DOCKETED	
Docket Number:	25-OPT-02
Project Title:	Prairie Song Reliability Project
TN #:	266065
Document Title:	UL9540A Test Reports
Description:	N/A
Filer:	Erin Phillips
Organization:	Dudek
Submitter Role:	Applicant Consultant
Submission Date:	9/18/2025 12:53:37 PM
Docketed Date:	9/18/2025

#### **MEMORANDUM**

To: California Energy Commission Staff
From: Prairie Song Reliability Project LLC

**Subject:** Prairie Song Reliability Project (25-OPT-02) Non-Confidential UL9540A Test Reports

Date: September 18, 2025

Attachment(s): Non-Confidential UL9540A Test Reports

On September 4, 2025, Prairie Song Reliability Project LLC (the "Applicant") filed an Application for Confidential Designation for Opt-In Application for Certification for Confidential UL9540A Test Reports (TN: 265875). Since filing TN: 265875, the Applicant has sought and received permission from the manufacturer to publicly disclose the UL9540A test reports. The Applicant retracts its prior Application for Confidential Designation for Opt-In Application for Certification for Confidential UL9540A Test Reports (TN: 265875) and provides the non-confidential UL9540A test reports herein.

Master Contract: *N/A* Report: *80184345* Project: *80184345* 

Nov. 17, 2023

Mr. Pengcheng Cui Certification Engineer CALB Group Co., Ltd. No.1 Jiangdong Avenue, Jintan District, Changzhou, Jiangsu 213251 China

Subject: Rechargeable Lithium-ion cell, model L173F314 (UL 9540A Test Report)

Dear Mr. Pengcheng Cui

We are pleased to inform you that testing of your product per UL 9540A has been completed. Applicable test(s) was witnessed at ChuWeiNeng Testing Co.,Ltd , and witnessed by CSA Group – Kunshan. Cell level of test was conducted on the sample provided and the results are enclosed in the test report.

Note: This Test Report is not an Authorization to apply the CSA Mark to the product. The results contained in the report(s) provided are contingent upon the characteristics of the actual sample(s) used in the investigation. In the absence of a continuing inspection service, CSA provides no assurance, expressed or implied, that the contents of the report are applicable to reproductions of the sample(s). Use or reproduction of the CSA name, logo, or trademark is not permitted without the prior written consent of CSA. No references can be made to this report when using the results of this investigation for the purposes of advertising, promotion or litigation, without the prior written consent of CSA.

Please examine the enclosed documents and contact me if you have any questions or would like us to make any changes.

On behalf of CSA, I would like to thank you for your business and offer our services for your future needs.

Yours truly,

Evan Zhang
CSA –CCIC-CSA International Certification Co., Ltd. Kunshan Branch
Building 8, Tsinghua Science Park, No. 1666 Zu chongzhi Rd (S), Kunshan, Jiangsu (215347)

# Encl. [UL 9540A Test Report]

Att.1 - Cell UL 1973 certification

Att.2 - Cell charge/discharge conditioning graphs

Att.3 - Photos

Att.4 - Cell temperature/voltage graph during testing

Att.5 - Cell gas analysis report

				November 12, 2019 - ergy Storage System	Test Method for Evaluating s
Testing Laboratory	Name: CC	IC-CSA Internatio	nal Certificati	on Co.,Ltd. Kunshan	Branch
Address:		lding 8, Tsinghua ngsu(215347)	Science Parl	k, No. 1666 Zu chong	zhi Rd(S), Kunshan,
Testing Program:	Cu	stom Test : Cove	r Latter ⊠, T	esting Only	
	1111	- 600			
If tests were performed	d at another	acility, then descr	ribed below:		
Testing Laboratory	Name:	ChuWeiNeng Te	esting Techno	ology (Shanghai) Co.	Ltd
Address:		Building 3, No.1	065 Beihe G	onglu, Jiading District	t, Shanghai
Facility Qualification	n Number:	N/A			
		As above / or de	escribe other	vise	
Customer:		CALB Group Co	o., Ltd.		
Address:		No.1 Jiangdong	Avenue,Jinta	an District, Changzhou	u,Jiangsu 213251,China
Tasted Bur		liaming I lu	iona Toobaia	ían.	
Tested By:	-		ıang, Technic me, Title	an	_
	Jiaming	Huang		2023-09-28	
	Signature		Dat	e (YYYY-MM-DD)	
⊠ Reviewed by:		Austin C	Chen, Certifier		
⊠ Witnessed by:	¥1		me, Title	9	-
Evan Zhar		g/Javis Shen		2023-11-17	
	Signature		Dat	e (YYYY-MM-DD)	Version6.1: 2022-08-02
	"Highly Conf	idential, Limited Use fo	or Entity:		

**Customer Contact:** 

Date: 12 Jan 2024"

Manufacturer:	CALB Group Co., Ltd.
Brand name / Trademark:	N/A
Model number:	L173F314
Nominal cell voltage, (V)	3.2
Cell capacity, (Ah)	314
Cell chemistry:	LFP
Physical format of cell:	Prismatic
Approximate dimension, (mm)	Tickness:71.4±1.5;Wi dth:174.4±1.5;Height: 207.0±1.0
Mass, (g)	5560±150
Cell certification available?, (Yes/No)	Yes
Standard(s) used to certify product:	UL 1973,3 <sup>rd</sup> Edition
Certification organization name and its certificate number:	UL, File MH65785
Method used to initiate thermal runaway:	External Heating
Average temperature at which cell first vented excluding gas collection sample, (°C)	140.5
Average temperature prior to thermal runaway excluding gas collection sample, (°C)	210.6
Location of maximum temperature:	Cell wide surface not covered by heater film
Flammable gas generation, (Liter)	140
Total gas generation, (Liter)	192
Lower flammability limit (LFL) at ambient temperature (25 ± 5°C), (%)	6.2
Lower flammability limit (LFL) at average gas vent temperature, (%)	5.6
Burning velocity, (Cm/Sec)	63.8
Maximum pressure P <sub>max</sub> , (MPa)	0.68

"Highly Confidential, Limited Use for Entity:

**Gas Component** 

Carbon monoxide

Carbon dioxide

Hydrogen

Customer Contact: Date: 12 Jan 2024"

Gas Type

CO

 $CO_2$ 

H<sub>2</sub>

Gas Volume in percentage

(%) 13.924

27.237

44.925

Methane	CH₄	6.421
Ethane	C <sub>2</sub> H <sub>6</sub>	0.996
Ethylene	C <sub>2</sub> H <sub>4</sub>	3.827
Propane	C <sub>3</sub> H <sub>8</sub>	0.322
Propylene	C <sub>3</sub> H <sub>6</sub>	1.227
Isobutane	C <sub>4</sub> H <sub>10</sub>	0.013
Acetylene	C <sub>2</sub> H <sub>2</sub>	0.339
Butane	C <sub>4</sub> H <sub>10</sub>	0.091
Isobutene	C <sub>4</sub> H <sub>8</sub>	0.085
Trans butene	C <sub>4</sub> H <sub>8</sub>	0.322
n-Butene	C <sub>4</sub> H <sub>8</sub>	0.140
Isopentane	C <sub>5</sub> H <sub>12</sub>	0.007
Pentane	C <sub>5</sub> H <sub>12</sub>	0.110
2-methyl-1,3-butadiene	C <sub>5</sub> H <sub>8</sub>	0.014
Total		100
nal Information:	8 2	N/A

Performance - Cell Level Test			
Requirement	Comments	Verdict	
Thermal runaway cannot be induced in the cell.	The thermal runaway was initiated with external heater on the cells during the test	F	
Cell does not present a flammability hazard when mixed with any volume of air, as determined in accordance with ASTM E918 at both ambient and vent temperature.	As a result of gas analysis, the gas generated from the cell were identified flammable	F	

## Summary of Result:

Module level testing is required based on above performance condition indicated in Section 7.7 of UL 9540A 4<sup>th</sup> Edition.

#### Possible test case verdicts:

Test case does not apply to the test object:
 Test object does meet the requirement:
 Test object does not meet the requirement:
 F (Fail)

Clause	Requirement + Test	Result - Remark	Verdict
	Constru	ction	
5	General	212	
5.1	Cell		
5.1.1	Cell chemistry:	LFP Prismatic 3.2 314 Tickness:71.4±1.5;Width:174.4±1.5; Height:207.0±1.0 5560±150 Yes UL 1973,3rd Edition See below mance  Test Method: External Heating  See below See below See below See below See attachment 2 for details	
	Physical format of cell:	Prismatic	
	Nominal voltage rating, (V)	The state of the s	
	Nominal capacity rating, (Ah)	314	
	Approximate dimension, (mm)		
	Mass, (g)	PRODUCTION OF THE CONTROL OF THE CON	
5.1.2	Cell certification available?, (Yes/No)		
100000000000000000000000000000000000000	Standard(s) used to certify product:		
5.1.3	Cell Level Test Report	CONTRACTOR SERVICES CONTRACTOR OF CONTRACTOR	
	Perform	ance	
7	Cell Level		
7.1	General		
7.1.1	Establish effective method by forcing a cell into a thermal runaway in a repeatable manner.	Test Method: External Heating	Р
	Vent gas composition was gathered and analyzed.	See below	Р
	Cell temperature was monitored to determine the temperature when cell vents.	See below	Р
	Cell temperature was monitored to determine thermal runaway as defined in UL 9540A 4 <sup>th</sup> Edition.	See below	Р
7.2	Sample		
7.2.1	Prior to testing, cell samples were conditioned for minimum 2 charge (100% SOC) - discharge (Specified end of discharge voltage) cycle as per manufacturer specified method.		P
	Cells were functional after minimum 2 charge discharge cycle.	See attachment 2 for details	Р
	During conditioning a relationship between open circuit voltage and SOC was determined through measurement of voltage and SOC.	Confirmed	Р
	Ambient temperature during cell conditioning.	See table 2 for details	Р
7.2.2	The tested cells had 100% SOC at the start of the test. The samples were allowed to stabilize for a minimum of one	See table 2 for details	Р

Clause	Requirement + Test	Result - Remark	Verdict
	hour and a maximum of 8 h before the		
	start of the test.		
7.2.3	Cells with flexible laminate casings were	See attachment 3 for details and the	Р
	constrained during test in manner that	manufacturer claims that the fixing	
	simulate constraint in the BESS module to	torque of pressure plate is 1.5 N·m	
	prevent excessive swelling during test.		
7.3	Determination of thermal runaway methodology		
7.3.1	General	See below	Р
7.3.1.1	Ambient temperature during cell testing.	See table 3 for details	Р
7.3.1.2	Propensity of cell to exhibit thermal runaway demonstrated by externally applied film heater.	Heater type: Polyimide thin film heater Heater dimension (mm): 185×160 Number of heaters used: 2	P
	A surface heating rate of 4° C (7.2° F) to 7° C (12.6° F) per minute shall be applied to the cell.	⊠ 5° C/min	Р
	Maximum surface temperature endpoint criteria was determined based on cell design and cell chemistry.	Considered	N/A
	Thermal runaway method used, when external heating with flexible heater was not able to cause the cell to exhibit thermal runaway.		N/A
7.3.1.3	Detail of thermal runaway test method when another method used as reference in 7.3.1.2.	External heating was used for testing	N/A
7.3.1.4	Monobloc battery such as lead acid or nickel cadmium, was treated as individual cell for this testing.	1200	N/A
7.3.1.5	Surface temperature at which internal short circuiting within the cell will occur that could lead to thermal runaway.	See below	N/A
	Surface temperature hold point. For lithium ion cell, hold point was between 5°C (9°F) and 15°C (27°F) greater than the melting temperature of the cell separator material as determined from differential scanning calorimetry (DSC) data of the separator in accordance with UL 2591 (UL 746A).	Not used, the cells are heated until the thermal runaway achieved. According to the Certification Requirement Decision: Test Method for Evaluating Thermal Runaway Fire Propagation in Battery. Holding temperature was not utilized during the test and the cell was continuously heated until thermal runaway occurred.	N/A

Clause	Requirement + Test	Result - Remark	Verdict
	If thermal runaway is not achieved at holding temperature after period of 4h, heating rate according to 7.3.1.3 was reestablished.	See above	N/A
7.3.1.6	If cell is susceptible to thermal runaway by external heating, cell was heated until thermal runaway has occurred.	Confirmed, External heating was used for testing	Р
	If cell is not susceptible to thermal runaway by external heating, another method included in 7.3.1.2 was used.	San I	N/A
	If using another external heating method, temperature ramp and maximum surface temperature as outlined in 7.3.1.2 and 7.3.1.5 was used.		N/A
7.3.1.7	Cell's exterior surface temperature was measured continuously, averaging over every 60 seconds	Confirmed	P
	The maximum of these averages was documented for each thermocouple location.	Confirmed	Р
	Location of thermocouple during test.	<ul> <li>☑ Below the heater film at center of cell surface</li> <li>☑ Near positive cell terminal</li> <li>☑ Other(explain): wide surface not covered by heater film, narrow side surface</li> </ul>	P
7.3.1.8	The temperature at which the cell case vents due to internal pressure rise.	Confirmed	P
	The thermocouple located below the heater film at the center of the cell surface is used for this measurement.	Confirmed	Р
	If using the other cell abuse methods, the thermocouples would be located at the same locations on the cells as noted in 7.3.1.7.		N/A
7.3.1.9	The temperature at the onset of thermal runaway was documented.	Confirmed	P
	Onset of thermal runaway was determined by the point at which the rate of change of the surface temperature of the cell exceeds that of the externally applied heat input if utilizing the external heater method.	Confirmed	Р

Clause	Requirement + Test	Result - Remark	Verdict
	In cases where cell venting may occur	Confirmed	Р
	first, cell was heated continuously until	The second state of the second	1/055
	thermal runaway occurs.		
	With other stress methods, it was		N/A
	necessary to continue applying the stress		
	such as mechanical or electrical stress		
	until onset of thermal runaway occurs.		
	Separator information was available or at	Thermal ramp was conducted	Р
	the manufacturer's discretion, the thermal	continuously without holding point	- 22
	ramp was conducted continuously without	considered	
	a hold point until thermal runaway.	5-45-5 Section 1995	
7.3.1.10	When using methods other than the		N/A
	heater method, the stresses (i.e. electrical		0.00
	or mechanical) were applied to the cell		
	until thermal runaway occurs.		
7.3.1.11	If the cell exhibits thermal runaway	See table 3 for details	Р
	behavior (using any method), 3 additional	Appropriate and the factor of the section of the se	7762
	samples were tested using the same		
	method and exhibit thermal runaway to		
	demonstrate repeatability.		
	The vent temperature and thermal	See table 3 for details	Р
	runaway onset temperatures were	ARMADE ESTIMATE O SIGN ORGANIZADO	WEST .
	averaged over the tested samples		
	(Excluding the gas vent capture sample).		
7.3.2	Flow battery thermal runaway		N/A
	determination tests		1000
7.4	Cell vent gas composition test		
7.4.1	Cell vent gas was generated and captured	Confirmed, the test container volume	Р
	by forcing a cell into thermal runaway with	is 92 L	1
	the methodology developed in 7.3, inside		
	a pressure vessel, which is large enough		
	to accommodate cells, but not so large as		
	to influence measurement of the gas		
	composition.		
	The test was initiated with an initial	See table 4 for details	Р
	condition of atmospheric pressure and	Secretarian introduction	11101
	less than 1% oxygen by volume.		
	The initial atmospheric conditions prior to	See table 4 for details	Р
	testing was noted.	Section of the sectio	1-40
7.4.2	Cell vent gas composition was determined	Confirmed	Р
	using Gas Chromatography (GC)	A 36.2 (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	1117831
	Hydrogen gas was measured with a	Use TCD detector to measurement	Р
	sensor capable of measuring in	hydrogen concentration	III BGW
	TO A TO A STATE OF THE PARTY OF	The state of the s	

Clause	Requirement + Test	Result - Remark	Verdict
	The initial atmospheric conditions prior to testing was noted.	See table 4 for details	Р
7.4.3	The lower flammability limit of the cell vent gas was determined in accordance with ASTM E918, testing at both ambient and cell vent temperatures.	See table 4 for details	P
7.4.4	The burning velocity of the cell vent gas was determined in accordance with Annex in ISO 817.	Confirmed, See table 4 for details	Р
7.4.5	The P <sub>max</sub> of the cell vent gas was determined in accordance with EN 15967.	Confirmed, See table 4 for details	Р
7.5	Off gas composition for flow battery systems.		
7.5.1	The off gas composition from the flow battery testing of 7.3.2 shall be determined by conducting the test method of 7.3.2.2:  1. In a closed container and capturing the off gasses generated, and 2. By collecting the off gasses generated at vent openings and vent ducts during the overcharge and short circuit testing of 7.3.2.4 and 7.3.2.6 as applicable to the flow battery technology		N/A
	Composition of these captured gases and their flammability limit shall be determined through the methods outlined in 7.4.2 and 7.4.3 at both ambient temperature and the maximum temperature measured.		N/A
7.5.2	The volume of flammable gases measured during the testing were scaled to the maximum energy reservoir for the intended flow battery system.		N/A
7.6	Cell level test report	1000	
7.6.1	Cell level report include information indicated in item a) through m)	Confirmed	P
7.6.2	Flow battery report include information indicated in item a) through k)		N/A

Table 1 – Cell charge/discharge specification			
Charging method	CP	Discharging method	CP
Charge power, (W)	502.4W	Discharge power, (W)	502.4W
Charge voltage, (Vdc)	( <del>1000</del> ))		A STATE OF
Charge end voltage, (Vdc)	3.65V	Discharge end voltage, (Vdc)	2.5V
Manufacturer recommended charge temperature, (°C)	0~+55	Manufacturer recommended discharge temperature, (°C)	-30~+55

	Table 2 – Cell rest duration						
Sample Number	Final char	ge end time	Test st	tart time			
	Date	Time	Date	Time			
	(YYYY-MM-DD)	(HH:MM AM/PM)	(YYYY-MM-DD)	(HH:MM AM/PM)			
202308015-3	2023-09-07	09:43 AM	2023-09-07	17:06 PM			
202308015-4	2023-09-07	14:16 PM	2023-09-07	18:47 PM			
202308015-8	2023-09-08	08:19 AM	2023-09-08	11:46 AM			
202308015-5	2023-09-22	06:08 AM	2023-09-22	13:36 PM			
202308015-6	2023-09-28	06:32 AM	2023-09-28	09:13 AM			
	Ambient temperature during cell conditioning						
Ambient Lab Te	Ambient Lab Temperature, (°C) Relative Humidity, (%RH)						
23.9 to	25.5	45.0 to 62.0					

