

<b>DOCKETED</b>	
<b>Docket Number:</b>	07-AFC-06C
<b>Project Title:</b>	Carlsbad Energy Center - Compliance
<b>TN #:</b>	225569
<b>Document Title:</b>	Compliance Docketing Policy
<b>Description:</b>	N/A
<b>Filer:</b>	Marichka Haws
<b>Organization:</b>	California Energy Commission
<b>Submitter Role:</b>	Commission Staff
<b>Submission Date:</b>	10/30/2018 2:24:50 PM
<b>Docketed Date:</b>	10/30/2018

## CALIFORNIA ENERGY COMMISSION

1516 NINTH STREET  
SACRAMENTO, CA 95814-5512  
www.energy.ca.gov



October 31, 2018

**SUBJECT: Compliance Docketing Policy (17-SIT-02)**

Dear Plant Manager,

The California Energy Commission (Energy Commission) is undertaking an effort to ensure that construction and operational compliance documents are available to the public. On January 15, 2018, the Energy Commission held a staff workshop to discuss a proposed Compliance Docketing Policy with project owners and interested parties. The Final Compliance Docketing Policy (policy) was provided to all interested parties and docketed to the 17-SIT-02 docket log on May 23, 2018. The final policy is attached to this letter for reference.

In accordance with this policy, the Compliance Project Manager (CPM) will docket certain periodic reports and other major filings or plans. Project owners are requested to submit any required reports directly to the CPM who will then docket the submittal to the Compliance Proceeding Docket Log.

All power plants under Energy Commission jurisdiction are asked to adhere to this docketing policy. This is effective as of the date of this letter.

If you have any questions or concerns, please contact Christine Root, Compliance Office Manager, at (916) 654-4745, or by fax to (916) 654-3882, or via e-mail at [Christine.Root@energy.ca.gov](mailto:Christine.Root@energy.ca.gov).

Sincerely,

Original signed

  
Christine Root  
Compliance Office Manager  
Siting, Transmission, & Environmental Protection  
Division

## FINAL COMPLIANCE DOCKETING POLICY

In order to make certain compliance documents available to the public from the Energy Commission's website, the Compliance Project Manager will docket specific final staff-approved compliance submittals to the project's compliance proceeding docket. As a reminder, all documents that are not docketed by staff can be requested through a Public Records Act request unless they are filed under an Application for Confidentiality and approved by the Executive Director.

A list of documents that staff will docket under this policy is provided below:

**Periodic Reports:** The following reports are provided throughout the life of the project and contain information on a variety of technical areas:

- Monthly Compliance Reports (during construction)
- Annual Compliance Reports (during project operation)
- Periodic Compliance Reports (during project operation)
- Quarterly Operating Report (during project operation)

**Other Major Filings and Plans:** Major reports typically provided at the beginning of a project (during the pre-construction, construction, or pre-operation phases) and may be updated when a change in circumstances warrants revising the plan:

- Biological Resources Mitigation Implementation and Monitoring Plan (BRMIMP)
- Avian and Bat Monitoring Plan
- Avian and Bat Monitoring Reports (Seasonal and Annual)
- Technical Advisory Committee/Group (TAC/TAG) Meeting Agendas and Notes
- Evaporation Pond Monitoring Plan
- Periodic (Annual) Compensatory Mitigation Compliance Reports
- Storm Water Pollution Prevention Plan (SWPPP)
- Soil Management Plan
- Noise Control Program
- Paleontological Resources Report
- Paleontological Resources Mitigation and Monitoring Program
- Construction Water Use Plan
- Surface Treatment Plan
- Operational Lighting Plan

- Landscaping Plan
- Demolition Waste Management Plan
- Air Quality Construction/Demolition Mitigation Plan (AQCMP)
- NOISE-1, Public Notification Process
- Traffic Control Plan
- Power Tower Luminance Monitoring Plan
- Heliostat Positioning and Monitoring Plan (or comparable plan for solar trough)

**Staff Generated Compliance Documents:** Reports and letters created by staff to help ensure the on-going compliance of a power plant facility. These documents will be docketed after they have been provided to the project owner.

- Compliance Advice Letters
- Settlement Agreements/Announcements that are published to the Case Settlement Page  
(<http://www.energy.ca.gov/commission/enforcement/litigation/index.php>)
- Periodic Operational Inspection Reports
- Notice to Proceed (with construction)