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BEFORE THE

CALIFORNIA ENERGY COMMISSION

In the Matter of:)	Docket N	Jo.	15-AAER-01
)			
Appliance Efficiency Rulemaking)			
for Toilets, Urinals, Faucets,				
HVAC Air Filters, Fluorescent)			
Dimming Ballasts, and Heat Water)			
Pump Water Chilling Packages)			
	_)			

PUBLIC HEARING ON PROPOSED NEGATIVE DECLARATION AND EXPRESS TERMS

CALIFORNIA ENERGY COMMISSION

1516 NINTH STREET

FIRST FLOOR, ART ROSENFELD HEARING ROOM SACRAMENTO, CALIFORNIA

TUESDAY, MARCH 17, 2015 10:00 A.M.

Reported By: Julie Link

APPEARANCES

Commissioners Present

Andrew McAllister, Commissioner
Patrick Saxton, Adviser to Andrew McAllister

Staff Present

Harinder Singh, Senior Electrical Engineer, Appliances and Existing Building Office

Ken Rider, Electrical Engineer, Appliances and Existing Building Office

Tuan Ngo, P.E., Appliances and Existing Building Office Consuelo Martinez, Manager, Appliances and Existing Building Office

Mike Murza, Staff Attorney, Office of the Chief Counsel

Also Present (* Via telephone and/or WebEx)

*Alex Boesenberg, NEMA

Laura Petrillo-Groh, AHRI

Pierre Delforge, Director, NRDC

Gary Fernstrom, PG&E

Charles Kim, Southern California Edison &

Statewide IOU Codes & Standards Team

Daniel Young, Statewide IOU Codes & Standards Team

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Kevin Messner, PoliticaLogic &

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Heidi Hauenstein, Energy Solutions

John Koeller, MaP

- *Marianne DiMascio, Appliance Standards Awareness Project
- *Matt Sigler , PMI
- *John McHugh
- * Shabbir Rawalpindiwala, Kohler Company

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1 PROCEEDINGS

2 MARCH 17, 2015 10:00 A.M.

MR. SINGH: Good morning, I'm an electrical engineer for the Appliance and Existing Buildings Office.

First of all, a few housekeeping items before we begin. For those of you who are not familiar with the building, the closest restrooms are located on the left of as you go out of the door. And there is a snack bar on the second floor under the white awning. That's on the second floor.

Lastly, in the event of an emergency and the building is evacuated, please follow our employees to the appropriate exits. We will reconvene at the Roosevelt Park located diagonally across the street from this building. Please proceed calmly and quickly, again following the employees with whom you are meeting, to safely exit the building. Thank you.

And with that I will request the Commissioner to make the opening remarks, please.

COMMISSIONER MCALLISTER: Thanks, Harinder.

I'm Andrew McAllister, the lead Commissioner on Energy

Efficiency, which includes the topic before us today.

I really want to thank everybody for coming.

We've got, I think hopefully, what will be a productive

day. A lot of items to -- or a lot of device categories to

consider. Hopefully, we can proceed. I know a lot of you will have filed comments around and will after this workshop, likely.

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So I don't want to hold things up. I know we have a couple of topics, which may take up much of the day, but I want to just iterate that we're in the middle of a drought. We've had a winter that did not live up to expectations. We had a couple of storms come through that I think raised our hopes, but at the end of the day we didn't get as much rain as we needed. Our reservoirs are still low and declining and we're headed into summer, so this will be the fourth year that we've really received much less water than we had hoped.

So the water items, in particular, I think are on everyone's mind. At the same time today and tomorrow there's an activity, a set of hearings going on, at the Water Resources Control Board where they're going to make some decisions about whether to recommend more aggressive water conservation measures across the state.

And I think we all have the water issue in our state on the top of our minds. It's really one of our highest, if not our highest priority. So I want everyone to kind of keep that in mind, that we need to really think about ways to conserve water responsibly, but conserve as much water as we can going forward. So when we get to those

items, you know, keep that in mind by way of context.

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So I will pass it over to Harinder and staff to continue on, thanks again for coming. I'm looking forward to hear what everybody has to say.

MR. SINGH: Thank you, Commissioner.

Before we begin I have the agenda slide on the screen, but I would like to mention that comments will be accepted at the end of each presentation. Stakeholders who wish to make comments in person, please fill the blue cards that are available in the front where the sign-in sheet is.

Once we finish the blue cards comments will be accepted from the stakeholders that are on the WebEx or on the phone. So once you fill the blue cards, please leave it over with Mike who is sitting next on this desk here.

So with that I will move on. So on the agenda part, we have staff presentations. First, we're going to have the Negative Declaration, then we'll move to the HVAC Air Filters, third presentation will be Fluorescent Dimming Ballasts, fourth presentation will be Heat Pump Water Chilling Packages. And in the afternoon we will have Toilets, Urinals and Faucets and federal updates.

And after that, you know, as I mentioned we will accept comments after each presentation.

With this, I'd like to mention that statutory mandates for the -- I'm just apologizing for the technical

difficulty.

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COMMISSIONER MCALLISTER: Harinder, I neglected to mention, on my right here is Pat Saxton who's my advisor on these issues. So I'll be in and out a little bit today, but Pat'll be here I think for the duration; is that right? Yeah, great. So thanks.

MR. SINGH: Yes, sorry for the delay, because of the technical difficulty.

With that, California (sic) is the primary energy policy and planning agency and mandates the Commission to reduce the wasteful and inefficient consumption of energy and water in the state. This is accomplished by prescribing standards for minimum levels of operating efficiencies for appliances that consume a significant amount of energy and water statewide.

For nearly four decades, appliance standards have shifted the marketplace towards the efficient products and practices, reaping large benefits for California consumers. The state's appliance efficiency regulations saved an estimated 22,923 GWh of electricity and 1,626 million therms of natural gas in 20125 alone, resulting in about \$5.24 billion in savings to California consumers in 2012 from these regulations.

(Off mic colloguy)

With that, I think I'm going to move into the

rulemaking activities.

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We conducted a scoping workshop on August 31st, 2011. And then the Commission issued an Order Institution Rulemaking on March 14th, 2012. Staff conducted a workshop May 6th of 2014 and then the Commission issued a Standardized Review Impact Assessment on December 23rd, 2014, which was sent to DOF and was published on DOF's website for their review and comments. And then the Commission issued a 45-day Language on February 13, 2015, so we have the comment period for this 45-day language extended to April 15th, 2015. And an adoption hearing will be held on May 13th, 2015.

We want to clarify the purpose of the staff workshop -- the public hearing. Staff will clarify the scope of the proposed Negative Declaration and regulations for HVAC Air Filters, Fluorescent Dimming Ballasts, Heat Pump Water Chilling Packages, Toilets, Urinals, Faucets, and Federal Updates to the Regulations. And the purpose is also to allow the Commissioner to receive comments on the proposed Negative Declaration as well as on the regulations.

Document availability, proposed Negative

Declaration and regulations for HVAC Air Filters,

Fluorescent Dimming Ballasts, Heat Pump Water Chilling

Packages, Toilets, Urinals, Faucets, and Federal Updates

are available on the Energy Commission's website at the address down below:

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https://efiling.energy.ca.gov/Lists/DocketLog.aspz?docketnu
mber=15-AAER-01

Copies of the rulemaking documents can also be obtained by contacting staff and Angelica Romo. Our telephone number is 916-654-4147 and her email is at:

Angelica.Romo@energy.ca.gov.

The comment period for the regulations for 45-Day Language started on February 13th and will end on April 15th. The comment period for Negative Declaration started on February 28th and ends March 30, 2015. That's only for the Negative Declaration; it's a 30-day comment period whereas for 45-Day we have a 60-day comment period.

Oral and written comments will also be accepted at the Energy Commission's adoption hearing scheduled for May 13 as well. So if somebody wishes to submit comments after the comment period is over the comments will still be accepted at the adoption hearing.

The Negative Declaration part of this is where I'm moving. If you have any questions, please let me know.

The proposed Negative Declaration discusses the environmental impacts of adopting the proposed standards for HVAC Air Filters, Fluorescent Dimming Ballasts, Heat Pump Water Chilling Packages, Toilets, Urinals, Faucets,

and Federal Updates. The study shows no adverse environmental impacts, so the study is available on our website.

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And the comment period for the Negative

Declaration ends on March 30th. The adoption hearing for
the Negative Declaration is scheduled for May 13th, 2015.

If you have any comments please bring your blue cards or
whoever wants to make a comment on the telephone or WebEx
you're welcome to make the comments on Negative

Declaration.

MR. RIDER: Yeah, I'm just going to go ahead and unmute the lines in case there's someone on the phone that might want to make a comment. Actually, we have a hand raised right now, so I'm going to go ahead and unmute Alex Boesenberg.

Hi, Alex. You're unmuted.

MR. BOESENBERG: Hi, Ken. Thank you. I wanted to ask if a summary of the discussion of the Dimming Ballast portions can be made publicly available this week?

Especially if any changes to the language are to discussed.

A lot of us in the lighting industry have to go to an APA meeting in 15 minutes.

MR. RIDER: Yeah, Alex. Why don't you give me a call and we can talk about what happened in the workshop. A full transcript will be posted, but I don't think it will

1 be within a week.

2.2

MR. BOESENBERG: Yeah, I agree they tend to lag. I appreciate that. Thank you, very much.

MR. RIDER: Okay. So I don't see any other hands raised, but I'm going to unmute any of the call-in users who may not be able to raise their hands on WebEx. You're unmuted, if you're a call-in user and you haven't logged into WebEx, you may speak now if you have a comment on the Negative Declaration.

(No audible responses.)

MR. SINGH: Okay, thank you.

I'm going to move now to the HVAC Air Filters filter labeling portion of my presentation. So the first slide is about why air filter labeling is required, is necessary for California HVAC systems.

Number one, it's to identify appropriate filter for HVAC equipment and system design.

It's also to create a database of air filter performance information; balance filter air flow resistance with HVAC equipment size, ductwork and other device losses; increase compliance with Title 24 regulations; level the playing field for comparing air filter models; provide consumers information so they can purchase the appropriate air filter for their HVAC system.

So for these reasons we are doing the air filter

labeling part, which will be helpful to the consumers as well as provide a greater compliance with the Title 24 regulations.

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Title 24 air filter requirements, number one, efficiency shall be equal to, or greater than, MERV 6. So the Title 24 requires the efficiency of the air filters and the system to be equal to or greater than MERV 6. MERV is Minimum Efficiency Rating Value. And that is as per ASHRAE Standard 52.2 or a particle size efficiency rating equal to or greater than 50 percent in 3.0-10 micrometer range. That is as per AHRI Standard 680. And this requirement is in Section 150.0(m)12B in Title 24.

And there is a second requirement in the Title 24 Building Standards. There is a requirement for the pressure drop shall conform to the maximum allowable clean-filter pressure drop determined according to Section 50.0(m)12Aii, as rated using AHRI Standard 680, for the applicable design airflow rates for the system air filter devices.

So these are the two requirements for the air filters in the Title 24 Building Standards.

Now, I move to the staff proposal. The air filters labeling is going to be required for residential buildings that use the forced air heating or cooling equipment. So in the scope, the scope applies only to the residential buildings, not to the commercial. So all the

air filters that are going to be used in the residential buildings will be required to place a label.

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And we have added a number of definitions for the air filters. Number one is air filters, what it means and then air filter media, airflow rate, dust holding capacity, final resistance and then initial resistance, maximum rated airflow rate, minimum efficiency reporting value which is MERV, particle size and particle size efficiency which is PSE.

So these are the definitions we have added to the Section 1602(c) of our regulations. These are the proposed definitions for the air filters.

And to place the label the air filter needs to be tested. To measure the air filter pressure drop it needs to be tested using AHRI 650-2009 (sic) version. And for air filter size particle efficiency and MERV it's again the AHRI 680-2009 or ASHRAE 52.2-2012.

And also to test the dust holding capacity, again these two test procedures AHRI 680-2009 or ASHRAE 52.2-2012, so those are the two test procedures that manufacturers need to use to provide us this information or test of the air filters.

