

## DOCKETED

<b>Docket Number:</b>	15-AAER-06
<b>Project Title:</b>	Small Diameter Directional LED Lamps and General Purpose LED Lamps
<b>TN #:</b>	206374
<b>Document Title:</b>	Initial Statement of Reasons Attachment A
<b>Description:</b>	ISOR Attachment A for Small Diameter Directional Lamps and General Service LED Lamps
<b>Filer:</b>	Harinder Singh
<b>Organization:</b>	California Energy Commission
<b>Submitter Role:</b>	Commission Staff
<b>Submission Date:</b>	10/15/2015 3:27:21 PM
<b>Docketed Date:</b>	10/15/2015

# ATTACHMENT A

## **Specific Purpose, Rational, and Necessity:**

Proposed new language appears as underline (example) and proposed deletions appear as ~~strikeout (example)~~. Existing language appears as plain text.

## **1601 Scope.**

(k) Lamps, which are federally-regulated general service fluorescent lamps, federally-regulated incandescent reflector lamps, state-regulated general service incandescent lamps, general service lamps, state-regulated light-emitting diode (LED) lamps, state-regulated small-diameter directional lamps, and includes GU-24 base lamps.

**Purpose and Rationale:** The scope section identifies the energy using products or classes of products that are subject to the Commission's jurisdiction and which have an energy efficiency standard or testing standards. Because the Commission is proposing standards which will cover LED lamps and small diameter directional lamps new language has been added to section 1601 Scope.

**Necessity:** To ensure clarity as to the lighting products covered under the Commission's regulations, it is necessary to add descriptions for LED lamps and Small diameter directional lamps to the regulations.

# 1602 Definitions.

## (k) Lamps

“Beam angle” means the angle within which the lamp produces 50% of the maximum luminous intensity.

“Center beam candle power” means luminous intensity at the center of the beam of a reflector lamp, measured in candelas (cd).

“Connected LED lamp” means an LED lamp capable of changing its lumen output or spectral power distribution in response to an external control signal other than a change in RMS AC supply voltage or a 0-10 volt DC control signal. Connected LED lamp includes lamps that can be controlled wirelessly and through power line carrier digital communication.

“Duv” means the closest distance from the chromaticity coordinate of the light source to the Planckian locus on the International Commission on Illumination (CIE) (u', 2/3 v') coordinates with "+" sign for above and "-" sign for below the Planckian locus.

“Lumen output” means the brightness of the lamp at full output, measured in Lumens.

“Power” means the total amount of electric power required, measured in Watts, to operate the lamp, as measured at the base of the lamp.

“State-regulated Light Emitting Diode (LED) lamp” means a lamp capable of producing light with Duv between -0.012 and 0.012, and that has an E12, E17, E26, or GU-24 base, including LED lamps that are designed for retrofit within existing recessed can housings that contain one of the preceding bases. State-regulated LED lamp does not include a lamp with a brightness of more than 2,600 lumens or a lamp that cannot produce light with a correlated color temperature between 2200 K and 7000 K.

“State-regulated small diameter directional lamp” means a directional lamp with a diameter of less than or equal to 2.25 inches and a GU10, GU11, GU5.3, GUX5.3, GU8, GU4, or E26 base. Small diameter directional lamp includes incandescent filament, LED, and any other lighting technology that falls within this definition. State-regulated small diameter directional lamp does not include products that use LEDs and have an E26 base, which are state-regulated light emitting diode lamps.

**Purpose and Rationale:** Definitions are being added to the regulations to address new terms that have been incorporated into the regulatory language. Precise technical language helps to ensure regulatory clarity and common understanding of requirements and to set forth a framework for the Scope section.

**Necessity:** The technical nature of the regulations generally requires corresponding definitions to be added to the regulations when the scope expands to cover new product classes, in this case, small diameter directional lamps and certain types of LED lamps. Without the definitions there could be ambiguity as to exactly what products may be covered or what product features are subject to the standards or what metrics are being used to assess compliance.

# Section 1604 Test Methods for Specific Appliances

## (k) Lamps

(2) The test method for state-regulated general service incandescent lamps, ~~and~~ state regulated incandescent reflector lamps, and state-regulated small diameter directional lamps that use incandescent filament technology is 10 C.F.R. section 430.23(r) (Appendix R to Subpart B of part 430).

