

DOCKETED

Docket Number:	07-AFC-06C
Project Title:	Carlsbad Energy Center - Compliance
TN #:	203094
Document Title:	Responses to Data Request Set 2 (Nos. 40-57)
Description:	N/A
Filer:	Dee Hutchinson
Organization:	Locke Lord LLP
Submitter Role:	Applicant Representative
Submission Date:	9/23/2014 5:07:44 PM
Docketed Date:	9/23/2014



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September 23, 2014

VIA E-FILING

Carlsbad Energy Center Project Petition to Amend (07-AFC-06C)
Mike Monasmith, Project Manager
Joe Douglas, Compliance Project Manager
Paul Kramer – Hearing Officer
California Energy Commission
1516 Ninth Street
Sacramento, CA 95814-5512


Re: Petition to Remove Obsolete Facilities to Support Construction and Petition to Amend
the Carlsbad Energy Center Project (07-AFC-06C)
Responses to Data Request Set 2 (Nos. 40-57)

Dear Mr. Monasmith and Mr. Douglas:

California Energy Commission staff ("**Staff**") filed Data Request Set 2 (Nos. 31 – 58) (TN 202992) (the "**Data Requests**") on August 27, 2014, regarding Carlsbad Energy Center LLC's ("**Project Owner**") Petition to Remove Obsolete Facilities to Support Construction ("**PTR**") of the Carlsbad Energy Center Project (07-AFC-06C) ("**CECP**"), and Petition to Amend ("**PTA**") the CECP. On September 16, 2014, Project Owner filed Data Request Set 2 Objections and Time Extension Request (TN 203073) requesting additional time to respond to Data Request Nos. 31, 32, 33, 38, 39 and 58 and objecting to Data Request Nos. 34, 35, 36 and 37. Project Owner hereby submits responses to the Data Requests (Nos. 40-57) to which Project Owner has not objected or requested additional response time.

Please contact me or my colleague Allison Harris if you have questions.

Locke Lord LLP

By: 

John A. McKinsey
Attorneys for Carlsbad Energy Center LLC

JAM: awph

Enclosure

Carlsbad Energy Center Project Petition to Amend

(07-AFC-06C)

Data Response Set 2 (Responses to Data Requests 31 to 58)

Submitted to
California Energy Commission

Prepared by
Carlsbad Energy Center LLC

With Assistance from

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September 23, 2014

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SECTION 1.0

Introduction

Attached are Carlsbad Energy Center LLC's (Project Owner) responses to the California Energy Commission (CEC) Data Request Set 2 (numbers 31 through 58) regarding the modified Carlsbad Energy Center Project (07-AFC-06C) (CECP) proposed in Project Owner's Petition to Amend (PTA). Any capitalized terms not defined in this Data Response Set 2 shall have the meanings given to them in the PTA.

The responses are grouped by individual discipline or topic area. Within each discipline area, the responses are presented in the same order as the CEC presented them and are keyed to the applicable Data Request numbers.

New or revised graphics or tables are numbered in reference to the associated Data Request number. For example, the first table used in response to Data Request 1 would be numbered Table DR1-1. The first figure used in response to Data Request 1 would be Figure DR1-1, and so on. Figures or tables from the CECP PTA that have been revised have "R1" following the original number, indicating revision 1.

Additional tables, figures, or documents submitted in response to a data request (for example, supporting data, stand-alone documents such as plans, folding graphics, etc.) are found at the end of each discipline-specific section and are not sequentially page-numbered consistently with the remainder of the document, though they may have their own internal page numbering system.

SECTION 2.0

Cultural Resources (31–39)

BACKGROUND: ARCHAEOLOGY

Archaeological staff has reviewed the PTA, as well as the original September 2007 Application for Certification (AFC), associated cultural resources documents, the September 2008 Project Enhancement and Refinement (PEAR) document, the November, 2009 Final Staff Assessment (FSA), the August, 2011 Supplemental Staff Testimony, and the May 31, 2012 Commission Final Decision for the original proceeding. Staff finds that the PTA does not provide sufficient information necessary to analyze the proposed amendment's potential impacts on archaeological resources for the following reasons:

- There is no cultural resources technical report associated with the February 5, 2014 survey mentioned in the PTA (CECP 2014a:5.3-2). Without the technical report, staff is unable to assess the adequacy of the survey and thus any potential impact to cultural resources that could be affected by the proposed project;
- It is unclear which specific areas were surveyed during the February 5, 2014 cultural resources survey because there is no map documenting this survey effort;
- There does not appear to be any attempt by the project owner to consult with Native American groups in the project vicinity, or with historic cultural attachment to the area (CECP 2014a:Section 5.3);
- Archaeological site CA-SDI-16885 was initially recorded in 2003, was last updated in 2005, and is located within one of the proposed construction laydown areas (Carlsbad Energy Center 2014a:5.3-2). Survey efforts by the project owner on February 5, 2014 did not relocate this approximately 14,400-meter² site, and thus the site was not evaluated for significance by applying the California Environmental Quality Act (CEQA) criteria for historical or unique archaeological resources. The depth and horizontal (subsurface) extents of the site have not been determined: and,
- Archaeological site CA-SDI-6751 was most recently recorded as being contained entirely within the Atchison, Topeka & Santa Fe railroad right-of-way. However, to date, CA-SDI-6751 has not been evaluated for significance by applying CEQA criteria for historical or unique archaeological resources. The depth and horizontal (subsurface) extents of the site have not been determined.

Staff requests the following information to complete its archaeological analysis of the PTA.

DATA REQUEST

31. To partially remedy the first two issues above, please provide the cultural resources technical report associated with the February 5, 2014 cultural resources survey. Please ensure this report includes record searches conducted at the South Coastal Information Center and San Diego Museum of Man, as well as a map detailing those areas that were subject to pedestrian survey. Please prepare the report so that it conforms to the standards described at Title 20, California Code of Regulations, section 1704(b)(2), Appendix B(g)(2)(C). The project owner may choose to combine the cultural resources inventory report with the test excavation report or documentation of artificial fill (as appropriate) requested in Data Request 37c and 37d below.

Response: In correspondence filed at the CEC (as TN 203073) on September 16, 2014 (the "Objection Letter"), Project Owner requested additional time, until October 17, 2014, to provide additional information about the potential presence of cultural resources at the amended Project site.

DATA REQUEST

32. Please update the DPR 523 forms for archaeological sites CA-SDI-6751 and CA-SDI-16885. The project owner should compare and document the depth of fill that Magorien (2006: Figure 1) recorded in the licensed CECF project area with the proposed depths of construction and excavation in the vicinity of the previously known locations of these cultural resources.

Response: In the Objection Letter, Project Owner requested additional time, until October 17, 2014, to provide this information.

DATA REQUEST

33. If the proposed excavations described in the PTA would not exceed the depth of fill, please:

- a. Provide documentation of the depth of excavation entailed (if any) for each component of the PTA; and,
- b. Also describe the depth of existing fill on the project site and substantiate this description by citing relevant plans or other sources. A graphical representation of the depth of fill in areas of proposed excavation would be highly valuable and expedite staff's review and resolution of this issue.