And manufacturers are also allowed to test one filter size and use scaling off for other sizes of the same grade. So if a air filter is -- they can test a 16-by-20

inch filter or 20-by 20-or whatever size, they just test one filter of the same grade. And they can use the filter to extend the testing to the other sizes.

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The purpose of this requirement or proposed requirement -- air filters reporting information determined in accordance with AHRI 680-2009 shall be marked with the following information on the filter: particle size efficiency of the unit in three particle size ranges: 0.3-1.0, 1.0-3.0, 3.0-10 micrometers; initial resistance for the range of airflow rates as published by the manufacturer, including the maximum rated airflow rate; the selected airflow rates shall be multiples of 400 cfm. If the maximum rated airflow rate is not a multiple of 400 cfm, -- and that cfm is cubic feet per minute -- then the report initial resistance at multiples of 400 cfm, and any fraction thereof, to include the maximum rated airflow rate.

I'm sorry, I'll move to the next one. I don't have the full screen, oh maybe it's here. Okay, sorry.

Thanks. And any fraction thereafter to include the maximum rated airflow rate; mark the non-reported MERV information field as "not applicable" for this AHRI -- if you are testing with the AHRI Standard 680-2009, because it doesn't have any provisions for the MERV.

Air filters for reporting information determined

in accordance with the ASHRAE Standard 52.2-2012 shall be marked with the following information: particle size efficiency (PSE) of the unit in three particle size ranges: 0.3-1.0 and 1.0-3.0, 3.0-10 micrometers. Initial resistance for the range of airflow rates as published by the manufacturer, including the maximum rated airflow rate. The airflow rate values shall be 50 percent, 75 percent, 100 percent and 125 percent of the test airflow rate value determined in accordance with ASHRAE 52.2-2012. And also number 3 is manufacturers are required to provide a minimum efficiency reporting value, that is MERV.

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And the last slide is about the consumers and the proposed label requirement. This is what we have proposed in our regulations, in our proposed regulations in Section 1607 of the expressed (inaudible). The consumers and retailers can compare an existing filter size with a new replacement filter size. And the Table Z provides the information required on the filter.

And the label format is provided above.

Manufacturers can print or place a label on a air filter

frame or a pleat pack. If packaging obscures the label then

also they can print the label on the packaging.

The cost and savings analysis for the air filters is as follows. Staff assumes two filter changes per year and an average of 1.25 filters per residential HVAC system.

A consumer's annual incremental cost per air filter for labeling is 0.08 cents. And this was calculated through the information provided to us through IOUs case study as manufacturers' information. So we have evaluated all the information and come up with the incremental cost of the 0.08 cents for the labeling.

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And the average energy benefit to a consumer for selecting the appropriate filter for his or her HVAC system is 3.78 kWh and 0.7 therms per year. This equates to \$1.32 in annual monetary savings. The net benefit to the whole household is \$1.24 per year.

And with that I conclude my presentation. Again, my contact information is here, so you can see it. It's Harinder Singh. My telephone number is (916) 654-4091. My email address is Harinder.Singh@energy.ca.gov.

Thank you, very much. And now if you have any questions please bring your blue cards.

(Off mic colloquy between Mr. Singh and public)

COMMISSIONER MCALLISTER: Yeah, go ahead. That's good, thanks. Welcome.

MS. PETRILLO-GROH: All right. Good morning. My name is Laura Petrillo-Groh. I'm the Engineering Manager of Regulatory Affairs at the Air Conditioning, Heating, and Refrigeration Institute. AHRI is a trade association representing over 300 manufacturers of heating and cooling,

water heating and commercial refrigeration equipment.

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While AHRI understands that CEC's labeling objectives are to improve the longevity and energy efficiency performance of HVAC equipment, we are concerned that any mandatory requirement to label air filters would be onerous for manufacturers and not offer any additional benefit to the consumer.

The proposed requirement will require manufacturers to produce California-specific packaging, which will increase the cost of manufacturing and lead to challenges managing inventory. The proposal to certify air filter models in the Appliance Efficiency Database is also expected to be burdensome for manufacturers.

We ask that CEC allow the manufacturer to disclose the efficiency and pressure drop ratings on their own websites instead in the CEC database and on the packaging. Thank you.

COMMISSIONER MCALLISTER: Thanks for being here. So you don't want labeling on the packaging itself?

MS. PETRILLO-GROH: No.

COMMISSIONER MCALLISTER: I've heard many contractors bend my ear about how problematic it is to not have it there, so I'm very sympathetic to that in that field situation that they're in. So what do you say to that?

MS. PETRILLO-GROH: I would say that we all have -1 2 - you know, I think the majority of us have access to the 3 Internet and are able to look up websites very easily, 4 especially in the field using smart phones and other 5 technology. And I don't see any reason that having a label 6 on the package would be necessary. I think more for the 7 homeowners or when they're able to a web -- you know, a Home Depot or buy the product online they can see the label 8 9 on the manufacturer's website. 10 COMMISSIONER MCALLISTER: Great, thanks. 11 MS. PETRILLO-GROH: Thank you. 12 MR. SINGH: Anymore comments from the -- okay, 13 Pierre, please. MR. DELFORGE: Pierre Delforge, NRDC. 14 15 Commissioner, staff, thank you for the 16 opportunity to comment. We appreciate your work on this issue and we generally strongly support the Commission's 17 18 proposal. 19 Air filter is an important component of HVAC 20 efficiency. HVAC efficiency represents about 30 percent of 21 residential energy costs and therefore any way to reduce 2.2 that, especially trying to capture low-hanging fruit from 23 air filter efficiency is very welcome. 24 The problem that we're facing is that current 25 ratings are inconsistent and they don't help facilitate

consumer choice, compliance to Title 24, and generally having a healthy market where people can make informed decisions.

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We think that labeling is a simple and low-cost measure, very low-cost based on what the Commission staff just showed. And that it will enhance the market and consumer choice. While this is a great step forward, we also think there's a missed opportunity by the Commission to align to a single rating; that the current proposal of two different ratings will create confusion in the market and will be more difficult to understand.

We strongly recommend that the Commission considers aligning its proposal to a single rating around ASHRAE'S MERV rating. This would have no impact on testing, because both test procedures by the ASHRAE and the AHRI test procedure can be converted to a single rating. So we think that this would greatly enhance the effectiveness of the Commission's proposal. And given the simplicity of the change we encourage you to make this change now before adoption.

Thank you for considering our comments.

MR. SINGH: Thank you.

Any more comments? Yes, Gary please.

MR. FERNSTROM: Good morning. I'm Gary Fernstrom, representing the Pacific Gas and Electric Company. We'd

like to thank everyone involved for their good, hard work on this measure.

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We feel it's particularly important to have labeling, so that contractors in the field who may be out of cellular range or not have smart phones or be conversant with computers and the Internet, and building inspectors, be able to readily identify the performance of products in the field. Thank you.

COMMISSIONER MCALLISTER: Let me ask you about customers actually, because if I'm a customer and I want to replace my filter -- not that straightforward to figure out what exact filter I should be replacing with if it's not right there on the one I'm taking out, right? I mean, how do I know what MERV I'm supposed to have for my replacement if I'm going on the Internet to buy them?

MR. FERNSTROM: Well, I honestly believe that consumers probably mostly buy their filters from local brick and mortar outlets. And they probably don't understand the MERV rating and so on. So from the standpoint of compliance assistance, labeling would make it easier for the CEC and others to assure that products sold in stores are compliant with the regulations. And that's the avenue through which we would find the most effectiveness rather than consumers themselves identifying these products. Thank you.

MR. RIDER: I'm just going to unmute the phones real quick just in case anyone who's not participating in WebEx has a comment. You have been unmuted. If you have a comment, go ahead and speak.

(No audible response.)

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Okay. Thank you.

MR. SINGH: One more thing I wanted to mention at the end of my presentation, was that all those comments that are received will be evaluated at the end of this workshop and also from the transcripts. And we will make recommendation to the Commission for the next steps. And the comments will be responded to in the Final Statement of Reasons when we submit in writing after the adoption.

So if the Commission decides to make changes to the regulations, proposed regulations, then we will issue a 15-day language or additional language with the additional comment period. So this is the right moment to mention it to you. Thank you.

Ken, you're next. Thank you.

MR. RIDER: Good morning, everyone. My name is Ken Rider. I'm an Electrical Engineer with the Appliance Efficiency Program at the Energy Commission. I'm here to present on our proposal for new regulations for deepdimming fluorescent ballasts and also for heat pump water chilling packages.

My contact information is on this slide.

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So what is a dimming ballast and what is a deep-dimming ballast? So a dimming ballast is a product that's used to dim a fluorescent lamp. And a deep-dimming ballast is one that dim that lamp below 50 percent.

The U.S. Department of Energy regulates non-dimming ballasts and also dimming ballasts that can dim not below 50 percent.

The type of dimming in these ballasts can vary. Some dim continuously, some have dimming in discrete steps. And also some don't dim at all, they actually just switch on or off a sequence of lights.

Also these dimming ballasts are controlled by a variety of different kinds of control systems. The three most common are low voltage DC, phase chopping, and digital controls.

In the past, these dimming ballasts have been fairly rare in commercial buildings with very low numbers of shipments. However, the California Title 24 Building Efficiency Code is causing a market shift towards a greater number of these dimming ballasts.

Dimming ballasts are a great energy savings opportunity, because they allow for light tuning, which is where you can specify an amount of lumens in an area or space and hit exactly that target and reduce the amount of

light output. Also, you can adjust for daylighting at different periods of the day and save energy. And you can also dim in various occupancy scenarios.

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However, dimming ballasts also can represent potential energy consumption increase from the addition of control systems and if they are less efficient than fixed-output ballasts then they can consume a bit more.

This is just showing the Title 24 Regulation. As you can see in the slide it shows that the dimming ballasts that are used to comply with Title 24 are required to dim below 50 percent, which meets that definition of a deep-dimming ballast.

So to investigate efficiency opportunities for these products, the IOUs tested about 39 of them, 32 TA ballasts and 75 ballasts and submitted the test results to the Energy Commission. The tests were conducted across the entire dimming range in 5 percent increments, 5-10-15 all the way up to 100.

The data showed a significant amount of variation in efficiency and a number of savings opportunities. In fact, some of the dimming ballasts are as much as 10 percent less efficient than the fixed output ballasts requirements by DOE.

Here's a graph of the data submitted on two of the different products -- deep-dimming ballasts, these are

T8 deep-dimming ballasts. The red is Product A, the green is Product B. And if you look here on the x-axis it looks like it got chopped off, but on the x-axis are the output watts to the lamp. And on the y-axis are the input watts to the ballast.

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And you can see here that the green product uses significantly lower amounts of input for the same level of output. And you can also see it implements a feature here where it cuts the cathode heating representing even greater distance and savings between this Product A, so there's definitely room for improvement. There's several watts, almost 10 watts at least right here, difference in the input power to get the same amount of light output from the fluorescent, attached fluorescent lamps.

This is a graph of the standby energy consumption or power consumption of various products that were tested and submitted by the IOUs. The dots on this represent the various control systems I mentioned earlier. LVDC stands for Low Voltage DC control. The red dots are probably the most interesting, they're the digital controls. They're the ones that use the most amount of power in standby. And you can see that there's quite a bit of variance here in the amount of standby power that they draw.

The Commission is proposing to set a standard at one watt or less, which is around here. Which several

digital control ballasts already meet, but where there's still quite a bit of energy savings opportunity for the remaining controls.

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This is a graph of the Weighted BLE requirements that the Energy Commission is proposing. The red dots represent the proposed levels and products that fall -- the products are the blue dots and the ones that fall above the red curve would comply with the proposed regulations. On the x-axis there's the max output power, the max arc power. And on the y-axis is the weighted BLE value.

The size of the blue dots represents the cost of the ballast. And what this graph demonstrates is that there isn't a particularly strong trend between being more expensive or cheaper and being more efficient. So in other words, the products that comply are not really much more expensive than the products that do not comply today.

So the opportunities in these ballasts are improved BLE, which is the Ballast Luminous Efficiency, which is basically the efficiency of converting input power to arc power.