(4) The test methods for LED state-regulated small diameter directional lamps and state-regulated LED lamps ~~is IES LM-79-08~~ are contained in Table K-1.

**Table K-1**  
**Test Methods for State-Regulated LED Lamps and LED State--Regulated Small Diameter Directional Lamps**

<u>Measurement</u>	<u>Test Procedure</u>	<u>Required or Optional*</u>
<u>Input power, Lumen output, Lumens per Watt, Correlated Color Temperature, Duv, Color Rendering Index, Power Factor</u>	<u>IES LM-79 (2008) with additional guidance provided in 80 Fed. Reg. 39665-39666 (July 9, 2015), §430.23(dd) and Appendix BB to Subpart B of Part 430.</u>	<u>Required</u>
<u>Lumen Maintenance and Time to Failure</u>	<u>IES LM-84 (2014) and TM-28 (2014) with additional guidance provided in 80 Fed. Reg. 39665-39667 (July 9, 2015), §430.23(dd) and Appendix BB to Subpart B of Part 430.</u>	<u>Required</u>
<u>Standby Power</u>	<p><u>IEC 62301 (2011) with additional guidance provided in 80 Fed. Reg. 39667 and with the following additional guidance for connected LED lamps:</u></p> <p style="margin-left: 40px;">(A) <u>Ensure that the lamp is connected to only one network type and the lamp is in Network Mode</u></p> <p style="margin-left: 80px;">(i) <u>If lamp has ability to connect to multiple networks, only one network shall be tested, and the network selected for testing shall be selected using the following prioritization:</u></p> <ol style="list-style-type: none"> <li><u>Wi-Fi</u></li> <li><u>ZigBee</u></li> </ol>	<u>Required</u>

	<p>3. <u>ANT</u></p> <p>4. <u>Bluetooth</u></p> <p>5. <u>RF</u></p> <p>6. <u>Wired</u></p> <p>7. <u>Other</u></p> <p>(B) <u>Measure standby power as described in section 5.3.2 of IEC 62301 (2011) for a total period of no less than 60 minutes.</u></p> <p>(i) <u>Standby power should be measured at a lamp that is a distance of 10 meters (+/- 0.5 meters) from the hub, or wireless controller if no hub exists. If connection is not possible at this distance, conduct testing within 1 meter of the maximum connection distance.</u></p> <p>(C) <u>To calculate standby power, divide the accumulated energy consumption in watt-hours by the duration of the test in hours. Record this value as the average Network Standby Power.</u></p> <p><u>For lamps that are not connected LED lamps, record this value as “not applicable.”</u></p>	
<u>Flicker</u>	<u>Title 24, part 6, Joint Appendix 10 (2015), tested at both 100% and 20% output. Lamps with a percent amplitude modulation (percent flicker) less than 30 percent at frequencies less than 200Hz shall report “yes” for “reduced flicker operation” described in section 1606, otherwise report “no.”</u>	<u>Optional</u>
<u>Lumen Maintenance, Rated Life, and Survival Rate for Compliance with Title 24 Joint Appendix 8 and minimum dimming level.</u>	<u>Cal. Code Regs., tit. 24, part 6, Joint Appendix 8.</u>	<u>Optional</u>
<u>Audible Noise</u>	<u>ENERGY STAR Recommended Practice – Noise (2013) with the following modification: measurements shall be taken at 100 percent output as well as at 20 percent output if dimmable.</u>	<u>Optional</u>

\* Required test procedures must be conducted per section 1603(a) for each basic model of lamp. Option test procedures are conditionally required depending on manufacturer claims of performance as described in sections 1607(d)(12) and 1606 table X.

The following documents are incorporated by reference in Section 1604.

## **CALIFORNIA ENERGY COMMISSION TEST METHODS**

California Title 24, Part 6, Joint Appendix 8  
JA-8 -- 2015

Qualification Requirements for High Efficacy Light  
Sources

California Title 24, Part 6, Joint Appendix 10  
JA-10 -- 2015

Test Method for Measuring Flicker of Lighting  
Systems and Reporting Requirements

Copies available from:

California Energy Commission  
Energy Hotline  
1516 Ninth Street, MS-25  
Sacramento, California 95814  
Phone: (916) 654-5106  
FAX: (916) 654-4304

## **FEDERAL TEST METHODS**

ENERGY STAR Recommended Practice –  
Noise (2013)