Response: In the Objection Letter, Project Owner requested additional time, until October 17, 2014, to provide this information.

DATA REQUEST

34. If the proposed depth of excavation associated with development support activities would extend below the depth of fill at these locations, please:

- a. Provide an archaeological testing plan that conforms to the standards described in *Guidelines for Archaeological Research Designs* (OHP 1991) for staff review and approval. The purpose of the testing plan is to establish site-specific thresholds for whether CA-SDI-6751 and CA-SDI-16885 meet the CEQA definition of a historical or unique archaeological resource. The research design shall be prepared by an archaeologist that meets the Secretary of the Interior's professional standards for archaeologists (see *Archeology and Historic Preservation: Secretary of the Interior's Standards and Guidelines*, 36 C.F.R. 61). The research design must include the following:
 - i) A statement of the problem(s) and research goals;
 - ii) A statement of methods to achieve the research goal;
 - iii) A statement regarding how the results will be reported;
 - iv) Maps depicting the site boundaries and locations of excavation units for each site (maps shall meet the requirements laid out for DPR 523 Sketch Maps, OHP 1995:15, but do not need to be generated on the site form template);
 - v) An overlay of the proposed work areas and access roads on the aforementioned sketch map;
 - vi) A schedule for implementation of the research design; and,
 - vii) The preparer's résumé and the résumés of other key staff that are expected to implement the research design.
- b. Implement the research design described in bullet "a." immediately above, upon staff approval of the document.

Response: In the Objection Letter, Project Owner objected to this Data Request 34 as unnecessary and unreasonably burdensome due to the highly disturbed nature of the expanded footprint of the amended Project. As discussed in the objection letter, Project Owner will conduct cultural resources monitoring and

mitigation activities during construction of the amended facility in accordance with the Cultural Resources Conditions of Certification in the Commission's Final Decision. These Conditions of Certification are designed to ensure that in the unlikely event that significant archaeological and other cultural resources are encountered, they will be properly preserved and documented.

DATA REQUEST

35. Following completion of the archaeological investigation specified above, please provide, for staff's review and approval, an archaeological evaluation report that identifies the methods employed and results of the investigation. The report shall conform to the content requirements of *Archaeological Resource Management Reports (ARMR): Recommended Contents and Format* (OHP 1990) and contain the following:
- i) A description of the research design and the methods employed during the study;
 - ii) A description of the study results;
 - iii) Recommendations as to eligibility for consideration as a historical or unique archaeological resource for each site investigated;
 - iv) A location map on a U.S. Geological Survey, 7.5-minute topographic quadrangle;
 - v) For archaeological sites that appear to meet the criteria of historical or unique archaeological resources, a description of whether the proposed excavation, construction, and demolition activities would result in impacts to them (supplement the impact discussion with exhibits and quantify the estimated quantity of archaeological materials that would be damaged or removed);
 - vi) Proposed mitigation measures for affected archaeological sites. Supplement the mitigation discussion with exhibits as needed;
 - vii) A Sketch map (see sub-bullet "a." to data request no. 34 above) that depicts the sampling locations and the location of any newly identified archaeological materials; and,
 - viii) Revised DPR 523 forms.

Response:

Project Owner objected to this Data Request in the Objection Letter for the same reasons discussed in Data Response 34 above.

BACKGROUND: BUILT ENVIRONMENT

Built environment staff also reviewed the PTA, as well as the original September 2007 Application for Certification (AFC), associated cultural resources documents, the September 2008 Project Enhancement and Refinement (PEAR) document, the November, 2009 Final Staff Assessment (FSA), the August 2011 Supplemental Staff Testimony, and the May 31, 2012 Commission Final Decision for the original proceeding. Given the expansion of the areas slated for demolition west of the railroad tracks, proposed expansion of the CECP footprint by 7 acres for new construction purposes, and the complexity of the proposed project changes outlined in the preceding section, staff finds that the PTA does not provide sufficient information to analyze the proposed amendment's potential impacts on built-environment resources. Summarized below are the areas where the project data is insufficient for staff to complete an analysis of the potential impacts to the environment:

- The licensed project included a very narrow built environment survey area confined to the immediate construction area. In September of 2007, JRP (JRP 2007) conducted an architectural field survey to assess the potential for historic architectural resources at the licensed project location. The architectural study area considered the location of above-ground fuel oil storage tanks (AST's) 5, 6 & 7 (footprint where the 23-acre Licensed CECP project was permitted to be constructed after tank

removal) the Cannon Substation, and a segment of the former Atchison, Topeka and Santa Fe Railway's (ATSF) tracks, now owned by North San Diego County Transit District (Carlsbad Energy Center et al. 2008:5.3- 15; CEC 2009:4.3-13). AST's 5, 6 & 7 and the Cannon Substation were not evaluated for their significance as historical resources because they were not 501 years of age at the time of the survey in 2007. The segment of the ATSF railroad tracks within the EPS boundaries was the only built environment resource evaluated for its potential as a historical resource under CEQA. It was concluded that the ATSF railroad segment was not eligible for listing on either the National Register of Historic Places (NRHP) or the California Register of Historical Resources (CRHR). Fuel Tanks 5, 6 and 7 (1968–1976) (JRP 2007i) or 1972–1977 (JRP 2014; 15) and the Cannon Substation (1968–1976) (JRP 2007:i) are now, in 2014, 45 years or older.

- The proposed amendment would be implemented within the bounds of the EPS, which was constructed in the 1950s and is of historic age. The project owner indicates in the PTA that the EPS and affiliated structures have been evaluated for significance under CEQA (CECP 2014a:5.3-2). The project owner subsequently docketed the PTA-referenced historic architectural survey and evaluation on July 14, 2014. The proposed amendment would affect the EPS by demolishing most of its structures and associated facilities, including the fuel tanks proposed for removal in the April 29, 2014 Petition to Remove (CECP 2014b). Several known structures associated with the EPS were not included in the survey and evaluation. These are noted in Tables 1 and 2. Demolition of historic-age structures could cause significant and unavoidable direct, indirect and cumulative impacts on the environment.
- The proposed PTA would require installation of a new ocean water intake pipeline for the ocean water needed for the Amended CECP purified ocean water system (CECP 2014a:2-21). Construction of this pipeline could cause significant and unavoidable direct, indirect, and cumulative impacts on the environment.
- The proposed amendment would alter the use of the EPS ocean water intake facility in Agua Hedionda Lagoon, which was constructed in 1954 concurrent with the EPS, and thus of historic age. Isolation of part of the intake facility would occur by blocking it with concrete plugs and capping the pipes (CECP 2104a:2-38). The Carlsbad Seawater Desalination Project (CSDP or “Poseidon”) will require 304 mgd of ocean water to produce 50 mgd potable water, and will utilize a modernized portion of the existing EPS intake facility on the southern end of the outer Agua Hedionda Lagoon. This would also result in the removal and demolition of associated piping, valves, filters and other above and below-ground structures. Demolition/alteration of historic-age structures could cause significant and unavoidable direct, indirect, and cumulative impacts on the environment.
- As proposed, the amended CECP would enlarge the footprint of the 230kV SDG&E Encina switchyard located west of the railroad track and adjacent to the large EPS Enclosure Building (CECP 2104a:2-4). This enlargement may require removal of existing facilities or other ground or structural disturbance, which have not been identified by the project owner in the PTA. Demolition of associated historic-age structures could cause significant and unavoidable direct, indirect, and cumulative impacts on the environment.
- The proposed amendment would construct a new 36-inch pipeline to convey reclaimed water from Carlsbad Recycle Water Facility located at Encina Wastewater Authority complex. The pipeline would connect at Cannon Road and proceed approximately 3,700 feet north along the Avenida Encinas right of way to the project site just north of the planned control facility (CECP 2104a:2-16). Construction of the pipeline could cause significant and unavoidable direct, indirect, and cumulative impacts on the environment during trenching activities.