Cathode cut-out, there's a heater in these lamps to ensure operation at dim states. These add a little bit of extra heat to make sure that the ballast doesn't flicker or cut out. But at full output the power of the lamp itself, generates enough heat to keep the lamp operational,

so then that extra heater can be shut off. And so that's an opportunity.

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Also, lower standby power, sleep modes in digitally-controlled ballasts and better software protocols in the digital controls.

To arrive at the proposed regulations the Energy Commission's considered many regulatory approaches. One was to just extend the DOE's fixed output standards to these dimming ballasts.

We also looked at the IOU original proposal, which was to set standards at each of three different light output levels.

We also looked at a design standard that would just require a cathode cut-out. That's that heater feature I mentioned.

We also looked at an annual energy use performance standard that would aggregate all three measurements into a single metric, but ultimately we decided on a weighted BLE standard, which takes the measurement of BLE at 100, 80 and 50 percent. And then takes a weighted average based on usage.

We also are proposing a maximum standby power and a minimum power factor.

Also products that are sold in the state, we'd need to certify their compliance with the California Energy

Commission.

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So I just want to walk a little bit more precisely through the language of the proposal. In Section 1602(j) that's where the product definitions are located in the express terms. We've added definitions for arc power, which is the output power to the lamp. We've added definitions for deep-dimming fluorescent ballasts, for input power, maximum arc power, weighted ballast luminous efficacy. And the definition for a deep-dimming ballast is essentially, as I mentioned in the first slide, one that can dim below 50 percent output.

The proposed test methodology relies on the DOE test procedure for fixed output lamps and it's located in 10 C.F.R. 430.23(q).

In addition, staff is proposing and the Commission is proposing in its expressed terms, some modifications to that test procedure such as selection rules for which lighting control to use during testing. And the methodology for measuring the additional dim states beyond the 100 percent state that the DOE test procedure currently measures. And it also defines a point to measure power factor.

So the language regarding controls that the Commission is proposing is essentially in order of preference for a laboratory to select a control for the

ballast testing.

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The first preference or the highest preference, is a lighting control from the same manufacturer as the ballast. For example, if Lutron makes the ballast the lab should prefer to find a Lutron lighting control that's compatible with that ballast.

The second best is if the manufacturer recommends a lighting control, then the lab will use that. And then if neither of those first two conditions are met then the lab technician should select an appropriate control.

In addition, the control with the minimum amount of additional functionality beyond what the lamp ballast of capable of doing shall be selected. So there's no need to buy a control that has extra bells and whistles that aren't compatible with the ballast.

In addition, the test procedure is being expanded to test at various dim states using essentially the same methodology as what is required for the full output state. In addition, we're proposing to have measurements at the 80 percent and 50 percent output states.

And we're also proposing a tolerance for dimming ballasts such as steps dimming ballasts that don't hit precisely 80 percent or 50 percent. For example, if a step dimming ballast can only step to 70 percent and not 80 percent that would be where we would measure it for the

proposed regulations.

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In addition, staff is proposing some changes to the standby mode measurement. We are proposing that a 90-minute waiting period be conducted before measuring the standby mode to allow for sleep states. We also describe the control setting that is consistent with standby mode, which is a control setting that would set the lay output to zero. And we also provide a sampling rate and an average of a period of a test procedure sampling rates to come up with the average standby mode.

In Section 1604 there's also a calculation and weighting table for calculating the weighted BLE, Ballast Luminous Efficacy. That is calculated by multiplying the BLE test as measured at 100 percent output, 80 percent output and 50 percent output and then weighting it according to this table. So you can see that 80 percent output is the highest weighted measurement at 50 percent. And the full output is weighted at 20 percent and the 50 percent output is weighted at 30 percent.

This equation has P times W. The Ps here stand for the BLE measurements at 100 percent, 80 percent and 50. And W are the weightings located in this table here.

There are three proposed requirements for deep-dimming ballasts and they're located in Section 1605.3(j) of the proposed regulations.

The first is that a deep-dimming ballast shall not consume more than 1 watt in standby mode. The second is that it shall have a power factor of 0.9 or greater. And the third is that it shall have a weighted ballast luminous efficacy greater than or equal to this equation, which scales to the output power such that lower output power deep-dimming ballasts can be a little bit less efficient than higher maximum arc power ballasts.

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In addition, as I mentioned earlier,
manufacturers would need to certify their deep-dimming
ballasts with the Energy Commission. The data that would be
required to be submitted to the Energy Commission is
located in 1606 of express terms.

On a slide there are a few examples of the type of data that we would collect. They include some basic ballast information such as voltage and the number of lamps it can power. As well as information that can be derived from the test procedures such as the BLE levels measured at 80, 100 and 50 percent and power factor and other things.

So as I mentioned earlier, the cost -- when staff looked into costs from the tested ballasts there was no clear correlation between cost and efficiency in the products that we found.

In addition, we looked at other work done on dimming ballasts efficiency and found some incremental

costs in the DOE's analysis of improving fixed output ballasts. And the Commission staff used those incremental costs, which were characterized as improving the efficiency of the ballast for the incremental costs of the proposal with a 10 percent -- or it's not a 10 percent -- 10 cent incremental costs per additional number of lamps that the ballast is capable of operating. So one lamp ballast, we estimated the incremental cost to be 79 cents, and then scaling up to a four-lamp ballast, which we estimated the incremental cost to be \$1.09.

2.2

We also analyzed the energy savings per unit and the lifetime dollar savings. Deep-dimming ballasts have a fairly long life. We estimate it to be 13 years. The lifetime savings are significantly greater than the incremental cost estimates. For example, the one lamp ballast is estimated to save a lifetime dollar amount of \$8.71. That's compared to less than an 80 cent incremental cost, so it is highly cost effective.

The proposal also would save a fairly significant amount of energy statewide. We estimate that the savings in the year 2029 would reach 388 GWh/yr. And every year's worth of shipments would save about 20.4 GWh/yr.

So with that, I think I will actually pause for comments on dimming ballasts.

Do we have any blue cards on that, let's see?

MR. NGO: No.

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MR. RIDER: No? While Tuan checks, anybody in the room with a comment? Yes, please approach and please remember to state your name and affiliation for the record as well.

MR. KIM: Thank you, very much for allowing me to make a comment. My name is Charles Kim, I'm with the Southern California Edison Company. But I'm speaking on behalf of the Statewide IOU Codes and Standards Team that includes PG&E, San Diego Gas and Electric, Southern Cal Gas and Southern California Edison Company.

First of all, I thank the Commissioner and the staff for working with us since the year 2011 on this particular measure and especially through the California Energy Commission. We have been known to lead energy efficiency that not just protects our environment, but also wisely allocates our natural resources for this generation and also for the future generation as well. And CECs leadership clearly demonstrates on this particular measure as well by requiring codes that regulate dimming ballasts.

We are very pleased to see this particular measure moving forward and we have a few comments and recommendations to refine the code languages. I'm here with my consultant, Daniel Young. We're going to make specific recommendations on the code language and we will send out

comments in a written form and will be docketed.

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MR. RIDER: Thank you, Charles.

MR. KIM: Once again, thank you very much for leading California. Thank you.

MR. YOUNG: All right, thanks for the introduction Charles. So again, just a few comments here on behalf of the California Statewide IOU Codes and Standards Team.

So first of all, as Charles said, we strongly support the efficiency standards for dimming ballasts being proposed by the Energy Commission. Specifically, we strongly support the adoption of the weighted BLE metric, which is based on ballast performance at 100 percent, 80 percent and 50 percent of full output.

Accordingly, we support the standard levels proposed for active mode efficiency based on a minimum weighted BLE as a function of full output arc power. And additionally, we support the proposed definitions and test procedures that apply to the weighted BLE test and measurement.

We have a couple of other comments here that we'd like to make for the record. First, regarding standby mode power draw. So as mentioned in comments submitted by the IOUs to the docket we believe that .05 watts is a more appropriate level at which to cap the standby mode energy use. So we know that it's technically feasible for dimming

ballasts and we can also point to examples of many other complex -- more complex devices I should say -- such as TVs and cell phones that are able to confine standby mode power to less than .05 watts, which proves that with the appropriate drivers dimming ballasts can reach that level as well.

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Just to clarify, this was really only applied to the ballast itself and not any type of wireless control modules or anything like that, that you would attach separately through a third party that isn't integral to the ballast. So we're only talking about the ballast standby mode.

Moving on, we have a comment on power factor, so we support the CEC proposal to regular a power factor at 0.9 when the ballast is on at full output. However, we believe that we should extend that requirement to the 80 percent and 50 percent of full output active mode points as well.

So duty cycle assumptions used to calculate weighted BLE actually indicate that ballast will be operated at a combined 80 percent of the time at either 80 percent or 50 percent of full output, so it is actually crucial to ensure that power factor is maintained at those test points as well.

So there's recent research into the power factor

of power supplies that actually suggests that in order to maintain higher efficiencies when operating at reduced load there have been some examples where power supplies actually shut off their power factor correction, which indicates that you can sometimes make a tradeoff here between power factor correction and efficiency at part load for products that aren't being properly designed to maintain high power factor throughout all operating ranges.

2.2

So in other industries as well, we've seen power factor corrections slip when it goes unregulated. So a perfect example of that, I think, is in the CFL industry where at first there are many products at .9 power factor, but once ENERGY STAR came out with a specification at .5 then we saw basically the whole market shift down to meet that specification. So we don't want to see that here.

And I think what really we want to stress is that we tested a bunch of products here and every single one of them would have passed this proposed measure of .9 power factor at 100 percent, 80 percent and 50 percent. And so there's a very minimal impact here in terms of the existing market. And we just want to ensure that we're maintaining that performance for future product cycles as well.

That's all, thanks.

MR. SAXTON: Can I ask you a clarifying question? Do you mean of all the tested products all of them met the

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    power factor across the ranges or the subset of products
    that met the power factor at 100 percent also met it at the
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 3
    dimming ranges?
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              MR. YOUNG: I'll have to double check, but I
    believe it's all of the products we tested passed.
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              MR. SAXTON: 100 percent of the tested products
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 7
    passed, okay. Thank you.
              MR. RIDER: Yeah, Pat just to clarify, all the
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9
    testing we received all had a 0.9 power factor or greater
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    at 100 percent output.
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              MR. SAXTON: Okay, thanks.
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              MR. YOUNG: Thank you.
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              MR. RIDER: I had a raised hand. I still do, okay.
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    Oh, we have a -- in the room? Yes, please Pierre?
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              MR. DELFORGE: Pierre Delforge, NRDC. Again, I
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    would like to thank the Commission's leadership and
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    proactive work on this. I know that dimming ballasts are
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    not yet a significant share of the market, but with Title
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    24, which went into effect last year, they expect it to
    become a large share of the market. And therefore I think
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    it's the right time to address this issue.
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              We generally strongly support the Commission's
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    proposal, particularly on the weighted BLE, the test
    procedure, the metric, the levels. I'd like to focus on my
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    comments on two points.
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First, on the standby like Daniel mentioned early on, low standby, the 1 watt, you know, around .1 or .2, so a tenth of 1 watt is feasible today with network connectivity. And we still have many other products. I realize that none of the test data sets that the IOUs provided met these levels for digital ballasts and therefore it was challenging to set that base on this data set.

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But, you know, there is technology widely available in other products that meets these levels. So 1 watt may not seem like much, but 24/7 it adds up and when you -- especially in commercial settings where you get hundreds of ballasts.

And if you extend it across all products we are seeing an increasing proliferation of products that have 1 watt or more standby in the home and in offices. And we can't use that as an excuse that, because there are many other products that have high standby it's okay to go with this one. I think we need to make an effort on every single product to address the issue of standby and while we think this is a good step forward we encourage the Commission to consider either an additional making -- or in the future to strengthen this requirement.

On the power factor I would like reinforce Daniel's comment on the risk of a loophole around not

having power factor requirements below 100 percent. As Daniel mentioned, we have seen products -- and we will put this on the record in our written comments -- but there is a study available that shows that some power supplies actually shut off power correction in order to be able to meet efficiency requirements. So because power factor makes efficiency sometimes more difficult to achieve, in order to meet the requirements at a lower load, they shut off power factor correction and end up with a very low .4, .5 power factor. Which has a significant impact overall, especially in commercial offices.