Sample Number		Note 2			
	202308015-	202308015-	202308015-	202308015-	202308015-
	3	8	5	6	4
Open circuit voltage before test, (Vdc)	3.353	3.348	3.341	3.341	3.372
Cell charge capacity after final charge cycle, (Ahr)	325.4	327.3	323.7	326.2	328.0
External film heat ramp rate, (°C/min)	5.0	5.0	5.0	5.0	5.0
Temperature at which gases are first vented, (°C)	142.3	134.2	143.9	141.5	142.5
Temperature prior to thermal runaway, (°C)	204.5	214.4	207.3	216.2	208.5
Location of maximum temperature prior to thermal runaway	Cell wide surface not covered by heater film				
Average temperature at which gases are first vented, (°C)	140.5			<del>7.10</del>	
Average temperature prior to thermal runaway, (°C)	210.6			THE	

"Highly Confidential, Limited Use for Entity:

Table 3 – Determination	of thermal runaway methodology	
Other method used to initiate thermal runaway: N//	4	
Ambient tempe	erature during cell testing	
Ambient Lab Temperature, (°C)	Relative Humidity, (%RH)	
23.6 to 27.2	52.0 to 65.0	

Note 1: Sample tested outside pressure vessel

Note 2: Sample tested inside pressure vessel for cell vent gas composition test

	Table 4 - Cell vent gas of	composition test	
Sample Number:		202308015-4	
Pressure vessel volume (lite	r):	92	
An initial condition of atmosp	heric pressure (kPa):	101.32(absolute pressure)	
An initial condition of oxygen	by volume (%):	0.392	
Flammable gas generation vo	olume(Liter):	140	
Total gas generation volume	(Liter):	192	
LFL limit at ambient tempera	ture (%):	6.2	
LFL limit at cell vent tempera	iture (%):	5.6	
Burning velocity (cm/sec):		63.8	
Maximum pressure P <sub>max</sub> (MF	²a):	0.68	
	Ambient temperature du	ring cell testing	
Ambient Lab Temp		Relative Humidity, (%RH)	
26.1 to 27.3		51.0 to 55.0	
	Gas compos	ition	
Gas Com	ponent	Volume Released	
	100	(After thermal runaway)	
		(%)	
Carbon Monoxide	CO	13.924	
Carbon dioxide	CO <sub>2</sub>	27.237	
Hydrogen	H <sub>2</sub>	44.925	
Methane	CH <sub>4</sub>	6.421	
Ethane	C <sub>2</sub> H <sub>6</sub>	0.996	
Ethylene	C <sub>2</sub> H <sub>4</sub>	3.827	
Propane	C <sub>3</sub> H <sub>8</sub>	0.322	
Propylene	C <sub>3</sub> H <sub>6</sub>	1.227	

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Table 4 – Cell vent gas composition test				
Isobutane	C <sub>4</sub> H <sub>10</sub>	0.013		
Acetylene	C <sub>2</sub> H <sub>2</sub>	0.339		
Butane	C <sub>4</sub> H <sub>10</sub>	0.091		
Isobutene	C <sub>4</sub> H <sub>8</sub>	0.085		
Trans butene	C <sub>4</sub> H <sub>8</sub>	0.322		
n-Butene	C <sub>4</sub> H <sub>8</sub>	0.140		
Isopentane	C <sub>5</sub> H <sub>12</sub>	0.007		
Pentane	C <sub>5</sub> H <sub>12</sub>	0.110		
2-methyl-1,3-butadiene	C <sub>5</sub> H <sub>8</sub>	0.014		
Total		100		

# **Attachments**

Index of Attachments				
No.	Name	Page		
1	Cell UL 1973 certification	14-17		
2	Cell charge/discharge conditioning graphs	18-20		
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## Attachment 1 - Cell UL 1973 certification

#### CERTIFICATE OF COMPLIANCE

Certificate Number UL-CA-2328034-0 Report Reference MH65785-20230801

Date 3-Aug-2023

Issued to: CALB Group Co., Ltd

No. 1 Jiangdong Avenue, Jintan District

Changzhou, Jiangsu 213200

China

BBGA8 - Battery Cells for Use in Stationary and/or This is to certify that Transport Applications Certified for Canada - Component representative samples of

See Addendum Page for Product Designation(s).

Have been evaluated by UL in accordance with the component requirements in the Standard(s) indicated on this Certificate. UL Recognized components are incomplete in certain constructional features or restricted in performance capabilities and are intended for installation in complete equipment submitted for investigation to UL LLC.

Standard(s) for Safety: ANSI/CAN/UL 1973:2022, 3rd Ed., Issue Date: 2022-2-25

Additional Information: See the UL Online Certifications Directory at

https://ig.ulprospector.com for additional information

This Certificate of Compliance indicates that representative samples of the product described in the certification report have met the requirements for UL certification. It does not provide authorization to apply the UL Recognized Component Mark. Only the Authorization Page that references the Follow-Up Services Procedure for ongoing surveillance provides authorization to apply the UL Mark.

Only those products bearing the UL Recognized Component Mark should be considered as being UL Certified

and covered under UL's Follow-Up Services.

Look for the UL Recognized Component Mark on the product.



"Highly Confidential, Limited Use for Entity:



#### CERTIFICATE OF COMPLIANCE

This is to certify that representative samples of the product as specified on this certificate were tested according to the current UL requirements

Model	Category Description
L173F314	Rechargeable Li-ion Celli

School Landing Comme

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Aug information and accumentation involving UE, their services are provided or behalf of UE, LLC (LL) or any authorized licenses of UE. For questions, please contact a local UE, Customer Service Representation of bits the contact a local UE, Customer Service Representation of bits the contact as local UE.

(4)

1

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#### Attachment 1 - Cell UL 1973 certification

#### CERTIFICATE OF COMPLIANCE

Certificate Number UL-US-2332565-0 Report Reference MH65785-20230801

3-Aug-2023

CALB Group Co., Ltd

No.1 Jiangdong Avenue, Jintan District

Changzhou, Jiangsu 213200 China

BBGA2 - Battery Cells for Use in Stationary and/or This is to certify that

Transport Applications - Component representative samples of

See Addendum Page for Product Designation(s).

Have been evaluated by UL in accordance with the component requirements in the Standard(s) indicated on this Certificate. UL Recognized components are incomplete in certain constructional features or restricted in

performance capabilities and are intended for installation in complete equipment submitted for investigation to UL LLC.

Standard(s) for Safety: ANSI/CAN/UL 1973:2022, 3rd Ed., Issue Date: 2022-2-25

Additional Information: See the UL Online Certifications Directory at https://iq.ulprospector.com for additional information

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Only those products bearing the UL Recognized Component Mark should be considered as being UL Certified and covered under UL's Follow-Up Services.

Look for the UL Recognized Component Mark on the product.

Selve In for

"Highly Confidential, Limited Use for Entity:

## Attachment 1 - Cell UL 1973 certification

#### CERTIFICATE OF COMPLIANCE

Certificate Number UL-US-2332565-0 Report Reference MH65785-20230801 Date 3-Aug-2023

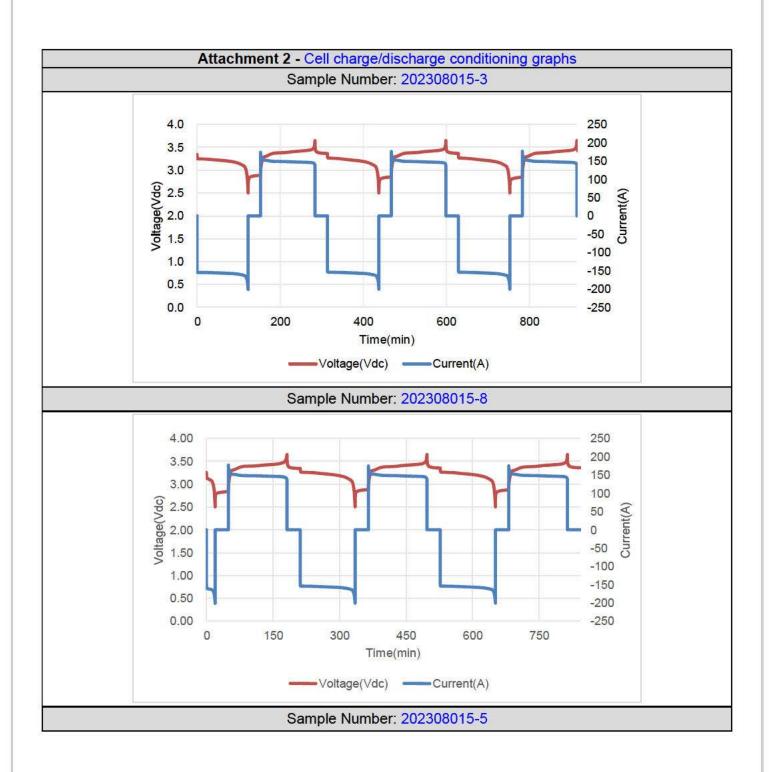
This is to certify that representative samples of the product as specified on this certificate were tested according

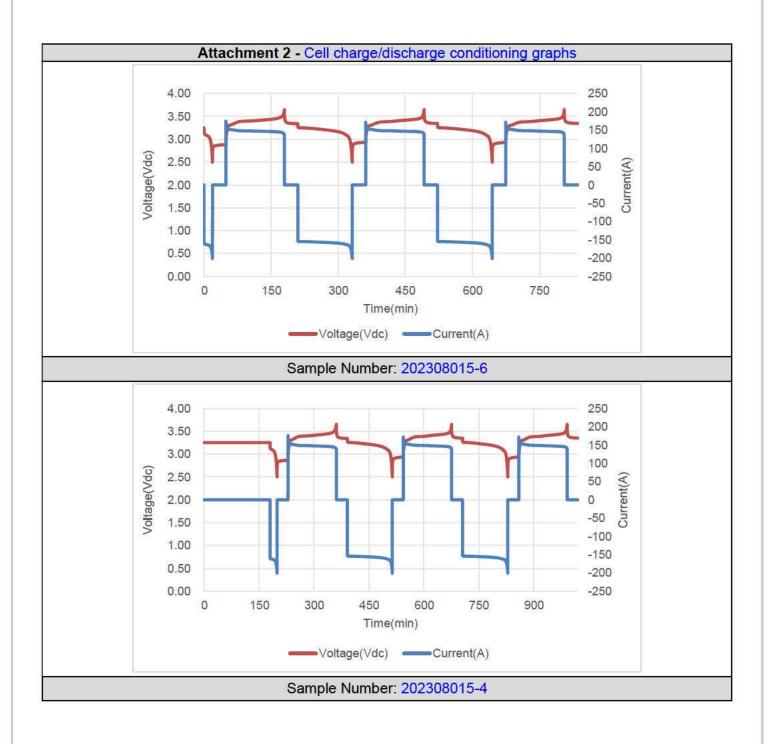
Model	Category Description
L173F314	Rechargeable Li-ion Cell

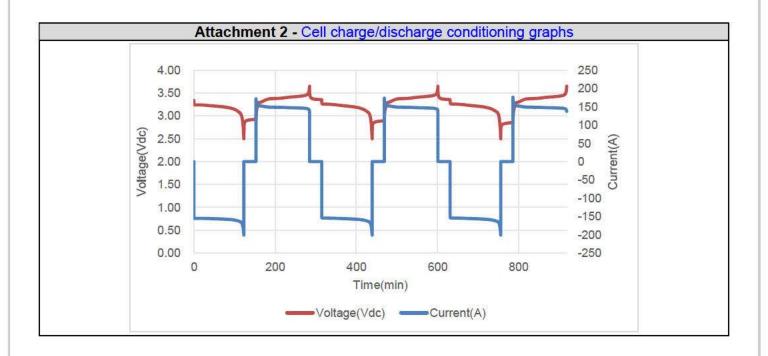


"Highly Confidential, Limited Use for Entity:

Date: 12 Jan 2024" **Customer Contact:** 







# General sample photos



Figure 1: Wide surface of cell



Figure 2: Another wide surface of cell

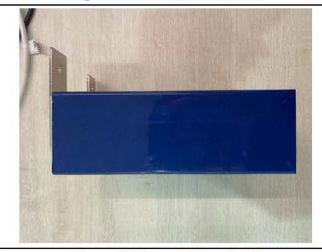


Figure 3: Side surface of cell

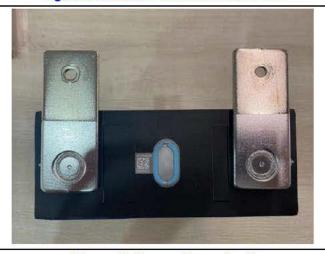


Figure 4: Top surface of cell

Photos with heater and thermocouple installation



Figure 5: TC on wide surface

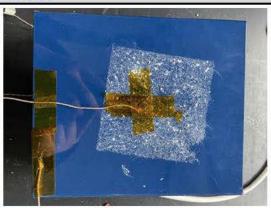


Figure 6: TC on another wide surface

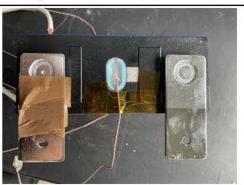


Figure 7: TC on positive tab and Vent

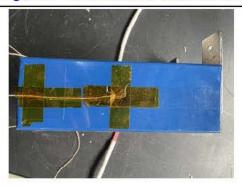


Figure 8: TC on side surface

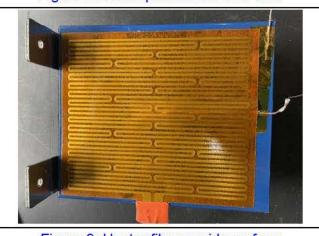


Figure 9: Heater film on wide surface

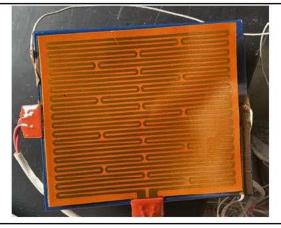


Figure 10: Heater film on another wide surface

Sample Number: 202308015-3

"Highly Confidential, Limited Use for Entity:



Figure 11: At test start (Time in 17:06)

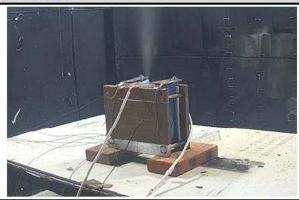


Figure 12: During cell venting (Time in 17:48)



Figure 13: During thermal runaway (Time in 18:03)



Figure 14: After thermal runaway-1



Figure 15: After thermal runaway-2

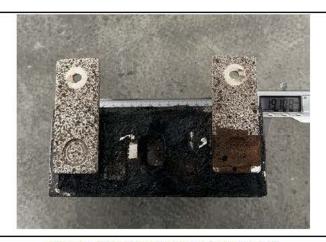


Figure 16: After thermal runaway-3

Sample Number: 202308015-8

"Highly Confidential, Limited Use for Entity:

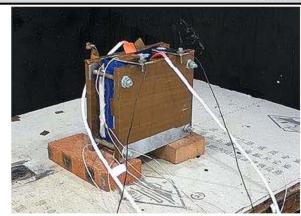


Figure 17: At test start (Time in 11:46)

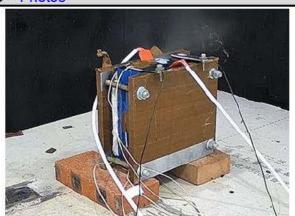


Figure 18: During cell venting (Time in 12:31)

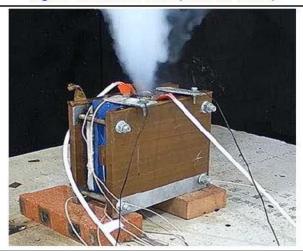


Figure 19: During thermal runaway (Time in 12:51)



Figure 20: After thermal runaway-1



Figure 21: After thermal runaway-2

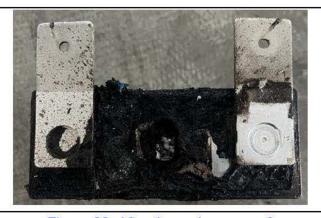


Figure 22: After thermal runaway-3

"Highly Confidential, Limited Use for Entity:

Sample Number: 202308015-5

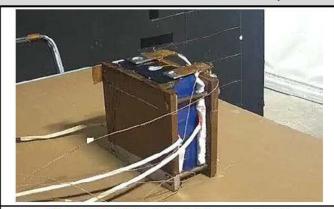


Figure 23: At test start (Time in 13:36)



Figure 24: During cell venting (Time in 14:22)



Figure 25: During thermal runaway (Time in 14:35)



Figure 26: After thermal runaway-1



Figure 27: After thermal runaway-2



Figure 28: After thermal runaway-3

"Highly Confidential, Limited Use for Entity:

Sample Number: 202308015-6



CRM-

Figure 29: At test start (Time in 09:13)

Figure 30: During cell venting (Time in 09:54)





Figure 31: During thermal runaway (Time in 10:11)

Figure 32: After thermal runaway-1

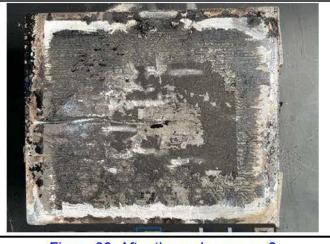




Figure 33: After thermal runaway-2

Figure 34: After thermal runaway-3

Sample Number: 202308015-4

"Highly Confidential, Limited Use for Entity:



350 300 250 250 150 100 50 0 2000 4000 6000 8000 10000 Time(sec)

