridge.
-----------------	-----------------------	--------------------	---

Photo 6



Location	SERC – Western Parcel (Parcel 2)	Description	View northwest of concrete pouring for vehicle bridge.
-----------------	----------------------------------	--------------------	--

Photo 7



Location	SERC – Western Parcel (Parcel 2)	Description	View northeast of leveling and cleaning post concrete pouring activities for vehicle bridge.
-----------------	----------------------------------	--------------------	--

Appendix C Wildlife Species List

**Observed Wildlife Species List
March 1 – March 31, 2019
Stanton Energy Reliability Center**

Common Name	Scientific Name	Status Federal/State/Other
Birds		
Allen's hummingbird	<i>Selasphorus sasin</i>	--/--/--
American crow	<i>Corvus brachyrhynchos</i>	--/--/--
American kestrel	<i>Falco sparverius</i>	--/--/--
Barn swallow	<i>Hirundo rustica</i>	--/--/--
Black phoebe	<i>Sayornis nigricans</i>	--/--/--
Canada goose	<i>Branta canadensis</i>	--/--/--
Cassin's kingbird	<i>Tyrannus vociferans</i>	--/--/--
Common raven	<i>Corvus corax</i>	--/--/--
Eurasian collared dove	<i>Streptopelia decaocto</i>	--/--/NP
European starling	<i>Sturnus vulgaris</i>	--/--/NP
House finch	<i>Haemorhous mexicanus</i>	--/--/--
House sparrow	<i>Passer domesticus</i>	--/--/NP
Killdeer	<i>Charadrius vociferus</i>	--/--/--
Lesser goldfinch	<i>Carduelis psaltria</i>	--/--/--
Mourning dove	<i>Zenaida macroura</i>	--/--/--
Northern mockingbird	<i>Mimus polyglottos</i>	--/--/--
Northern rough-winged swallow	<i>Stelgidopteryx serripennis</i>	--/--/--
Red-tailed hawk	<i>Buteo jamaicensis</i>	--/--/--
Rock pigeon	<i>Columba livia</i>	--/--/NP
Western gull	<i>Larus occidentalis</i>	--/--/--
Western kingbird	<i>Tyrannus verticalis</i>	--/--/--
Western meadowlark	<i>Sturnella neglecta</i>	--/--/--
White-crowned sparrow	<i>Zonotrichia leucophrys</i>	--/--/--
Yellow-rumped warbler	<i>Setophaga coronata</i>	--/--/--
Mammals		
Virginia opossum	<i>Didelphis virginiana</i>	--/--/--

Status Codes:

If status codes are not provided, the species is not a special-status species.

Federal:

FE = Federally listed Endangered: species in danger of extinction throughout a significant portion of its range

FT = Federally listed Threatened: species likely to become endangered within the foreseeable future

BCC = Birds of Conservation Concern

State:

SE = State listed as Endangered

ST = State listed as Threatened

FP = Fully Protected

SSC = Species of Special Concern - Species of special concern to California Department of Fish and Wildlife (CDFW) due to declining population levels, limited ranges, and/or continuing threats have made them vulnerable to extinction.

S = Sensitive

WL = Watch List

SP = Special Animals List

Other:

Bureau of Land Management (BLM), United States Department of Interior - Sensitive (S)

California Department of Forestry and Fire Protection (CDF) classifies "sensitive species" as those species that warrant special protection during timber operations.

United States Forest Service (USFS) - Sensitive (S)

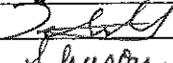
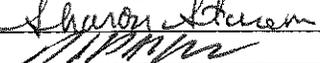
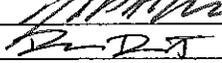
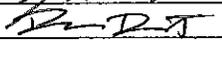
NP = Not Protected (Introduced Species)

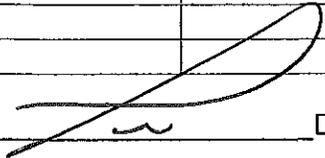
Appendix D
WEAP Training Logs

Certification of Completion of Worker Environmental Awareness Education Program

Stanton Energy Reliability Center (SERC) Project, Orange County, California
 Cultural, Paleontological, and Biological Resources Education Program Verification
 All On-Site Employees

This is to certify the below-mentioned individuals have completed a mandatory California Energy Commission-approved Cultural, Paleontological, and Biological Resources Education (Environmental Awareness) Program for Employees on site at the SERC Project. By signing below, the participants indicate that they understand and shall abide by the guidelines set forth in the Program materials.