And this could be a significant loophole and reduce the effectiveness of the Commission's requirements. So we strongly encourage you to include reasonable power factor after requirement at all ranges, so that we don't want to see a degradation of the efficiency requirements.

Just to close, I think this rulemaking and these standards have a significant energy saving potential for California with nearly 400 GWh/yr. So we strongly support it and encourage you to move forward. Thank you.

- MR. SAXTON: Thank you.
- MR. RIDER: Gary?

- MR. FERNSTROM: I'm Gary Fernstrom, representing the Pacific Gas and Electric Company.
 - While Charles and Daniel made excellent comments

on behalf of all of all of our utilities I'd like to make a comment specifically on PG&E's behalf. And that would be while we have collectively recommended a little tighter standards in a couple of areas we want to recognize the excellent work that staff did in their approach to this opportunity in general. Excuse me, it's very complicated and I believe your staff really nailed it.

Furthermore, California addressing dimming ballasts again demonstrates California's leadership in addressing these opportunities before the federal government. Thank you.

MR. RIDER: Thanks, Gary.

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Anyone else in the room? Okay, I do have a hand raise. I've got Ed Thomas. I'm going to unmute you, Ed. You're unmuted, if you still want to --

MR. THOMAS: Good morning. I'm Ed Thomas from General Electric Lighting. First, I'd to thank the Commissioner for the opportunity to participate in this Webinar and understand the actions that are proceeding here.

I would like to make two comments. The first one regards one of the plots that were shown a little bit earlier, where there was a ballast input that related with a green and red plot showing the cathode cut-out characteristics. Yeah, that's it there.

And I'd just like to remind the Commission that there is intellectual property wrapped around the cathode cut-out technology. And by mandating such an action there may be issues within different companies about how to best accomplish this methodology. So again, I just wanted to remind about the IP issues that are embedded with the cathode cut-out technology.

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The second comment I'd like to make regards the BLE measurement at 50 and 80 percent of light output. There is a considerable fixture in capacitor effects that occur with multi-lamp fluorescent ballasts during deep-dim, because typically in most cases dim is accomplished by raising the frequency. And that makes any wiring effects if the contractor was not so neat with their wiring techniques and placement. The ballasts are sensitive to this type of effect and may cause reading errors or measurement errors when tested.

The other aspect of that is I see where 30-some ballasts for T8 and several T5 ballasts were tested at a test facility. I'm wondering if these same ballasts were tested at any other facilities and if the data was able to be correlated from one facility to the next? This would take into account differences, possibly in the measuring equipment. Differences in the physical set up of the test and both of which would contribute to some variation of the

1 test results. 2 So these are the comments and my concerns as a 3 manufacturer of ballasts for deep-dimming applications. 4 Thank you, very much for your time. MR. RIDER: Thank you, Ed. And also if you have 5 any suggestions as to how to improve the testing 6 7 methodology to help avoid inconsistencies we'd love to hear those as well. 8 9 MR. THOMAS: Thank you. 10 MR. RIDER: I didn't personally oversee the 11 testing myself, but Dan or anyone in the audience, would 12 you like to respond to the questions? If not, I'll move it on to the next comment. 13 MR. SAXTON: Well, Ken let me ask you on Ed's 14 15 first question about the cathode cut-off, we don't have a 16 prescriptive proposal for that or have got a performance 17 standard. So that might be one way that someone would 18 achieve the weighted BLE efficiency. 19 MR. RIDER: Yeah, that's correct. We looked at 20 perhaps -- we considered and looked at requiring cut-out, 21 but in the actual proposal we don't prescriptively require 2.2 it and manufacturers don't have to use it. But they 23 certainly can if it would help? MR. SAXTON: Sure. 2.4

MR. RIDER: Yeah, Dan?

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MR. YOUNG: So this is Daniel Young representing the California Investor Owned Utilities Team. I can respond to a couple of the points here, so with regard to the testing. So that's a concern that was raised early on by me and other folks. And we did have calls with them and their engineers to discuss the equipment needs and the test method specifications that would allow for measurements to be repeatable.

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And then we all kind of did agree that once you got to the very, very deep-dimming areas below say 15 percent or so, there would be a lot more difficulty in obtaining accurate and repeatable measurements. But at 50 percent and above, it was our understanding that NEMA was comfortable with those measurements. And they being the ones with the initial concern.

So we also did some round robin testing of some ballasts, obviously not all of them, and were able to corroborate some of those test results. So it's not all just coming from one lab although we presented the set of data just from one lab.

And with regard to the issue of IP for the cathode cut-out technology, so that's also something that we recognized was an issue from previous comments from industry as well. And I guess I would just reiterate that there's no requirement being proposed for cathode cut-out.

There are only performance requirements at 100 percent, 80 percent and 50 percent. None of which requires any sort of technology to be used one way or the other.

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Further, in the NEMA LL9 guidance document that defines basically how much cathode heating should be used in doing ballasts we -- in previous comments have gone to the effort to quantify the level of improvement that's just defined within the LL9 itself. So that again, not looking at any specific cathode heating technologies or approaches, but just understanding that there's a lot of room there inherently for improving that type of performance.

MR. RIDER: And if I recall correctly, Dan, from the data almost, if not all the manufacturers that were tested had at least one ballast of some kind that had cathode cut-out.

MR. YOUNG: I believe so.

MR. RIDER: Of one form or another.

MR. YOUNG: Yeah, I believe so. And again, we don't know for sure, but just looking at the shapes of the carriers that we tested --

MR. RIDER: Would suggest that?

MR. YOUNG: Right, correct.

MR. RIDER: Okay, thank you.

And then Ed, I see your hand's raised again. I'm going to go ahead and unmute you. Did you have some

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    additional comments?
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              MS. THOMAS: Oh, no. I'm sorry. I appreciate the
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    comments and the replies from the staff. I just need to
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    push my "lower hand" button there.
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              MR. RIDER: Okay, sorry.
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              MS. THOMAS: Thank you, very much.
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              MR. RIDER: I just wanted to make sure.
              MR. SAXTON: Ken, I have one more question. You
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    said the test procedure is being adapted from a fixed
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    ballast test procedure. So it's likely that the
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    configuration does not address separation of control wiring
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    from the AC wiring?
              MR. RIDER: Yeah, it doesn't really discuss a lot
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    about control wiring, because they're -- it's just a
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    switch. I mean, there's no --
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              MR. SAXTON: Right, okay.
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              MR. RIDER: And we did add some things to address
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    controls as I mentioned and we'd love to hear more, if
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    there is an issue, how to address that and what that issue
    would be. But this is the first time I've particularly
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    heard of this and so I look forward to seeing the comment
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    and we'll try to address that as appropriate.
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              MR. SAXTON: Yeah. So, Mr. Thomas that might be
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    something that would be particularly helpful in your
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    comments to address.
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MR. RIDER: Okay. I'm going to go ahead and move on to the next topic, which is "Heat Pump Water Chilling Packages."

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If you're just joining this Ken Rider, I'm with the Appliance Efficiency Program at the Energy Commission and the lead for this product.

The Commission is proposing a test and list requirement for heat pump water chilling packages.

Builders, designers, inspectors in the state require repeatable and reliable information about product performance in order to meet energy and design goals in buildings.

And heat pump water chilling packages currently do not have readily available performance data, and in particular verifiable performance data. The Energy Commission's building regulations added testing and verification for these products in Table 110.2-D and certification through the buildings program.

However, the Energy Commission staff proposes to move these requirements into the Title 20 appliance standards, requiring all equipment -- heat pump water chilling packages -- be certified to the more robust appliance efficiency database before being sold, offered for sale, or installed after July 1. And that would affect equipment manufactured on or after July 1, 2016.

The scope of the regulation is the same as the scope of the test procedure.

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The staff is proposing to use AHRI 550-590 2011 for equipment testing. And in the express terms those proposed test procedures are located in Section 1604(c)(5).

And all the equipment currently covered in again, the Title 24 Regulation in Table 110.2-D, we propose to cover in this appliance efficiency standard.

And I put the definition on a slide. And this is taken essentially directly from the test procedure about what a heat pump water chilling package is.

So again, to meet this regulation manufacturers would simply need a test and provide the data. There's no particular performance level that they need to meet. The data submission requirements are located in Section 1606 of the express terms. And it's actually on page 38 of the express terms.

Some examples of the information collected there are heating and cooling capacity, energy efficiency ratio, the COP, the coefficient of performance and a few other important bits of information.

If you have any questions, I'm the lead again, for this product. Here's my email address and phone number and where to submit comments.

I'm going to go ahead and take comments in this

1 room regarding this product; anybody in the room?

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MS. PETRILLO-GROH: Good morning. This is Laura Petrillo-Groh from AHRI.

I think these reporting requirements for this equipment is a bit premature. There is a proposal under development through ASHRAE 90.1 for these products. We'd like to wait for that process to fully complete before moving into such specific reporting requirements for each individual state.

MR. RIDER: So quick question, so this whole topic arose from builders trying to get essentially verification and credit for installing these highly efficient systems. And, you know, the Energy Commission and the Title 24 Program and the Title 20 -- and we didn't have any certified test data. So are you suggesting that the builders continue to not have a data set or what are you proposing for the interim period between now and when this new test procedure is ready?

MR. SAXTON: Ken, let me maybe add a little bit to that. So I think the genesis of this proposal was actually again from a couple of contractors who have intended to install this type of equipment under our building standards requirements. And actually run into problems with their local jurisdictions for not being able to state valid efficiency numbers. And then also people who use what we

call the performance method, essentially a software simulation, to determine equivalent energy budgets have run into complications there as well.

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And so this was actually intended to be a market enabler. I understand the question of certification from the industry side, but this is really intended to enable a broader market for these products.

MR. RIDER: Yeah, and I think also when this new test procedure is done we would love to take a look at it and update to make sure -- we don't want to be inconsistent, necessarily, with industry practice.

MS. PETRILLO-GROH: Sure, I think it's not necessarily a test procedure. I think it's more -- and the proposal is still under development, so I don't have actually any of the details. Please forgive me. But it's more for making sure that these products are going to meet energy efficiency requirements for new buildings. So I would wait to see what happens with ASHRAE 90.1 rather than having the difficulty of maybe having to backtrack on some of the report or requirements and aligning those at a later date.

MR. RIDER: We would appreciate any more detail about how this -- you know, some of those potential difficulties in written comment.

MS. PETRILLO-GROH: Yeah, I would be happy to

follow up with that in written comment. Thank you, very much.

MR. RIDER: Thanks.

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Anybody else in the room? Okay. I don't see any hands raised on the -- I'm going to unmute some of the call-in users just in case they have a comment on this issue. If you're a call-in user your phone has been unmuted. Go ahead and speak if you have a desire to comment on heat pump water chilling packages.

(No audible response.)

Okay. All right, well thank you everyone and I look forward to seeing your written comments. And I believe that puts us at lunch break, or no? Okay.

MR. SINGH: Thank you, again. Since we have some time left I would like to move to the Federal Updates portion of our presentation, because as we have scheduled for the water topics we're going to move on to water topics after lunch.

So if there are any comments related to the federal updates I'd like to receive the comments. There is not any presentation on that, because it's basically we are doing from last year. June was our cutoff date and whatever was from the previous to June of 2014 we updated the federal regulations into our federal updates portion. And we will continue to do that in the next one, but in the

meantime since it's a change without any regulatory effect. So if there are some regulations that came afterwards and aren't effective somewhere in 2015 or the end of 2015 or early 2016 we would like to hear it and see if we can do something about it.

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So with that, if there are any comments we'd like to receive those comments, please.

MR. MESSNER: Thank you. This is Kevin Messner,
I'm with PoliticaLogic. I represent the Association of Home
Appliance Manufacturers.

We submitted comments, let's see how many pages, seven pages of corrections. They're all technical corrections just to get updated with the DOE I hope we can put in there.

We met with Commissioner McAllister almost a year ago on some of these and they've changed since then. And we thought we were going to get in the last group, but weren't able to because of timing I understand. But now we're in the second group and we're a year later, so it'd be helpful to get these in. I hope you look positively on it. We're, as we all know, trying to certify our members or trying to certify and there's just inconsistencies on test procedures and appendix. It should be noncontroversial.