Figure 35: Test layout

Figure 36: Container pressure during test





Figure 37: After thermal runaway-1

Figure 38: After thermal runaway-2

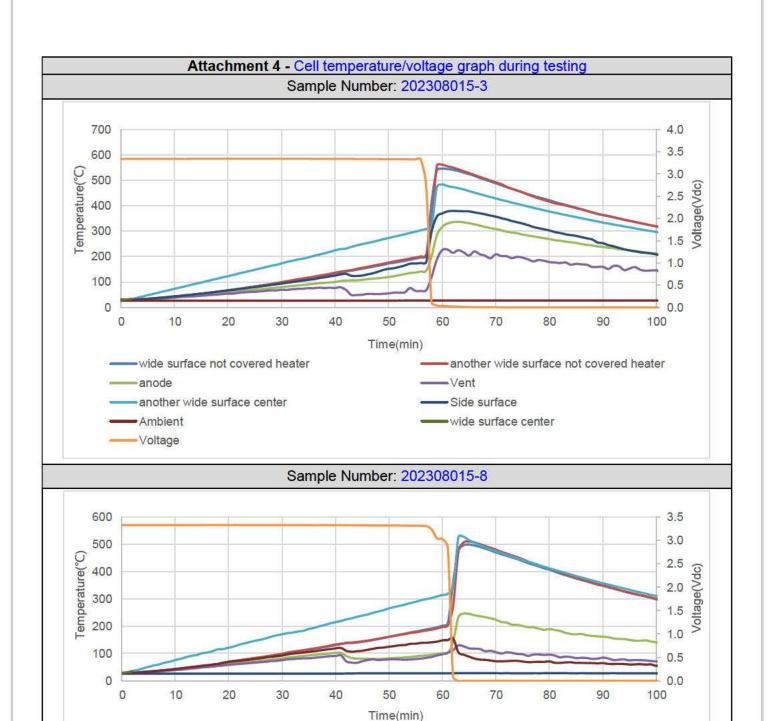




Figure 39:After thermal runaway-3

Figure 40: After thermal runaway-4

"Highly Confidential, Limited Use for Entity:



wide surface not covered heater

another wide surface center

Side surface

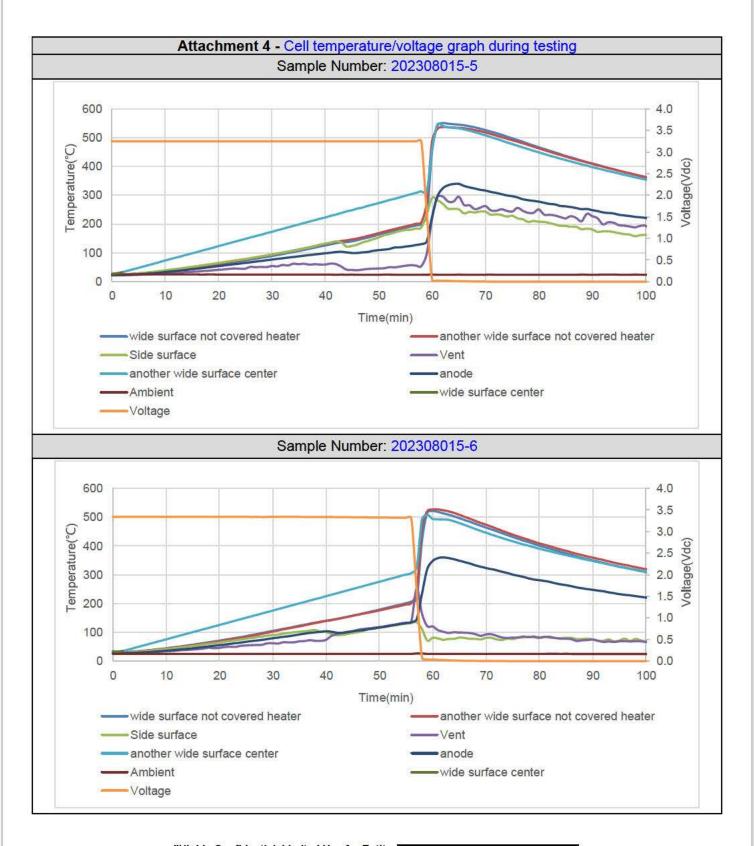
-Voltage

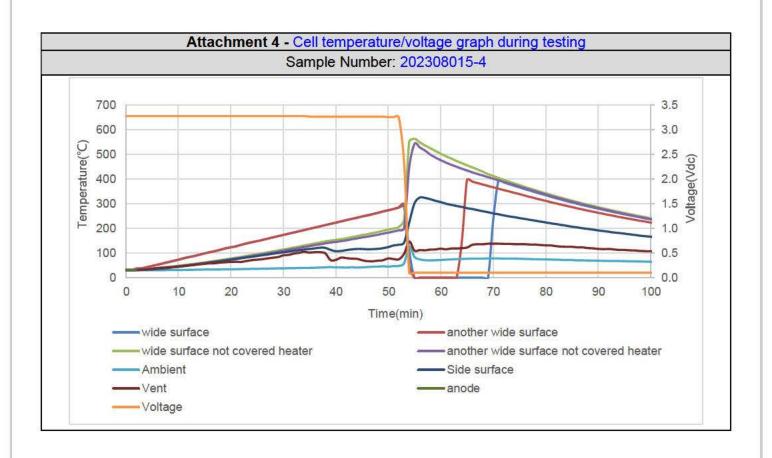
another wide surface not covered heater

Vent

-Ambient

wide surface center





# Attachment 5 - Cell gas analysis report

上海化工院检测有限公司 检测报告 Shanghai Institute of Chemical Industry Testing Co., Ltd. Test Report Ne1623100089

				将 2/14 页 Page 2/1
序号 No.	检测项目名称 Name of Yest	标准条款号 Clause of Standard	检测结果 Test Result	春注 Remark
1	气体/蒸气燃烧(総称) 故作一下项 Finanusble (explosion) timits of gas and vapours - LFL	ASTM E918-19	初号: 温度 25(a5)°C ,初始压力 101(±2%Pa 条件下,LFL=6.2%。 LFL=6.2% at 25(±3)°C and 101(±2) kPa.	评见股表 3 和 4. Sec Attached Table 3 and 4.
2	气体/蒸气燃烧(爆炸) 被保工工程 Figurable (explosion) limits of gas and vapours - LFL			译见题录 5 和 6. See Attached Table 5 and 6.
3	可能飞体燃烧速度 Barning velocity of flammable gases	ISO 817:2014/Amd 2:2021	在宏观和大气压条件下、 $x_c=0.638m/s$ 。 $x_c=0.638m/s$ at room temperature and atmospheric pressure.	详见对表?和 8。 See Attached Table 7 and 8.
4	气体/蒸气的最大爆炸 压力 Maximum explosion pressure of gases and vapours	EN 15967:2022	初始温度 28(±2)°C. 初始体力 101(±3)kPa 条件下, p <sub>max</sub> =0.68MPa, p <sub>max</sub> =0.68MPa at 28(±2) °C and 101(±3)kPa.	详见数表 9 和 10. See Attached Tabl 9 and 10.
5	以下变有 Intentionally Left Blank			
6				
	检测环境条件 lest Environment Condition		. 相对强度: 46-63% e: 17-26°C, Relative Humidity: 46-63%	
各注 Remarks		用于产品的生产。 是。 This report is effective	数据仅对某种特定情况下的押品有效。否 由工、运输及循序等过程中的纯原评估。 e usder the specific condition, please seek fi sproducing, processing, transportation and st	有征求有天专家的 or the advice of expe

End of Report....

"Highly Confidential, Limited Use for Entity:



Prüfbericht-Nr.: Test report no.:	CN23P68X 00	01	Auftrags-Nr.: Order no.:	244559560	Seite 1 von 35 Page 1 of 35
Kunden-Referenz-Nr.: Client reference no.:	2003666		Auftragsdatum: Order date:	2023-11-20	
Auftraggeber: Client:	Sungrow Pow No.1699 Xiyot 230088 Anhui	u Rd., New & H	Ltd. ligh Technology Indu	ustrial Developmen	t Zone, Hefei,
Prüfgegenstand: Test item:	Energy Storag	ge Battery Pac	k		
Bezeichnung / Typ-Nr.: Identification / Type no.:	P1044AL-AHA	1			
Auftrags-Inhalt: Order content:	Test report				
Prüfgrundlage: Test specification:			tion) Test Method fo gy Storage Systems		mal Runaway Fire
Wareneingangsdatum: Date of sample receipt:	2023-11-20				
Prüfmuster-Nr.: Test sample no:	#2023112101	į.			
Prüfzeitraum: Testing period:	2023-11-21 - 2	2023-12-04			
Ort der Prüfung: Place of testing:	See clause 1.	1 of main			
Prüflaboratorium: Testing laboratory:	TÜV Rheinlan Co., Ltd.	d (Shanghai)			
Prüfergebnis*: Test result*:	See main repo	ort			<b>E</b>
geprüft von: tested by:	M	inhau Hu	genehmigt von: authorized by:	1.	-8
Datum: Date: 2023.12.15	Simon Wang&N	⁄linhao Hu	Ausstellungsdate	Bowen	Dong
Stellung / Position: F	roject Enginee	r/Trainee	Stellung / Position	n: Review	wer
Sonstiges / Other:					
Zustand des Prüfgegens Condition of the test item a	at delivery:		Test item complete	ndig und unbeschä e and undamaged	
Legende: P(ass) = entspricht o.g. Prüfgrundlage(n) F(ail) = entspricht Legend: P(ass) = passed a.m. test specification(s) F(ail) = failed a.m.		nicht o.g. Prüfgrundlage(n)	N/A = nicht anwendbar	N/T = nicht getestet	

permitted to be duplicated in extracts. This test report does not entitle to carry any test mark.

TUV Rheinland (Shanghai) Co., Ltd. No.177, 178, Lane 777 West Guangzhong Road, Jing'an District, Shanghai, China

Mail: service-gc@tuv.com · Web: www.tuv.com

This test report only relates to the above mentioned test sample as. Without permission of the test center this test report is not

## INTRODUCTION

Model fire codes and energy storage system standards require energy storage systems to comply with UL 9540, which in turn requires battery cells and modules to comply with UL 1973. Compliance with these standards reduces the risk of batteries and battery energy storage systems (BESS) creating fire, shock or personal injury hazards. However, they don't evaluate the ability of the BESS installed as intended and with fire suppression mechanisms in place, if necessary, from contributing to a fire or explosion in the end use installations.

To address these fire and explosion hazards associated with the installation of a BESS, the fire and other codes require energy storage systems to meet certain location, separation, fire suppression and other criteria. Those codes also provide a means to provide an equivalent level of safety based on large scale fire testing of anticipated BESS installations.

UL 9540A is intended to provide a test method that can be used as a basis for validating the safety of a BESS installation in lieu of meeting the specific criteria provided in those codes. The data generated can be used to determine the fire and explosion protection required for installation of a BESS.

The test method is initiated through the establishment of a thermal runaway condition that leads to combustion within the BESS. The test method outlined in UL 9540A consists of several steps – cell level testing, module level testing, unit level testing and installation level testing. The cell and module level testing steps are information gathering steps to inform the unit and installation level testing.

The following outlines the information that may gathered as part of the testing:

- a) Cell level An individual cell fails in a manner that leads to thermal runaway and fire through a suitable method such as external heating. Data such as off-gassing contents, temperatures at venting and temperatures at thermal runaway are recorded.
- b) Module level One or more cells within a BESS module fail in the manner determined during the cell level testing. Data such as fire propagation in the module, temperatures on the failed cells and surrounding cells, off-gassing contents and heat release data are gathered.
- c) Unit level A complete BESS is installed surrounded by target (e.g. dummy) BESS and walls separated at a distance as intended in its installation. The module level test is repeated on a module located in the BESS in the most unfavorable location. Data such as temperature within the BESS, on surrounding walls and target BESS; incident heat flux on walls and target BESS; observation of fire propagation from BESS to target units and walls as well as observance of explosions or evidence of re-ignition within the BESS; and heat release and off-gassing contents are gathered.
- d) Installation level This test is a repeat of the unit level test with the test conducted within a test room and with the intended fire suppression system installed as well as any overhead cables (that can lead to fire propagation) installed. This test is intended to validate the fire suppression system for the BESS installation. Data such as temperature within the BESS, on surrounding walls and target BESS; incident heat flux on walls and target BESS; fire propagation from the BESS to target units, walls or overhead cables and any observable explosion incidents or re-ignition within the BESS; and off-gassing contents (if needed) and heat release are gathered.

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### 1. General information

### 1.1 Test specification

Standard: ANSI/CAN/UL 9540A: 2019 (Fourth Edition)

**Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems** 

This report presents the result of module level tests of UL 9540A: 2019.

All tests were conducted at TUV Rheinland (Shanghai) Co., Ltd. and TUV Rheinland's partner labs that were under supervision of TÜV Rheinland's engineer.

Testing period: Nov. 21, 2023 ~ Dec. 04, 2023

All tests were under supervision of TÜV Rheinland's engineer.

Refer to Clause 4 for test and measurement instruments.

### 1.2 General remarks

This report is descriptive and provide the test data only.

The test results presented in this report relate only to the object tested.

This report shall not be reproduced, except in full, without the written approval of the testing laboratory.

Throughout this report a  $\square$  comma /  $\boxtimes$  point is used as the decimal separator.

### 1.3 Revision information

New report, not applicable

## 1.4 Summary of the test

Two external heaters were placed in the module to initiating thermal runaway. The initiating cells were heated at a rate of 4°C~7°C per minute until the cell thermal runaway.

White smoke was observed during test. No flying debris or explosive discharge of gases during test. No sparks, electrical arcs, or other electrical events during test. No external flaming observed.

Cell thermal runaway was observed.

Cell to cell thermal runaway propagation was observed.

The battery pack weight measured was 658.5 kg (before test) and 653.5 kg (after test).

Measured peak chemical heat release rate HRRt was 27.26 kW;

Measured peak smoke release rate SRR was 6.785 m<sup>2</sup>/s;

Total smoke release TSR was 1589.26 m<sup>2</sup>;

Total hydrocarbons was 973.2 L (equivalent to CH<sub>4</sub>, measured by FID);

Detail information see relevant clause of this report.

### 1.5 List of attachments

Video records of the test from 3 directions was provided in .mp4 format.

Complete records were provided in following documents, file number listed as below:

202301121 Sungrow module UL9540A video ch01 (01-02).mp4

202301121 Sungrow module UL9540A video ch02 (01-02).mp4

202301121 Sungrow module UL9540A video ch03 (01-02).mp4

# 2. General Product Information

## 2.1 Cell

# 2.1.1 Product information and parameters

The product information and parameters are provided by the client as below.

Manufacturer	CALB Group Co., Ltd.	
Model number	L173F314	
Chemistry	LiFePO4	
Physical configuration	Prismatic	
	Weight:	5.56±0.15 kg
Electrical rating	Rated capacity:	314 Ah
	Nominal voltage:	3.2 V
Standard charge method	Charge current:	157 A
	End of charge voltage:	3.65 V
Standard discharge method	Discharge current:	314 A
	End of discharge voltage:	2.5 V
· · · · · · · · · · · · · · · · · · ·	13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1   13.2±1	
製造するとは近くのが、これと 製造するとは近くのが、これと 選挙		

### 2.1.2 Cell level test information

Cell level thermal runaway test information is from UL cell level test report 4789795626 provided by the client.

Thermal Runaway Methodology:	Two pieces 185 mm by 160 mm heater for each sample
Cell Surface Temperature at Gas Venting:	140.5°C
Cell Surface Temperature at Thermal Runaway:	210.6°C

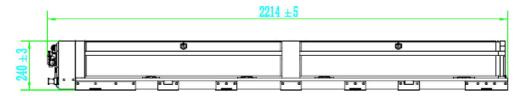
### 2.2 Module

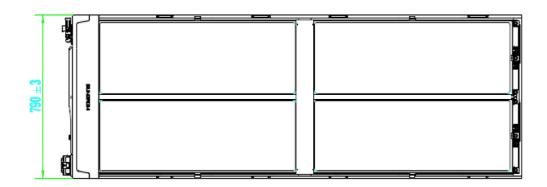
## 2.2.1 Product information and parameters

The product information and parameters are provided by the client as below.

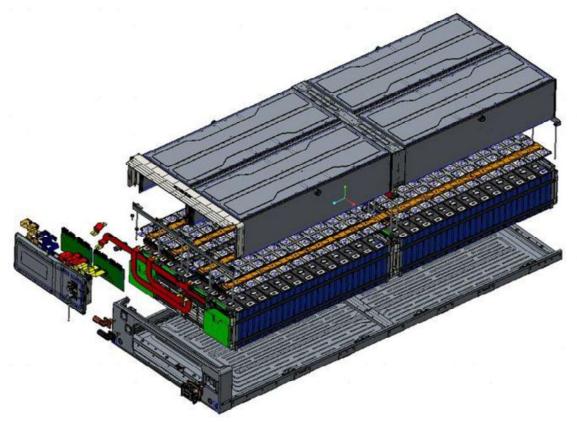
Manufacturer name:		Sungrow Power Supply Co., Ltd.		
Model number:		P1044AL-AHA		
		Metal enclosure with plastic cover		
Physical confid	guration:	Weight: 660±9 kg		
T Tryologi doring	garation	Cells in series/parallel: 104S		
Cooling metho	d:	Liquid cooling		
Separation bet	ween cells:	Aerogel		
E		Rated capacity: 314 Ah		
Electrical rating	g:	Nominal voltage: 332.8 V		
Standard	Charge current:	157 A		
charge method:	End of charge voltage:	379.6 V		
Standard	Discharge current:	157 A		
discharge method:	End of discharge voltage:	280.8 V		
Compliance with UL 1973		Yes. Report No. : CN232YU5 001 Certificate No. : CU 72303382 0001		

# 2.2.2 Diagram with overall dimension

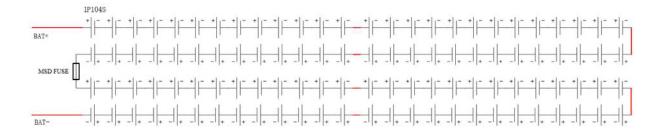




# 2.2.3 Layout of the module contents



# 2.2.4 Configuration diagram of the module



# 2.3 Photos



# 3. Module level test (section 8 of UL 9540A)

### 3.1 General

This testing is conducted on battery modules, which are in turn installed in an enclosure or in an open rack system to form a BESS unit.

This test uses applied stresses determined during the cell level test to force a selected number of battery cells within the module into thermal runaway. If there is fire that results from the cell being driven into thermal runaway, the fire is allowed to progress within the module.

The test measures the chemical heat release rate, maximum temperature, and vent gas composition; and documents the module enclosure integrity after the test, any explosions or hazardous ejection of parts outside of the module enclosure, and the extent and duration of any flame propagation outside of the module.

The module level testing establishes a base line fire test performance that can be evaluated against the fire performance of other battery modules the BESS manufacturer may choose to use within the system.

## 3.2 Sample preparation

Module sample was conditioned, prior to testing, through charge and discharge cycles of 3 cycles to verify that the module was functional.

Each cycle was defined as a charge to 100% SOC and allowed to rest several minutes and then discharged to an end of discharge voltage (EODV) determined by the manufacturer. Refer to 2.1 for charge and discharge profile.

The module sample was put in a climate chamber during charge and discharge. The ambient is kept at 25°C±2°C and 50%± 5% R.H.

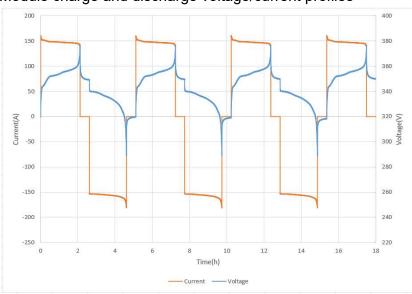


Figure 1. Module charge and discharge voltage/current profiles

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**Customer Contact:** 

> Date: 12 Jan 2024"

## 3.3 Module level thermal runaway test

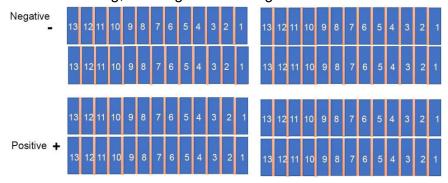
### 3.3.1 Thermal runaway test method description

The module to be tested were charged to 100% SOC and allowed to stabilize for a minimum of 1 h and a maximum of 8 h before the start of the test.