¹ JRP limited their investigation to resources 50 years or older. The Energy Commission uses 45 years or older in conformance with state standards for evaluating historic properties.

- The proposed amendment does not evaluate adjacent properties for historic significance. Demolition of the EPS and new construction could both cause significant and unavoidable direct, indirect, and cumulative impacts on the environment.

With the information provided in the PTA and the data gaps outlined in the list above, staff concludes there is insufficient data to complete an analysis of the potential for environmental impacts in the area of built-environment cultural resources, that would not be mitigated by the conditions of certification in the current license described in the Final Decision (CEC 2012a:7.3-7-18). Staff requests the following information to complete its built-environment analysis of the PTA.

DATA REQUEST

36. Please conduct a built-environment cultural resources survey of the areas in which the amendment activities would take place, including the one-parcel boundary established by staff for this PTA (see Figure 1). The survey shall conform to the standards established at Title 20, California Code of Regulations, sections 1704 and 2012, Appendix B(g)(2)(C).

Response: Project Owner objected to this Data Request in the Objection Letter because the area depicted in Staff's Data Request Figure 1 far exceeds the amended project footprint, and contains areas such as Agua Hedionda Lagoon and the strawberry fields across Interstate Highway 5 from the CECP. This type of survey was required for solely the licensed project's footprint shown in purple on Staff's Data Request Figure 1. Consequently, Project Owner surveyed the expanded project footprint for the PTA analysis. As this expanded footprint will be the area subject to disturbance during construction of the amended Project, the cultural resources survey should focus on this area.

DATA REQUEST

37. The PTA references an architectural survey of the EPS completed by JRP on February 25, 2014, on page 5.3-2. This report was docketed on July 14, 2014 (JRP 2014). The EPS was evaluated by JRP and was not found to be a historical resource for the purposes of CEQA. Consistent with Title 20, California Code of Regulations, sections 1704 and 2012, Appendix B(g)(2)(C)(iii) and *Instructions for Recording Historical Resources* (OHP 1995:9), the survey and evaluation needs to include not only the EPS but other resources 45 years or older within the project area of analysis (PAA) established by staff (Figure 1), applying the CEQA historical significance criteria contained in Public Resources Code, section 21084.1, and Title 14, California Code of Regulations, section 15064.5(a). Recordation shall be on DPR 523 forms, including applicable evaluation and detail forms. Aside from establishing the historic context of the power station, recordation and evaluation shall include the entirety of the EPS, as well as built environment resources 45 years or older within the PAA as established by staff (Figure 1). Staff has provided a list of resources identified in the PTA as well as resources identified as potentially of historic age by staff (Cultural Resources Tables 1 and 2). Staff expects all of these resources, and others not identified by staff within the PAA, to be investigated.

Response: Project Owner objected to this Data Request in the Objection Letter for the same reasons discussed in Data Response 36 above.

DATA REQUEST

38. The AFC submitted for the licensed CECP included a literature search consistent with Title 20, California Code of Regulations, sections 1704 and 2012, Appendix B(g)(2)(B). That literature search identified records in a one-mile radius of the project through July 5, 2007. Seven years have elapsed since the initial records search was conducted and new studies may have been recorded in the interim which provide additional information for staff's analysis of the PTA. Please conduct a literature search update to identify cultural resources within a one-mile radius of the project site, inclusive of the project site. The search shall only include records filed after July 5, 2007, when the initial records search was

completed for the AFC (CH2M Hill 2007). This search shall not be limited to the Office of Historic Preservation's South Coast Information Center at San Diego State University, but shall also include records housed at local agencies, state agencies (such as Caltrans and the California Coastal Commission) and other previously completed studies as may be found at online listings such as CEQANET, <http://www.ceqanet.ca.gov/>. This record search effort may be combined with Archaeological Data Request 1 and should be submitted under confidential cover.

Response: In the Objection Letter, Project Owner has requested additional time, until October 17, 2014, to provide the requested information about the potential presence of cultural resources at the amended Project site.

DATA REQUEST

39. Prepare a cultural resources inventory report that conforms to the standards described in Title 20, California Code of Regulations sections 1704 and 2012, Appendix B(g)(2)(C). The report for the built-environment resources does not need to be submitted under confidential cover and non-confidential filing is preferred for best public participation.

Cultural Resources Table 1
Built Environment Resources Surveyed for 07-AFC-06 and Petition to Amend

Resource	Associated Structures	Year Built	Surveyed	Evaluated *	Citation
ATSF tracks	Railroad tracks	1882/1906	Yes	Yes; not eligible	JRP 2007:19
Encina Power Station (EPS)			Yes	Yes; not eligible	JRP 2007, 2014
	Units 1, 2, & 3 (D)	1954,1956, 1958	Yes	Yes; not eligible	JRP 2007, 2014
	Units 4 & 5 (D)	1974, 1978	Yes	Yes; not eligible	JRP 2007, 2014
	Fuel Tanks 1-2 (D)	1954,1956	Yes	Yes; not eligible	JRP 2007, 2014
	Fuel Tank 4 (D)	1972	Yes	Yes; not eligible	JRP 2007, 2014
	Fuel Tanks 5-6-7 (D)	1972, 1975, 1977	Yes	Yes; not eligible	JRP 2104
	Paint Storage Building	ca. 1985	Yes	Yes; not eligible	
	Administration Building (D)	1985	Yes	Yes; not eligible	JRP 2014
	Equipment Bay Building (D)	1954-1978	Yes	Yes; not eligible	JRP 2014
	Wastewater Storage Tanks (D)	ca.1985	Yes	Yes; not eligible	JRP 2014
	Compressor Building (D)	ca. 1970	Yes	Yes; not eligible	JRP 2014
	Machine Shop Building (D)	ca. 1970	Yes	Yes; not eligible	JRP 2014
	Storage Building	ca. 1970	Yes	Yes; not eligible	JRP 2014

Cultural Resources Table 1
Built Environment Resources Surveyed for 07-AFC-06 and Petition to Amend