If you have any questions on them I'll be happy to answer them. We can get engineers on the phone and go

1 through it or whatever needs to be done, but it would be 2 great to get everything clarified. So I don't know if you 3 have comments or have any chance of some positive, warm 4 fuzzies to make me feel good that these will get in. 5 MR. SINGH: Yes, thank you Kevin we have looked at 6 your comments and we are working on it. And, you know, 7 hopefully we will have something maybe. If the Commissioner today for 15-Day Language then we might include those in 8 9 the federal updates language. 10 MR. MESSNER: Okay. 11 MR. SINGH: But we are looking at it and Legal is 12 working with us on that, you know? 13 MR. MESSNER: Okay. Thank you. 14 MR. SINGH: And so we are aware of that. And we 15 thank you for your early comments, which is always helpful, 16 because if it's at the end of the comment period then it's 17 always hard to work on those things. So we want to thank 18 you for sending all the comments and appreciate your coming 19 in and commenting -- making your comments here in person. 20 Thank you. 21 MR. MESSNER: Okay. Thank you, Harinder. And like 2.2 I say any questions you have, please feel free. Just one 23 last point is we tried to frame it too -- in that a lot of

these things if you would just reference the DOE regs then

we wouldn't have to necessarily go through that either,

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1 because they're changing as well. And we're always getting 2 interpretations, so that's another larger issue too. But 3 that would be great as well, but thank you. And we'll look 4 forward to the 15-Day Language, maybe. 5 MR. SINGH: Thank you. MR. SAXTON: All right. Thanks, Kevin. 6 7 Just a second, Mike, there's a reason why we don't just reference the DOE regs isn't there? I thought 8 9 that there we have a legal explanation for why we do that? 10 MR. MURZA: I believe so, but I'm not currently familiar with that exact reason. 11 12 MR. SAXTON: Okay, thanks. I think it has to do with the fact that we 13 14 actually do have a state regulation that mirrors the 15 federal regulation. It's the federal regulation, of course, that's in effect. 16 17 MR. MESSNER: Right, and you guys do reference the federal regulations. It's just when you do, you kind of 18

federal regulations. It's just when you do, you kind of halfway do it. You're almost pregnant, I guess, which is not possible. But you put an explanation and then you cite the DOE federal regulations, but the explanation isn't consistent with the regulations. So if you just cite the regulations and leave out as many words to try to explain it, it'd be better.

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MR. SAXTON: All right, well we'll definitely meet

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again internally and maybe there's a follow-up, because as
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    you said it's a constantly moving target. The intent is
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    certainly to remain consistent.
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              MR. MESSNER: Right, perfect.
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              MR. SAXTON: So okay maybe there's better
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    approach.
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              MR. MESSNER: Okay. Thank you.
              MR. SINGH: Nate?
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              MR. DEWART: Thank you, Harinder. This is
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    Nate Dewart from Energy Solutions on behalf of the
    California Investor Owned Utilities.
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              Just to share the sentiment of we've reviewed the
    45-day language for the federal alignment and are fairly
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    supportive of that process and will be submitting comments
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    in writing. Thanks.
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              MR. SAXTON: Thanks.
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              MR. SINGH: Thank you.
              MS. PETRILLO-GROH: Laura Petrillo-Groh from AHRI.
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    There are two requirements: one for heat pumps and one for
2.0
    residential furnace fans that are premature.
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              There was an inclusion of off-mode power
2.2
    consumption in the data submittal requirements for the heat
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    pumps. And there's no test procedure for that yet. In July
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    of 2014 the U.S. Department of Energy issued a non-
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    enforcement policy statement saying that they would not
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assert several penalties until 180 days after the test procedure was finalized. So I believe that field is mandatory actually right now. And we would ask that that field be updated, so that the input of blank or null would be allowable. And it would be optional until after that test procedure is finalized.

And then for the fan efficiency rating for residential furnace fans, the requirement of this metric will be premature, because it does not go into effect until July 3rd, 2019. So until that time we would ask that this field be optional, so that if a manufacturer does rate their fan using that metric then they could report, however that it wouldn't be required.

MR. SINGH: Thank you.

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MR. RIDER: Actually, Laura, let me just ask you just ask you -- maybe this is something we need to take offline and discuss later. But let's assume that we set that field to null and then later we repopulate that once DOE has finished its test procedure. There's a certain amount of administrative -- we would need to coordinate to do that, because we would have to kick the -- there's some data processing stuff that we probably would like to discuss.

So maybe we can set up a meeting or something to further discuss this, because I think we need to get into

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the nitty-gritty about how certification works. And make
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    sure that we understand exactly what your membership would
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    like to see. And then also convey -- it's probably too long
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    for this workshop, but if we could schedule something to
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    follow up on that particular point?
              MS. PETRILLO-GROH: Yeah, that would be great and
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    we'd be happy to work with the Commission. We know it's a
    tricky subject and there may need to be repopulating, so
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    thank you. We'll work with you on that.
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              MR. SAXTON: Thank you.
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              MS. PETRILLO-GROH: Thank you, very much.
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              MR. SINGH: Any more comments from the room?
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              Okay. I'm going to unmute the lines. If there any
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    comments on the telephones please, you're all unmuted now.
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         (No audible response.)
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              MR. SINGH: Okay. There are no comments and thank
17
    you, very much.
              And now we can break for lunch, I think. We still
18
    have 25 minutes left, but we'll meet at 1:00 o'clock for
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    the water topics. And thank you very much for your time.
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21
           (Off the record for lunch break at 11:37 a.m.)
2.2
                     (On the record at 1:08 p.m.)
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              MR. SINGH: Again, on the presentation, our next
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    presentation is for the Water Efficiency Standards for
25
    Toilets, Urinals and Faucets. And our staff engineer Tuan
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Ngo is presenting it. Tuan?

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MR. NGO: Good afternoon. Today staff present to you the stakeholder, the public, a proposal to approve the efficiency standards for water appliances. The appliances are toilets, urinals, residential lavatory faucets, kitchen faucets and public lavatory faucets.

Why do we need new standards? As Commissioner McAllister mentioned this morning, we are in a serious drought. And we don't see any relief in sight. And our current standards were in place since 1992 and have not been updated.

We have AB 715 for water conservation for low-flush water closets and urinals. In a very brief term this law required, by January 1 of 2014 all toilets and urinals for sale in the state had to be a high-efficiency type.

And lastly, by reducing water consumption of toilets, urinals, and faucets we can save water and energy.

So what is the proposed water efficiency standards that we propose? We are proposing that by July 1st of 2016 all toilets shall have a maximum 1.28 gallons per flush, for each flush. And a minimum MaP score of 350 grams. By the way, MaP or Maximum Performance Score, is an indicator to just the efficiency of the unit in terms of removing solid materials. First, a higher score means the unit is more efficient in removal solid waste.

For urinals staff propose a 0.125 gallons per flush. We also propose some exemptions for toilets and urinals from prison and mental health facilities for safety reasons.

2.2

Lavatory faucet or bathroom faucet will be restricted to a maximum flow of 1.5 gallons per minute at a pressure of 60 psi and a minimum of 0.8 gallon per minute at a pressure of 20 psi.

Kitchen faucets will be reduced or limited to 1.8 gallons per minute with an optional temporary 2.2 gallons per minute for filling pots and pans.

And then for public lavatory faucets we want to restrict the flow to 0.5 gallons per minute.

Staff proposed -- and once adopted -- will be incorporated into the prime efficiency regulation Title 20, Section 1501 to 1608 of the California Code of Regulations.

Now, I'd like to walk through each section of Title 20 that is proposed to be changed.

In Section 1602 (h) definitions, we propose to revise the "plumbing fitting" definition, one for clarification and to make it consistent with the federal update. We're adding a new category definition for public lavatory faucet to define a type of lavatory faucet intended to be installed in nonresidential bathrooms that are accessible to the public.

In Section 1602(i) we're adding two new definitions for dual-flush effective flush volume and dual-flush water closet. To address the new type of toilets that allow users to choose a lesser amount of water for the removal of nonsolid waste.

Again, MaP means the maximum flushing performance and as I mentioned earlier it's an indicator of how good, how efficient that unit removal is of solid waste.

Plumbing fixture was also revised for clarification.

2.2

In Section 1604(i) dealing with test methods, we're adding new test methods for MaP testing toilet fixture performance in Version 5 of March 2013. The tests refer to maximum performance. In so many words it requires toilets to be tested (inaudible) close to real thing to ensure proper performance.

In 1605.3(h) staff proposed revisions to tub spout diverters and showerheads, faucets and aerators.

These modifications are needed to refer these equipments to the specific standards for each appliance.

Section 1605.3(h) contains a new Table H-3 with a standard proposal for lavatory faucets, kitchen faucets and public lavatory faucets. As you can see faucets that are manufactured after the effective date must meet the standards specified in the right-hand side of the table.

In 1605.3(i) staff add a revised language and a new table, which also contains standards for toilets and urinals. Again, the appliances manufactured after the effective date must meet the standards specified on the right-hand side of the table.

2.2

Section 1606 for reporting requirements, once again staff propose updating the standard. Then the reporting requirement are also needed to be modified to ensure that the appliances are tested and reported correctly. With that exception data reporting Table X is also proposed to be revised to include new requirements and these are marked in the underlined text in this table.

Also Table X also has reporting requirements, but this one is instead adding a new requirement for the new type of toilet that is a dual-flush toilet and the MaP score.

At this point staff would like to background basic and analysis that form the basis for recommending the proposed standards to the Energy Commission for consideration.

First of all, what are we using? Based on the staff estimation quarterly the state is using 444 billion gallons of water per each year to flow toilets, urinals and running the faucets. The water does not get to the home and building by itself. A lot of energy is needed to pump, to

treat the water, to collect and treat it again before releasing it back to the environment.

2.2

Staff estimate that approximately 4,500 GWh electricity each year is used to pump and treat this water. On top of that some water is needed to be heated for proper or convenient use by the consumers. Staff estimates that about 4,600 GWh of electricity and about a billion therms of natural gas are used for this heating purpose.

First thing first, the Energy Commission staff need to do some conventional analysis to ensure that the regulation proposed would be beneficial and effective. And specifically we are required to determine that the adopted regulations be both cost effective and technically feasible.

For cost effectiveness, staff found out that the cost of compliant appliances are no different from the cost of noncompliant units. So the consumer and businesses can reap the savings immediately.

Well, the cost figure is good, but can manufacturers and the market adapt to the new standards? Which brings us next to the technical feasibility of the regulation.

This table, this list, lists all the new -- lists all the technology that manufacturers have been using to reduce water consumption for these appliances.

Staff also reviewed a MaP, maximum performance testing position for toilets, and believe that the problem associated with the early release of low-volume faucets in the mid-90s had been solved with the MaP score testing. And for a faucet reducing flow is reliant along existing technology. So it's technically feasible.

2.2

But this is not the only thing the staff have to do. We also look at our database and the WaterSense database and we see that there are numerous smallest appliances in use, already in use, that meet the standards the staff have proposed. In fact, 49 percent of all tank type toilets already meet our proposed standards, 26 percent of flush-o-meter already meet our standards, and 11 percent of dual-flush toilets meet our standards, 17 percent of the urinals meet our standards.

And then over half, 50 percent, of residential lavatory faucets, 33 percent of residential kitchen faucets, and public lavatory faucets already meet our standards.

Anyways, there are numerous compliant models available for sale in the state. Staff believe that is an indication of qualifying products that are technically feasible and readily available in California.

What about the impact and benefits? Staff analyzed the effect of the proposed standards and concluded

there is no additional impact to the environment as a result of adopting and implementing the proposed standards. Staff also looked at the benefits of the proposed standards and concluded that the proposed standards will save a significant amount of water, which will result in an increased availability of water to other users, decrease the need for diversification, decrease associated environmental impacts to riparian and wetland habitats from those diversions and decrease future drought impacts on California.

2.2

In addition, the proposed standards will also result in avoided emitting of criteria air contaminants, and reduce greenhouse gases to the tune of close to 1.9 million tons of equivalent CO2 each year.