The external heating method used for initiating thermal runaway in cell level test was used to initiate thermal runaway within the module.

Consider the unit level installation in the module. #2 ~ #4 cells located in the middle of the module was chose as target cell to be forced into thermal runaway.

Figure 2. Cell numbering, initiating cell selecting



The cells were heated by two external heaters rated 220VAC/800 W (size 200\*165\*1mm).

2 glass fiber thermocouples Type K, 24 AWG were attached on the center of two wide surface of #3 cell. (See 3.3.2 figure 3)

10 glass fiber thermocouples Type K, 24 AWG were attached on the center of each wide surface of #1 to #7 cells and 3 glass fiber thermocouples were attached on the vent of #2 to #4 cells, used for record cell temperature. (See 3.3.2 figure 4)

7 glass fiber thermocouples Type K, 24 AWG were attached on the center of each wide surface of #1 to #7 cells which were beside initiating cell #3 and another 7 glass fiber thermocouples Type K, 24 AWG were attached on the center of each narrow surface of #1 to #7 cells which were opposite initiating cell #3, used for record cell surface temperature. (See 3.3.2 figure 4)

8 glass fiber thermocouples Type K, 24 AWG were attached on the surface of module used for record module external temperature. (See 3.3.2 figure 5)

Manual control the voltage supply to the heater and maintain a 4°C/min to 7°C/min heating rate.

Once thermal runaway was observed, the heaters were immediately de-energized. Voltage of the modules are monitored during test.

The module was placed on top of a lift with the module orientation representative of its intended final installation.

The module was located under the smoke collection hood of the calorimeter measurement system.

Ambient conditions were within 25±5 °C and 50±25% RH at the initiation of the test.

# 3.3.2 Location of thermocouples

Figure 3. Thermocouples (no. xx) locations of initiating cell

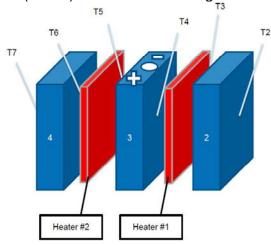


Figure 4. Cell numbering, heater location and thermocouples (no. xx) locations inside the sub-module

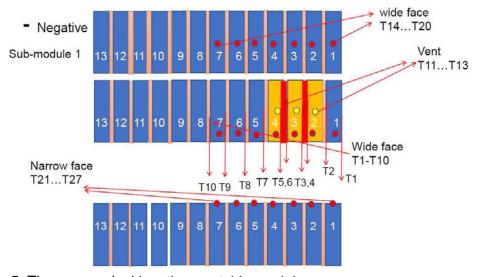
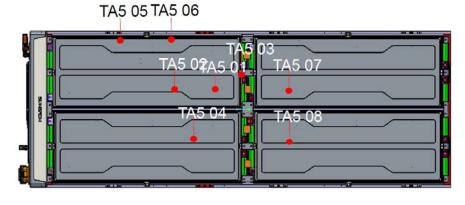


Figure 5. Thermocouples' locations outside module



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## 3.3.3 Observations and records

Ambient conditions at the initiation of the test:	24.9°C, 61.1% R.H.
Sample number:	#2023112101
Open circuit voltage before test (V):	351.0
Weight before test (kg):	658.5 (with thermocouples)
Time initiating the test:	10:47 start to heat the cells
Observations during test:	Audible pops were heard at 12:39 (the pressure relief valve burst).  Large amount of white smoke was
	observed on 12:40.
	Large amount of white smoke was observed on 12:44, 12:51, 13:00 and 13:14.
	No flying debris or explosive discharge of gases during test.
	No sparks, electrical arcs, or other electrical events during test.
	No flaming observed.
Posttest evaluation:	Posttest evaluations were performed after 12 hours of test.
	Total 5 cells were damage after test. 3 of them were initiating cells and another 2 were cell-to-cell thermal propagation.
	Photos "sample after test" in page 31 show the damage of the module enclosure, electrolyte outside and damage of the components inside enclosure.
	329.9 V was measured on the module output terminal.
Weight after test (kg):	653.5 (with thermocouples)
Weight loss (kg):	5

### 3.3.4 Temperature measurements

Cell to cell propagation was observed during the test.

Cell thermal runaway occurred on #3 at around 12:40, #2 and #4 cell thermal runaway occurred at around 12:44 and 12:51. Maximum temperature 633.5°C (T4) were measured on the #4 cells wide surface. See figure 6 for the temperature vs time curve. Maximum temperature please see table 1 for detail.

Cell to cell propagation was observed on #1 and #5 in initial module during the test.

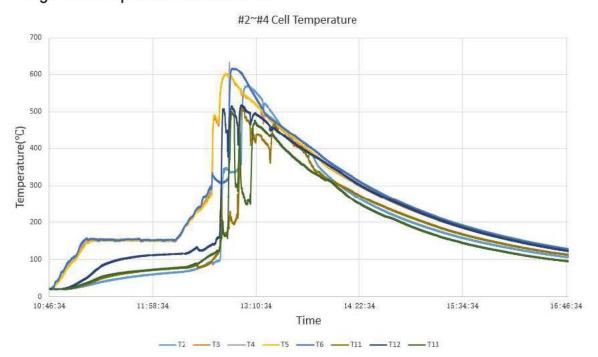


Figure 6. Temperatures of cell #2~#4

Table 1. Maximum temperature of cell #2~#4

Thermocouple no.	Location	Maximum temp.(°C)	
T2	Surface of cell_2	569.0	
Т3	Surface of cell_2 under heater	600.7	
T4	Surface of cell_3 under heater	633.5 601.8	
T5	Surface of cell_3 under heater		
T6	Surface of cell_4 under heater	618.1	
T11	Vent of cell_2	510.5	
T12	Vent of cell_3	517.6	
T13	Vent of cell_4	500.5	

Note: Thermocouples T7 was damaged during the test.

Figure 7. Temperatures of cell #1 and cell #5~#7

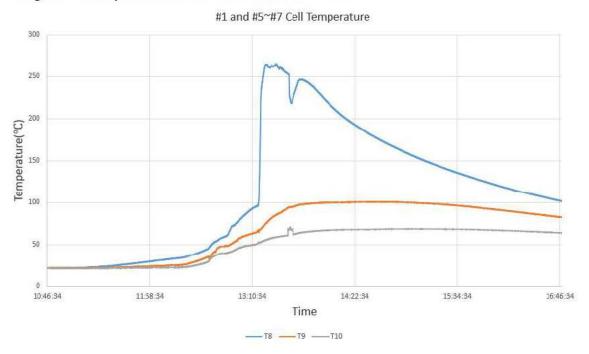


Table 2. Maximum temperature of cell #1 and cell #5~#7

Thermocouple no.	Location	Maximum temp.(°C)
T8	Surface of cell_5	265.5
T9	Surface of cell_6	100.8
T10	Surface of cell_7	70.7

Note: Thermocouples T1 was damaged during the test.

Figure 8. Temperatures of cell #1~#7(beside initiating cell)

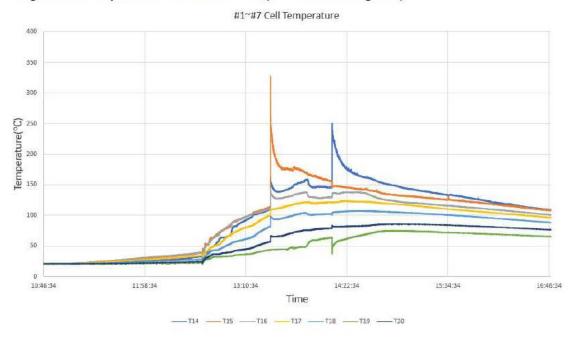


Table 3. Maximum temperature of cell #1-#7(beside initiating cell)

Thermocouple no.	Location	Maximum temp.(°C)
T14	Surface of cell_1	250.3
T15	Surface of cell_2	325.1
T16	Surface of cell_3	144.9
T17	Surface of cell_4	123.1
T18	Surface of cell_5	107.1
T19	Surface of cell_6	75.1
T20	Surface of cell_7	85.6

Figure 9. Temperatures of cell #1~#7(opposite initiating cell)

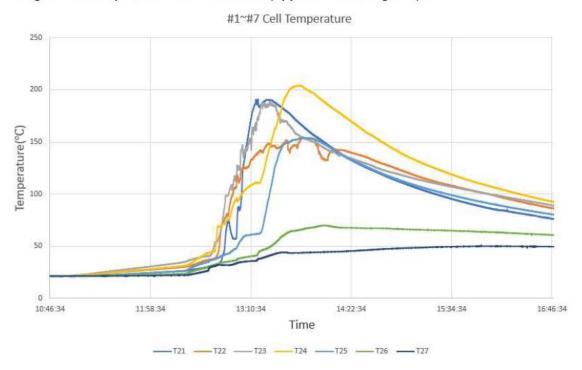


Table 4. Maximum temperature of cell #1~#7(opposite initiating cell)

Thermocouple no.	Location	Maximum temp.(°C)	
T21	Surface of cell_1	190.8	
T22	Surface of cell_2	154.1	
T23	Surface of cell_3	188.4	
T24	Surface of cell_4	204.3	
T25	Surface of cell_5	154.3	
T26	Surface of cell_6	69.9	
T27	Surface of cell_7	51.2	

Figure 10. Module voltage

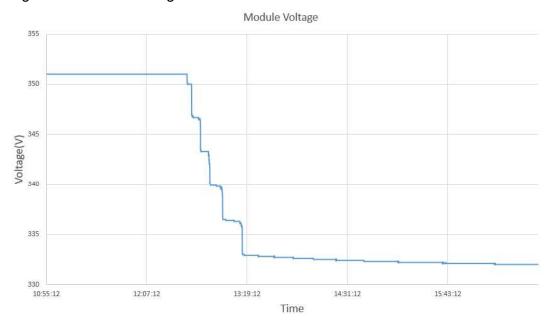
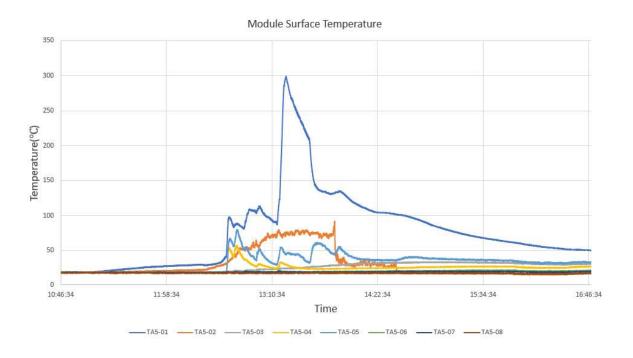


Figure 11. Temperatures of module surface



### 3.4 Chemical heat release rate measurement

#### 3.4.1 Test method

The chemical heat release rates were measured by an oxygen consumption calorimeter measurement system consisting of a paramagnetic oxygen analyzer, non-dispersive infrared carbon dioxide and carbon monoxide analyzer, velocity probe, and a Type K thermocouple.

The instrumentations are located in the exhaust duct of the heat release rate calorimeter.

The chemical heat release rate was calculated at each of the flows as follows:

$$HRR_{1} = \left[ E \times \varphi - (E_{co} - E) \times \frac{1 - \varphi}{2} \times \frac{X_{co}}{X_{O_{2}}} \right] \times \frac{\dot{m_{e}}}{1 + \varphi \times (\alpha - 1)} \times \frac{M_{O_{2}}}{M_{a}} \times (1 - X_{H_{2}O}^{o}) \times X_{O_{2}}^{o}$$

In which:

HRRt = total heat release rate, as a function of time (kW)

E = Net heat released for complete combustion per unit of oxygen consumed (adjusted for oxygen contained within cell chemistry, 13,100 kJ/kg)

 $E_{\rm CO}$  = Net heat released for complete combustion per unit of oxygen consumed, for CO (adjusted for oxygen contained within cell chemistry, 17,600 kJ/kg)

 $\varphi$  = Oxygen depletion factor (non-dimensional), where:

$$\varphi = \frac{X_{O_2}^o \times [1 - X_{CO_2} - X_{CO}] - X_{O_2} \times [1 - X_{CO_2}^o]}{X_{O_2}^o \times [1 - X_{O_2} - X_{CO_2} - X_{CO}]}$$

X<sub>CO</sub> = Measured mole fraction of CO in exhaust flow (non-dimensional)

 $X_{CO_2}$  Measured mole fraction of  $CO_2$  in exhaust flow (non-dimensional)

X°CO2 = Measured mole fraction of CO2 in incoming air (non-dimensional)

 $X^{\circ}_{H_2O}$  = Measured mole fraction of  $H_2O$  in incoming air (non-dimensional)

 $X_{O_2}$  = Measured mole fraction of  $O_2$  in exhaust flow (non-dimensional)

 $X^{\circ}_{O_2}$  = Measured mole fraction of  $O_2$  in incoming air (non-dimensional)

α = Combustion expansion factor (non-dimensional; normally a value of 1.105)

Ma = Molecular weight of incoming and exhaust air (29 kg/kmol)

 $M_{O_2}$  = Molecular weight of oxygen (32 kg/kmol)

 $\dot{m}_e$  = Mass flow rate in exhaust duct (kg/s), in which:

$$\dot{m_e} = C \times \sqrt{\frac{\Delta p}{T_e}}$$

or

$$\dot{m}_e = 26.54 \times \frac{A \times k_c}{f(\text{Re})} \times \sqrt{\frac{\Delta p}{T_e}}$$

C = Orifice plate coefficient (in  $kg^{1/2}m^{1/2}K^{1/2}$ )

Δp = Pressure drop across orifice plate or bidirectional probe (Pa)

T<sub>e</sub> = Combustion gas temperature at orifice plate or bidirectional probe (K)

A = Cross sectional area of the duct (m<sup>2</sup>)

 $k_c$  = Velocity profile shape factor (non-dimensional)

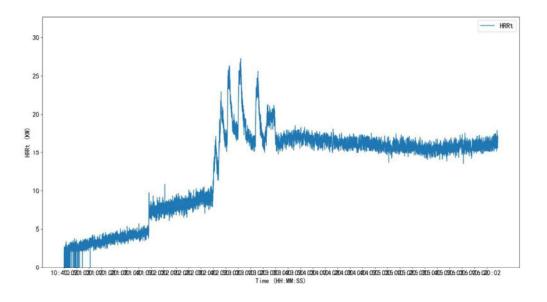
f(Re) = Reynolds number correction (non-dimensional)

The whole heat release rate measurement system were calibrated using an atomized heptane diffusion burner before the test. The calibration were performed using flows of 1078mg/s and 1510mg/s of propane (corresponding to 50kW and 70kW heat release rate).

### 3.4.2 Test result

Peak chemical heat release rate HRR<sub>t</sub>: 27.260 KW

Figure 12. HRRt curve



## 3.5 Smoke release rate measurement

### 3.5.1 Test method

The light transmission in the calorimeter's exhaust duct was measured using a white light source and photo detector for the duration of the test.

The smoke release rate was calculated as follows:

$$SRR = 2.303 \left(\frac{V}{D}\right) Log_{10} \left(\frac{I_o}{I}\right)$$

Where:

SRR = Smoke release rate (m<sup>2</sup>/s)

V = Volumetric exhaust duct flow rate (m<sup>3</sup>/s)

D = duct diameter (m)

Io = Light transmission signal of clear (pre-test) beam (V)

I = Light transmission signal during test (V)

The whole smoke release rate measurement system were self-checked using calibrated light filter before test. The self-check were performed at 100%, 79%, 50%, 32%, 16%, 10%, 1% and 0% light transmittance.

### 3.5.2 Test result

Peak smoke release rate SRR: 6.785 m<sup>2</sup>/s Total smoke release TSR: 1589.260 m<sup>2</sup>

Figure 13. SRR curve

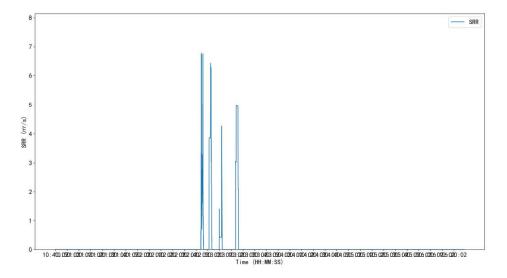
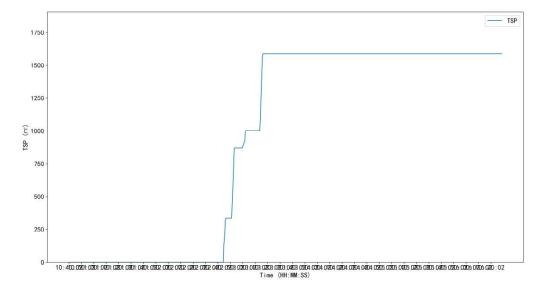


Figure 14. TSR curve



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## 3.6 Gas generation measurement

#### 3.6.1 Test method

The composition, velocity and temperature of the vent gases were measured within the calorimeter's exhaust duct.

Gas compositions were measured using a Fourier-Transform Infrared Spectrometer with a resolution of 1 cm<sup>-1</sup> and a path length of 4.2 m within the calorimeter's exhaust duct.

The hydrocarbon content of the vent gas was measured using flame ionization detection.

Hydrogen gas was measured with a palladium-nickel thin-film solid state sensor. Composition, velocity and temperature instrumentation were collocated with heat release rate calorimetry instrumentation.

### 3.6.2 Total gas release

The flow rates of various gases were integrated over the test duration and the total cumulative volume of gas calculated for the total test duration (10:47 ~14:10) were presented in below table.

Total cumulative volume of gases before cell venting (10:47 ~ 12:39) were also presented in table for reference. Which may be considered as ambient gases background before test.

Gas components		Total volume of gas (L)	
		Before cell venting	Throughout the test
Methane	CH <sub>4</sub>	0.00	86.10
Ethylene	C <sub>2</sub> H <sub>4</sub>	0.00	66.52
Ethane	C <sub>2</sub> H <sub>6</sub>	0.00	16.14
Propylene	C <sub>3</sub> H <sub>6</sub>	0.00	261.20
Propane	C <sub>3</sub> H <sub>8</sub>	0.00	47.45
Carbon Monoxide	CO	0.00	170.20
Carbon Dioxide	CO <sub>2</sub>	0.00	382.30
Hydrogen 2)	H <sub>2</sub>	0.00	970.20
Total Hydrocarbons (equivalent to CH <sub>4</sub> , measured by F			973.2
	Methane Ethylene Ethane Propylene Propane Carbon Monoxide Carbon Dioxide Hydrogen 2)	Methane CH <sub>4</sub> Ethylene C <sub>2</sub> H <sub>4</sub> Ethane C <sub>2</sub> H <sub>6</sub> Propylene C <sub>3</sub> H <sub>6</sub> Propane C <sub>3</sub> H <sub>8</sub> Carbon Monoxide CO Carbon Dioxide CO <sub>2</sub> Hydrogen <sup>2)</sup> H <sub>2</sub>	Before cell venting

#### Note:

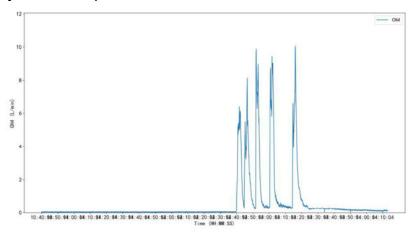
1)The collection time is from 10:47 to 14:10

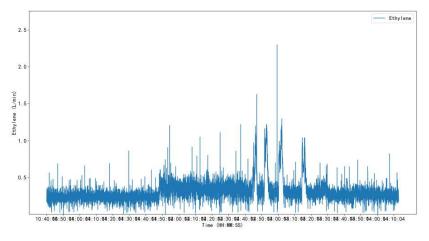
2)The Hydrogen measured by Palladium nickel thin film solid state sensor.