Resource	Associated Structures	Year Built	Surveyed	Evaluated*	Citation
	Exhaust Stack	1978	Yes	Yes; not eligible	JRP 2014
	Encina Substation 1 & 2	1954, 1975		Yes; not eligible	JRP 2014
	Cannon Substation	1968–1976/1976–1984 ²	Yes; see Table 2	No; less than 50 years old in 2007, not evaluated in 2014	JRP 2007:i,17
	Control Houses (D)	1954, 1958	Yes	Yes; not eligible	JRP 2014
	EPS Power Plant Seawater Intake Structure Partial (D-partial)	1954	Yes	Yes; not eligible	JRP 2014
	EPS Outflow Pond	1954	Yes	Yes; not eligible	JRP 2014
	Security Building	1954	Yes	Yes; not eligible	JRP 2014
	Dredge Dock	ca. 1954	Yes	Yes; not eligible	JRP 2014
	Gas Turbine Generator	ca. 1970	Yes	Yes; not eligible	JRP 2014
	Hazardous Waste Building	ca. 1985	Yes	Yes-not eligible	JRP 2014
	Substation Expansion Area (D)	Unknown	No; see Table 2	No	Carlsbad Energy Center 2014a:2-4
	Railroad Spur	Unknown	No; see Table 2	No	Carlsbad Energy Center 2014a:2-4
	Carlsbad Aquafarm in Agua Hedionda Lagoon	Unknown	No; see Table 2	No	Fishchoice.com 2013; Thai 2013
* Significance evaluations made by JRP and Carlsbad Energy Center provide recommended eligibility; only the lead agency (Energy Commission, in the present case) can make significance determinations under CEQA.					

Cultural Resources Table 2
Built Environment Resources in the PAA³

Resource	Associated Structures	Year Built	Surveyed	Evaluated	Citation
Encina Power Station (EPS)					

² JRP 2007 states different dates on pp. i and 17.

³ (D): to be demolished as part of project

Cultural Resources Table 2
Built Environment Resources in the PAA³

Resource	Associated Structures	Year Built	Surveyed	Evaluated	Citation
	Railroad Spur	Unknown	No	No	Carlsbad Energy Center 2014a:2-4
	EPS Discharge Tunnel and Channel	Unknown	No	No	Carlsbad Energy Center 2014a:2-37, 38
	Substation Expansion Area (D)	Unknown	No	No	Carlsbad Energy Center 2014a:2-4
	Carlsbad Aquafarm in Agua Hedionda Lagoon	Unknown	No	No	Fishchoice.com 2013; Thai 2013
ATSF Railroad	Bridge over Agua Hedionda	1950	No	No	JRP 2007:16
	Tracks	1882–1906	Yes	Yes; not eligible	JRP 2007:19
SDG&E Cannon Maintenance Yard (Parcel 5)	May be relocated	Unknown	No	No	Carlsbad Energy Center 2014a:2-37
	Domestic Potable Water Tanks-2 (D)	Unknown	No	No	Carlsbad Energy Center 2014a:2-37
PCH-Carlsbad Boulevard	TBD	Unknown	No	No	
Cannon Road	TBD	Unknown	No	No	
State Beach(es)	TBD	Unknown	No	No	
Carlsbad Strawberry Company/Parcel	TBD	Unknown	No	No	
Pipeline Crossing Agua Hedionda	TBD	Unknown	No	No	
Transmission Lines & Structures North- South	TBD	Unknown	No	No	
Transmission Lines East-West	TBD	Unknown	No	No	

REFERENCES CITED

CECP 2014a—Carlsbad Energy Center, with CH2M Hill. *Petition to Amend Carlsbad Energy Center (07-AFC006C)*. May. Submitted to Dockets Unit, California Energy Commission, Sacramento. TN 202287-2

CECP 2014b—Carlsbad Energy Center, with CH2M Hill. *Petition to Remove Obsolete Facilities to Support Construction of the Carlsbad Energy Center Project CEC License for the Carlsbad Energy Center Project, Carlsbad, California (07-AFC- 06C)*. April. Submitted to Dockets Unit, California Energy Commission, Sacramento. TN 202267.

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- CH2M Hill 2007—CH2M Hill.** *Application for Certification, Carlsbad Energy Center Project.* 2 vols. September. Prepared for Carlsbad Energy Center, Carlsbad, CA. On file, Dockets Unit, California Energy Commission, Sacramento. 07-AFC-6. TN 42299.
- Fishchoice.com 2013—Fishchoice.com.** Carlsbad Aquafarm, Inc-Carlsbad Aquafarm Cultivates a California Flavor. February 28.
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- JRP 2014—JRP Historical Consulting.** *Historic Resources Inventory and Evaluation Update Report, Carlsbad Energy Center Project, Encina Power Plant.* March. Prepared for CH2MHILL. On file, Dockets Unit, California Energy Commission, Sacramento. 07-AFC-6. TN 202699.
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- Smallwood 2005—Josh Smallwood.** Letter Regarding Archaeological/Paleontological Monitoring of Boring Activities, San Diego County Water Authority's Seawater Desalination Project, Encina Power Station, city of Carlsbad, San Diego County, California, CRM TECH Contract #1724. December 20. CRM TECH, Riverside, CA. Prepared for RBF Consulting, Irvine, CA. On file, South Coastal Information Center, California Historical Resources Information System, San Diego. Study Smallwood 05-01.
- Thai 2013—Minerva Thai.** Much Ado About Fooding Online Blog by Minerva Thai *Meet Carlsbad Aquafarm: A Deep Dive into Oyster Farming (Part IV)* Monday, September 30.

Response: In the Objection Letter, Project Owner requested additional time, until October 17, 2014, to provide this Report.

SECTION 3.0

Public Health and Worker Safety / Fire Protection (40–47)

BACKGROUND

Staff has several concerns about the potential impacts of demolition activities at the Encina Power Station (EPS). Both on-site workers and the off-site public could potentially experience a significant impact from the presence and/or off-site transport of toxic substances that may exist within the 400-ft stack, the power station, and the soils, pipelines, and equipment beneath and around the power station. The EPS has been in operation since 1954 and burned bunker fuel for decades before switching to natural gas in 1972. It is well known that bunker fuel (also known as fuel oil no. 6, or Bunker B) is obtained from the heavy gas oil cut or a blend of residual oil with enough no. 2 oil to adjust viscosity. This will result in the release of unburned hydrocarbons, including Polycyclic Aromatic Hydrocarbons (PAHs), many of which are carcinogenic, and metals such as cadmium, lead, and arsenic (all of which are highly toxic and carcinogenic). In addition, past uses of Polychlorinated Biphenyls (PCBs) at the EPS facility may have resulted in leaks and spills (some of which were documented to have occurred) within and around the power plant buildings, storage tanks and ancillary electrical components.

During demolition activities, these toxic compounds may be released onto the site and/or become airborne and migrate to and deposit on nearby off-site locations and receptors. This could especially be more likely to occur if the decision is made to demolish the 400-ft EPS stack using implosion methods.

In order to be able to fully assess these impacts to both on-site workers and the off-site public, staff needs more information about the extent of contamination on the EPS site, the nature of and extent of any contamination within the stack, the potential for any release of toxic or carcinogenic substances at higher elevations of the stack if the method of stack removal chosen involves a combination of explosives and mechanical means, the extent and nature of contamination within the buildings and outdoor areas, the precise method(s) proposed for demolition of the stack and the EPS main building, and any contemplated or planned approaches to controlling movement of contaminated equipment, material or soils off the site.