And this is a bar graph showing the annual water consumption of the appliances before and after the standards take effect. As shown here, and in the staff report, the regulations once fully in effect by 2040 will result in a combined annual savings of about 88.6 billion gallons of water.

Of these savings 16 billion come from the residential toilets, 1 billion gallons from commercial toilets, about 3.5 billion gallons from urinals, 22 billion gallons from the residential lavatory faucets and almost 30 billion from kitchen faucets and 16 billion gallon savings

from public faucets.

2.2

In addition, the proposed regulations would save additional energy from heating due to natural gas and electricity while causing no adverse impact to the environment.

MR. SINGH: Jerry, I have your cards on the PMI (inaudible), so if you --

MR. DESMOND: Good afternoon. Commissioner

McAllister, Mr. Saxton, Tuan and Harinder, my name is Jerry

Desmond, Jr. I'm the California advocate for Plumbing

Manufacturers International or PMI.

PMI appreciates this opportunity to provide comments on the Energy Commission's current rulemaking on water closets, urinals and faucets under Docket Number 15-AAER-1.

PMI is an international, but U.S. based trade association, representing 90 percent of the U.S. plumbing products sold in the United States. PMI has made the promotion of water safety and efficiency a top priority and has even included this in our mission statement.

Our members are industry leaders in producing safe, reliable and innovative water efficient plumbing technologies. And PMI has supported water efficiency legislation and codes throughout California and at the federal level including the voluntary US EPA WaterSense

program.

2.2

You know, some examples of PMI's involvement here in California refer to the two pieces of legislation that were referenced in the staff presentation. AB 715 which was Chapter 499 of the Statutes of 2007 included a PMI-authored model transition to water efficient toilets and urinals with an implementation date of 100 percent by 2014.

Similarly, PMI supported SB 407, Chapter 587 of the Statutes of 2009 that provide by 2019 for the replacement of noncompliant products in both multiresidential and commercial settings.

More to the point today, PMI appreciates and supports the recommendations that are in the proposed regulation on several of the products that are very important to Californians.

First, the provision that provides that all toilets, except those designed for prisons or mental health facilities, shall have a maximum consumption or effective flush volume for dual-flush toilets of 1.28 gallons per flush and shall have a 359 gram performance threshold.

Second, PMI supports the proposal in the regulation that all residential lavatory faucets shall not exceed a flow rate of 1.5 gallons per minute at 60 psi and shall have a minimum flow rate of 0.8 gallons per minute at 20 psi.

Third, PMI supports the proposed regulation that would provide that all kitchen faucets shall not exceed a flow rate of 1.8 gallons per minute and may have the capability to increase to 2.2 gallons per minute momentarily for filling pots and pans.

And then fifth, (sic) PMI supports the provision in the regulations providing that all public lavatory faucets shall not exceed a flow rate of 0.5 gallons per minute at 60 psi.

We concur in the analysis set forth in the staff presentation here today, that indicate that billions of gallons of water, millions of therms of natural gas, and thousands of GWh of electricity per year will be saved without jeopardizing, which is quite important, public health and safety or resulting in plumbing system performance issues.

You know, next we do have some issues we'd like to bring up with the proposed regulations. And that will be presented by PMI President Fernando Fernandez next, if that's fine, Harinder?

MR. FERNANDEZ: Good, thanks for being here.

Good afternoon, Commissioner McAllister, Mr.

23 Saxton, CEC staff and everyone in attendance today.

My name is Fernando Fernandez. I represent Toto USA, a plumbing products manufacturer. And I'm here also

representing PMI, Plumbing Manufacturers International, as their president for 2015.

2.2

PMI is a trade association representing 34 member companies comprised not only of manufacturers, but of code development bodies in standards development organizations too. I'm glad to be here today to have the opportunity to address the appliance efficiency rulemaking process concerning plumbing fixtures and fittings.

There has been no other environmentally focused topic discussed more frequently as of late, than the drought conditions faced by this state. PMI applauds the efforts made by the Commission thus far in updating the appliance efficiency program under Title 20, which implements measures to further conserve our most coveted commodity -- drinking water.

More specifically, the CEC has made some great strides to affect positive change with respect to water efficiency. The word "efficiency" is indicative of two principle elements: conservation and performance. And the two go hand-in-hand, especially in the plumbing arena where fixtures and fittings are not only dependent upon conveyance of water, but also relied upon for their distribution of it in a safe and effective manner all within the plumbing system.

With that in mind, plumbing product manufacturers

are some of the best stewards of water efficiency around today. They not only produce these water efficient products, they not only advocate for water efficiency, they not only participate in industry events to update standards such as we see here today, but also influence codes and policy making as well. But they have one unique advantage that sets them apart from other groups. They know their products limitations better than anyone.

2.2

This is important as it relates to health and safety, important as it relates to product performance and user satisfaction, and important as it relates to the water and energy nexus.

Here we are over 20 years separated from the effective date of the Energy Policy Act of 1992 and thanks to collaboration between manufacturers, EPA, and other industry stakeholders starting in 2006 a number of high efficiency WaterSense standards have been created. We're all very familiar with those. And the process continues to this day by which manufacturers certify their products too.

This is a very significant accomplishment, because the performance requirements and water consumption levels predicated therein have been thoroughly vetted by industry stakeholders in addition to being time tested. This means that there are no adverse effects on the plumbing system nor dissatisfaction by consumers. As a

matter of fact, the WaterSense criteria covering various product lines are now reflected in industry consensus standards as well.

2.2

However, there is a bit of a misperception floating around that the EPA WaterSense referenced maximum consumption levels are now the new norm and therefore imply that these are perhaps outdated and should be challenged, because they are insufficient or inadequate. This perception is damaging. It leads to moving all too precipitously into a race of how low can you go, and lower is better, falsehood. If there's any one thing that trumps the water/energy nexus it is the spectrum of health, safety and environment.

There are consequences as a result of going too low. Solely focusing in on a single element of the plumbing system, to be the end-all/cure-all for water woes, is the wrong path to take. A paradigm shift must occur to take into account other modes of conservation to make a significant impact.

Manufacturers do and will continue to produce high efficiency products to meet the needs for a particular application, but they should not be limited in their product offering with solutions to marketplace needs. This is why it is important to recognize our voice, our solutions and our concerns.

That said, once again PMI supports the direction of the CEC with the appliance efficiency guidelines with one significant exception. In addition to the editorial comments PMI has already submitted limiting wall-hung urinals to a one-pipe maximum is very restrictive. Based on knowhow and experience there is a tipping point where the frequency and duration of use of a urinal is greater than the volume of water to dilute the urine and carry it into the drain.

2.2

Also, urinals are not always activated upon each use. At one pint, this will lead to an accelerated growth of mineral deposits better known as Struvite. This urinebased formation inside the pipe walls at the stub-out connection to the urinal leads to clogging. Having Struvite scale leads to inefficiency and poor performance due to clogging of the pipes.

In an attempt to alleviate plumbing system incompatibilities it is the inherent nature of model plumbing codes to address the proper selection of materials and their installation with due regard to preservation of strength, of structural members, and prevention of damage through fixture use.

Additionally, SB 407 is a law that requires a transitioning of plumbing fixtures in real property to more efficient models within a targeted timeframe for building

alterations and real estate disclosures. With respect to commercial buildings this mass scale transitioning can have a detrimental effect if only one-pint urinals are available. And can only lead to more costly repairs or alternatives.

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Moreover, I would like to take a moment to reflect on the comments submitted by a vast majority of industry stakeholders during the pre-rulemaking who also concurred on retaining half-gallon per flush urinals, which again are a 50 percent water savings over the federally-mandated level.

Comments were submitted by organizations representing code developers, standard developers, the building industry, plumbing engineers, water utilities and other key groups that represent the expertise and the knowledge base when it comes to plumbing. This is the largest cross-section of plumbing/building water cosmos in alignment on this topic and water efficiency in general, that we have seen.

With that, I ask you for your reconsideration on this topic, to retain half-gallon per flush for wall-mounted urinals. This will allow manufacturers to continue to innovate while offering selection for a variety of applications; applications that may call for non-water urinals, for one-pint urinals, and also for half-gallon

1 urinals. Thank you.

2.2

COMMISSIONER MCALLISTER: Thank you, very much for being here, appreciate your comments.

MR. SINGH: Okay. Let me get the name, yes please.

MR. LEHTONEN: Good afternoon, Commissioner
McAllister and Mr. Saxton, CEC staff and interested
parties. My name is Steve Lehtonen, I'm the Senior Vice
President for Environmental Education with the
International Association of Plumbing and Mechanical
Officials, better known as IAPMO.

We appreciate this opportunity to comment once again, to the California Energy Commission regarding the current rulemaking on water closets, urinals and faucets as outlined in their most recent analysis, staff analysis, for toilets, urinals and faucets that we support, as they pertain to toilets, residential lavatory faucets, kitchen faucets and public commercial faucets.

However, we remain concerned about the provisions pertaining to urinals due to a lack of research and field experience with urinals flushing on 0.125 gallons per flush. Our concerns center on the continued efficacy of sanitary building drains. Installation failures caused by Struvite buildup in the building drains can occur due to insufficient scouring action. Such failures are extremely disruptive to the operation of a building as they result in

strong and excessive odors that often necessitate evacuation of areas adjacent to the bathrooms encountering such problems.

2.2

Clearing drainage from Struvite blockage is considered to be one of the most unpleasant jobs in the plumbing trade. Prior to implementing a requirement for the installation of 0.125 gallons per flush max urinals IAPMO strongly recommends that research be conducted on both 0.125 urinals and non-water consuming urinals. So that the implications regarding the continued efficacy of building drains are better understood.

In addition, IAPMO recommends that a survey of existing buildings that employ 0.125 gallon urinals and non-water consuming urinals be conducted to compare the buildup of Struvite in the building drains and the fixture stub-out to similar aged installations employing higher consumption models.

Alternately, IAPMO recommends that the maximum flush volume for urinals be set at 0.5 gallons per flush, which is consistent with AB 715 and CALGreen and the U.S. EPA's WaterSense Program.

IAPMO is a founding member of the Plumbing
Efficiency Research Coalition, PERC. PERC is an ad hoc
coalition of plumbing and water efficiency associations
solely focused on conducting research on plumbing-related

issues that pertain water efficiency such that unintended consequences of water efficiency can be avoided. PERC is well suited to conduct research on the issue of 0.125 gallons per flush and non-water consuming urinals.

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IAPMO recommends that the Commission work together with PERC on a collaborative research program to determine the impact to determine the impact that these fixtures will have on building drains. We would gladly welcome convening a dialogue with the Commission on such a project allowing the Commission's regulation to be determined by sound research.

Finally, as an accredited consensus standards developing organization IAPMO recommends that the applicable consensus standard be referenced in the Commission's regulation. ASME All2.19.2 provides the consensus-based requirements for water closets and urinals. ASME All2.18.1 provides the consensus-based requirements for faucets and shower heads.

In closing, IAPMO would like to thank the Commission for their continued consideration of our comments. As a California-based association we fully appreciate the urgent need for immediate and drastic action to address the ongoing and devastating drought ravaging our state. However, poorly considered regulations can not only result in disruptive and costly repairs in buildings, but

also have the potential for the public to question other water efficiency provisions.

2.2

We look forward to working with the Commission proactively to help arrive at regulations that are not only well-intended, but which are also based on sound research and data. And I would like to conclude also by saying that we appreciate that the staff of the Energy Commission has participated with us in our green plumbing and mechanical taskforce. Thank you.

COMMISSIONER MCALLISTER: Thanks very much for being here and your comments.

MR. GLEIBERMAN: Hello, Commissioner McAllister and Mr. Saxton, my name is Daniel Gleiberman. I'm a manager of product compliance and government affairs for Sloan Valve Company. We're a large commercial manufacturer based in Chicago, Illinois and also here in California. And I'm also a member of PMI. I'm a chairman of their Water Sustainability and Efficiency Committee.

And I want to add just a few brief comments to what's already been stated by PMI members and by Steve from IAPMO.

In the staff's presentation it was indicated that our regulations under Title 20 haven't been updated since 1992. So we are absolutely here to support the effort and all the hard work that staff and the Commission is doing.