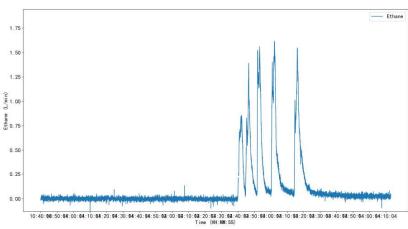
## 3.6.3 Gas components

Concentrations of the gases were scaled based on the measured flow rate of the exhaust system and were presented in standard volume flow rate of gas ventilated in Figures 15 to 19.

Figure 15. Hydrocarbon species

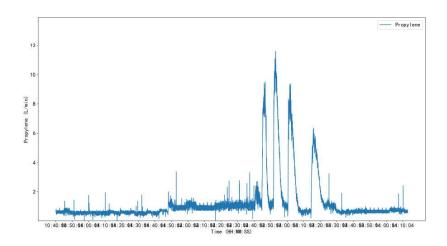






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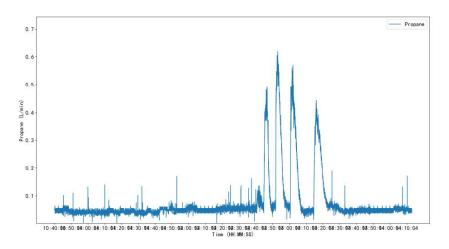
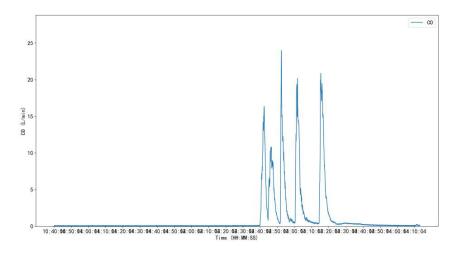


Figure 16. CO, CO<sub>2</sub> concentration



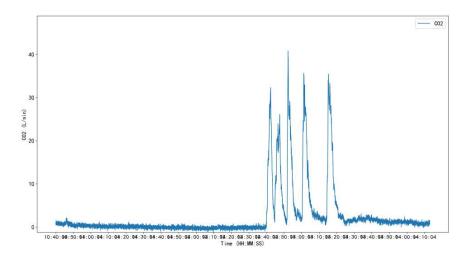
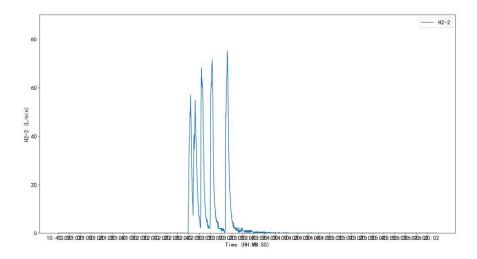


Figure 17. Hydrogen concentration



# 3.7 Photos

Sample before test



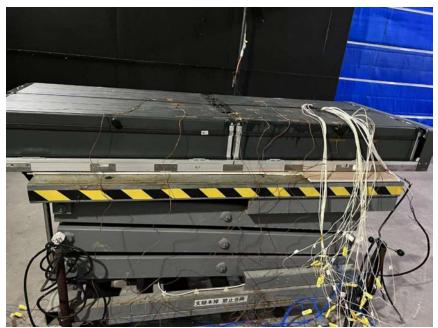


"Highly Confidential, Limited Use for Entity:

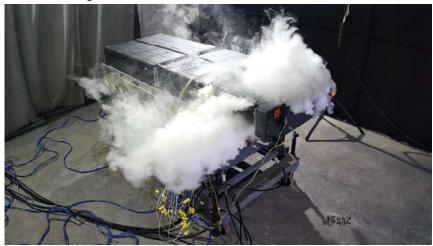
**Customer Contact:** 

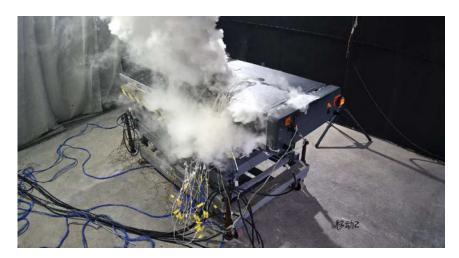
# Test setup





# Smoke release during test







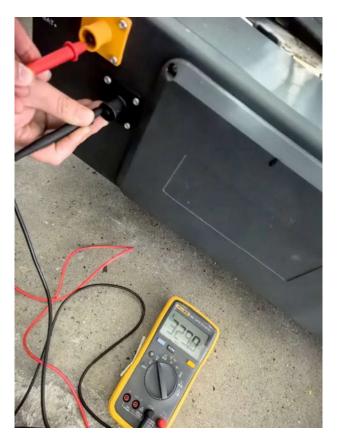
# Sample after test





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# 4. List of Test and Measurement Instruments

No	Equipment	Model	Rating	Inventory no.	Cal. Expire date
1	Ambient temperature and humidity	HWP01- 10S	-30°C~50°C, 20%RH~100%RH	11/0055//	
2	Data acquisition equipment	DAQ970A	3-slot cardcage with 6½ digit (22 bit) internal DMM 0.004%, accuracy 0.06% Vac	TY2020000 138	2024.2.2
3	Electronic scale	CHAOOU C1	0-500KG	6596049416 9	2024.2.2
4	Paramagnetic oxygen analyser	SERVOME X4100	O2: paramagnetic sensor, range 0-25%, accuracy 0.02%, response time T90 < 7S	ZY2020000 018-1	2024.2.6
5	Velocity probe	2671-25L- D-11-G2- E-N	4-20mA output, range 0- 250pa, accuracy ± 1% F.S	ZY2020000 018-2	2024.2.6
6	Photo detector	PDA36A2	Thorlabs optical receiver, wavelength range (350- 1000) mm, gain adjustable, voltage output (0-10) V, instability < 0.1%	ZY2020000 018-3	2024.2.2
7	Fourier- Transform Infrared Spectrometer	atmosFIR	Spectral scanning range: 485 - 7500cm-1; Spectral repeatability: < 0.1cm-1	ZY2020000 018-5	2024.3.9
8	Non-dispersive infrared carbon dioxide and carbon monoxide sensor	SERVOME X4100	CO2: infrared sensor, measuring range 0-10%, accuracy 1% F.S, response time T90 < 7S Co: infrared sensor, measuring range 0-1%, accuracy 1% F.S, response time T90 < 8s	ZY2020000 018-4	2024.2.6
9	Palladium-nickel thin-film solid state sensor	MODEL 2000	Range: 0-2000ppm, temperature less than 100 C, -90~110kPa	ZY2021000 210	2024.2.2

10	Flame ionization detector	3010	Accuracy: 2.0%	19937	2024.3.9
11	Heat flux measurement equipment	MW88- JTC08C	0 ~ ± 99999 w / m2, - 250 ~ 980 °C, accuracy 5%, response time less than 0.1s,	ZY2020000 010	2024.2.2
12	Thermopile	RS-WD- HW-1	0-200 °C, 4-20mA, response speed < 0.15s	2834814194 2	2024.2.2

**End of Test Report** 



Prüfbericht-Nr.: Test report no.:	CN23JPBV 001	Auftrags-Nr.: Order no.:	244559560	Seite 1 von 59 Page 1 of 59
Kunden-Referenz-Nr.: Client reference no.:	2003666	Auftragsdatum: Order date:	2023-11-20	
Auftraggeber: Client:	Sungrow Power Supply Co., No.1699 Xiyou Rd.,New & H 230088 Anhui, P.R. China		ustrial Developmen	it Zone, Hefei,
Prüfgegenstand: Test item:	Battery Rack			
Bezeichnung / Typ-Nr.:  dentification / Type no.:	R0417BL-AHAA, R0417BL-A AHCS, R0835BL-AHAS, R08			
Auftrags-Inhalt: Order content:	Test report			
Prüfgrundlage: Test specification:	UL 9540A: 2019 (Fourth Edit Propagation in Battery Energ			nal Runaway Fire
Wareneingangsdatum: Date of sample receipt:	2023-11-28			
Prüfmuster-Nr.: Test sample no:	#2023112801			
Prüfzeitraum: Testing period:	2023-11-29 - 2023-12-11			
Ort der Prüfung: Place of testing:	See clause 1.1 of main report			
Prüflaboratorium: Testing laboratory:	TUV Rheinland (Shanghai) Co., Ltd.			
Prüfergebnis*: Test result*:	See main report	The state of the s	960	7/100
geprüft von:	Minhau Hu	genehmigt von: authorized by:	1	8
Datum: Date: 2023.12.15	Simon Wang&Minhao Hu	Ausstellungsdat Issue date: 2023	Dowen	Dong
Stellung / Position:	Project Engineer/Trainee	Stellung / Positio	n: Revie	ewer
Sonstiges / Other: Zustand des Prüfgegens			indig und unbescha	ädigt
Condition of the test item a	AND THE RESIDENCE OF THE PARTY		e and undamaged	MT 11 1 1
Legende: P(ass) = entspricht o.	g. Prüfgrundlage(n) F(ail) = entspricht i	nicht o.g. Prüfgrundlage(n)	N/A = nicht anwendbar	r N/T = nicht getestet

permitted to be duplicated in extracts. This test report does not entitle to carry any test mark.

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#### INTRODUCTION

Model fire codes and energy storage system standards require energy storage systems to comply with UL 9540, which in turn requires battery cells and modules to comply with UL 1973. Compliance with these standards reduces the risk of batteries and battery energy storage systems (BESS) creating fire, shock or personal injury hazards. However, they don't evaluate the ability of the BESS installed as intended and with fire suppression mechanisms in place if necessary, from contributing to a fire or explosion in the end use installations.

To address these fire and explosion hazards associated with the installation of a BESS, the fire and other codes require energy storage systems to meet certain location, separation, fire suppression and other criteria. Those codes also provide a means to provide an equivalent level of safety based on large scale fire testing of anticipated BESS installations.

UL 9540A is intended to provide a test method that can be used as a basis for validating the safety of a BESS installation in lieu of meeting the specific criteria provided in those codes. The data generated can be used to determine the fire and explosion protection required for installation of a BESS.

The test method is initiated through the establishment of a thermal runaway condition that leads to combustion within the BESS. The test method outlined in UL 9540A consists of several steps – cell level testing, module level testing, unit level testing and installation level testing. The cell and module level testing steps are information gathering steps to inform the unit and installation level testing.

The following outlines the information that may gathered as part of the testing:

- a) Cell level An individual cell fails in a manner that leads to thermal runaway and fire through a suitable method such as external heating. Data such as off-gassing contents, temperatures at venting and temperatures at thermal runaway are recorded.
- b) Module level One or more cells within a BESS module fail in the manner determined during the cell level testing. Data such as fire propagation in the module, temperatures on the failed cells and surrounding cells, off-gassing contents and heat release data are gathered.
- c) Unit level A complete BESS is installed surrounded by target (e.g. dummy) BESS and walls separated at a distance as intended in its installation. The module level test is repeated on a module located in the BESS in the most unfavorable location. Data such as temperature within the BESS, on surrounding walls and target BESS; incident heat flux on walls and target BESS; observation of fire propagation from BESS to target units and walls as well as observance of explosions or evidence of re-ignition within the BESS; and heat release and off-gassing contents are gathered.
- d) Installation level This test is a repeat of the unit level test with the test conducted within a test room and with the intended fire suppression system installed as well as any overhead cables (that can lead to fire propagation) installed. This test is intended to validate the fire suppression system for the BESS installation. Data such as temperature within the BESS, on surrounding walls and target BESS; incident heat flux on walls and target BESS; fire propagation from the BESS to target units, walls or overhead cables and any observable explosion incidents or re-ignition within the BESS; and off-gassing contents (if needed) and heat release are gathered.

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### 1 General information

### 1.1 Test specification

Standard: ANSI/CAN/UL 9540A: 2019 (Fourth Edition)

**Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems** 

This report presents the result of unit level tests of UL 9540A: 2019.

All tests were conducted at TUV Rheinland (Shanghai) Co., Ltd. and TUV Rheinland's partner labs that were under supervision of TÜV Rheinland's engineer.

Testing period: Nov. 29, 2023 ~ Dec. 11, 2023

All tests were under supervision of TÜV Rheinland's engineer.

Refer to Clause 4 for test and measurement instruments.

#### 1.2 General remarks

This report is descriptive and provide the test data only.

The test results presented in this report relate only to the object tested.

This report shall not be reproduced, except in full, without the written approval of the testing laboratory.

Throughout this report a  $\square$  comma /  $\boxtimes$  point is used as the decimal separator.

#### 1.3 Revision information

New report, not applicable

### 1.4 Summary of the test

Two external heater were placed in the module to initiating thermal. The initiating cells were heated at a rate of 4°C~7°C per minute until the cell thermal runaway.

White smoke was observed during test.

Cell thermal runaway was observed.

Cell to cell thermal runaway propagation was observed.

No module-to-module thermal runaway propagation.

No flying debris or explosive discharge of gases during the test.

No electrical arcs, or other electrical events during test.

No external flaming was observed.

The battery module weight measured was 662.6 kg (before test) and 654.5 kg (after test).

Measured peak chemical heat release rate HRRt was 82.04 kW

Measured peak smoke release rate SRR was 2.63 m<sup>2</sup>/s

Total smoke release TSR was 943.42 m<sup>2</sup>

Total hydrocarbons was 989.9 L (equivalent to CH<sub>4</sub>, measured by FID)

Detail information see relevant clause of this report.

#### 1.5 List of attachments

Video records of the test from 3 direction were provided in .mp4 format. Complete records were provided in following document, file number listed as below:

202301129 Sungrow Unit UL9540A video ch01 (01-02).mp4

202301129 Sungrow Unit UL9540A video ch02 (01-02).mp4

202301129 Sungrow Unit UL9540A video ch03 (01-02).mp4

#### 1.6 Definitions

CELL – The basic functional electrochemical unit containing an assembly of electrodes, electrolyte, separators, container, and terminals. It is a source of electrical energy by direct conversion of chemical energy.

MODULE – A subassembly that is a component of a BESS that consists of a group of cells or electrochemical capacitors connected together either in a series and/or parallel configuration (sometimes referred to as a block) with or without protective devices and monitoring circuitry.

UNIT – A frame, rack or enclosure that consists of a functional BESS which includes components and subassemblies such as cells, modules, battery management systems, ventilation devices and other ancillary equipment.

BATTERY SYSTEM (BS) – Is a component of a BESS and consists of one or more modules typically in a rack configuration, controls such as the BMS and components that make up the system such as cooling systems, disconnects and protection devices.

BATTERY ENERGY STORAGE SYSTEM (BESS) – Stationary equipment that receives electrical energy and then utilizes batteries to store that energy to supply electrical energy at future time. The BESS, at a minimum consists of one or more modules, a power conditioning system (PCS), battery management system (BMS) and balance of plant components.

- a) INITIATING BATTERY ENERGY STORAGE SYSTEM UNIT (INITIATING BESS) A BESS unit which has been equipped with resistance heaters in order to create the internal fire condition necessary for the installation level test (Section 9).
- b) TARGET BATTERY ENERGY STORAGE SYSTEM UNIT (TARGET BESS) The enclosure and/or rack hardware that physically supports and/or contains the components that comprise a BESS. The target BESS unit does not contain energy storage components, but serves to enable instrumentation to measure the thermal exposure from the initiating BESS.

Note: Depending upon the configuration and design of the BESS (e.g. the BESS is composed of multiple separate parts within separate enclosures), the unit level test can be done at battery system level. In such case, the BESS is be read as BS throughout this report.

NON-RESIDENTIAL USE – Intended for use in commercial, industrial or utility owned locations.

RESIDENTIAL USE – In accordance with this standard, intended for use in one or two family homes and town homes and individual dwelling units of multi-family dwellings.

THERMAL RUNAWAY- The incident when an electrochemical cell increases its temperature through self-heating in an uncontrollable fashion. The thermal runaway progresses when the cell's generation of heat is at a higher rate than the heat it can dissipate. This may lead to fire, explosion and gas evolution.

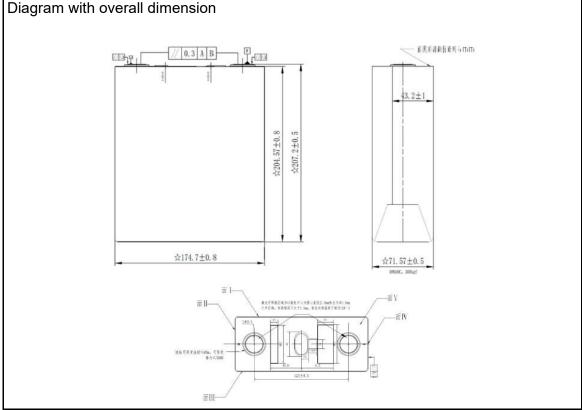
STATE OF CHARGE (SOC) – The available capacity in a BESS, pack, module or cell expressed as a percentage of rated capacity.

# 2 General Product Information

## 2.1 Cell

### 2.1.1 Product information and parameters

Z. I. I TOGUCE IIIIOI III ali	na parameters		
The product information and parameters are provided by the client as below.			
Manufacturer:	CALB Group Co., Ltd.		
Model number:	L173F314		
Chemistry:	LiFePO4		
Physical configuration:	Prismatic		
	Weight:	5.56±0.15 kg	
Electrical rating	Rated capacity:	314 Ah	
	Nominal voltage:	3.2 V	
Standard charge method:	Charge current:	157 A	
	End of charge voltage:	3.65 V	
Standard discharge method	Discharge current:	314 A	
	End of discharge voltage:	2.5 V	
Diagram with overall dimension			
(1) 0.3 A B (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)			
	43.2±1		



#### 2.1.2 Cell level test information

Cell level thermal runaway test information is from UL cell level test report 4789764715 provided by the client.