DATA REQUEST

40. Please provide information describing the exact nature of the materials that make up the 400-ft stack, both internal and external construction, including the specific type and composition of the inner steel lining of the stack; it's thickness, how it is attached to the brick/cement masonry, and if the inner steel lining is welded together as one contiguous piece, or if numerous individual pieces are bolted together; also important to understand is if *space* exists between the steel liner and the bricks or concrete superstructure, and if so, what are the dimensions.

Response: Table DR40-1 provides information on stack details.

Table DR40-1

Chimney Details

The stack has a reinforced concrete structure with carbon steel inner liner attached radially around the perimeter at various levels, with construction-grade steel members. This concrete structure is a tapered cylinder with decreasing thickness as the stack height increases (thicker at the bottom).

Chimney Liner

Description
316L (A-240) Stainless Steel (Upper 25') A-36 Steel (Balance of liner)
ID = 26'0"
Numerous Pieces - Welded Construction
Asbestos Gaskets on bulkhead doors
Attached to inside brick masonry at several interior platform levels.

Chimney Insulation

Description
Approximately 2" of fiberglass wool

Chimney Support Structure

Description
Concrete ACI-318-17 4KSI @ 28 days
Structural Steel A-36
Wall thickness at top >= 8"
Base ID ~ = 50' ¾" Wall thickness = 1' 1¾"
Top ID ~ = 32' 10" Wall thickness = 1' 1¾"
Distance to inside steel liner – varies with elevation.

Known Hazardous Waste

Description	Quantity
Asbestos Materials	~ 20 lbs of duct door sealing materials ~ 1000 sf of floor tile
Florescent lighting tubes and respective ballasts	~ 100 tubes ~20 ballasts
Lead paint	None known
Mercury lamps (aircraft beacons)	~ 25 lamps

Other Materials

Description	Quantity
Third party communications equipment	~2000 lbs To be removed by respective owners
Structural cat-walk grating and ladders with hot dip galvanize	10,000 lbs
Unknowns	Duct expansion joints

DATA REQUEST

41. Please provide the results of any past or recent sampling and analysis that identified the levels of any toxic contaminants within the stack, whether the stack has ever been "swept" (cleaned) and, if so,

when; and the number of years that fuel oil (or other fuels other than natural gas) were burned at the EPS.

Response: The inside of the stack is carbon steel. Sampling or cleaning within the stack has not been conducted from our knowledge since NRG acquired EPS in 1999.

Fuel oil was last briefly burned in 2007 as part of periodic emissions testing, and prior to that, fuel oil was burned briefly in 2000. In January 2009, CAISO removed the requirement of EPS to maintain fuel oil as back up to natural gas. In about 1984, EPS transitioned from fuel oil to natural gas; natural gas has been the primary fuel source for plant operations since 1984.

DATA REQUEST

42. Please provide more details regarding stack removal beyond those listed in Data Response, Set 1 (numbers 1 through 30, TN. 202938) filed on August 15, 2014, beginning with specifics on the type of mast-climbing work platforms (MCWPs) or “engineered mast climbing platform” as referred to in Data Response, set 1, number 3. Will a MCWP safely allow for mechanical demolition work to be conducted by either work crews or robotic machines? Given that MCWPs can be potentially hazardous due to their relatively new use in many parts of the country, and because they require specific and specialized industry training in assembly, disassembly and operation, please describe the safeguards you will require to assure that worker safety and public health is prioritized. Please also describe the specific type of robotic machines that can safely navigate these hazardous platforms hundreds of feet in the air; and their past use on other large, industrial demolition projects of similar scope. Given the scope of this work and necessary safeguards that must accompany it, please confirm that a five-month demolition schedule is realistic. Please also describe any consultation with private engineering firms that have successfully demolished large structures such as the EPS exhaust stack via MCWP-supported robots and work crews. Lastly, given their role in this process, please also provide more details on the mechanical robots that will navigate these massive elevated platforms, and provide summaries of discussions with consultants and engineering firms who have successfully utilized MCWP’s, robots and work crews to demolish large structures such as the EPS stack.

Response: The MWCP technology is a demolition method that one demolition contractor bidder would employ to safely deconstruct the stack in segments from the top down. Another contractor would use a “mantis” system with a different equipment configuration, but also uses a platform system. In preparing this response, Project Owner consulted with three demolition contractors who have submitted bids and/or been awarded a bid on another NRG project in Southern California in recent years. Narratives of these consultations including each contractor’s description of its proposed demolition methods for the EPS facility are included in Attachment A of this data response set.

The methods to deconstruct a stack are similar to those used to erect a stack, except that the concrete and liner are hydraulically “munched” instead of formed and assembled as when being constructed. “Munching” means removing small sections of the stack that are pneumatically hammered while the rebar and liner are cut. The removed material is continuously guided toward the stack’s interior, and the process continues downward until the lined section is removed. Similar processes continue in the unlined section closer to ground level, where a different material removal opening is established in the stack.

Whichever deconstruction technology is selected will be operated under a pre-engineered program with extreme safety conditioning incorporated into the plan and work routine, including normal and emergency egress from the platform. The on-scaffold work activity will be performed using both a robotic and manned process throughout the dismantlement sequence.

Safety record and overall contractor experience with a certain deconstruction technology are the two primary evaluation criteria used in selection of the demolition contractor. Detailed plans for mobilizing, protecting surrounding areas, installing, operating and dismantling the deconstruction equipment is required in the bid specifications, and detailed post-award planning is a prerequisite for contractor

selection. Any such plan will include a description of day to day operation of this specialized demolition equipment. Project Owner's safety measures begin with the direct application of industry and construction-technology-specific application standards to evaluate demolition contractor bids. Selection criteria will be heavily weighted in favor of safety record and experience, as well as price.

Stack demolition will occur during the overall demolition of the EPS structure, after a hazardous materials assessment of the entire site has been completed and any such materials abated to the extent possible to avoid comingling site fill and offsite disposal materials. The stack demolition process is estimated to be completed within 5 months. Implosion would shorten the demolition schedule, but is not being considered. Weather, differing site conditions, material testing and abatement, safety reviews are common conditions that could extend this period. Once the process begins, stack dismantling should continue steadily until the flue gas breaching is reached and the liner section completely removed. At this point, the process will be interrupted and retooled to dismantle the bottom one-third of the stack through a grade-level opening.

Six instances of the mantis system have been discussed in demolition bidder correspondence, on stacks ranging in height from 400 to 830 feet. The MCWP system was used by the contractor for the El Segundo Energy Center deconstruction, who is also being considered for this project. Owner's engineer is aware of similar sized deconstruction work performed by contractors similar to those listed below.

Finally, the following websites may be beneficial to help understand current stack demolition technologies, robotics and work practices that a contractor might use to demolish large structures such as the EPS stack:

- DENOVO www.denovogrp.com
- TRC <http://trcdemo.com>
- American <http://www.americandnd.com/>
- Brandenburg <http://www.brandenburg.com/>
- NCM <http://ncm.force.com/>
- Bierlein www.bierlein.com
- NorthStar recent merger of LVI and NCM
- Frontier Industrial Corporation www.fic-services.com/
- Magnus Pacific Demolition <http://magnuspacific.com/services/demolition/>

These contractors are not necessarily bidders on the Project, and this response neither constitutes a bidder list or an indication of Project Owner's final contractor selection.