Since that time, as others have mentioned, there have been several plumbing code updates, building code updates, green code updates and state law updates all of them dealing specifically with some of these very water appliances that we're dealing with today.

2.2

And we think it's important to not have a difference between the building code and the plumbing code in Title 20. The building code and the plumbing code deal with the installation, the safe installation, the inspection, the safe inspection and the maintenance, the safe maintenance of those products. Title 20 deals with the sale of those. That's why we would be recommending that for now, Title 20 is aligned with AB 715, which is for the urinal, specifically a 0.5 gallon per flush.

We don't want to leave any water on the table, just like no Commissioner, no responsible policy maker would. But we feel it's premature to have a difference between the building code and the plumbing code and what Title 20 would allow.

Just to give you an example, as a manufacturer we may have a product that has gone through extensive testing at great cost to us, through a third-party certifier like IAPMO, and that carries a WaterSense label at a 0.5 gallon per flush. That's recognized as a safe product that's been tested and a water efficient product, but we wouldn't be

able to sell that in California, because Title 20 would prohibit that where the plumbing code in California would still allow it.

2.2

Similarly, and I think I would just almost close by this, there were some other references to SB 407 and I know there's been written documentation presented already from other stakeholders on that.

Just in summary, for those of you that may not recall SB 407 was adopted in 2009 and it was rather groundbreaking, because it was really trying to force the issue about how we can get rid of inefficient products in a responsible cost-effective manner and try and save water in existing buildings. It had a very long gestation period. It's coming, I think, not due until 2019, but for commercial buildings. And I'm just going to speak specifically about commercial buildings now, because of 0.5 gallon per flush urinal issue.

At the time of its contemplation, at the time of its adoption, it wasn't contemplated that there would be restrictions on the available products for those existing buildings with older infrastructure as Steve was mentioning from IAPMO. That there would be a range of products that would be more efficient than what is currently on the wall or currently installed, but still allow that building to perform in a safe, healthy, non -- what's the word I'm

looking for -- nonintrusive manner.

2.2

We've heard that, if in fact, urinals don't work properly in existing installations, because they need more water than just a pint urinal then that cleanup is rather onerous. It's not a pretty job and I would offer that maybe there's some health impacts associated with the occupants of the building or the people having to do the work that haven't also been contemplated by the rulemaking.

So in summary, I'd like to just point out that we do want minimum levels of operating efficiency as was stated by staff in their introductory comments. We do feel that there are potential environmental impacts if the mandate in Title 20 is in conflict with what is currently in the building code and the plumbing code, which is still again very efficient. We're gone from 1 gallon down to 0.5, so that's a 50 percent efficiency.

And I'm not saying we should stop there by any means, but to limit the availability and the choices for existing buildings and their desire to go efficient, we think, isn't the right thing. And from the standpoint of not PMI, but from Sloan as the manufacturer, we don't believe that those costs that may be imposed on the building owners have been adequately quantified by the presentation.

And obviously, I'm available to answer any

questions. And again, I thank you very much for your time. 1 2. We will consolidate some of these in a written form prior 3 to the end of the public hearing deadline. 4 COMMISSIONER MCALLISTER: Thank you, very much 5 MR. GLEIBERMAN: Thank you. MR. SINGH: Charles with Edison, I saw your raise 6 7 hands, any comments? MR. KIM: (Inaudible) 8 9 MR. SINGH: Okay. 10 MR. KIM: Thank you, very much for the opportunity to speak. My name is a Charles Kim, I'm with the Southern 11 12 California Edison Company. I'm speaking on behalf of the Statewide IOU Codes and Standards Team. 13 14 Three consecutive years of a drought challenged 15 Californians. Now, we are facing one more year of a drought. We need to face this challenge and I applaud CEC 16 17 to take this monumental task to provide a sensible solution to address the drought. 18 19 This is not an easy task, that requires not just 20 support from the Californians, but also the manufacturers. 21 We need face this challenge within the context of our 2.2 regulations. Do we have a cost effective solution available 23 out there? Do we have a sufficient knowledge to meet the challenge of a drought that Californians are facing? Does 24 25 it save water? Does it save energy and etcetera? And our

case study clearly demonstrates that and our supporting documents clearly support that.

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2.4

Other agencies are right now looking at different ways to reduce water. And I agree with the previous speaker stating that Title 20, Title 24 and plumbing code needs to work together to resolve this issue. And I fully agree with that, but what I want to say here is that I want to ask Commissioner to look once more and look deeper. And see if there is anything else that we can do to improve our water efficiency for these fixtures.

And my colleagues and my consultants are going to make specific comments, the opportunities where we can make more bold steps to address the drought issues that we are facing today and one more year, maybe more. And CEC has an opportunity to address our challenges today. So I ask CEC once more look deeply, look once more, and see where we can find better solutions.

And I want to introduce, once again my coworker and consultant, Heidi, to make specific comments. And we'll submit our comments in written form and it will be docketed. Thank you, very much Commission and staff, to make this bold, bold movement to address our challenges in this water drought. Thank you.

COMMISSIONER MCALLISTER: Thanks for being here.

MS. HAUENSTEIN: Saving paper today. All right, my

name's Heidi Hauenstein. I'm here today on behalf of the
California Investor Owned Utility Codes and Standards Team.
And I have some specific comments about the proposed
standards for both plumbing fittings and plumbing fixtures.

2.2

So overall, the Utility Team is really pleased that the Energy Commission has taken on the water efficiency standards and are proposing measures that really are going to save quite a bit of water.

On the high level we would recommend that you, like Charles said, look beyond what is already adopted as state law through AB 715 and see if there's additional savings that can be achieved.

So I'm going to start with comments on plumbing fittings, which is faucets. So the Utility Team supports the CEC's proposal for lavatory faucets and public -- or kitchen faucets and public lavatory faucets. We maintain our original recommendation, that the efficiency for residential lavatory faucets should be set at a maximum flow rate of 1 gallon per minute at 60 psi. And a minimum flow rate of .5 gallons per minute at 20 psi.

And adopting this more stringent level will result in additional savings of 12 billion gallons after full stock turnover. And that is equivalent to the average annual water use of approximately 185,000 Californians.

I wanted to address head on, some of the comments

that were received in this rulemaking. So first, with regards to opportunistic pathogens, some folks have raised concern about the potential link of green plumbing systems with an increased risk of exposure to opportunistic pathogens.

2.2

So the existing body of research is insufficient to prove that there is a correlation between faucet flow rates and an increased risk of exposure to opportunistic pathogens. When we were doing our research on this we spoke with a number of folks. And one gentleman, Mark Edwards, is really leading the charge on opportunistic pathogens in premise plumbing systems. And he confirmed that a 2006 study published by the Journal of Applied Microbiology is the only study to his knowledge, and to our knowledge, that has directly evaluated the impact of faucet flow rates on pathogen growth.

And I'm just going to read some quotes from that study and I handed a copy to staff and to Commissioner McAllister. So I quote, "Stagnation within water systems has been cited by numerous authors as a condition favoring Legionella replication. However, the effect of low flow conditions on the presence of Legionella in water systems has not been scientifically evaluated. Therefore, we investigate the effects of flow dynamics on the presence of Legionella in a model plumbing system under controlled

conditions."

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And then later in the report they conclude, "The results of our model failed to show that stagnation promoted growth of Legionella. Similarly, in a small controlled study of disinfection in a hospital colonized with Legionella, removal of deadlegs had no effect on Legionella colonization."

So the other result of this study is that turbulent flow, which is experienced at high flow rates actually promoted Legionella growth. And as you have heard from comments on the docket that a lot of hypotheses actually suggest that stagnation leads to Legionella growth and this study did not find that conclusion.

So moving on to the next comment that we received in the docket and this is regarding the hot water wait time and the amount of water that is wasted when you wait for hot water to arrive. So some commenters have voice concern that reduction of the lavatory faucet flow rate in residential buildings could lead to unintended consequences of wasted water and energy.

So it is really difficult to demonstrate the amount of water that is wasted throughout California, because there's a lot of variability in the existing buildings. And there hasn't been a comprehensive survey of all existing plumbing systems, so it's difficult to

validate assumptions.

2.2

However, PMI submitted a report that looked at an example of the wasted water in a sample building. So we took PMI's results, which in our docketed comments we pointed out a few points where we think that PMI's results may be overstating the water use a little bit or the wasted water, but regardless we used PMI's assumptions and we reran our analysis.

And what we found was that there is still significant water and energy savings associated with the 1 gallon per minute faucet standard, even considering the wasted water. I think that it was a 6 percent reduction in the water in embedded energy savings and an 11 percent reduction in the energy use for electricity and natural gas from hot water savings. So we do understand that there is a little bit of a penalty, but it doesn't even come close to negating the savings of the measure.

And the benefit-to-cost ratio. If you have natural gas water heating, then the benefit-to-cost ratio is 20. And if you add electric water heating then the benefit-to-cost ratio is 35. So this is still a very cost-effective measure that results in a huge amount of savings, 12 billion gallons of savings at stock turnover.

And then just one other point on the faucets is consumer satisfaction surveys show that consumers are very,

very satisfied with 1 gallon permitted faucets. So it's not adopting a standard that would have a detrimental impact on how consumers perceive their products.

2.2

So I think that concludes my substantive comments. We do have a few editorial revisions to propose for our faucets and that is Table H-3 should be updated to clarify that the requirement at 20 psi is actually a minimum flow rate, it's not a maximum flow rate. And then also a clarification that the requirements in Table H-3 should apply to the faucet and the replacement aerator or replacement accessory.

Okay. So I'm going to move on to our comments on plumbing fixtures, which is toilets and urinals. So the Utility Team supports the proposed standards for both toilets and urinals. We are pleased to see that you are recommending a pint urinal or a pint requirement for urinals.

Just to highlight the importance of going beyond AB 715 on urinals is adopting the pint urinal as opposed to half-gallon will result in 1.7 billion gallons of water savings after stock turnover. The Utility Team has provided quite a bit of information in response to comments on how the pint urinal might affect the building drainage systems.

And just to highlight some of the key points that we've already submitted and docketed one is that there is

no evidence that the pint urinal will cause Struvite buildup that is going to cause irreparable damage to the building. And commenters have raised this concern, but they have failed to actually provided data to demonstrate the viability of this concern.

2.2

Number two, manufacturers of pint urinals sell these pint urinals and provide no additional guidance on where those urinals can actually be installed without causing damage to your building. And also that maintenance practices including flushing each urinal on a regular basis can help address this unproven concern of Struvite buildup. And the proposed standard of a pint urinal will still result in cost-effective water savings.

So moving on to toilets, we do support the Commission's proposed standard of 1.28 gallons per flush per toilets. We would recommend -- I think that other folks have recommended this as well -- that tank type toilets meet the requirements of the WaterSense specification.

And then for editorial comments the definitions in the standards, this is actually for both plumbing fixtures and fittings should be consistent with the DOE and ASME definitions. We support the fact that the Energy Commission is aiming to require that manufacturers submit the waste extraction scores as part of their compliance.

And oh, I forgot one comment about urinals. There

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1
    are pint floor-mounted urinals and we would recommend that
 2
    you consider requiring the pint level for all urinals, both
    wall-mounted and floor-mounted. And we'll include more
 3
 4
    information about that in our written comments.
              Okay. And then the final comment that I have here
 5
    today is that Table I-2 should be updated, so that the
 6
 7
    requirements apply to water closets, urinals and the
    flushing devices associated with those urinals and toilets.
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 9
              All right, that's all. Thank you for the
10
    opportunity to comment today.
11
              MR. NGO: Thank you, Heidi. Heidi, I have a
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    question for you, just one quick one. Originally IOU
13
    proposed pint-sized urinals only for wall mount. Now, you
14
    want to propose pint-sized for floor mount also?
15
              MS. HAUENSTEIN: Yes.
              MR. NGO: Oh, okay. I've got you. I just wanted to
16
17
    make sure that I understand what you're saying. Okay, thank
18
    you.
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              MR. SINGH: Pierre?
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              MR. DELFORGE: Thanks. Commissioner, staff, thank
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    you again for the opportunity to comment.
2.2
              I think one thing that all the speakers have
23
    agreed on today is that we are in a serious drought, I
24
    would call actually a crisis situation, regarding water.
25
    And given the clear scientific evidence that we have on the
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trends in terms of both precipitation and temperature, what is a drought today may be the new normal in a few years or worse. So I commend the Commission's leadership in adapting to the situation and ensuring that we have plumbing fixtures that minimize the waste of water in the states. So that we can use the water that we have for the most critical uses and not waste it when we don't need to.