Thermal Runaway Methodology:	Two pieces 185 mm by 160 mm heater for each sample
Cell Surface Temperature at Gas Venting:	140.5°C
Cell Surface Temperature at Thermal Runaway:	210.6°C

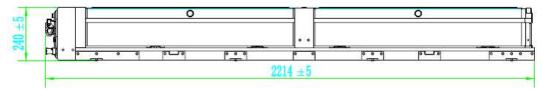
### 2.2 Module

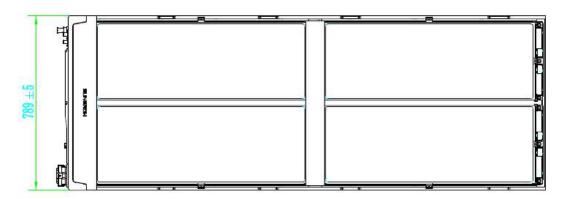
### 2.2.1 Product information and parameters

The product information and parameters are provided by the client as below.

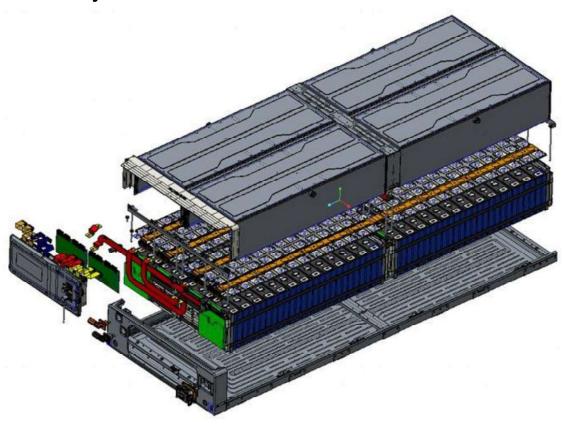
Manufacturer name:		Sungrow Power Supply Co., Ltd.	
Model number:		P1044AL-AHA	
Physical configuration:		Metal enclosure with plastic cover	
		Weight: 660±9 kg	
		Cells in series/parallel: 104S	
Cooling metho	d:	Liquid cooling	
Separation between cells:		N/A	
Electrical rating:		Rated capacity: 314 Ah	
		Nominal voltage: 332.8 V	
Standard	Charge current:	157 A	
charge method	End of charge voltage:	379.6 V	
Standard	Discharge current:	157 A	
method End of discharge voltage:		e: 280.8 V	
Compliance with UL 1973:		Yes. Report No. : CN232YU5 001 Certificate No. : CU 72303382 0001	

# 2.2.2 Diagram with overall dimension

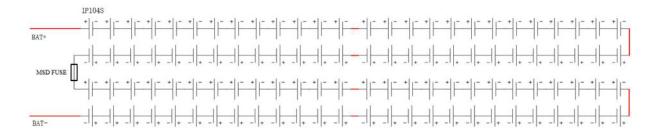




# 2.2.3 Layout of the module contents



# 2.2.4 Configuration diagram of the module



# 2.3 Battery system (rack)

## 2.3.1 Manufacture information and model list

	Battery system	Battery system	Battery system
Product	LFP Lithium Ion Energy Storage System	LFP Lithium Ion Energy Storage System	LFP Lithium Ion Energy Storage System
Type/model	R0417BL-AHAA	R0417BL-AHDA	R0835BL-AHCA
Cell Capacity [Ah]	314	314	628
Cell Quantity	416	416	832
Battery structure	(104S)4S	(104S)4S	((104S)4S)2P
Nominal voltage [V]	1331.2V	1331.2V	1331.2V
Rated capacity [Wh]	417996.8	417996.8	835993.6
Upper limit charging voltage [V]	1497.6	1497.6	1497.6
Recommend charging current [A]	157	104.7	157
Maximum charging current [A]*	186.1	124.1	186.1
Maximum charging power	208.9kW	139.3kW	208.9kW
Recommend discharging current [A]	157	104.7	157
Maximum discharging current [A]	186.1	124.1	186.1
Maximum discharging power	208.9kW	139.3kW	208.9kW
Discharge cut-off voltage [V]	1123.2	1123.2	1123.2
Temperature range for charging [°C]	0 to 50	0 to 50	0 to 50
Temperature range for discharging [°C]	-30 to 50	-30 to 50	-30 to 50
Temperature threshold for protection	55	55	55
Overcharge protected voltage supply by battery system	≥3.75V /Cell	≥3.75V /Cell	≥3,75V /Cell
Recommend charging method by manufacturer	Change at constant power 208.9kW until the voltage reaches 1497.6V or any one cell reaches 3.65V	Change at constant power 138.3kW until the voltage reaches 1497.6V or any one cell reaches 3.65V	Change at constant power 208.9kW until the voltage reaches 1497.6V or any one cell reaches 3.65V
Dimension [mm]	Rack: 790*2214*1000mm (W*D*H) PCS: 790*875* 230mm (W*D*H)	Rack: 790*2214*1000mm (W*D*H) PCS: 790*875* 230mm (W*D*H)	Rack: 790*2214*2000mm (W*D*H) PCS: 790*875* 230mm (W*D*H)

Weight [kg]	Rack: 2640±36kg PCS: 85±5kg	Rack: 2640±36kg PCS: 85±5kg	Rack: 5280±72kg PCS: 85±5kg
Ingress Protection (IP)	IP65	IP65	IP65
Protective Class	Ĭ	j	1
Cooling type	Liquid cooling	Liquid cooling	Liquid cooling
Altitude	4000m	4000m	4000m

	Battery system	Battery system	Battery system
Product	LFP Lithium Ion Energy Storage System	LFP Lithium Ion Energy Storage System	LFP Lithium Ion Energy Storage System
Type/model	R0417BL-AHAS	R0417BL-AHCS	R0835BL-AHAS
Cell Capacity [Ah]	314	314	628
Cell Quantity	416	416	832
Battery structure	(104S)4S	(104S)4S	((104S)4S)2P
Nominal voltage [V]	1331.2V	1331.2V	1331.2V
Rated capacity [Wh]	417996.8	417996.8	835993.6
Upper limit charging voltage [V]	1497.6	1497.6	1497.6
Recommend charging current [A]	157	78.5	314
Maximum charging current [A]	186.1	93.1	372.2
Recommend discharging current [A]	157	78.5	314
Maximum discharging current [A]	186.1	93.1	372.2
Discharge cut-off voltage [V]	1123.2	1123.2	1123.2
Temperature range for charging [°C]	0 to 50	0 to 50	0 to 50
Temperature range for discharging [°C]	-30 to 50	-30 to 50	-30 to 50
Temperature threshold for protection	55	55	55
Overcharge protected voltage supply by battery system	≥3,75V /Cell	≥3.75V /Cell	≥3.75V /Cell
Recommend charging method by manufacturer	Change at constant power 208.9kW until the voltage reaches 1497.6V or any one cell reaches 3.65V	Change at constant power 104.4kW until the voltage reaches 1497.6V or any one cell reaches 3.65V	Change at constant power 417.9kW until the voltage reaches 1497.6V or any one cell reaches 3.65V
Dimension [mm]	Rack: 790*2214*1000mm (W*D*H) S/G: 790*1097.5*240mm	Rack: 790*2214*1000mm (W*D*H) S/G: 790*1097.5*240mm	Rack: 790*2214*2000mm (W*D*H) S/G: 790*1097.5*240mm

	(W*D*H)	(W*D*H)	(W*D*H)
Weight [kg]	Rack: 2640±36kg S/G: 70±10kg	Rack: 2640±36kg S/G: 70±10kg	Rack: 5280±72kg S/G: 70±10kg
Ingress Protection (IP)	IP65	IP65	IP65
Protective Class	Ĭ	Ĺ	1
Cooling type	Liquid cooling	Liquid cooling	Liquid cooling
Altitude	5000m	5000m	5000m

	Battery system	Battery system	Battery system
Product	LFP Lithium Ion Energy Storage System	LFP Lithium Ion Energy Storage System	LFP Lithium Ion Energy Storage System
Type/model	R0835BL-AHCS	R0417BL-AHAT	R0417BL-AHCT
Cell Capacity [Ah]	628	314	314
Cell Quantity	832	416	416
Battery structure	((104S)4S)2P	(104S)4S	(104S)4S
Nominal voltage [V]	1331.2V	1331.2V	1331.2V
Rated capacity [Wh]	835993.6	417996.8	417996.8
Upper limit charging voltage [V]	1497.6	1497.6	1497.6
Recommend charging current [A]	157	157	78.5
Maximum charging current [A]	186.1	186.1	93.1
Recommend discharging current [A]	157	157	78.5
Maximum discharging current [A]	186.1	186.1	93.1
Discharge cut-off voltage [V]	1123.2	1123.2	1123.2
Temperature range for charging [°C]	0 to 50	0 to 50	0 to 50
Temperature range for discharging [°C]	-30 to 50	-30 to 50	-30 to 50
Temperature threshold for protection	55	55	55
Overcharge protected voltage supply by battery system	≥ <b>3.</b> 75V /Cell	≥ <b>3.</b> 75V /Cell	≥ <b>3.</b> 75V /Cell
Recommend charging method by manufacturer	Change at constant power 208.9kW until the voltage reaches 1497.6V or any one cell reaches 3.65V	Change at constant power 208.9kW until the voltage reaches 1497.6V or any one cell reaches 3.65V	Change at constant power 104.4kW until the voltage reaches 1497.6V or any one cell reaches 3.65V
Dimension [mm]	Rack: 790*2214*2000mm (W*D*H) S/G: 790*1097.5*240mm	Rack: 790*2214*1000mm (W*D*H) S/G: 790*1097.5*240mm	Rack: 790*2214*1000mm (W*D*H) S/G: 790*1097.5*240mm

	(W*D*H)	(W*D*H)	(W*D*H)
Weight [kg]	Rack: 5280±72kg S/G: 70±10kg	Rack: 2640±36kg S/G: 75±10kg	Rack: 2640±36kg S/G: 75±10kg
Ingress Protection (IP)	IP65	IP65	IP65
Protective Class	1	ĺ	П
Cooling type	Liquid cooling	Liquid cooling	Liquid cooling
Altitude	5000m	5000m	5000m

#### 2.3.2 Product information

This product is used for Energy Storage System.

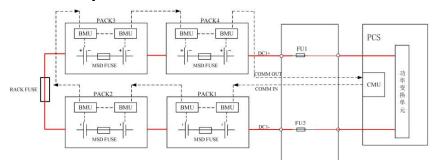
The EUTs described in this report are three models of LFP lithium ion battery energy storage systems which include one master control (or one control box) and several battery packs in series (parallel) connection. The master control function integrated in fuse box and PCS, the BMS master control board placed in PCS. The number of battery pack is 4 for model R0417BL-AHAA and R0417BL-AHDA, the difference between them is that the battery maximum power and current are different. The number of battery pack is 8 for model R0835BL-AHCA.

The battery pack contain 104 cells in structure 104S. And it contains one BMU board for measuring and collecting the cell parameters and uploading the information of cell voltage and temperature to CMU in PCS.

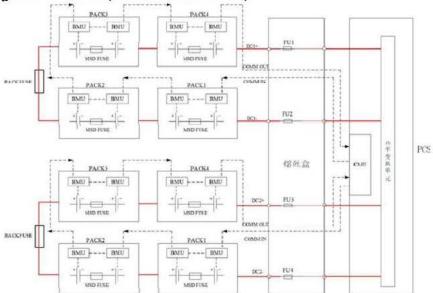
The EUTs are indoor type. The insulation between the DC circuit and the metal enclosure is basic insulation. And the insulation between the DC circuit and communication ports is reinforced insulation or double insulation. OVC II considered for the battery rack, it shall be isolated from an OVC III supply source (such as from an OVC III PCS) through an isolated transformer or protected in a manner that prevents transient overvoltage conditions in end use.

The PCS is certified individually. The BMS master control board is placed in PCS. The BMS functional safety was evaluated according to UL 60730-1 Annex H by TÜV Rheinland.

Block diagram as below (for R0417BL-AHAA and R0417BL-AHDA): one fuse box shared by two racks:

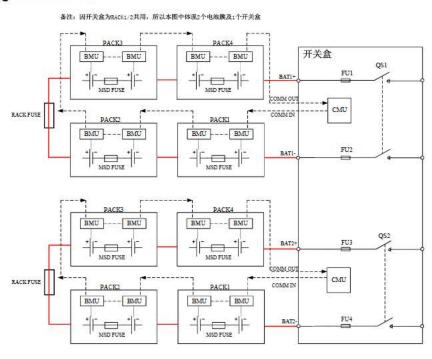


#### Block diagram as below (for R0835BL-AHCA):



For models R0417BL-AHAS, R0417BL-AHCS, R0835BL-AHAS, R0835BL-AHCS, R0417BL-AHAT, R0417BL-AHCT, the EUTs described in this report are three models of LFP lithium ion battery energy storage systems which include one control box and several battery packs in series (parallel) connection.

#### Block diagram as below:



#### 备注:因开关盒为RACK1/2共用,所以本图中体现2个电池簇及1个开关盒 开关盒 BMU ---- BMU BMU --- BMU FU1 CMU RACK FUSE COMM IN PACKI BMU ---- BMU BMU ---- BMU QS1 PACK3 PACK4 BMU ---- BMU BMU --- BMU FU3 RACK FUSE CMU COMM IN PACK1 BMU ---- BMU BMU ---- BMU FU4 BAT2

# Diagram



# 2.4 Photo

Module



## Battery Rack



**Customer Contact:** 

## 3 Unit level test (section 9 of UL 9540A)

#### 3.1 General

Unit level testing corresponds with the testing anticipated by fire codes and other codes impacting energy storage system installations to evaluate the large scale fire performance of BESS units installed in, on or adjacent to buildings or in other areas and their resultant performance to qualify for exceptions to limits in the codes imposed on these installations. The limitations where exceptions may be sought are limitations on the size of the individual BESS units, the total number of BESS units installed within a room, and the separation distances between BESS units and between BESS units and walls of the building.

In this test the initiating BESS unit is placed a set distance from target BESS units simulating BESS units identical to the initiating BESS unit, and from simulated walls representative on the installation. A thermal runaway is induced in cells, using the same approach as used in the module level testing within one of the modules in the initiating BESS, and a variety of measurements are taken. The results are intended to be used to verify that a fire within a single BESS unit will not spread to other units, nor breach the walls or the BESS enclosure (if provided), and there shall be no flying debris or explosive discharge of gases.

The test arrangement include the largest (energy) BESS unit for the installation to be represented by the test, and minimum spacing to adjacent walls and BESS units. The BESS may be tested with an internal fire suppression system provided by the manufacturer if that fire suppression system is required to be installed in the BESS. Optional internal fire suppression systems are not included in the unit level testing.

The test monitors the fire behavior of the BESS unit and measures heat release rates (convective and chemical); gas generation and composition; smoke release rate; maximum heat flux on the target BESS units, wall surfaces and within the accessible means of egress; maximum surface temperatures of the walls and modules within the target BESS units; and documents any explosions, deflagrations and flying debris from the BESS under test.

### 3.2 Unit sample preparation

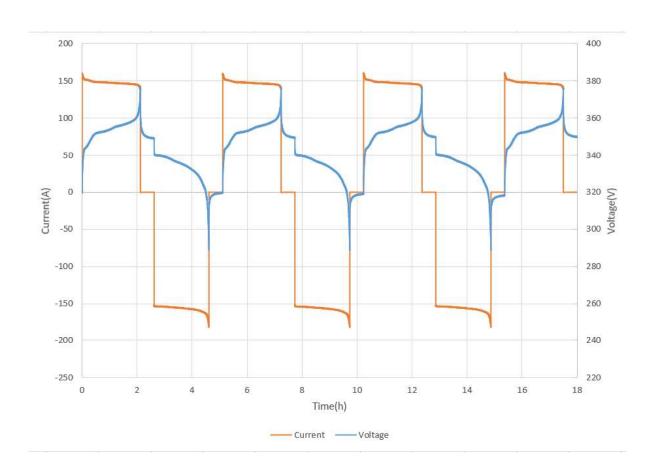
The battery system is constructed with open rack without enclosure. Eight rows and one column of the module and one switch gear were considered as a unit for purposes of the test.

Module sample was conditioned, prior to testing, through charge and discharge cycles of 3 cycles to verify that the module was functional.

Each cycle was defined as a charge to 100% SOC and allowed to rest several minutes and then discharged to an end of discharge voltage (EODV) determined by the manufacturer. Refer to 2.1 for charge and discharge profile.

The module sample was put in a climate chamber during charge and discharge. The ambient is kept at 25°C±2°C and 50%± 5% R.H.

Figure 1. Module charge and discharge voltage/current profiles



#### 3.3 Setup of the test

#### 3.3.1 **Battery system installation information**

The installation information was provided by the client as below.

Intended use location	Residential	⊠ Non-residential
	☐ Non-residential roofto	op
	☐ Non-residential open	garage use
Type of installation		Outdoor
	Floor/ground mounted	☐ Wall mounted
Row(s) of installation	⊠ Single	☐ Multiple

#### 3.3.2 Test site setup

Three instrumented walls with 3.8 m height and 3.55 m width form a right angle. Walls were constructed of 5/8 in gypsum painted flat black.

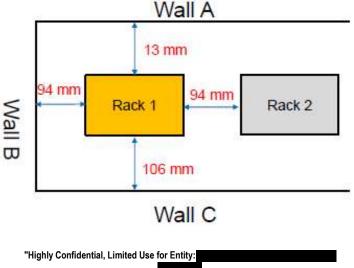
Two racks were used for the purpose of the test. As specified the manufacture, the target racks will be installed beside the initial unit. All racks were positioned facing instrumented wall C. See Figure 2 and photos in page 41.

The initiating unit (unit 1) was positioned at the corner, adjacent to the two instrumented wall sections.

Minimum separation distance from the rack to wall and between racks were defined by the client. It is difficult to move the heavy rack to the position just conform to the separation distance provided by the client. There were 1 cm tolerance in actual setup. Figure 2 was the test site setup diagram with separation distance.

The whole setup was located under the smoke collection hood of the calorimeter measurement system.

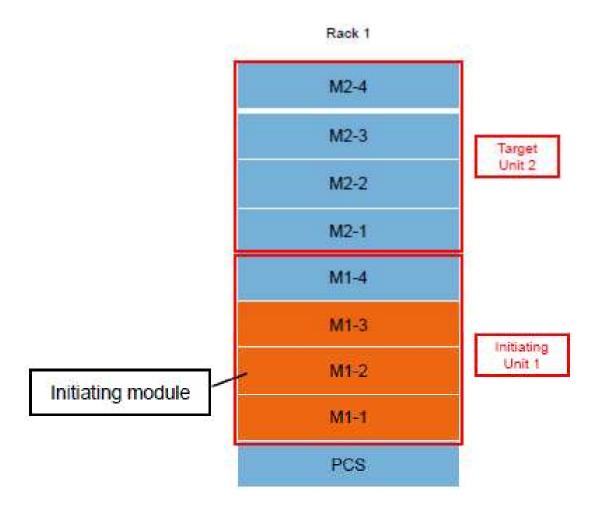
Figure 2. Test site setup diagram with separation distance. (Top view)



**Customer Contact:** Date: 12 Jan 2024" For this test, the modules were numbered according to the electrical continuity. In initial unit, modules numbers from M1 to M4.