EPA ENERGY STAR Program Requirements  
Product Specification for Lamps (Light Bulbs)  
Version 1.1 (August 2014)

Copies available from:

US EPA  
CLIMATE PROTECTION PARTNERSHIP  
ENERGY STAR PROGRAMS HOTLINE &  
DISTRIBUTION  
(MS-6202J)  
1200 PENNSYLVANIA AVE NW  
WASHINGTON, DC 20460  
WWW.ENERGYSTAR.GOV

80 Federal Register 39665-39667 (July 9,  
2015)

Energy Conservation Program: Test Procedures for  
Integrated Light-Emitting Diode Lamps,  
Proposed Rule

Copies available from:

Office of the Federal Register  
800 North Capitol Street, NW

Suite 700  
Washington, DC 20001  
Phone: (202) 741-6000  
Fax: (202) 741-6012  
www.federalregister.gov

## ILLUMINATING ENGINEERING SOCIETY (IES)

IES LM-84-14

Measuring Luminous Flux and Color Maintenance  
of LED Lamps, Light Engines, and Luminaires.

TM-28 (2014)

Projecting Long-Term Luminous Flux Maintenance  
of LED Lamps and Luminaires

IES LM-49 (2011)

Life Testing of General Lighting Incandescent  
Filament Lamps

Copies available from:

Illuminating Engineering Society  
120 Wall Street, 17<sup>th</sup> Floor  
New York, NY 10005-4001  
[www.ies.org](http://www.ies.org)  
Phone: (212) 248-5000  
FAX: (212) 248-5017/18

## INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)

IEC 62301 (2011) (E)

Household electrical appliances - Measurement of  
standby power

Copies available from:

IEC Central Office  
3, rue de Varembe  
P.O. Box 131  
CH – 1211 GENEVA 20  
Switzerland

**Purpose and Rationale:** This section identifies the test methods related to LED and small diameter directional lamps that will allow manufacturers to show product compliance. Test methods are standardized industry developed procedures that describe the testing protocol. Many test methods are also utilized by the Department of Energy and are identified in the Code of Federal Regulations.



**Necessity:** In order to have energy efficiency standards there must be corresponding standardized test methods identified that manufacturers can use to assess product performance.

# 1605.1 Federal and State Standards for Federally-Regulated Appliances

## (k) Lamps

### (1) Federally-Regulated General Service Fluorescent Lamps.

**(A) General Service Fluorescent Lamps Manufactured Before July 15, 2012.** The average lamp efficacy and the color rendering index of federally-regulated general service fluorescent lamps manufactured before July 15, 2012, shall be not less than the applicable values shown in Table K-~~42~~.

#### Table K-~~42~~

#### Standards for Federally-Regulated General Service Fluorescent Lamps Manufactured Before July 15, 2012

**(B) General Service Fluorescent Lamps Manufactured On or After July 15, 2012.** The correlated color temperature and minimum average lamp efficacy (LPW) of federally-regulated general service fluorescent lamps shall be not less than the applicable values shown in Table K-~~23~~.

#### Table K-~~23~~

#### Standards for Federally-Regulated General Service Fluorescent Lamps Manufactured On or After July 15, 2012

**(2) Federally-Regulated Incandescent Reflector Lamps.** ~~The average lamp efficacy of federally-regulated incandescent reflector lamps shall not be less than the applicable values shown in Table K-2, subject to the following.~~

**(A) Incandescent Reflector Lamps Manufactured Before July 15, 2012.** The average lamp efficacy of federally-regulated incandescent reflector lamps manufactured on or after November 2, 1995 and manufactured before July 15, 2012 shall be not less than the applicable values shown in Table K-~~34~~, subject to the following.

(1) The standards specified in Table K-~~34~~ shall apply with respect to:

a. ER incandescent reflector lamps, BR incandescent reflector lamps, BPAR incandescent reflector lamps, and similar bulb shapes on and after January 1, 2008; and

b. Incandescent reflector lamps with a diameter of more than 2.25 inches, but not more than 2.75 inches, on and after June 15, 2008.

(2) The standards specified in Table K-~~34~~ shall not apply to the following types of incandescent reflector lamps:

- a. Lamps rated at 50 watts or less that are ER30, BR30, BR40, or ER40;
- b. Lamps rated at 65 watts that are BR30, BR40, or ER40 lamps; and
- c. R20 incandescent reflector lamps rated 45 watts or less.