2.2

We generally strongly support the Commission's proposal on most of the plumbing fixtures. I would like to focus my comments on two fixtures. I'll start with urinals. We strongly support the Commission's proposal of a pint size or pint flush urinal standard. Half a gallon, which is significantly more than proposed standards, when you think about it that's a lot of water to flush just a couple of ounces of liquid waste. And when you have waterless urinals available already, and working, it doesn't seem to be justified given the current situation to need to require a half a gallon to flush urinals.

We also would like to note that 35 percent of the model systems available, or 35 by WaterSense, are already meeting that pint-size flush standard.

We also have some solutions to the problems that were raised by previous speakers on potential Struvite buildup. And one of them, for example, with technology solutions, which already available, is to use electronic

valves that can perform a courtesy flush if a urinal is not used for a period of time. So, for example, if your building is not used for several days of a long weekend or something like that you can program the valves to flush once a day and restore the trap and compensate for the evaporation that could lead to odor or other issues. So we have technology solutions today that can be used to remedy this potential risk.

2.2

I would also emphasize the point raised by Heidi early on that an examination of the manufactured literature, both installation specs and warranty information and manuals and everything, doesn't show any evidence of instructions on how to -- or what are the requirements for the plumbing system to be able to store pint urinals. There are some on the water supply system, but not on the drainage system. So if that were really a problem you would expect that this would show up in the literature.

The last point, the Los Angeles DWP has already for the last five years implemented pint-flush urinals and there have been no problems reported so far. And you would think if there were problems, five years would be a sufficient amount of time to provide evidence of these problems.

So we strongly support the standards. The

difference between the two proposals is about three billion gallons a year and every gallon counts, so that's a lot of water here which is at stake.

2.2

My second point is on lavatory faucets, so we saw on the data provided by Tuan early on how faucets are some of the largest water users in the state. And the IOU and NDRC proposal of 1.0 gallon per minute on faucets stands to save 12 billion gallons per year in use after stock turnover. That's a huge amount of water and I second the call by the IOUs to look a little bit harder in some cases. And I think in this one particular, WaterSense is no longer sufficient and we need to look beyond WaterSense to address the state's situation.

There are already about 250 models or systems in the CEC Database that meet this standard. And as Heidi mentioned we have consumer satisfaction surveys, which show very high satisfaction with these fixtures. So there's no, you know, wait times don't seem to be a substantial concern with these solutions.

So we urge the Commission to reconsider its position on this and to -- you know, there's 12 billion gallons at stake -- and that's a substantial amount that warrants closer examination.

With this, I would like to thank the Commission for its leadership again and look forward to the final

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    proposal and to the adoption. Thank you, very much.
 2.
              MR. SINGH: Thank you, Pierre.
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              Next is Eddie.
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              MR. MORENO: Good afternoon, happy Saint Patrick's
          I'm Eddie Moreno on behalf of the Sierra Club
 5
    California. I just want to thank staff and the Commission
 6
 7
    for all the work they've done so far and all of the efforts
    that are still in the pipeline as far as energy efficiency.
 8
    It's an exciting opportunity for Sierra Club to continue to
9
10
    work with you guys.
11
              The standards for toilets, urinals and faucets
12
    are essential and we support their adoption that will
    likely encourage greater efficiency for faucets and
13
14
    urinals. We've heard some pretty interesting things from
15
    the stakeholders today, so we'll be reaching out to them.
16
    And I'll be taking a lot of this back to my members and
17
    we'll be submitting comments before the deadline, so thank
18
    you.
19
              MR. SINGH: Thank you.
20
              Any comments from the floor, anybody else who
21
    wants to make a comment?
2.2
         (No audible response.)
23
              Ken, will you then do the --
              MR. RIDER: Yeah, we'll see if there's any
24
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    comments on the phone. We have several. I'm going to start
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with John Koeller. I'm going to unmute you, John.

who wants the information on flush performance.

MR. KOELLER: Yes.

2.2

MR. RIDER: We can hear you, John, so go ahead.

MR. KOELLER: Good, thank you. This is John
Koeller. I'm a registered professional engineer in
California, co-developer of the MaP testing that was
referred to earlier in the presentation, which means
Maximum Performance Testing for toilets developed in 20022003. And now the online database shows well over 3,000
tested toilet models of all types, free access to anyone

But the reference in the documentation to MaP is probably a stretch, because number one, there's some intellectual property issues with the use of that term plus it's a registered trademark. So I'm going to suggest that you substitute the term "bulk waste removal" for the word "MaP" in the documentation. And you'll probably hear from some other people in the comments saying somewhat the same thing, so we want to take MaP out of those references.

My second point is on public lavatory faucets, where I'm not sure what the baseline is that was being used, but the national standard, the ASME/ANSI Standard for public lavatory faucets has been at 0.5 GPM for about 20 years. Now, that happened right after EPAC in the mid-90s, so I don't believe that you can claim savings for something

1 that's been in existence for that long. 2 Now, I agree that there've been a lot of 3 noncompliant faucets installed, maybe out of ignorance, 4 maybe for other reasons, but it took LEED six years to acknowledge that the true baseline is 0.5. So the actions 5 by the CEC here are merely, in my view, to just acknowledge 6 7 a pre-existing baseline that's been around for two decades. So that's all my comments for today. 8 MR. RIDER: Thank you, John. 9 10 MR. KOELLER: Uh-huh. 11 MR. RIDER: I'm now going to go to Marianne 12 DiMascio and you are unmuted. MS. DIMASCIO: Okay. Hi, this is Marianne DiMascio 13 14 from the Appliance Standards Awareness Project. And I'd 15 just like to briefly commend the Commission for their work and say we are in support of the standards that you have 16 17 outlined. And will also likely support stronger standards 18 for both urinals and faucets as I know Pierre from NRDC has 19 mentioned earlier in primarily thinking about the severe 20 drought. And as an East Coast person hearing what is going 21 on in California, the extent of the crisis, it seems like a 2.2 very important thing to do. 23 So I'll end my comments there. Thank you. 2.4 MR. RIDER: Thank you. 25 Matt Sigler, you're unmuted.

MR. SIGLER: Yeah, thank you. This is Matt Sigler of Plumbing Manufacturers International. I'm the technical director and I'd like to thank the Commission, Commissioner McAllister, Tuan, Harinder and Patrick as well for this opportunity to speak.

2.2

I would like to reiterate what Danny Gleiberman, Fernando Fernandez and Jerry Desmond said on behalf of PMI. We are in support of what you're doing other than with the urinals, which is something that they will be discussing further during this public hearing.

What I would like to address right now in my brief comment is regards to residential lavatory faucets. There were some comments made during -- previously, I believe by Heidi, in regards to there not being any recent studies in regards to the possible pathogen growth and link to waterborne illness that can result from low flow fixtures.

And so I'd like to draw your attention to a study that was conducted by the Water Research Foundation in 2014 that was titled "Green Building Design: Water Quality and Utility Management Considerations" that was docketed by the CEC staff during the 2014 Appliance Efficiency Pre-Rulemaking Process.

I'd like to draw your attention to the following statement in the research study. It says, "The low flow

through water-reducing faucets is linked to low pressure and an increased stagnant volume of water in the pipes leading to the tap. This could provide ideal growth temperatures for Legionella and Pseudomonas. The reduced flow and pressure could be incapable of providing enough water volume or turbulence to properly flush and clean the faucet, which has implications for biofilm attachment and release rates that are not well understood."

2.2

As far as comments submitted by Dr. Mark Edwards, Dr. Paul Sturhman and Jim Keene, all three of these I'd like to make sure are included in the record, all submitted comments that yes there still needs to be done in this area. But they are all very concerned of lowering flow rates.

PMI's position on this, and we've made it very clear, is on health and safety. What I've heard is in regards to purely water and energy efficiency and what the savings you can have there. Well, what we're focused on there is public health and safety and that's more important than water efficiency savings.

And so I would just draw everybody's attention to the comments previously submitted from Dr. Mark Edwards, Dr. Paul Sturhman, Jim Keene and from the Water Research Foundation report that was docketed all during the 2014 Appliance Efficiency Pre-Rulemaking Process. And I'll stop

1 at that. Thank you. 2 MR. RIDER: Thanks, Matt. We have one call-in user 3 I'm going to unmute to see, just in case. you're unmuted if 4 you'd like to make a comment. 5 (No audible response.) 6 This is the last thing. I guess, do you want to 7 go to broad comments again? So yeah, any other comments? This is the last 8 9 chance before we wrap up -- oh, I see John McHugh there. 10 Let me -- John, are you unmuted, John McHugh? MR. MCHUGH: Yeah. Am I unmuted now? 11 12 MR. RIDER: You are, I can hear you. 13 MR. MCHUGH: Great, okay. Thank you, very much. 14 This is John McHugh. These are on my own behalf. 15 One of the things that these standards have identified is the power of information. And the Energy 16 17 Commission has a long history of not only providing 18 mandatory standards, but also just test and list standards. When it comes to toilets, I don't think that 19 20 we've actually done enough. And it appears to me that 21 ideally the Energy Commission not only looks at this Title 2.2 20 standard, but also how this Title 20 standard impacts 23 other California standards such as the CALGreen building standard. The issue is this, is that I think PMI and other 24 25 folks in the plumbing industry have identified that there

is some uncertainty about how some products operate on all piping systems. And the proposal today is focused at sort of those minimally-performing equipments that can handle a variety of different piping configurations.

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But moving into new buildings we should be looking at higher levels of water efficiency for new buildings. And in particular, I think it's important that the consumers actually have some information about the maximum extraction value that John Koeller was talking about earlier. I think it's very important that we look at flow traits that are especially for new construction that are less than what are being proposed here. And at the same time make sure that people have the knowledge and the information to make decisions that their product is going to be able to flush the volumes that they think are there.

So the issue being is that I would recommend that this Title 20 standard look at requiring that products that are sold in California actually test to failure in terms of maximum extraction levels, that that be labeled on the packaging, and that this labeling will then assist potentially building standards for new building. Thank you, very much.

MR. SINGH: Thank you, John.

Yes, Shabbir?

MR. RAWALPINDIWALA: Yes, this is Shabbir

1 Rawalpindiwala with the Kohler Company. I just wanted to 2 expand on what John Koeller said about referencing the MaP 3 being a registered trade and could be an intellectual 4 property issue. And I totally agree with him and thereby request that CEC consider referencing the ASME A112.19.2 5 standard for the bulk removal test -- i.e. the soya bean 6 7 test rather than the MaP. And I think I have also submitted that in my comments in writing also. And I appreciate you 8 9 giving me the opportunity to offer my comments. Thank you. 10 MR. SINGH: Thank you. 11 Okay. Any more comments on the web or phone, via 12 phone? 13 (No audible response.) 14 Okay. If not we are going to conclude this 15 presentation. 16 Thank you, very much. And we have already gone 17 through the federal updates and to wrap up, you know, here 18 is the slide that is for the comment process. Comments in writing are due by April 15, 2015. Submit the comments 19 electronically to the web link. You can upload the comments 20 21 electronically, on this web link. 2.2 Or you can send a hard copy to the Energy 23 Commission Docket Mail Stop-4, Docket No. 15-AAER-1. The address if 1516 Ninth Street, Sacramento, California, 2.4 95814-5512. 25

Or you can send a digital copy and the email address is given here on this slide. And that concludes our presentation. Thank you very much for participating and we are expecting comments from you in writing. Thank you, very much. (Whereupon, at 2:43 p.m., the hearing was adjourned.) --000--

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I do hereby certify that the testimony in the foregoing hearing was taken at the time and

place therein stated; that the testimony of said witnesses were reported by me, a certified electronic court reporter and a disinterested person, and was under my supervision thereafter transcribed into typewriting.

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Juliana Link CER-830

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IN WITNESS WHEREOF, I have hereunto set my hand this 30th day of March, 2015.



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