In target units, modules numbers from M1 to M4 which was the target unit setting next to the initial module. See Figure 3.

Figure 3. Module numbering in units



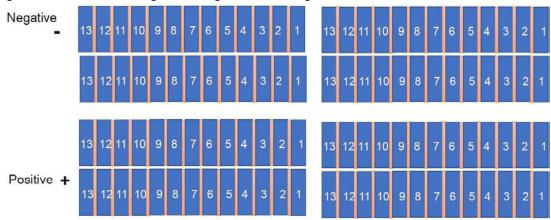
### 3.3.3 Thermal runaway setup

The module to be tested were charged to 100% SOC and allowed to stabilize for a minimum of 1 h and a maximum of 8 h before the start of the test.

The external heating method used for initiating thermal runaway in cell level test was used to initiate thermal runaway within the module.

Consider the unit level installation in the module. #2 ~ #4 cells located in the middle of the module was chose as target cell to be forced into thermal runaway.

Figure 4. Cell numbering, initiating cell selecting



The cells were heated by two external heaters rated 220VAC/800 W (size 200\*165\*1mm).

2 glass fiber thermocouples Type K, 24 AWG were attached on the center of two wide surface of #3 cell. (See 3.3.2 figure 3)

10 glass fiber thermocouples Type K, 24 AWG were attached on the center of each wide surface of #1 to #7 cells and 3 glass fiber thermocouples were attached on the vent of #2 to #4 cells, used for record cell temperature. (See 3.3.2 figure 4)

7 glass fiber thermocouples Type K, 24 AWG were attached on the center of each wide surface of #1 to #7 cells which were beside initiating cell #3 and another 7 glass fiber thermocouples Type K, 24 AWG were attached on the center of each narrow surface of #1 to #7 cells which were opposite initiating cell #3, used for record cell surface temperature. (See 3.3.2 figure 4)

8 glass fiber thermocouples Type K, 24 AWG were attached on the surface of module used for record module external temperature. (See 3.3.2 figure 5)

Manual control the voltage supply to the heater and maintain a 4°C/min to 7°C/min heating rate.

Once thermal runaway was observed, the heaters were immediately de-energized. Voltage of the modules are monitored during test.

The module was placed on top of a lift with the module orientation representative of its intended final installation.

The module was located under the smoke collection hood of the calorimeter measurement system.

Ambient conditions were within 25±5°C and 50±25% RH at the initiation of the test.

Figure 5. Thermocouples (no. xx) locations of initiating cell

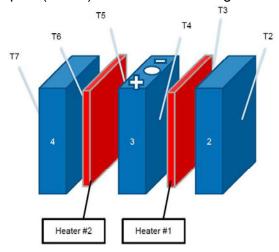


Figure 6. Thermocouples (no. xx) locations of initiating module

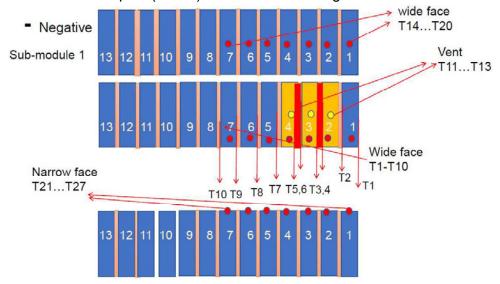
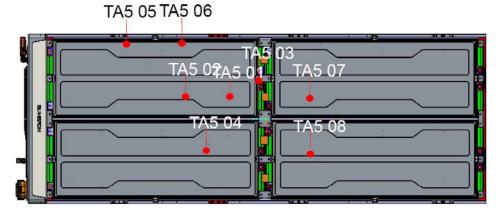


Figure 7. Thermocouple's locations outside module



"Highly Confidential, Limited Use for Entity:

Customer Contact:

Date: 12 Jan 2024"

# 3.4 Observations and records

Ambient conditions at the initiation of the test	21.0°C, 62.1% R.H.
Sample number:	#2023112901
Open circuit voltage before test (V):	348.5
Weight before test (kg)	662.6 (with thermocouples)
Time initiating the test	12:48 start to heat the cells
Observations during test:	Audible pops were heard at 14:25 (the pressure relief valve burst).  Large amount of white smoke was observed on 14:41.  After first thermal Runaway, Large amount of white smoke was observed on 14:46, 14:53, 14:57 and 15:42.  No flying debris or explosive discharge of gases during test.  No sparks, electrical arcs, or other electrical events during test.  No flaming observed.
Posttest evaluation:	Posttest evaluations were performed after 12 hours of test.  Total 5 cells were damage after test. 3 of them were initiating cells and another two were cell-to-cell thermal propagation.  Photos "sample after test" in page 54 show the damage of the module enclosure, electrolyte outside and damage of the components inside enclosure.  329.5 V was measured on the module output terminal.
Weight after test (kg)	654.5 (with thermocouples)
Weight loss (kg)	8.1

### 3.5 Temperature measurements

### 3.5.1 Temperature measurement of initiating cells

Cell to cell propagation was observed during the test.

Cell thermal runaway occurred on #3 at around 13:56, #2 and #4 cell thermal runaway occurred at around 14:05 and 14:13. Maximum temperature 864.1°C (T6) were measured on the #2 cells wide surface. See figure 8 for the temperature vs time curve. Maximum temperature please see table 1 for detail.

Cell to cell propagation was observed on #1 and #5 in initial module during the test.

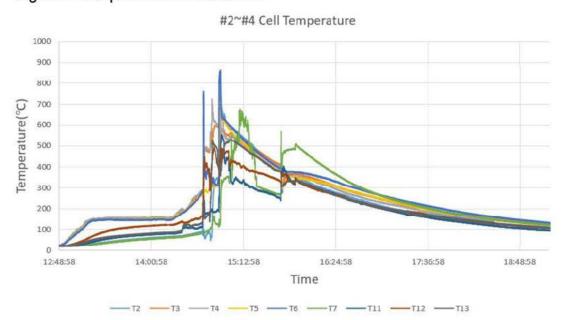


Figure 8. Temperatures of cell #2~#4

Table 1. Maximum temperature of cell #10~#11

Thermocouple no.	Location	Maximum temp.(°C)
T2	Surface of cell_2(right)	840.1
Т3	Surface of cell_2(left)	742.5
T4	Surface of cell_3(right)	828.3
T5	Surface of cell_3(left)	709.2
T6	Surface of cell_4(right)	864.1
T7	Surface of cell_4(left)	676.0
T11	Vent of cell_2	555.9
T12	Vent of cell_3	493.0
T13	Vent of cell_4	530.6

Figure 9. Temperatures of cell #1 and #5~#7

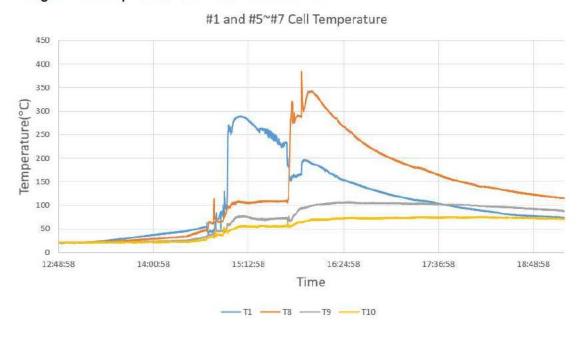


Table 2. Maximum temperature of cell #1 and #5~#7

Thermocouple no.	Location	Maximum temp.(°C)
T1	Surface of cell_1	290.1
T8	Surface of cell_5	383.4
T9	Surface of cell_6	107.1
T10	Surface of cell_7	75.3

Figure 10. Temperatures of cell #1~#7(beside initiating cell)

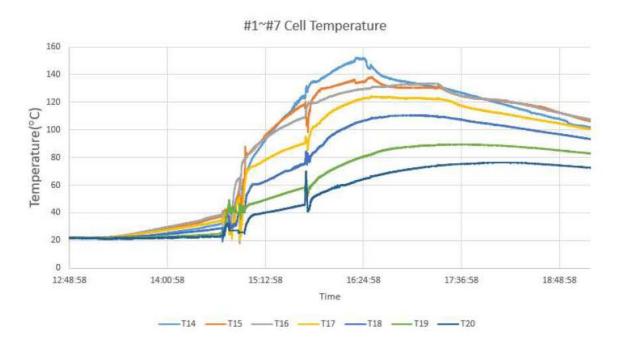


Table 3. Maximum temperature of cell #1~#7(beside initiating cell)

Thermocouple no.	Location	Maximum temp.(°C)
T14	Surface of cell_1	152.1
T15	Surface of cell_2	138.3
T16	Surface of cell_3	133.8
T17	Surface of cell_4	124.6
T18	Surface of cell_5	110.7
T19	Surface of cell_6	89.6
T20	Surface of cell_7	76.4

Figure 11. Temperatures of cell #1~#7(opposite initiating cell)

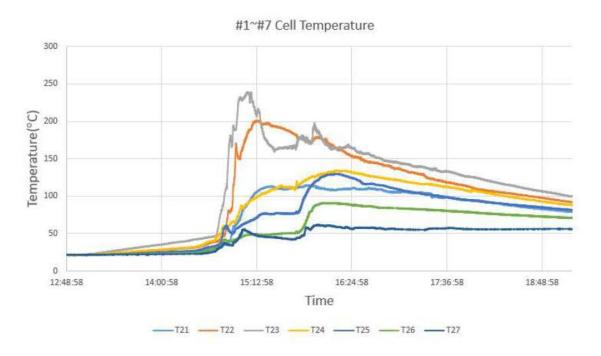


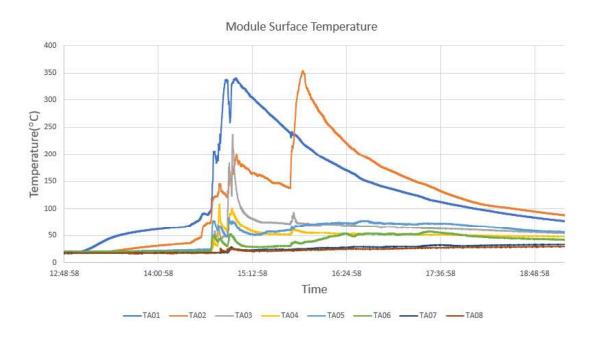
Table 4. Maximum temperature of cell #1~#7(beside initiating cell)

Thermocouple no.	Location	Maximum temp.(°C)
T21	Surface of cell_1	114.7
T22	Surface of cell_2	201.1
T23	Surface of cell_3	239.0
T24	Surface of cell_4	134.8
T25	Surface of cell_5	129.8
T26	Surface of cell_6	91.2
T27	Surface of cell_7	61.9

Figure 12. Module voltage



Figure 13. Temperatures of module surface



### 3.5.2 Temperature measurement of units

- 3 glass fiber insulated thermocouples, Type K, 24 AWG were attached on the center of the top, bottom, or side surface of modules M1 to M4 in initiating unit 1.
- 4 glass fiber insulated thermocouples, Type K, 24 AWG were attached on the center of side or front surface of modules in target unit 2.
- 4 glass fiber insulated thermocouples, Type K, 24 AWG were attached on the center of side or front surface of modules in each target unit 3 and 4.

In initial unit 1, the maximum temperature 223.9°C was measured on the bottom surface of the module M1-3.

In target unit 2, the maximum temperature 35.9°C was measured on the bottom surface of the module M2-3.

In target unit 3, the maximum temperature 23.5°C was measured on the back surface of the module M3-4.

In target unit 4, the maximum temperature 29.1°C was measured on the back surface of the module M4-2.

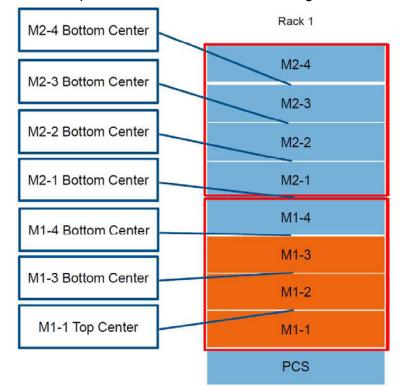


Figure 14. Thermocouple location on modules in initiating unit 1 and target unit 2

View direction: towards instrument wall A with reference to Figure 2

Figure 15. Surface temperatures of modules in initial unit 1

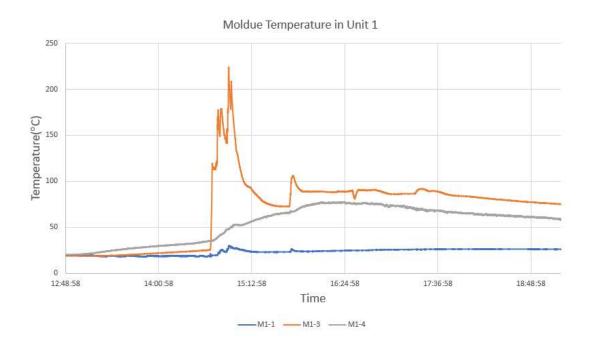


Figure 16. Surface temperatures of modules in target unit 2

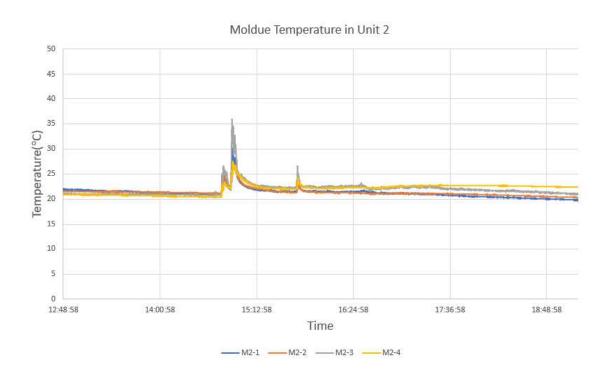
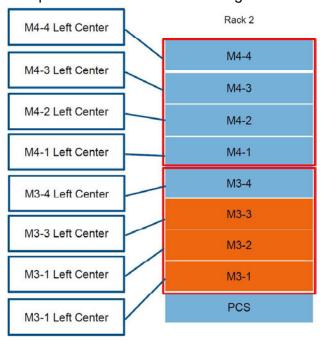
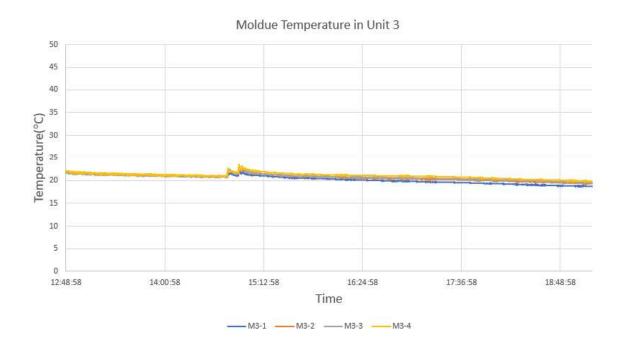


Figure 17. Thermocouple location on modules in target unit 3 and unit 4



View direction: towards instrument wall A with reference to Figure 2

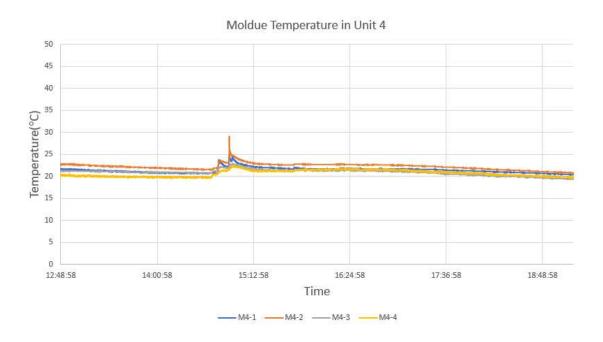
Figure 18. Surface temperatures of modules in target unit 3



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Figure 19. Surface temperatures of modules in target unit 4



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### 3.5.3 Temperature measurement of instrumented walls

Wall surface temperatures were measured in vertical array at 152 mm intervals for the full height of the instrumented wall sections. The thermocouples array was collinear with the centre line of unit A. The red line shows the thermocouple array on the wall.

The first thermocouple starts from 152 mm from ground. Total 18 thermocouples were used for each array. The thermocouples were numbered from low to high as TWA1 to TWA18 for wall A.

Total 18 thermocouples were used for each array. The thermocouples were numbered from low to high as TWB1 to TWB18 for wall B.

Additional 6 thermocouples were positioned horizontally near the initial module M1-2 300 mm away from the vertical array each side, on instrumented wall A and wall B.

Rack 1 M2-4 TWA<sub>18</sub> M2-3 M2-2 M2-1 TWA19 TWA8 TWA22 M1-4 152mm TWA20 TWA23 M1-3 INITIAL MODULE M1-2 TWA21 TWA6 TWA24 M1-1 300mm 300mm PGS TWA1

Figure 20. Vertical position of the thermocouples on the wall A

View direction: towards instrument wall A with reference to Figure 2

Maximum temperature measured on instrument wall A was 27.8°C at TWA23, temperature curve see figure 21 for detail.

Figure 21. Temperatures on instrument wall A.

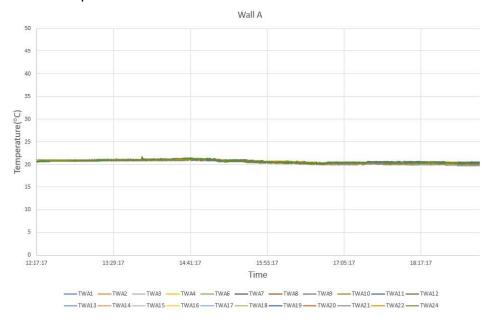
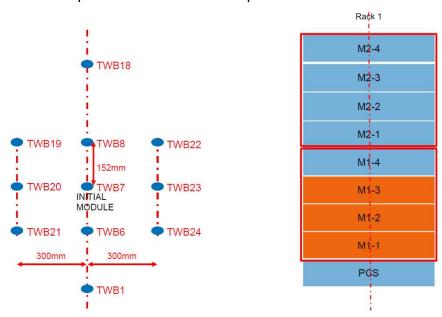


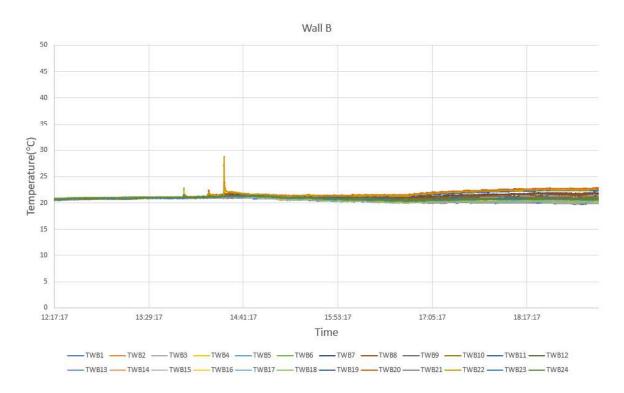
Figure 22. Vertical position of the thermocouples on the wall B



View direction: towards instrument wall A with reference to Figure 2

Maximum temperature measured on instrument wall B was  $33.7^{\circ}$ C at TWB24, temperature curve see figure 23 for detail.