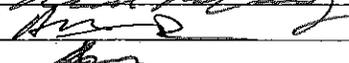
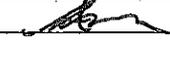
No.	Employee Name	Company	Signature	Date
1.	Terrie Amos	BRAND		3-4-19
2.	SHARON STUREMAN	Wellhead		3-4-19
3.	JITO POYE HERNANDEZ	ARB		3/4/19
4.	Dwane Frost	ARB		3/4/19
5.				
6.				
7.				
8.				
9.				
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
21.				
22.				
23.				
24.				
25.				
26.				
27.				
28.				
29.				
30.				

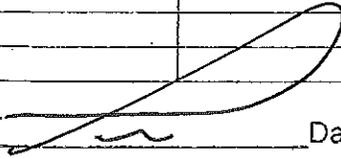
Trainer: TIM DRAPER Signature:  Date: 3/4/19

Certification of Completion of Worker Environmental Awareness Education Program

Stanton Energy Reliability Center (SERC) Project, Orange County, California
 Cultural, Paleontological, and Biological Resources Education Program Verification
 All On-Site Employees

This is to certify the below-mentioned individuals have completed a mandatory California Energy Commission-approved Cultural, Paleontological, and Biological Resources Education (Environmental Awareness) Program for Employees on site at the SERC Project. By signing below, the participants indicate that they understand and shall abide by the guidelines set forth in the Program materials.

No.	Employee Name	Company	Signature	Date
1.	Raul Rodriguez	ARB		3-5-19
2.	Arthur Din	NVS		3-5-19
3.	Aaron Vega	ARB		3-5-19
4.				
5.				
6.				
7.				
8.				
9.				
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
21.				
22.				
23.				
24.				
25.				
26.				
27.				
28.				
29.				
30.				

Trainer: TIM DRAPER Signature:  Date: 3/5/19

Certification of Completion of Worker Environmental Awareness Education Program

Stanton Energy Reliability Center (SERC) Project, Orange County, California
Cultural, Paleontological, and Biological Resources Education Program Verification
All On-Site Employees

This is to certify the below-mentioned individuals have completed a mandatory California Energy Commission-approved Cultural, Paleontological, and Biological Resources Education (Environmental Awareness) Program for Employees on site at the SERC Project. By signing below, the participants indicate that they understand and shall abide by the guidelines set forth in the Program materials.

No.	Employee Name	Company	Signature	Date
1.	Rebecca WADE	Alcorn Fence	R. Wade	3-11-19
2.	VICTOR PELAYO	ARB	Victor Pelayo	3-11-19
3.	Johnny Carr	ARB	Johnny Carr	3-11-19
4.	RAUL ESTRELLA	AICB	Raul Estrella	3-11-19
5.	MICHAEL KATZ	ALCORN FENCE	Michael Katz	3-11-2019
6.				
7.				
8.				
9.				
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
21.				
22.				
23.				
24.				
25.				
26.				
27.				
28.				
29.				
30.				

Trainer: TIM DRAPER Signature:  Date: 3/11/19

Certification of Completion of Worker Environmental Awareness Education Program

Stanton Energy Reliability Center (SERC) Project, Orange County, California
Cultural, Paleontological, and Biological Resources Education Program Verification
All On-Site Employees

This is to certify the below-mentioned individuals have completed a mandatory California Energy Commission-approved Cultural, Paleontological, and Biological Resources Education (Environmental Awareness) Program for Employees on site at the SERC Project. By signing below, the participants indicate that they understand and shall abide by the guidelines set forth in the Program materials.