**Table K-~~34~~**

**Standards for Federally-Regulated Incandescent Reflector Lamps Manufactured Before July 15, 2012**

**(B) Incandescent Reflector Lamps Manufactured on or After July 15, 2012.** The average lamp efficacy of federally-regulated incandescent reflector lamps with rated lamp wattage between 40 – 205 watts, and manufactured on or after July 15, 2012, shall be not less than the applicable values shown in Table K-~~45~~.

**Table K-~~45~~**

**Standards for Federally-Regulated Incandescent Reflector Lamps Manufactured On or After July 15, 2012**

**(3) Medium Base Compact Fluorescent Lamps.** A bare lamp and covered lamp (no reflector) medium base compact fluorescent lamp manufactured on or after January 8, 2007, shall meet the requirements set forth in Table K-~~56~~.

**Table K-~~56~~**

**Standards for Medium Base Compact Fluorescent Lamps**

**(4) Federally-Regulated General Service Incandescent Lamps and Modified Spectrum General Service Incandescent Lamps.** The energy consumption rate of federally regulated general service incandescent lamps and modified spectrum general service incandescent lamps, manufactured on or after the effective dates shown, shall be no greater than the maximum rated wattage shown in Tables K-~~67~~ and K-~~78~~.

**Table K-~~67~~**

**Standards for Federally-Regulated General Service Incandescent Lamps**

**Table K-~~78~~**

**Standards for Federally-Regulated Modified Spectrum General Service Incandescent Lamps**

**(5) Candelabra Base Incandescent Lamps and Intermediate Base Incandescent Lamps.** The energy consumption rate of federally regulated candelabra base incandescent lamps and

intermediate base incandescent lamps, manufactured on or after January 1, 2012, shall be no greater than the maximum rated wattage shown in Tables K-89.

**Table K-89**

**Standards for Federally Regulated Candelabra Base Incandescent Lamps and Intermediate Base Incandescent Lamps**

Purpose, Rationale and Necessity: Because a new table K-1 was added, all subsequent K tables need to be renumbered.

# 1605.3 State Standards for Non-Federally-Regulated Appliances

## (k) Lamps

(1) **State-Regulated Incandescent Reflector Lamps.** The average lamp efficacy of state-regulated incandescent reflector lamps manufactured on or after January 1, 2008, shall be not less than the applicable values shown in Table K-~~9~~10.

**Table K-~~9~~10**  
**Standards for State-Regulated Incandescent Reflector Lamps**

(2) Standards for State-Regulated General Service Incandescent Lamps, General Service Lamps, ~~and~~ Modified Spectrum Incandescent Lamps, and State-Regulated LED Lamps. The energy consumption rate of state-regulated general service incandescent lamps, general service lamps, ~~and~~ modified spectrum general service incandescent lamps, and state-regulated LED lamps manufactured on or after the effective dates shown in Tables K-~~10~~1, K-~~14~~2, ~~and~~ K-~~12~~3, and K-~~14~~4 shall meet the standards shown in these Tables.

**Table K- ~~10~~1**  
**Standards for State-Regulated General Service Incandescent Lamps - Tier I**

**Table K- ~~14~~2**  
**Standards for State-Regulated General Service Lamps -Tier II**

**Table K- ~~12~~3**  
**Standards for State-Regulated Modified Spectrum General Service Incandescent Lamps - Tier I**

(C) State-regulated LED lamps with lumen output of 150 lumens or greater and manufactured on or after January 1, 2017 shall have:

(i) A color point with a Duv that is:

\_\_\_\_\_ (1) No less than -0.0033

\_\_\_\_\_ (2) No greater than  $57700 \times (1/T)^2 - 44.6 \times (1/T) + 0.00854$  where T means the measured correlated color temperature.

(ii) A CRI (Ra) of 82 or greater

(iii) Individual color scores of R1, R2, R3, R4, R5, R6, R7, and R8 of 72 or greater

(iv) A power factor of 0.7 or greater

(v) A lifetime of 10,000 hours or greater as determined by the lumen maintenance and time to failure test procedure.

(vi) State-regulated LED lamps that have an ANSI standard lamp shape of A shall meet the omnidirectional light distribution requirements of ENERGY STAR's Product Specification for Lamps Version 1.1. State-regulated LED lamps that have an ANSI standard lamp shape of B, BA, C, CA, F, or G shall meet the decorative light distribution requirements of ENERGY STAR's Product Specification for Lamps Version 1.1

(D) In addition to the requirements in 1605.3(k)(2)(C), state-regulated LED lamps manufactured on or after January 1, 2019 shall have a standby mode power of 0.2 watts or less.