DATA REQUEST

43. If implosion of the stack is proposed, please describe the approach to be used to ensure that stack debris would not fall on the EPS buildings or the 138kV /230kV switchyard immediately east of the EPS building (which could be transferring 632 MW's of CECP-generated electricity onto the SDGE transmission system during demolition activities).

Response: Project Owner does not plan to implode the stack.

DATA REQUEST

44. Please provide information about whether the steel would be removed before or after implosion (if that method of stack demolition is chosen), how it would be removed, and what method would be used to reduce the steel liner to smaller pieces (mechanical or welding-torch cutting).

Response: Project Owner does not plan to implode the stack.

DATA REQUEST

45. Please provide the method and emergency contingency efforts currently contemplated for the demolition of the EPS main building via either mechanical or implosion methods.

Response: Implosion is not a planned method of demolition for the EPS stack, but may be used for targeted portions of the power block demolition (e.g., to aid in felling of the boiler). The demolition contractor selected for demolishing the EPS main building will be required to prepare a Health and Safety Plan that will incorporate the requisite elements of an emergency response plan. Emergency response planning must be coordinated with a local authority's disaster and emergency management plans, as appropriate. In the case of the EPS facility demolition, emergency response planning would be done in coordination with the City of Carlsbad Fire Department. Typical elements of an emergency response plan include the following:

- Pre-emergency planning,
- Identification of emergency equipment and facilities,
- A description of personnel roles and lines of communication/authority,
- Evacuation procedures,
- Description of alerting systems,
- Emergency contacts and notification systems,
- Emergency medical treatment procedures,
- Fire and explosion procedures,
- Spill and leak control procedures,
- Identification of spill containment and clean-up equipment,
- Procedures for specific types of potential natural disasters (earthquakes, electrical storms, etc.),
- Emergency shutdown procedures,
- Visual communication procedures, and
- Incident follow-up protocols.

In the event that implosion is used as a method of demolition, the emergency response procedures would extend to applicable surrounding public use and residential areas. Additional procedures for public use and residential areas would include public outreach and notification, exclusion zones, and involvement from first responders staged around the site. Implosion procedures would be developed in coordination with local agencies (fire, police, and coast guard) to determine appropriate exclusion zones and pre-implosion exclusion zone sweeps.

The contractor performing the applicable pre-emergency planning tasks before starting field activities coordinates emergency response with all onsite parties, the facility, and local emergency-service providers, as appropriate. These planning tasks include:

- Reviewing the facility emergency and contingency plans where applicable.
- Determining what onsite communication equipment is available (for example, two-way radio or air horn).
- Determining what offsite communication equipment is needed (for example, nearest telephone and cell phone).
- Confirming and posting emergency telephone numbers, evacuation routes, assembly areas, and route to hospital; communicating this information to onsite personnel.
- Field Trailers: Posting "Exit" signs above exit doors, and posting "Fire Extinguisher" signs above locations of extinguishers. Keeping areas near exits and extinguishers clear.
- Reviewing changed site conditions, onsite operations, and personnel availability in relation to emergency response procedures.
- Where appropriate and acceptable, informing emergency room and ambulance and emergency response teams of anticipated types of site emergencies.
- Designating one vehicle as the emergency vehicle; placing hospital directions and a map inside; keeping keys in ignition during field activities.

- Inventorying and checking site emergency equipment, supplies, and potable water.
- Communicating emergency procedures for personnel injury, exposures, fires, explosions, and releases.
- Rehearsing the emergency response plan before site activities begin, including driving route to hospital.
- Briefing new workers on the emergency response plan.

DATA REQUEST

46. Please provide a Phase II Environmental Site Assessment for the EPS grounds including any bare soil on the site, the surfaces of concrete structures (both surface and subsurface structures) that would be exposed once the building and stack are demolished and the material removed from the site, and any contaminants in subsurface soils.

Response: EPS has been extensively investigated (i.e., multiple Phase II Environmental Site Assessments ESA) as documented in the Phase I ESA filed as part of 2007 AFC for Carlsbad Energy Center Project. The Phase I ESA documented past Phase II ESA activities conducted at EPS. Since 2007, additional site assessments have been conducted by Cabrillo Power I LLC (EPS owner) and by Poseidon Resources as part of the Carlsbad Desalination Project. These site assessments have been conducted under DEH's Voluntary Assistance Program (VAP). Project Owner will provide an updated Phase I ESA by October 17, 2014 as a supplemental response to this Data Request. In addition, Project Owner will submit a Soil Management Plan which will guide assessment activities and interim remediation activities in the event that exposed impacted soil is encountered as part of the EPS demolition activities. Project Owner will submit a Soil Management Plan as supplemental response to this Data Request by October 17, 2014.

DATA REQUEST

47. Please provide the method(s) proposed to ensure that no fugitive dust would migrate from the site during demolition and removal of the stack and the EPS buildings.

Response: The primary method for controlling demolition dust emissions will be the pre-wetting of unpaved surfaces, temporary debris piles, and structural elements as necessary to minimize dust emissions during demolition/load-out activities. In addition, during the demolition of the stack, the use of the stack as a temporary chute to transport demolition debris to the ground will act as a dust mitigation enclosure.

Soil and Water Resources (48–52)

BACKGROUND: RECLAIMED AND POTABLE WATER SUPPLY

In the May 2, 2014 Petition to Amend (PTA) the Carlsbad Energy Center Project (CECP), the Carlsbad Energy Center, LLC, (Petitioner) has presented two feasible alternatives to supply industrial water needs for the reconfigured, simple-cycle Combustion Turbine Generator (CTG) configuration: recycled water and desalinated water produced by the project onsite. State law requires that where recycled water is available, economically and technically feasible to use, and it does not impact any downstream users, it should be the primary water supply for a project. Staff is analyzing whether the recycled water supply currently proposed should be the primary supply for project operation.

The city of Carlsbad (city) 2012 Master Recycled Water Plan anticipates delivery of recycled water to the amended CECP project site. The PTA states the Petitioner will “preferentially” use Title 22 recycled water as the primary water source, while retaining the on-site desalination alternative approved in the licensed CECP (which would be implemented as a backup water supply in the event reclaimed water is unavailable). The PTA Appendices 2A (city settlement agreement) and 2B (city support letter) provide greater details and specifics on the city’s planned source of reclaimed water: the expanded Carlsbad Water Recycling Facility (CWRF), which is owned by the city’s Carlsbad Municipal Water District (CMWD) and operated by the Encina Wastewater Authority (EWA).

In addition to desalinated water as a secondary means of supply, the PTA also indicates the availability of potable water from CMWD as a third supply option. It does not appear the city has officially committed to supplying the CECP project with either recycled water or potable water necessary for project construction and operation. No “will-serve” letter was provided in the PTA. A will-serve letter is typically required during the Data Adequacy phase of the Commission’s site certification and licensing process to demonstrate a viable and adequate availability of the primary water supply.