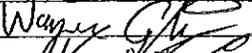
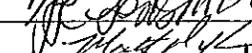
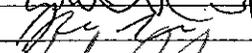
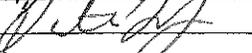
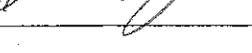
No.	Employee Name	Company	Signature	Date
1.	Blake Bufford	Paleowest		3-12-19
2.	Cody Leander	CML		3-13-19
3.	Phonon Cromwell	CMC		3-13-19
4.	KEVIN HILL	CMC		3-13-19
5.	Steve Hansen	CMC		3-13-19
6.	JOSEPH TANCE	UVS		3-15-19
7.	ROBERT P ESQUEVEL	JLS Pumping		3-15-19
8.	Jay Hernandez	JLS		3/15/19
9.	Carlos Pienzo	JLS PUM		3/15/19
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
21.				
22.				
23.				
24.				
25.				
26.				
27.				
28.				
29.				
30.				

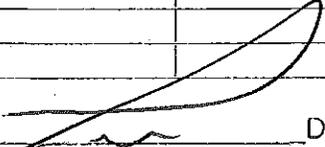
Trainer: TIM DRAPEIR Signature: Date: 3/12/19

Certification of Completion of Worker Environmental Awareness Education Program

Stanton Energy Reliability Center (SERC) Project, Orange County, California
Cultural, Paleontological, and Biological Resources Education Program Verification
All On-Site Employees

This is to certify the below-mentioned individuals have completed a mandatory California Energy Commission-approved Cultural, Paleontological, and Biological Resources Education (Environmental Awareness) Program for Employees on site at the SERC Project. By signing below, the participants indicate that they understand and shall abide by the guidelines set forth in the Program materials.

No.	Employee Name	Company	Signature	Date
1.	Nick Kingsley	ORTIZ		3/18/19
2.	Wayne G. Gildre	JLS		3-18-19
3.	Eric Hernandez	JLS		3-18-19
4.	Jose Ledesma	JLS		3-18-19
5.	Matt Ashman	JLS		3-18-19
6.	JOHN MARTIN	ARB		3-18-19
7.	Jason Sotro	OCPW		3/18/19
8.	Keaton Forby	ARB		3/20/19
9.				
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
21.				
22.				
23.				
24.				
25.				
26.				
27.				
28.				
29.				
30.				

Trainer: TIM DRAPETK Signature:  Date: 3/18/19

Certification of Completion of Worker Environmental Awareness Education Program

Stanton Energy Reliability Center (SERC) Project, Orange County, California
Cultural, Paleontological, and Biological Resources Education Program Verification
All On-Site Employees

This is to certify the below-mentioned individuals have completed a mandatory California Energy Commission-approved Cultural, Paleontological, and Biological Resources Education (Environmental Awareness) Program for Employees on site at the SERC Project. By signing below, the participants indicate that they understand and shall abide by the guidelines set forth in the Program materials.

No.	Employee Name	Company	Signature	Date
1.	Steve A. Marmolejo	ANS		03/21/19
2.	Julio Rodriguez	Newtron		03/22/19
3.	CHRIS BAKER	ARB		3/25/19
4.	SOFF ARCE	ARB		3/25/19
5.	David Spencer	ARB		3/25/19
6.	Christian J. Garcia	Newtron		3/25/19
7.	Richard Cukiel	MWET		3-25-19
8.	MARIO FLORES	NEWTRON		3-25-19
9.	DAVID MARTINEZ	Newtron		3-25-19
10.	GARY PACE	ARB		3-27-19
11.	Jerome Jimenez	CMC		3-27-19
12.	Damon Tittie	CMC		3-27-19
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
21.				
22.				
23.				
24.				
25.				
26.				
27.				
28.				
29.				
30.				

Trainer: TIM DRAPER Signature: Date: 3/21/19