**Table K-14**  
**Standards for State-regulated LED Lamps**

<u>Effective Date</u>	<u>Minimum Compliance Score</u>	<u>Minimum Efficacy Lumens Per Watt</u>
<u>January 1, 2017</u>	<u>277</u>	<u>65</u>
<u>January 1, 2019</u>	<u>297</u>	<u>80</u>
<u>The compliance score shall be calculated as the sum of the efficacy and 2.3 times the CRI of a lamp.</u>		

(3) State-regulated Small Diameter Directional Lamps. State-regulated small diameter directional lamps manufactured on or after January 1, 2018 must have a rated life of 25,000 hours or greater as determined by the lumen maintenance and time to failure test procedure and meet one of the following requirements:

(A) have luminous efficacy of  $\geq 80$  lumens per watt.

(B) have a minimum luminous efficacy of 70 lumens per watt or greater and a minimum compliance score of 165 or greater, where compliance is calculated as the sum of the luminous efficacy and CRI.

(4) GU-24 Base Lamps. GU-24 base lamps shall not be incandescent lamps.

(5) See Section 1605.1(k) for energy efficiency standards for federally-regulated lamps.

**(n) Luminaires and Torchieres.**

(1) Energy Efficiency Standard for Metal Halide Luminaires. Metal halide luminaires

rated at least partially within the range of 150 to 500 watts shall not have probe-start ballasts and shall comply with Section 1605.3(n)(1)(A) as applicable:

**(3) Portable Luminaires.**

(A) Portable luminaires manufactured on or after January 1, 2010 shall meet one or more of the following requirements:

(3) Be an LED luminaire or a portable luminaire with an LED light engine with integral heat sink, and comply with the minimum requirements shown in Table N-32;

**Table N-2  
Minimum Requirements for Portable LED Luminaires and Portable Luminaires  
with LED Light Engines with Integral Heat Sink**

Criteria	Requirement
Light Output	≥ 200 lumens (initial)
Minimum LED Luminaire Efficacy	29 lumens/W
Minimum LED Light Engine Efficacy	40 lumens/W
Color Correlated Temperature (CCT)	2700 K through 5000 K
Minimum Color Rendering Index (CRI)	75
Power Factor (for luminaires labeled or sold for residential use)	≥ 0.70

(4) Be equipped with an E12, E17, or E26 screw-based socket and be prepackaged and sold together with one screw-based compact fluorescent lamp or screw-based LED lamp for each screw-based socket on the portable luminaire. The compact fluorescent or LED lamps which are prepackaged with the portable luminaire shall be fully compatible with the luminaire controls, meaning that portable luminaires having a dimmer control shall be prepackaged with dimmable compact fluorescent or LED lamps, and portable luminaires having 3-way controls shall be prepackaged with 3-way compact fluorescent or LED lamps. The compact fluorescent lamps which are prepackaged with the luminaires shall also meet the minimum energy efficiency levels established by ENERGY STAR® for compact fluorescent lamps in effect on December 31, 2008. The LED lamps required to be packaged with the luminaire shall comply with the minimum requirements shown in Table N-2 for state-regulated LED lamps in sections 1601 through 1607 of this article;

The following documents are incorporated by reference in Section 1605.3.

EPA ENERGY STAR Program Requirements Product Specification for Lamps (Light Bulbs)

Version 1.1 (August 2014)

Copies available from:

US EPA  
Climate Protection Partnership  
ENERGY STAR Programs Hotline & Distribution  
(MS-6202J)  
1200 Pennsylvania Ave NW  
Washington, DC 20460  
[WWW.ENERGYSTAR.GOV](http://WWW.ENERGYSTAR.GOV)

Copies available from:

Superintendent of Documents  
U.S. Government Printing Office  
Washington, DC 20402  
<http://ecfr.gpoaccess.gov>

**Purpose and Rationale:** Existing law requires screw-base portable luminaires be packaged together with either an LED or CFL lamp. It also requires LED lamps that are packaged with the portable luminaire meet a minimum set of standards. The proposed regulations also require the same set of screw base lamps meet even higher standards. The proposed changes to the portable luminaire language in 1605.3(n)(3) aligns the requirements of LED lamps to the new proposed performance standards.