PTA Appendix 2B discusses the construction of the Agua Hedionda Lift Station and Sewer Line project, which will include a recycled water pipeline originating at the CWRF in the EWA Control Facility complex 1.5 miles south of the EPS, and terminating at Cannon Road/Avenida Encinas. Staff understands that the environmental compliance for this section of the pipeline was permitted by the city as part of the Lift Station project approval process. The remaining 3,700-ft. segment of the pipeline would extend delivery of CWRF- recycled water from Cannon Road (first by tunnel under Cannon Road, and then along the Avenida Encinas ROW) before entering the amended CECP site north of the relocated control switch room along the facility’s western edge.

Staff is analyzing whether recycled water can be made available and delivered on time (and in sufficient quantities) for purposes of the amended CECP construction schedule, as well as meeting the 336 afy operational requirements of the reconfigured power plant (uses to include evaporative cooling and air emissions control).

DATA REQUEST

48. Please provide a will-serve letter from the city of Carlsbad (Carlsbad Municipal Water District) that states recycled water from its Carlsbad Water Recycling Facility (CWRF) will be available in sufficient quantities throughout the operational life of the amended CECP project.

Response: Project Owner continues to work with the City of Carlsbad on a will-serve letter for reclaim. The City has indicated that reclaim water can be available in sufficient quantities to the project by spring 2017.

DATA REQUEST

49. Please provide a month-by-month water needs matrix for the 24-month amended CECP construction schedule. The matrix should include the quantities of recycled water, seawater (for desalination) and/or potable water necessary for this phase of the project in gallons/minute (gpm) or acre-feet/year (afy).

Response: Project Owner will provide the requested information under separate cover on or before the extended September 25, 2014 deadline for this Data Response.

DATA REQUEST

50. Please also provide a month-by-month water needs matrix for the 36 month EPS decommissioning and demolition schedule (including the 12 month equipment removal and demolition-preparation period, the 22 month, 7-step above-ground facilities demolition period, and the 2-month site grading and contouring period). Like the matrix above, please indicate the approximate quantities of reclaimed (recycled) water, seawater (for desalination) and/or potable water necessary for this phase of the project in gallons/minute (gpm) or acre-feet/year (afy).

Response: Project Owner will provide the requested information under separate cover on or before the extended September 25, 2014 deadline for this Data Response.

DATA REQUEST

51. Please provide a will-serve letter from the Carlsbad Municipal Water District that states that potable water will be available for the amended CECP purposes in the event neither reclaimed (recycled) water or desalinated water are available.

Response: The City has indicated that potable water demand for plant operations is insignificant and can be provided. Project Owner and the City are discussing the terms on which potable water would be available as back up to reclaim water for reliability purposes.

DATA REQUEST

52. Please provide a complete schedule and description for the construction of all sections of the recycled water pipeline that would deliver reclaimed water from the city-owned CWRP (1.5 miles south of the EPS site at the Encina Wastewater Authority Control Facility) to the amended CECP site. The schedule should include the particulars for the city-approved and financed section of the pipeline (the CWRP to Cannon Road line permitted as part of the Agua Hedionda Lift Station and Sewer Line project approval process); and, the 3,700-ft section from Cannon Road along Avenida Encinas to the amended CECP site proposed as part of the Petition to Amend the Carlsbad Energy Center Project license.

Response: The City of Carlsbad is working on a construction schedule for the reclaim water line construction as part of the engineering and procurement processes. Preliminary information from the City indicates that the reclaim water line could be constructed between spring 2015 and spring 2017.

Traffic and Transportation (53–57)

BACKGROUND: PEAK CONSTRUCTION TRIPS OR AVERAGE DAILY TRIPS

As described in Sections 5.12.3.1.1 “Workforce Trips” and 5.12.3.1.2 “Truck Trips”, Table 5.12-3 on page 5.12-4 of the Petition to Amend (PTA) appears to show peak construction traffic conditions. However, Section 5.12.3.1 “Construction Project Trip Generation” describes the table as showing average daily trips (ADT).

DATA REQUEST

53. Please clarify whether Table 5.12-3 shows peak construction trips or other information.

Response: As a conservative analysis, the potential traffic associated with the peak construction period (Month 13 for construction workers and Month 6 for truck trips) has been determined. Table 5.12-3 shows the average daily trips and peak hour trips during the peak construction period. During the other months of construction, there would be fewer construction-related trips.

DATA REQUEST

54. If the table does reflect peak trips, please provide the number of average daily trips during an average construction day for both construction workers and trucks.

Response: There would be an average of 171 construction workers per day (or 342 daily trips) and 9 trucks per day (or 10 daily trips) over the 24-month construction period. This assumes there are 24 construction days per month.

DATA REQUEST

55. According to page 5.12-4 of the PTA, peak construction worker trips would occur during Month 13 and peak truck trips would occur during Month 6, but both of those conditions are shown in Table 5.12-3 as if they would occur simultaneously. Please explain this ambiguity. Is this just to show worst-case conditions?

Response: Table 5.12-3 combines the peak construction workforce trips (Month 13) and peak truck trips (Month 6) as a conservative analysis.

BACKGROUND: ENCINA POWER STATION (EPS) DEMOLITION

Section 2.2.2 of the PTA states that EPS demolition would occur after achieving commercial operation of the amended Carlsbad Energy Center Project (CECP), but it does not specify exactly when demolition activities would commence.

DATA REQUEST

56. Please state the length of time between the start of commercial operation of the amended CECP and the beginning of EPS demolition.

Response: The first 12 months after the commencement of commercial operation of the Amended CECP will be the deactivation period of EPS. The demolition of EPS will begin 1 year after the full COD of CECP has occurred.

DATA REQUEST

57. Please specify the number of truck trips necessary for demolition of above ground fuel oil storage tanks (ASTs) 1, 2, 4, 5, 6, and 7. The April 29, 2014 Petition to Remove (PTR) seeks permission and includes truck trips necessary to remove ASTs 1, 2, and 4 (that would be demolished with ASTs 5, 6, and 7, which were already permitted for removal in the May 31, 2014 Final Decision for the licensed CECP). The PTR does not include the expected truck trips associated with the removal of all ASTs (1, 2, 4, 5, 6, and 7). It would be helpful for staff to know the combined number of truck trips associated with removal of all of these tanks, assuming that tank removal occurs simultaneously prior to construction of the amended CECP.

Response: The total combined number of truck trips for the hauling of debris (including scrap steel and piping) associated with the demolition of fuel oil storage tanks 1, 2, 4, 5, 6, and 7 is expected to range from approximately 441 to 493 truck trips.

Visual Resources (58)

BACKGROUND

Visual simulations provided in the Petition to Amend (PTA) omitted several KOPs used in the 2009 Final Staff Assessment (FSA) to analyze key sensitive receptor viewpoints for the proposed Carlsbad Energy Center Project (CECP). In order to support and effectively communicate the analysis of all KOPs in the PTA Preliminary Staff Assessment, staff requires simulations for the amended project that includes every KOP analyzed in the November, 2009 CECP FSA for purposes of consistency and uniformity. The omitted KOPs include KOPs 8, 9, 10, 11.

DATA REQUEST

58. Please provide simulations for the proposed amended CECP from KOPs 8, 9, 10 and 11 (KOP locations/base photographs as presented in staff's FSA, as presented in November of 2009).