Figure 23. Temperatures on instrument wall B.



#### 3.6 Heat flux measurement

8 sensors were placed on the surface of unit, instrumented wall A, wall B and wall C. Two sensors were placed on the surface of each instrumented wall and adjacent unit 2 that faces the initiating unit.

The sensors were collinear with the vertical thermocouple array. One was positioned at the height equivalent to module M1-2 top edge, which was estimated to receive the greatest heat flux due to the thermal runaway of the initiating module within the initiating unit. Another was positioned at the height equivalent to module M4-3 top edge, which was estimated to receive the greatest surface heat flux due to the thermal runaway of the initiating unit.

Figure 24. Heat flux sensor locations on instrument wall

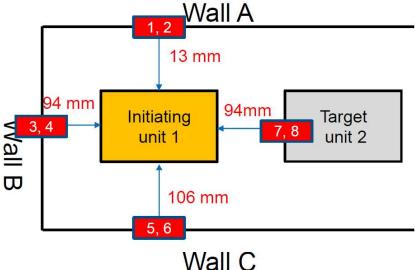
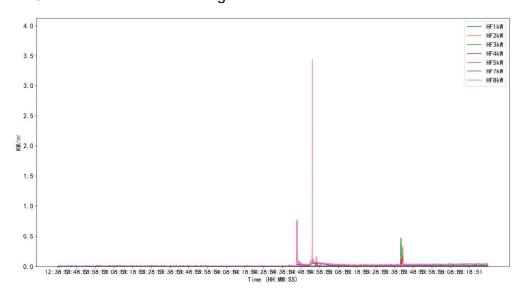


Figure 25. Measured heat flux of target wall and units



#### 3.7 Chemical heat release rate measurement

The chemical heat release rates were measured by an oxygen consumption calorimeter measurement system consisting of a paramagnetic oxygen analyzer, non-dispersive infrared carbon dioxide and carbon monoxide analyzer, velocity probe, and a Type K thermocouple.

The instrumentations are located in the exhaust duct of the heat release rate calorimeter.

The chemical heat release rate was calculated at each of the flows as follows:

$$HRR_{1} = \left[ E \times \varphi - (E_{co} - E) \times \frac{1 - \varphi}{2} \times \frac{X_{co}}{X_{O_{2}}} \right] \times \frac{\dot{m_{e}}}{1 + \varphi \times (\alpha - 1)} \times \frac{M_{O_{2}}}{M_{a}} \times (1 - X_{H_{2}O}^{o}) \times X_{O_{2}}^{o}$$

In which:

HRR<sub>t</sub> = total heat release rate, as a function of time (kW)

E = Net heat released for complete combustion per unit of oxygen consumed (adjusted for oxygen contained within cell chemistry, 13,100 kJ/kg)

 $E_{CO}$  = Net heat released for complete combustion per unit of oxygen consumed, for CO (adjusted for oxygen contained within cell chemistry, 17,600 kJ/kg)

 $\varphi$  = Oxygen depletion factor (non-dimensional), where:

$$\varphi = \frac{X_{O_2}^o \times [1 - X_{CO_2} - X_{CO}] - X_{O_2} \times [1 - X_{CO_2}^o]}{X_{O_2}^o \times [1 - X_{O_2} - X_{CO_2} - X_{CO}]}$$

X<sub>CO</sub> = Measured mole fraction of CO in exhaust flow (non-dimensional)

X<sub>CO<sub>2</sub></sub> Measured mole fraction of CO<sub>2</sub> in exhaust flow (non-dimensional)

X°CO2 = Measured mole fraction of CO2 in incoming air (non-dimensional)

 $X^{\circ}_{H_2O}$  = Measured mole fraction of  $H_2O$  in incoming air (non-dimensional)

 $X_{O_2}$  = Measured mole fraction of  $O_2$  in exhaust flow (non-dimensional)

 $X^{\circ}_{O_2}$  = Measured mole fraction of  $O_2$  in incoming air (non-dimensional)

α = Combustion expansion factor (non-dimensional; normally a value of 1.105)

Ma = Molecular weight of incoming and exhaust air (29 kg/kmol)

 $M_{O_2}$  = Molecular weight of oxygen (32 kg/kmol)

 $\dot{m}_e$  = Mass flow rate in exhaust duct (kg/s), in which:

$$\dot{m}_e = C \times \sqrt{\frac{\Delta p}{T_e}}$$

or

$$\dot{m}_e = 26.54 \times \frac{A \times k_c}{f(\text{Re})} \times \sqrt{\frac{\Delta p}{T_e}}$$

C = Orifice plate coefficient (in  $kg^{1/2}m^{1/2}K^{1/2}$ )

 $\Delta p$  = Pressure drop across orifice plate or bidirectional probe (Pa)

T<sub>e</sub> = Combustion gas temperature at orifice plate or bidirectional probe (K)

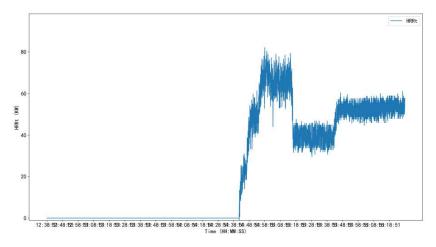
A = Cross sectional area of the duct (m<sup>2</sup>)

 $k_c$  = Velocity profile shape factor (non-dimensional)

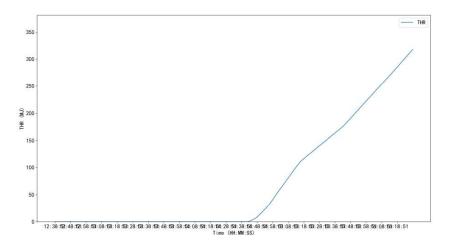
f(Re) = Reynolds number correction (non-dimensional)

The whole heat release rate measurement system was calibrated at 50kW and 70kW heat release rate using a standard propane burner before the test. The calibrations were performed using flows of 1078mg/s and 1510mg/s of propane.

Measured peak chemical heat release rate HRRt was 82.04 kW Figure 26. HRRt curve



Measured total heat release THR through the test was 317.55 MJ Figure 27. THR curve



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#### 3.8 Convective heat release rate measurement

The convective heat release rate were measured using thermopile, a velocity probe, and a Type K thermocouple, located in the exhaust system of the exhaust duct. The convective heat release rate was calculated at each of the flows as follows:

$$HRR_c = V_e A \frac{353.22}{T_e} \int_{T_e}^{T} C_p dT$$

Where:

 $HRR_c$  = The convective heat release rate (kW)

V<sub>e</sub> = The exhaust velocity (m/s)

A = The exhaust duct cross sectional area (m<sup>2</sup>)

 $T_{\rm e}$  = The temperature at the location where exhaust velocity is measured (K)

 $353.22/T_e$  = The density of air at the velocity measurement location (kg/m<sup>3</sup>)

 $T_o$  = The ambient temperature (K) in the test room

T = The thermopile temperature (K)

$$\int_{T_o}^{T} C_p dT = A_0 (T - T_o) + A_1 / 2(T^2 - T_o^2) + A_2 / 3(T^3 - T_o^3) + A_3 / 4(T^4 - T_o^4)$$

Cp = Specific heat of air (kJ/kg-K), given as  $C_p = A_0 + A_1T + A_2T^2 + A_3T^3$ , where:

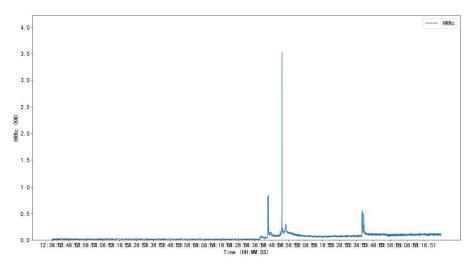
 $A_0 = 0.9950$ 

 $A_1 = -5.29933E-05$ 

 $A_2 = 3.21022E-07$ 

 $A_3 = -1.22004E-10$ 

Figure 28. HRRc curve



### 3.9 Smoke release rate measurement

The light transmission in the calorimeter's exhaust duct was measured using a white light source and photo detector for the duration of the test.

The smoke release rate was calculated as follows:

$$SRR = 2.303 \left(\frac{V}{D}\right) Log_{10} \left(\frac{I_o}{I}\right)$$

Where:

 $SRR = Smoke release rate (m^2/s)$ 

V = Volumetric exhaust duct flow rate (m<sup>3</sup>/s)

D = duct diameter (m)

Io = Light transmission signal of clear (pre-test) beam (V)

I = Light transmission signal during test (V)

The whole smoke release rate measurement system was self-checked using calibrated light filter before the test. The self-check was performed at 100%, 79%, 50%, 32%, 16%, 10%, 1% and 0% light transmittance.

Figure 29. Peak smoke release rate SRR: 2.63 m<sup>2</sup>/s

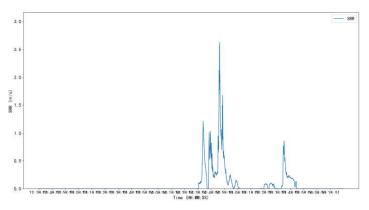
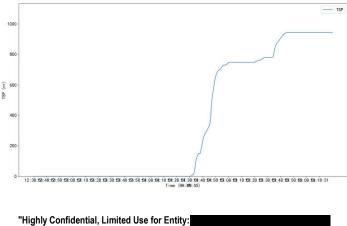


Figure 30. Total smoke release TSR: 943.42 m<sup>2</sup>



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## 3.10 Gas generation measurement

The composition, velocity and temperature of the vent gases were measured within the calorimeter's exhaust duct.

Gas compositions were measured using a Fourier-Transform Infrared Spectrometer with a resolution of 1 cm<sup>-1</sup> and a path length of 4.2 m within the calorimeter's exhaust duct.

The total hydrocarbon content of the vent gas was measured using flame ionization detection.

Hydrogen gas was measured with palladium nickel thin film solid state sensor.

Composition, velocity and temperature measurement instrumentation were collocated with heat release rate calorimetry instrumentation.

#### 3.10.1 Total gas release

The flow rates of various gases were integrated over the test duration and the total cumulative volume of gas calculated for the total test duration (12:48  $\sim$  16:20) were presented in below table.

Total cumulative volume of gases before cell venting (12:48 ~ 14:25) were also presented in table for reference. Which may be considered as ambient gases background before test.

Gas type	Gas components		Total volume of	Total volume of gas (L)	
			Before cell venting	Through out the test	
Hydrocarbon	Methane	CH <sub>4</sub>	0.0	143.10	
species	Ethylene	C <sub>2</sub> H <sub>4</sub>	0.0	73.26	
	Ethane	C <sub>2</sub> H <sub>6</sub>	0.0	13.61	
	Propylene	C <sub>3</sub> H <sub>6</sub>	0.0	278.2	
	Propane	C <sub>3</sub> H <sub>8</sub>	0.0	55.63	
Others	Carbon Monoxide	CO	0.0	176.2	
	Carbon Dioxide	CO <sub>2</sub>	0.0	383.7	
	Hydrogen 2)	H <sub>2</sub>	0.0	939.9	
Total Hydrocarbo	FID)	989.9			

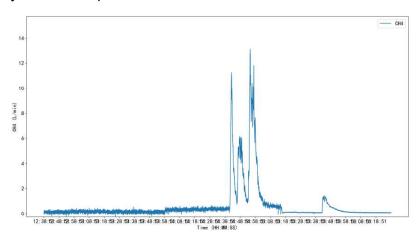
#### Note:

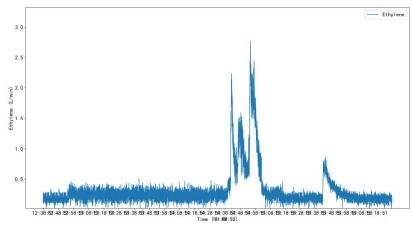
- 1)The collection time is from 12:48 to 16:20
- The Hydrogen measured by Palladium nickel thin film solid state sensor.

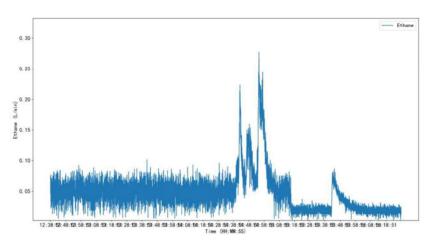
## 3.10.2 Gas components

Concentrations of the gases were scaled based on the measured flow rate of the exhaust system and were presented in standard volume flow rate of gas ventilated in below figures.

Figure 31. Hydrocarbon species

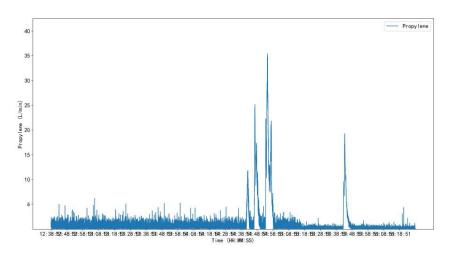






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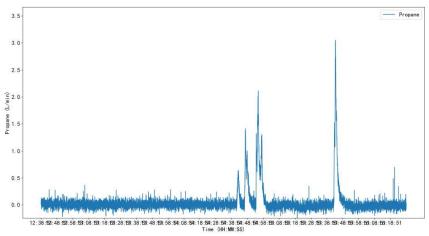
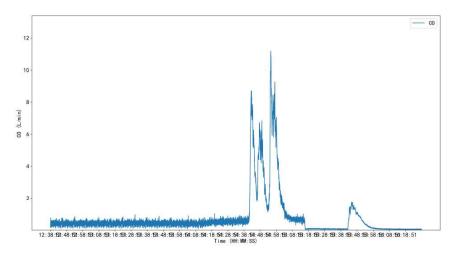


Figure 32. CO,  $CO_2$  containing species



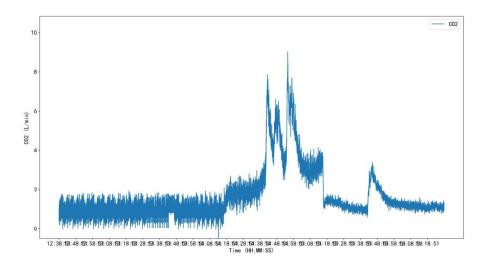
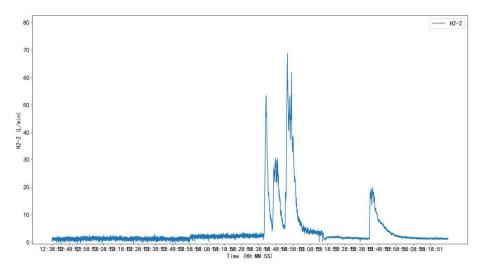


Figure 33. H<sub>2</sub> containing species



# 3.11 Photos

Initiating module







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## Test setup









## Smoke release during test







## Photos after test





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## Initiating module after test

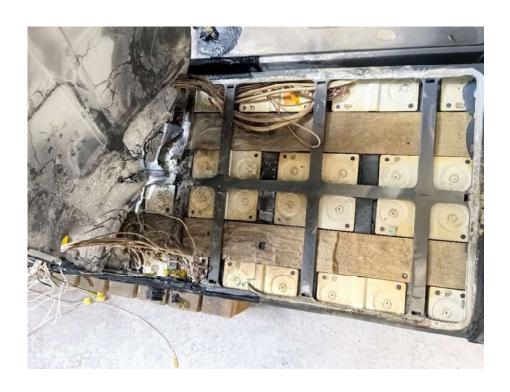












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# 4 List of Test and Measurement Instruments

No	Equipment	Model	Rating	Inventory no.	Cal. Expire date
1	Ambient temperature and humidity	HWP01- 10S	-30℃~50℃, 20%RH~100%RH	12005577	2024.2.2
2	Data acquisition equipment	DAQ970A	3-slot cardcage with 6½ digit (22 bit) internal DMM 0.004%, accuracy 0.06% Vac	TY20200001 38	2024.2.2
3	Data acquisition equipment	TP700	Measuring range-60 $^{\circ}$ C to 1372 $^{\circ}$ C Measurement accuracy $\pm$ (0.05% rdg.+0.5 $^{\circ}$ C) Display resolution0.01 $^{\circ}$ C	TY22110007 16-2	2024.2.2
4	Data acquisition equipment	TP700	Measuring range-60 ℃ to 1372 ℃  Measurement accuracy ± (0.05% rdg.+0.5℃)  Display resolution0.01℃	TY20200002 17	2024.2.2
5	Electronic scale	CHAOOUC 1	0-500KG	6596049416 9	2024.2.2
6	Paramagnetic oxygen analyser	SERVOME X4100	O2: paramagnetic sensor, range 0-25%, accuracy 0.02%, response time T90 < 7S	ZY20200000 18-1	2024.2.6
7	Velocity probe	2671-25L- D-11-G2-E- N	4-20mA output, range 0- 250pa, accuracy ± 1% F.S	ZY20200000 18-2	2024.2.6
8	Photo detector	PDA36A2	Thorlabs optical receiver, wavelength range (350- 1000) mm, gain adjustable, voltage output (0-10) V, instability < 0.1%	ZY20200000 18-3	2024.2.2
9	Fourier-Transform Infrared Spectrometer	atmosFIR	Spectral scanning range: 485 - 7500cm-1; Spectral repeatability: < 0.1cm-1	ZY20200000 18-5	2024.3.9

10	Non-dispersive infrared carbon dioxide and carbon monoxide sensor	SERVOME X4100	CO2: infrared sensor, measuring range 0-10%, accuracy 1% F.S, response time T90 < 7S Co: infrared sensor, measuring range 0-1%, accuracy 1% F.S, response time T90 < 8s	ZY20200000 18-4	2024.2.6
11	Palladium-nickel thin-film solid state sensor	MODEL 2000	Range: 0-2000ppm, temperature less than 100 C, -90~110kPa	ZY20210002 10	2024.2.2
12	Flame ionization detector	3010	Accuracy: 2.0%	19937	2024.3.9
13	Heat flux measurement equipment	MW88- JTC08C	0 ~ ± 99999 w / m2, - 250 ~ 980 °C, accuracy 5%, response time less than 0.1s,	ZY20200000 10	2024.2.2
14	Thermopile	RS-WD- HW-1	0-200 °C, 4-20mA, response speed < 0.15s	2834814194 2	2024.2.2

**End of Test Report**