**Necessity:** Because the proposed standards in 1605.3(k) for screw-base LED lamps would require all such lamps sold in the state to meet new requirements that are more stringent than the levels required in 1605.3(n)(3), the change is necessary to avoid conflicting requirements within section 1605.3 and add clarity to the regulations.



# Section 1606. Filing by Manufacturers; Listing of Appliances in Database.

**Table X Continued - Data Submittal Requirements**

	Appliance	Required Information	Permissible Answers
	All Appliances	* Manufacturer's Name	
		* Brand Name	
		* Model Number	
		Regulatory Status	Federally-regulated consumer product, federally-regulated commercial and industrial equipment, non-federally-regulated
...			
K	<u>State-regulated small diameter directional lamps</u>	<u>Base Type</u>	<u>GU 11, GU 5.3, GUX 5.3, GU8, GU 4 and medium screw base</u>
		<u>Lamp Type (examples PAR-16, MR-11, MR-16, or R)</u>	
		<u>Lamp Power (Watts)</u>	
		<u>Lamp Output (Lumens)</u>	
		<u>Beam Angle</u>	
		<u>Center Beam Candle Power (CBCP)</u>	
		<u>Lumens Per Watt</u>	
		<u>Minimum lamp efficacy (LPW)</u>	
		<u>Color Rendering Index (CRI)</u>	
		<u>Combined CRI + Efficacy</u>	
		<u>Correlated Color Temperature</u>	
	State-regulated medium screw base general service <del>Light Emitting Diode (LED) lamps, and</del> Organic LED (OLED) lamps	Rated lumens	
		Rated lamp wattage	
		Average lamp efficacy	
	<u>State-regulated Light Emitting Diode (LED) lamps</u>	<u>Base Type</u>	<u>E12, E17, E26, GU-24, retrofit kit</u>
		<u>Lamp Shape</u>	
		<u>Light Distribution</u>	<u>Directional, Omnidirectional, Decorative, Spot, Recessed Can</u>
		<u>Dimmable</u>	<u>Yes, no</u>
		<u>Minimum dimming level (%)</u>	
		<u>Reduced Flicker Operation</u>	<u>Yes, no</u>
		<u>Correlated Color Temperature</u>	

	<u>Duv</u>	
	<u>Rated Lifetime (hours)</u>	
	<u>Lifetime test environment temperature</u>	Ambient, Elevated
	<u>Lamp Power (Watts)</u>	
	<u>Luminous Flux (Lumens)</u>	
	<u>Efficacy (Lumens per watt)</u>	
	<u>Color Rendering Index (Ra)</u>	
	<u>Compliance Score</u>	
	<u>Power Factor</u>	
	<u>Standby Power (watts)</u>	
	<u>R<sub>1</sub></u>	
	<u>R<sub>2</sub></u>	
	<u>R<sub>3</sub></u>	
	<u>R<sub>4</sub></u>	
	<u>R<sub>5</sub></u>	
	<u>R<sub>6</sub></u>	
	<u>R<sub>7</sub></u>	
	<u>R<sub>8</sub></u>	
	<u>R<sub>9</sub><sup>2</sup></u>	
	<u>Meets applicable luminous intensity distribution requirement</u>	<u>ENERGY STAR Omnidirectional, ENERGY STAR Decorative, California Quality Specification Recessed Can Housing Retrofit Kit, California Quality Specification Spotlight, California Quality Specification Floodlight, none.</u>
	<u>Warranty Length (years)<sup>2</sup></u>	
	<u>Audible Noise at 100% output (decibels)</u>	
	<u>Audible Noise at 20% output (decibels)</u>	
	<u>Start Time<sup>2</sup></u>	
	<u>6000 hour lumen maintenance<sup>2</sup></u>	
	<u>6000 hour survival rate<sup>2</sup></u>	
	<u>Projected time to L70?<sup>2</sup></u>	
	<u>Dimming Control Compatibility</u>	<u>Forward, Phase cut control, reverse phase cut, powerline carrier, digital, 0-10 VDC, other.</u>
	<u>NEMA SSL 7A Compatible?<sup>2</sup> (If compatible with forward phase cut dimmer control answer "Yes," If not answer "No.")</u>	<u>Yes, no</u>
	<u>Marked in accordance with Title 24 JA-8<sup>2</sup></u>	<u>Yes, no</u>
	<u>Meets the Voluntary California Quality Specification 2.0 requirements applicable to the lamp type</u>	<u>Yes, no</u>

\* "Identifier" information as described in Section 1602(a).