Response: Project Owner will provide the requested visual simulations under separate cover on or before the extended October 17, 2014 deadline for this Data Response.

TELEPHONE CONVERSATION RECORD

Call To: Contractor 1

Phone No.:

Date: January 2014

Call From: TLA

Time:

Message Taken By:

Subject: EPS DEMO – Stack

Project No.:

Question:

Provide Information with respect to the Demolition methods with respect to the stack at EPS.

Response:

The stacks will be removed using hand demolition. A bracket scaffold will be assembled at the top of the stack and the stack will be removed in sections with material being pushed into the stack opening. Prior to exterior stack removal, the interior stack will be demolished from the inside using hand demolition. A cushion of sand will be placed at the bottom of the stack to limit vibration as the stack section falls into the opening. The stack debris will be removed from an opening at the base of the stack on a daily basis as demolition proceeds.

TELEPHONE CONVERSATION RECORD

Call To: Contractor 1

Phone No.:

Date: September, 2014

Call From: TLA

Time:

Message Taken By:

Subject: EPS DEMO – Stack

Project No.:

Question:

Provide more information on the method of stack demolition.

Response:

The plan is to use either a bracket scaffold to remove the stack as described or a remote removal system called the Mantis System. The Mantis System description is as follows:

The Mantis system of chimney demolition consists of two independent systems. The first is a large double deck scaffold that fits tightly against the chimney circumference with no gaps for debris chips to fall through. On large chimney circumferences the double deck scaffold was actually designed for constructing new natural draft cooling towers. This scaffold system is extremely stable and can be used in conditions without lost time hours, where other scaffolds can't. Primary access to the scaffold system is usually provided by a buck hoist where 3 people can have access at the same time. Secondary access is also provided for safety egress of the crew should there be a need.

The second part of the Mantis system is the Mantis itself. The Mantis is a large pneumatic hammer superimposed on a grillage that rolls around the top of the concrete shell. The arm of the pneumatic hammer will reach over the wall and breaks and bends large concrete pieces towards the chimney interior. The reinforcing bar is cut while standing on the exterior scaffold and the pieces are dropped down the interior. The Mantis machine operator also stands on the exterior scaffold and operates the machine remotely. Both the Mantis demolition machine and the exterior scaffold are independent of each other.

This system was used to demolish an 830 ft. chimney for a power company in the southeast USA where there was a building approximately 30 ft. away from the base of the chimney and no damage occurred. Also the Mantis was used to demolish a 500 ft. and a 400 ft. chimney for power company in the midwest USA, Two 450 Ft. chimneys for a power company in the southern USA. The Mantis is presently demolishing an 800 ft. chimney for power company in the midwest USA.

Bierlein believes that MCWP's should only be used with very specific plans and engineering including stamped designs by a State of California Professional Engineer. Currently we have no plans to use MCWP's because we believe the methodology we proposed is safer and more productive.

TELEPHONE CONVERSATION RECORD

Call To: Contractor 2

Phone No.:

Date: 7/2014

Call From: TLA

Time:

Message Taken By:

Subject: EPS – Stack Demo

Project No.:

Question:

Provide Information with respect to the Demolition methods with respect to the stack at EPS.

Response:

1. A Controlled Access Zone (CAZ) upon our work crew's arrival at the Stack jobsite. The CAZ work area will be delineated using Danger Banner and chain link fence panels. Warning signs will be posted to prevent unauthorized personnel from entering the work area.
2. To prevent possible damage, all vehicles and equipment not necessary for the stack demolition work must be moved or relocated as far as possible from the base of the stack. A minimum distance will be required while the work is underway should be maintained for safety purposes. Persons requiring entry into the work area must communicate this request directly with the site superintendent prior to entry and be escorted by a Supervisor.
3. The base of the stack will be modified using an excavator and breaker to demolish a portion of the internal bottom to create a passage for Stack demolition debris to pass through during demolition activities. Essentially the Stack will be used as a chute for conveying the demolition debris to the ground for load-out.
4. The stack's breeching will be demolished and removed so the stack is left free standing for the installation of the mast climbing platform. Once the Engineered mast climbing platform is installed the Stack demolition work can begin.
5. The mast climbers are used by workers to access the work area and to position the demolition equipment and robots necessary to tear down the Stack. The platform is adjusted around the structure to ensure no uncontrolled debris falls away from the stack. If debris does fall on the platform, it's shoveled back inside the Stack.
6. The Stack will be demolished from the top moving downward using small robotic demolition units with properly sized hydraulic hammers, crushers, or shears. The metal stack liner is cut and removed first to make way for the concrete breaking. The Stack's concrete wall is chase cut into 8'x8' segments, utilizing the robotic Brokk and breaker; reinforcing steel bar is torch cut free along the chase cuts and the concrete segment is pushed inward to be conveyed down the inside of the Stack. The mast climber is moved down to tackle the next section to be demolished. The mast climber is disassembled as the work progress downward.

7. The demolition debris is being removed from the bottom of the stack through the hole that was created earlier at the base of the Stack. The bottom of the Stack is emptied from time to time to accommodate for more debris.

8. This process will be continued till the Stack reaches approximately the 80 foot level, then the remaining Stack can be demolished using High-Reach LRD Excavator and Cracker/Shear attachment.

TELEPHONE CONVERSATION RECORD

Call To: Contractor 3

Phone No.:

Date: January 2014

Call From: TLA

Time:

Message Taken By:

Subject: EPS DEMO – Stack

Project No.:

Question:

Provide Information with respect to the Demolition methods with respect to the stack at EPS.

Response:

Establish a work zone prior to commencement of site activities. Areas outside the demolition areas will be used as the Support Zone (SZ) and will include areas for site personnel and visitors to conduct activities outside the demolition work areas. The Support Zone will be located at the northwestern side of the work site. The Support Zone will contain storage trailers, supply containers and portable sanitary facilities. It will also serve as the area for daily planning, health and safety meetings, project meetings and communication and coordination center for emergency situations.

The adjacent work area will be designated as the Demolition Zone. This area will be separated by the use of temporary barriers, barrier warning tape and posted signage. Access to and from the Demolition Zone will be limited to designated entrances through pre-designated entrances.

A Demolition Exclusion Zone will be established with caution tape and construction barricades and signage to ensure the safety of on-site personnel during mechanical demolition activities. The Demolition Exclusion Zone will be established in areas of active mechanical demolition to delineate areas of increased hazards resulting from operating heavy equipment and unstable structural components. Properly trained equipment operators, workers and related supervisory personnel will be allowed in the Demolition Zone. A buffer zone will be established adjacent to the Demolition Exclusion Zone to protect adjacent structures, site features and personnel.

Stack

A mast climbing system (working platform) will be erected around the perimeter of the concrete stack

Once all environmental hazards have been mitigated, the stack will be brought down in increments letting the removed spoils fall within the base of the stack

A breach will be made at the base so equipment can access the spoils and remove them for load out with larger equipment.

As the stack is removed, the mast climbing system will be dismantled and lowered to the ground level as necessary

Once enough stack has been removed, the remainder of the stack will be removed using conventional means and methods (excavator).

The stack will be removed to the existing grade elevation. Spoils will be loaded into semi-end dumps and transported to an off-site recycling facility.