1 = Voluntary for federally-regulated appliances

2 = Voluntary for state-regulated appliances

The following documents are incorporated by reference into section 1606.

### **CALIFORNIA ENERGY COMMISSION**

California Energy Commission Voluntary California Quality Light-Emitting Diode (LED) Lamp Specification (December 2014)

California Title 24, Part 6, Joint Appendix 8  
JA-8 -- 2015

Qualification Requirements for High Efficacy  
Light Sources

Copies available from:

California Energy Commission  
Energy Hotline  
1516 Ninth Street, MS-25  
Sacramento, California 95814  
Phone: (916) 654-5106  
FAX: (916) 654-4304

### **NATIONAL ELECTRICAL MANUFACTURER'S ASSOCIATION (NEMA)**

NEMA SSL 7A (2013)

Qualification Requirements for High Efficacy  
Light Sources

Copies available from:

National Electric Manufacturers Association  
1300 N. 17th Street, Suite 1847  
Rosslyn, VA 22209  
[www.nema.org](http://www.nema.org)  
Phone: (703) 841-3200  
Fax: (703) 841-3300

**Purpose and Rationale:** This regulatory language identifies the data required for the Commission to be able to verify the LED and small diameter directional lamps meet the new standards. Without the data Commission staff would not be able to determine if a product meets the standards and therefore if the product can be listed in the Commission's database as an item which can be sold or offered for sale in the state.

**Necessity:** All product certifications require data submissions. As new products become regulated data is necessary to evidence compliance with efficiency standards.

## 1607 Marking of Lamps.

(d) Energy Performance Information

(12) State regulated LED lamps shall meet the criteria below before making any of the relevant claims in marketing materials, including retail packaging or on the lamp itself.

(A) The following shall be demonstrated before making a claim of being “dimmable.”

(i) The lamp shall be dimmable to 10 percent of its full light output.

(ii) The lamp shall be reduced flicker operation:

(iii) Shall not produce noise in excess of 24 A-weighted decibels at 100 percent and 20 percent of full light output.

(iv) If the product cannot be reduced flicker operation using a standard phase-cut dimmer, but can be reduced flicker operation using another type of dimmer, references to dimmability shall be qualified with the phrase “dimmable with LED dimmer.” These lamps shall include instructions on or inside the retail packaging that describe, or contain an internet link to a description of, the type of dimmers that are compatible or recommended for use with the lamp.

(B) State regulated LED lamps shall meet all of the following requirements before including comparisons to incandescent lamps, including wattage equivalencies:

(i) The lamp shall have a color correlated temperature of 3000k or less.

(ii) The lamp shall be “dimmable” as described in 1607(d)(12)(A).

(iii) The lamp shall have a lumen output of 310 lumens or greater for medium-screw base lamps or 150 lumens or greater for intermediate and candelabra bases.

(iv) Claims of incandescent wattage equivalence shall have lumen outputs in the respective ranges contained in Table K-15.

**Table K-15**  
**Incandescent Equivalences for State-regulated LED Lamps**

<u>Incandescent equivalence</u>	<u>Lumen minimum</u>
<u>Medium screw-base and GU-24 base omnidirectional lamps</u>	
<u>40 W</u>	<u>310</u>
<u>60 W</u>	<u>750</u>
<u>75 W</u>	<u>1050</u>
<u>100 W</u>	<u>1490</u>
<u>150 W</u>	<u>2500</u>

(C) A lamp that is certified with a light output of less than 150 lumens for candelabra bases, or less than 200 lumens for other bases, shall be labeled as “for decorative purposes.”

(D) Lamps shall certify that each and every portion of the California Quality LED Lamp Specification is met before making any marketing, label, or mark regarding a model’s qualification for the specification.

**Purpose and Rationale:** This section identifies basic labeling requirements that will ensure product performance matches manufacturer claims and reduce consumer confusion. The language covers labeling for LED lamps the manufacturer claims to be any of the following: dimmable, incandescent like, used for decorative purposes or meets the California Quality LED Lamp performance metrics.

**Necessity:** In order to reduce consumer confusion and to ensure labeled claims meet performance expectations, the labeling standards are necessary.